License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

ALABAMA MEDICAL CANNABIS COMMISSION

Selected Account: Emerald Standard, LLC

+ CREATE NEW APPLICATION

LICENSE DASHBOARD

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ACCOUNT

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Review

Your application has been filed with the Alabama Medical Cannabis Commission. Your reference code is 1673.

File Date : 03/24/2023 6:12 AM

Your transaction ID is : 89100284

Transaction Token: 07c9d7b0-3b50-4f4e-972f-12325cb6d0f6

If you do not receive email notifications, please check your spam folder.

You must print or save this page as a PDF as part of your redacted filing.

Request for Business Application Information

Request Number: 0308

General Applicant Information

Applicant Name : Emerald Standard, LLC
Applying as: Business Entity
Trade Name (DBAs) :

Identification Number Type : FEIN
Federal Tax Identification Number : [redacted]
Business Entity Name : Emerald Standard, LLC

Business Entity Type : Limited Liability Company
Secretary of State Entity ID Number : 001028926
Federal Business Code No : 459991

Date of Qualification, Organization or Incorporation :07/08/2022

Applicant Street Address

Street: [redacted]
Unit No / Apt No :
City: FLORENCE

County: 39-Lauderdale
State: Alabama
Zip Code: 35630

Address Verified?: Yes

Applicant Mailing Address

Street: [redacted]
Unit No / Apt No :
City: FLORENCE

State: Alabama
Zip Code: 35634

Address Verified?: Yes

Applicant Website :
Applicant Email Address : [redacted]
Applicant Phone Number : [redacted]

Do you have a management service agreement in place?: No

Is the applicant: (1) at least 51% owned by (or, in the case of a corporation, 51% of the shares belong to) members of any minority group (as defined by 20-2A-51(b)), and (2) managed and controlled in its daily operations by members of any minority group? :No

Primary Contact Person

First Name: [redacted]
Last Name: [redacted]
Title: Member/General Council

Phone Number: [redacted]
Email: [redacted]

Street: [redacted]
Unit No / Apt No :
City: FLORENCE

State: Alabama
Zip Code: 35634

Address Verified?: Yes

License Information

License Type: Dispensary

Facility Information

Facility Information

Facility Type: Dispensing Site (Retail Facility)
Dispensing Site Premises : Stand Alone Building

Physical Address

Street: [redacted]
Unit No / Apt : No
City: BIRMINGHAM

County: 37-Jefferson
State: Alabama
Zip Code: 35222

Address Verified? : Yes

Facility Information Questions

Applicant's interest in property where proposed facility is located : Agreement Contingent on Receipt of License

Is this facility under construction? : No

The number of days, if awarded a license, within which the Applicant reasonably projects it will commence operations at this facility : 147

The number of days, if awarded a license, within which the Applicant reasonably projects it will reach full capacity at this facility : 540

Does the applicant verify that this proposed facility will be in a permissible location, if applicable, and will maintain compliance with all State and local laws, resolutions and ordinances? : Yes

Facility Type: Dispensing Site (Retail Facility)
Dispensing Site Premises : Stand Alone Building

Physical Address

Street: [redacted]
Unit No / Apt : [redacted]
No
City: RUSSELLVILLE

County: 30-Franklin
State: Alabama
Zip Code: 35653

Address Verified? : Yes

Facility Information Questions

Applicant's interest in property where proposed facility is located : Agreement Contingent on Receipt of License

Is this facility under construction? : No

The number of days, if awarded a license, within which the Applicant reasonably projects it will commence operations at this facility : 147

The number of days, if awarded a license, within which the Applicant reasonably projects it will reach full capacity at this facility : 540

Does the applicant verify that this proposed facility will be in a permissible location, if applicable, and will maintain compliance with all State and local laws, resolutions and ordinances? : Yes

Facility Type: Dispensing Site (Retail Facility)
Dispensing Site Premises : Stand Alone Building

Physical Address

Street: [redacted]
Unit No / Apt : [redacted]
No
City: Killen

County: 39-Lauderdale
State: Alabama
Zip Code: 35645

Address Verified? : Yes

Facility Information Questions

Applicant's interest in property where proposed facility is located : Agreement Contingent on Receipt of License

Is this facility under construction? : No

The number of days, if awarded a license, within which the Applicant reasonably projects it will commence operations at this facility : 166

The number of days, if awarded a license, within which the Applicant reasonably projects it will reach full capacity at this facility : 540

Does the applicant verify that this proposed facility will be in a permissible location, if applicable, and will maintain compliance with all State and local laws, resolutions and ordinances? : Yes

Ownership of Applicant

Select type of record: Individual
Does the individual have an ownership interest in the applicant? : Yes

Individual

Legal First Name : [redacted]
Legal Middle Name : [redacted]
Legal Last Name : [redacted]
Suffix:
Phone Number : [redacted]
Email Address : [redacted]
Date of Birth: [redacted]
Social Security Number : [redacted]
Race/Ethnicity: Caucasian
Ownership Percentage of the Applicant : 5
Role: Shareholder , Member

Residence Address

Street: [redacted]
Unit No / Apt : No
City: FLORENCE
State: Alabama
Zip Code: 35633
Address Verified? : Yes

Select type of record: Individual
Does the individual have an ownership interest in the applicant? : Yes

Individual

Legal First Name : [redacted]
Legal Middle Name : [redacted]
Legal Last Name : [redacted]
Suffix:
Phone Number : [redacted]
Email Address : [redacted]
Date of Birth: [redacted]
Social Security Number : [redacted]
Race/Ethnicity: Caucasian
Ownership Percentage of the Applicant : 4
Role: Shareholder , Member

Residence Address

Street: [redacted]
Unit No / Apt : No
City: LAKE WALES
State: Florida
Zip Code: 33898
Address Verified? : Yes

Select type of record: Individual
Does the individual have an ownership interest in the applicant? : Yes

Individual

Legal First Name : [redacted]
Legal Middle Name : [redacted]
Legal Last Name : [redacted]
Suffix:
Phone Number : [redacted]
Email Address : [redacted]
Date of Birth: [redacted]
Social Security Number : [redacted]
Race/Ethnicity: Caucasian
Ownership Percentage of the Applicant : 8
Role: Shareholder , Member

Residence Address

Street: [redacted]
Unit No / Apt : No
City: MUSCLE SHOALS
State: Alabama
Zip Code: 35661
Address Verified? : Yes

Select type of record: Individual
Does the individual have an ownership interest in the applicant? : Yes

Individual

Legal First Name : [redacted]
Legal Middle Name : [redacted]
Legal Last Name : [redacted]
Suffix:
Phone Number : [redacted]
Email Address : [redacted]
Date of Birth: [redacted]
Social Security Number : [redacted]
Race/Ethnicity: Caucasian
Ownership Percentage of the Applicant : 4
Role: Shareholder , Member , Director , Other

Residence Address

Street: [redacted]
Unit No / Apt : No
City: FLORENCE
State: Alabama
Zip Code: 35634
Address Verified? : Yes

Select type of record: Individual
Does the individual have an ownership interest in the applicant? : Yes

Individual

Legal First Name : [redacted]
Legal Middle Name : [redacted]
Legal Last Name : [redacted]
Suffix:
Phone Number : [redacted]
Email Address : [redacted]
Date of Birth: [redacted]
Social Security Number : [redacted]
Race/Ethnicity: Caucasian
Ownership Percentage of the Applicant : 4
Role: Shareholder , Member

Residence Address

Street: [redacted]
Unit No / Apt : No
City: FLORENCE
State: Alabama
Zip Code: 35630
Address Verified? : Yes

Select type of record: Individual
Does the individual have an ownership interest in the applicant? : Yes

Individual

Legal First Name : [redacted]
Legal Middle Name : [redacted]
Legal Last Name : [redacted]
Suffix:
Phone Number : [redacted]
Email Address : [redacted]
Date of Birth: [redacted]
Social Security Number : [redacted]
Race/Ethnicity: Caucasian
Ownership Percentage of the Applicant : 4
Role: Shareholder , Member

Residence Address

Street: [redacted]
Unit No / Apt : No
City: FLORENCE
State: Alabama
Zip Code: 35630
Address Verified? : Yes

Select type of record: Individual
Does the individual have an ownership interest in the applicant? : Yes

Individual

Legal First Name : [redacted]
Legal Middle Name : [redacted]
Legal Last Name : [redacted]
Suffix:
Phone Number : [redacted]
Email Address : [redacted]
Date of Birth: [redacted]
Social Security Number : [redacted]
Race/Ethnicity: Caucasian
Ownership Percentage of the Applicant : 4
Role: Shareholder , Member , Director , Other

Residence Address

Street: [redacted]
Unit No / Apt : No
City: HUNTSVILLE
State: Alabama
Zip Code: 35802
Address Verified? : Yes

Select type of record: Individual
Does the individual have an ownership interest in the applicant? : Yes

Individual

Legal First Name : [redacted]
Legal Middle Name : [redacted]
Legal Last Name : [redacted]
Suffix:
Phone Number : [redacted]
Email Address : [redacted]
Date of Birth: [redacted]
Social Security Number : [redacted]
Race/Ethnicity: Caucasian
Ownership Percentage of the Applicant : 2
Role: Shareholder , Member

Residence Address

Street: [redacted]
Unit No / Apt : No
City: HUNTSVILLE
State: Alabama
Zip Code: 35801
Address Verified? : Yes

Select type of record: Individual
Does the individual have an ownership interest in the applicant? : Yes

Individual

Legal First Name : [redacted]
Legal Middle Name : [redacted]
Legal Last Name : [redacted]
Suffix:
Phone Number : [redacted]
Email Address : [redacted]
Date of Birth: [redacted]
Social Security Number : [redacted]
Race/Ethnicity: Asian
Ownership Percentage of the Applicant : 41
Role: Shareholder , Officer , Member

Residence Address

Street: [redacted]
Unit No / Apt : No
City: COLUMBIA
State: Missouri
Zip Code: 65201
Address Verified? : Yes

Select type of record: Individual
Does the individual have an ownership interest in the applicant? : Yes

Individual

Legal First Name : [redacted]
Legal Middle Name : [redacted]
Legal Last Name : [redacted]
Suffix:
Phone Number : [redacted]
Email Address : [redacted]
Date of Birth: [redacted]
Social Security Number : [redacted]
Race/Ethnicity: Caucasian
Ownership Percentage of the Applicant : 24
Role: Shareholder , Director , Member , Manager

Residence Address

Street: [redacted]
Unit No / Apt : No
City: FLORENCE
State: Alabama
Zip Code: 35634
Address Verified? : Yes

Cannabis Industry Entities

Is any individual or entity below connected to any entity that is directly or indirectly involved in the cannabis industry, including, but not limited to, the cultivation, processing, packaging, labeling, testing, transporting, or sale of cannabis or medical cannabis, either in Alabama or any other jurisdiction?
(1) an individual with an ownership interest in the applicant;
(2) the spouse, parent, or child of an individual with an ownership interest in the applicant; or
(3) an entity with an ownership interest in the applicant. :Yes

Select Individual or Entity: Individual

Individual

Legal First Name : [redacted]
Legal Last Name : [redacted]
Suffix:
Cannabis Entity Name : GRC MOBERLY LLC
Entity Type: Limited Liability Company
Connection to Cannabis Entity : Individual
Role in Cannabis Entity : Equity interest owner
Percentage of ownership in cannabis entity : 49

Cannabis Entity's Physical Address

Street: [redacted]
Unit No / Apt : No
City: MOBERLY
State: Missouri
Zip Code: 65270
Address Verified? : Yes

Cannabis Entity's Primary Contact/Responsible Person

First Name: [redacted]
Last Name: [redacted]
Title: Vice President
Phone Number : [redacted]
Email Address : [redacted]
Street Address : [redacted]
Unit No / Apt : No
City: COLUMBIA
State: Missouri
Zip Code: 65201
Address Verified? : Yes

Select Individual or Entity: Individual

Individual

Legal First Name : [redacted]
Legal Last Name : [redacted]
Suffix:
Cannabis Entity Name : GRD COLUMBIA LLC
Entity Type: Limited Liability Company
Connection to Cannabis Entity : Individual
Role in Cannabis Entity : Equity interest owner
Percentage of ownership in cannabis entity : 49

Cannabis Entity's Physical Address

Street: [redacted]
Unit No / Apt : No
City: COLUMBIA
State: Missouri
Zip Code: 65203
Address Verified? : Yes

Cannabis Entity's Primary Contact/Responsible Person

First Name: [redacted]
Last Name: [redacted]
Title: VICE PRESIDENT
Phone Number : [redacted]
Email Address : [redacted]
Street Address : [redacted]
Unit No / Apt : No
City: COLUMBIA
State: Missouri
Zip Code: 65201
Address Verified? : Yes

Select Individual or Entity: Individual

Individual

Legal First Name : [redacted]
Legal Last Name : [redacted]
Suffix:
Cannabis Entity Name : GRD MOBERLY LLC
Entity Type: Limited Liability Company
Connection to Cannabis Entity : Individual
Role in Cannabis Entity : Equity interest owner
Percentage of ownership in cannabis entity : 49

Cannabis Entity's Physical Address

Street: [redacted]
Unit No / Apt : No
City: MOBERLY
State: Missouri
Zip Code: 65270
Address Verified? : Yes

Cannabis Entity's Primary Contact/Responsible Person

First Name: [redacted]
Last Name: [redacted]
Title: VICE PRESIDENT
Phone Number : [redacted]
Email Address : [redacted]
Street Address : [redacted]
Unit No / Apt : No
City: COLUMBIA
State: Missouri
Zip Code: 65201
Address Verified? : Yes

Select Individual or Entity: Individual

Individual

Legal First Name : [redacted]
Legal Last Name : [redacted]
Suffix:
Cannabis Entity Name : GRD MEXICO LLC
Entity Type: Limited Liability Company
Connection to Cannabis Entity : Individual
Role in Cannabis Entity : Equity interest owner
Percentage of ownership in cannabis entity : 49

Cannabis Entity's Physical Address

Street: [redacted]
Unit No / Apt : No
City: MEXICO
State: Missouri
Zip Code: 65265
Address Verified? : Yes

Cannabis Entity's Primary Contact/Responsible Person

- First Name: [redacted]
- Last Name: [redacted]
- Title: VICE PRESIDENT
- Phone Number: [redacted]
- Email Address: [redacted]
- Street Address: [redacted]
- Unit No / Apt No:
- City: COLUMBIA
- State: Missouri
- Zip Code: 65201
- Address Verified?: Yes

- Select Individual or Entity: Individual

Individual

- Legal First Name: [redacted]
- Legal Last Name: [redacted]
- Suffix:
- Cannabis Entity Name: GRD TROY LLC
- Entity Type: Limited Liability Company
- Connection to Cannabis Entity: Individual
- Role in Cannabis Entity: Equity interest owner
- Percentage of ownership in cannabis entity: 49

Cannabis Entity's Physical Address

- Street: [redacted]
- Unit No / Apt No: [redacted]
- City: TROY
- State: Missouri
- Zip Code: 63379
- Address Verified?: Yes

Cannabis Entity's Primary Contact/Responsible Person

- First Name: [redacted]
- Last Name: [redacted]
- Title: VICE PRESIDENT
- Phone Number: [redacted]
- Email Address: [redacted]
- Street Address: [redacted]
- Unit No / Apt No:
- City: COLUMBIA
- State: Missouri
- Zip Code: 65201
- Address Verified?: Yes

- Select Individual or Entity: Individual

Individual

- Legal First Name: [redacted]
- Legal Last Name: [redacted]
- Suffix:
- Cannabis Entity Name: GRD KIRKSVILLE LLC
- Entity Type: Limited Liability Company
- Connection to Cannabis Entity: Individual
- Role in Cannabis Entity: Equity interest owner
- Percentage of ownership in cannabis entity: 49

Cannabis Entity's Physical Address

- Street: [redacted]
- Unit No / Apt No:
- City: LEES SUMMIT
- State: Missouri
- Zip Code: 64063
- Address Verified?: Yes

Cannabis Entity's Primary Contact/Responsible Person

- First Name: [redacted]
- Last Name: [redacted]
- Title: VICE PRESIDENT
- Phone Number: [redacted]
- Email Address: [redacted]
- Street Address: [redacted]
- Unit No / Apt No:
- City: COLUMBIA
- State: Missouri
- Zip Code: 65201
- Address Verified?: Yes

- Select Individual or Entity: Individual

Individual

- Legal First Name: [redacted]
- Legal Last Name: [redacted]
- Suffix:
- Cannabis Entity Name: SHANGRI-LA CAMERON LLC
- Entity Type: Limited Liability Company
- Connection to Cannabis Entity: Spouse
- Role in Cannabis Entity: Equity interest owner
- Percentage of ownership in cannabis entity: 100

Cannabis Entity's Physical Address

- Street: [redacted]
- Unit No / Apt No: [redacted]
- City: LIBERTY
- State: Missouri
- Zip Code: 64068
- Address Verified?: Yes

Cannabis Entity's Primary Contact/Responsible Person

- First Name: [redacted]
- Last Name: [redacted]
- Title: PRESIDENT
- Phone Number: [redacted]
- Email Address: [redacted]
- Street Address: [redacted]
- Unit No / Apt No:
- City: COLUMBIA
- State: Missouri
- Zip Code: 65201
- Address Verified?: Yes

- Select Individual or Entity: Individual

Individual

- Legal First Name: [redacted]
- Legal Last Name: [redacted]
- Suffix:
- Cannabis Entity Name: GRC NJ LLC
- Entity Type: Limited Liability Company
- Connection to Cannabis Entity: Child
- Role in Cannabis Entity: Equity interest owner
- Percentage of ownership in cannabis entity: 35

Cannabis Entity's Physical Address

- Street: [redacted]
- Unit No / Apt No:
- City: TOWNSHIP OF GALLOWAY
- State: New Jersey
- Zip Code: 08205
- Address Verified?: Yes

Cannabis Entity's Primary Contact/Responsible Person

- First Name: [redacted]
- Last Name: [redacted]
- Title: PRESIDENT
- Phone Number: [redacted]
- Email Address: [redacted]
- Street Address: [redacted]
- Unit No / Apt No:
- City: COLUMBIA
- State: Missouri
- Zip Code: 65201
- Address Verified?: Yes

- Select Individual or Entity: Individual

Individual

- Legal First Name: [redacted]
- Legal Last Name: [redacted]
- Suffix:
- Cannabis Entity Name: GRD ILLINOIS LLC
- Entity Type: Limited Liability Company
- Connection to Cannabis Entity: Individual
- Role in Cannabis Entity: Equity interest owner
- Percentage of ownership in cannabis entity: 20.35

Cannabis Entity's Physical Address

- Street: [redacted]
- Unit No / Apt No:
- City: BOURBONNAIS
- State: Illinois
- Zip Code: 60914
- Address Verified?: Yes

Cannabis Entity's Primary Contact/Responsible Person

- First Name: [redacted]
- Last Name: [redacted]
- Title: VICE PRESIDENT
- Phone Number: [redacted]
- Email Address: [redacted]
- Street Address: [redacted]
- Unit No / Apt No:
- City: COLUMBIA
- State: Missouri
- Zip Code: 65201
- Address Verified?: Yes

- Select Individual or Entity: Individual

Individual

- Legal First Name: [redacted]
- Legal Last Name: [redacted]
- Suffix:
- Cannabis Entity Name: GRD ILLINOIS LLC
- Entity Type: Limited Liability Company
- Connection to Cannabis Entity: Individual
- Role in Cannabis Entity: Equity interest owner
- Percentage of ownership in cannabis entity: 20.35

Cannabis Entity's Physical Address

- Street: [redacted]
- Unit No / Apt No:
- City: VILLA PARK
- State: Illinois
- Zip Code: 60181
- Address Verified?: Yes

Cannabis Entity's Primary Contact/Responsible Person

- First Name: [redacted]
- Last Name: [redacted]
- Title: VICE PRESIDENT
- Phone Number: [redacted]
- Email Address: [redacted]
- Street Address: [redacted]
- Unit No / Apt No:
- City: COLUMBIA
- State: Missouri
- Zip Code: 65201
- Address Verified?: Yes

- Select Individual or Entity: Individual

Individual

- Legal First Name: [redacted]
- Legal Last Name: [redacted]
- Suffix:
- Cannabis Entity Name: GRD OHIO LLC
- Entity Type: Limited Liability Company
- Connection to Cannabis Entity: Individual
- Role in Cannabis Entity: Equity interest owner
- Percentage of ownership in cannabis entity: 20

Cannabis Entity's Physical Address

- Street: [redacted]
- Unit No / Apt No:
- City: DAYTON
- State: Ohio
- Zip Code: 45417
- Address Verified?: Yes

Cannabis Entity's Primary Contact/Responsible Person

- First Name: [redacted]
- Last Name: [redacted]
- Title: VICE PRESIDENT
- Phone Number: [redacted]
- Email Address: [redacted]
- Street Address: [redacted]
- Unit No / Apt No:
- City: COLUMBIA
- State: Missouri
- Zip Code: 65201
- Address Verified?: Yes

Questions and Attestations

- Has the applicant, any ownership entity, or any cannabis entity connected to any individual or entity with an ownership interest in the applicant ever applied for or been granted any commercial license or certificate (not related to cannabis industry) issued by a licensing board or commission, either in Alabama or any other jurisdiction? : NO
- Was any commercial license or certificate disclosed above denied, restricted, suspended, revoked, or non-renewed? : NO
- Has the applicant, any ownership entity, or any cannabis entity connected to any individual or entity with an ownership interest in the applicant, ever been authorized to participate in the cannabis or medical cannabis industry, licensed (i.e., a "licensee" as defined in Chapter 1 of the AMCC Rules), or provided similar status in any other jurisdiction? : YES

- Select One: Applicant
- Name: GRC MOBERLY LLC
- License Type: MEDICAL CULTIVATION
- Licensing Board or Commission: DHSS
- License Issued Date: 05/19/2021
- License Expiration Date: 05/19/2024

- Select One: Applicant
- Name: GRD COLUMBIA LLC
- License Type: MEDICAL DISPENSARY
- Licensing Board or Commission: DHSS
- License Issued Date: 01/23/2020
- License Expiration Date: 01/20/2023

- Select One: Applicant
- Name: GRD MOBERLY LLC
- License Type: MEDICAL DISPENSARY
- Licensing Board or Commission: DHSS
- License Issued Date: 01/23/2020
- License Expiration Date: 01/20/2023

- Select One: Applicant
- Name: GRD MEXICO LLC
- License Type: MEDICAL DISPENSARY
- Licensing Board or Commission: DHSS
- License Issued Date: 01/23/2020
- License Expiration Date: 01/20/2023

- Select One: Applicant
- Name: GRD TROY LLC
- License Type: MEDICAL DISPENSARY
- Licensing Board or Commission: DHSS
- License Issued Date: 01/23/2020
- License Expiration Date: 01/20/2023

- Select One: Applicant
- Name: GRD KIRKSVILLE LLC
- License Type: MEDICAL DISPENSARY
- Licensing Board or Commission: DHSS
- License Issued Date: 07/16/2021
- License Expiration Date: 07/16/2024

- Select One: Applicant
- Name: GRD ILLINOIS LLC
- License Type: MEDICAL DISPENSARY
- Licensing Board or Commission: IDFPR
- License Issued Date: 07/26/2022
- License Expiration Date: 01/27/2023

- Select One: Applicant
- Name: GRD ILLINOIS LLC
- License Type: MEDICAL DISPENSARY
- Licensing Board or Commission: IDFPR
- License Issued Date: 07/22/2022
- License Expiration Date: 01/27/2023

- Select One: Applicant
- Name: GRD OHIO LLC
- License Type: MEDICAL DISPENSARY
- Licensing Board or Commission: BOARD OF PHARMACY
- License Issued Date: 05/16/2022
- License Expiration Date: 02/07/2023

- During the last 5 years has there been any disciplinary measures taken regarding any cannabis or medical cannabis industry license of the applicant or any entity affiliated with the applicant? : NO
- Has the applicant, any ownership entity, or any cannabis entity connected to any individual or entity with an ownership interest in the applicant, within the last ten (10) years, filed or been served with a complaint or other notice by any governmental body, regarding a delinquency in the payment of, or a dispute over the filings concerning the payment of, any tax required under federal, state, or local law? : NO
- Has the applicant filed, or had filed against it, any proceeding for bankruptcy within the past 7 years?: : NO
- Is the applicant currently, or has it been in the past 10 years, a defendant in litigation involving any of its business practices? : NO
- Is any public official of any unit of government: (1) an owner (directly or indirectly) of any financial or beneficial interest in the applicant; (2) a creditor of the applicant; (3) a holder of any debt instrument issued by the applicant; or (4) a holder of, or interested party in, any contractual or service relationship with the applicant? : NO
- Is the spouse, parent or child of a public official of any unit of government: (1) an owner (directly or indirectly) of any financial or beneficial interest in the applicant; (2) a creditor of the applicant; (3) a holder of any debt instrument issued by the applicant; or (4) a holder of, or interested party in, any contractual or service relationship with the applicant? : NO
- Has any owner, director, board member, or individual with a controlling interest in the applicant ever been indicted for, charged with, arrested for, convicted of, pled guilty or nolo contendere to, or forfeited bail concerning any felony or controlled substance-related misdemeanor, not including traffic violations, regardless of whether the offense has been reversed on appeal or otherwise? : NO

What is the applicant's anticipated or actual number of employees (including all facilities) at the prospective commencement of operations and during the first five calendar years thereafter?

- Commencement of Operation: 30
- Year One: 36
- Year Two: 42
- Year Three: 45
- Year Four: 45
- Year Five: 45

- Does the applicant verify that it has the ability to maintain adequate minimum levels ($2,000,000) of liability and casualty insurance, as required by § 20-2A-53(a)(2), Code of Alabama 1975 (as amended)? :Yes
- Does the applicant verify that each of its proposed dispensing sites is at least 1000 feet from any school, daycare, or childcare facility? : YES
- Does the applicant consent as required by § 20-2A-55(d), Code of Alabama 1975 (as amended) to the inspections, examinations, searches, and seizures contemplated by § 20-2A-52(a)(3), Code of Alabama 1975 (as amended)? :Yes
- Does the applicant verify that neither it nor its leadership have any economic interest in any other license or applicant for license under the Act? (See § 20-2A-55(e), Code of Alabama 1975 (as amended)) : YES
- I attest that this application is truthful and complete based on the best available information as of the date of filing. : YES
- Signature: [redacted]
- Signature Date: 12/03/2022

Documents

Resume or Curriculum Vitae of Individuals with Ownership Interest:	Exhibit 1_Resume or Curriculu...
Residency of Owners:	Exhibit 2_Residency of Owners....
Criminal Background Check:	Exhibit 3_Criminal Background ...
Demonstration of Sufficient Capital:	Exhibit 4_Demonstration of Suffi...
Financial Statements:	Exhibit 5_Financial Statements....
Tax Plan:	Exhibit 6_Tax Plan.pdf
Business Formation Documents:	Exhibit 7_Business Formation D...
Business License and Authorization of Local Jurisdictions:	Exhibit 8_Business License and...
Business Plan:	Exhibit 9_Business Plan.pdf
Evidence of Business Relationship with other Licensees and Prospective Licensees:	Exhibit 10_Evidence of Busines...
Coordination of Information from Registered Certifying Physicians:	Exhibit 11_Coordination of Infor...
Point-of-Sale Responsibilities:	Exhibit 12_Point-of-Sale Respon...
Confidentiality of Patient Information:	Exhibit 13_Confidentiality of Pat...
Money Handling and Taxes:	Exhibit 14_Money Handling and...
Standard Operating Plan and Procedures:	Exhibit 15_Standard Operating ...
Policies and Procedures Manual:	Exhibit 16_Policy and Procedur...
Receiving and Shipping Plan:	Exhibit 17_Receiving and Shippi...
Facilities:	Exhibit 18_Facilities.pdf
Security Plan:	Exhibit 19_Security Plan.pdf
Personnel:	Exhibit 20_Personnel.pdf
Business Leadership Credentials:	Exhibit 21_Business Leadership...
Employee Handbook:	Exhibit 22_Employee Handbook...
Quality Control and Quality Assurance Plan:	Exhibit 23_Quality Control and ...
Contamination and Recall Plan:	Exhibit 24_Contamination and ...
Marketing and Advertising Plan:	Exhibit 25_Marketing and Adver...
Website and Social Media:	Exhibit 26_Website and Social ...
Ownership Entity Individuals (if applicable):	No Document Present
Proof of Minimum Liability and Casualty Insurance:	Proof of Minimum Liability and ...
Affidavit - Entity Applicant:	FORM K.pdf

Payments

- Payment Options: Credit Card

Exhibit 1 – Resume or Curriculum Vitae of Individuals with Ownership Interest in Applicant

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	2/25/2023
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

1.1 Background Check Applicant Verification

The Resumes or Curriculum Vitaes of Individuals with Ownership Interest in the Applicant, "FORM A", are attached hereto and identified as "FORM A: Resumes or CVs of ALL Individuals with Ownership Interest– Emerald Standard Dispensary Facilities – Attachment to Exhibit 1, Section 1.1 Individual A-J"

Attachment Table of Contents

FORM A: Resumes or CVs of ALL Individuals with Ownership Interest– Emerald Standard Dispensary Facilities – Attachment to Exhibit 1, Section 1.1

Individual A	**3**
Individual B	**6**
Individual C	**9**
Individual D	**12**
Individual E	**15**
Individual F	**18**
Individual G	**20**
Individual H	**23**
Individual I	**25**
Individual J	**28**

Exhibit 1 – Resume or Curriculum Vitae of
Individuals with Ownership Interest in Applicant

FORM A: Resumes or CVs of ALL Individuals with Ownership Interest– Emerald Standard Dispensary Facilities – Attachment to Exhibit 1, Section 1.1 Individual A

FORM A: OWNERSHIP RESUME / CURRICULUM VITAE

Emerald Standard LLC	Dispensary
Business License Applicant Name	License Type
[redacted]	24%
Individual with Ownership Interest in Applicant	Individual's Ownership Percentage in Applicant

Residential History

Provide all residential addresses, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

[redacted]

Residential Street Address

Florence	AL	35634
City	State	Zip
04/2016	Current	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

[redacted]

Residential Street Address

Muscle Shoals	AL	35661
City	State	Zip
12/2013	04/2016	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY).	

[redacted]

Residential Street Address

Tuscumbia	AL	35674
City	State	Zip
07/2011	12/2013	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

[redacted]

Residential Street Address

Tuscumbia	AL	35674
City	State	Zip
10/2009	07/2011	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

[redacted]

Residential Street Address

Florence	AL	35633
City	State	Zip

06/2007	10/2009
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)

[redacted]

Residential Street Address

Florence	AL	35630
City	State	Zip

11/2004	06/2007
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)

Residential Street Address

City	State	Zip

Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)

Residential Street Address

City	State	Zip

Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)

Residential Street Address

City	State	Zip

Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)

Form A: Ownership Resume / Curriculum Vitae
Page 2

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

Education

Provide all institutions of higher education attended; attach additional form(s) if necessary.

Institution	City	State
University of North Alabama	Florence	AL

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received
08/1994	Did not complete	Commercial Music

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Employment History

Provide all employers, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

Employer	Contact Person	Telephone
Carter McGuyer Design Group, Inc.	[redacted]	[redacted]

Business Address: [redacted]

City	State	Zip
Muscle Shoals	AL	35662

Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
04/2006	CURRENT

Form A: Ownership Resume / Curriculum Vitae
Page 3

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

FORM A: Resumes or CVs of ALL Individuals with Ownership Interest– Emerald Standard Dispensary Facilities – Attachment to Exhibit 1, Section 1.1 Individual B

FORM A: OWNERSHIP RESUME / CURRICULUM VITAE

Emerald Standard, LLC	Dispensary
Business License Applicant Name [redacted]	License Type 5%
Individual with Ownership Interest in Applicant	Individual's Ownership Percentage in Applicant

Residential History

Provide all residential addresses, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

[redacted]

Residential Street Address		
Florence	AL	35633
City	State	Zip
04/2010	Current	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

[redacted]

Residential Street Address		
Florence	AL	35633
City	State	Zip
04/2003	04/2010	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY).	

Residential Street Address		
City	State	Zip
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Residential Street Address		
City	State	Zip
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

Education

Provide all institutions of higher education attended; attach additional form(s) if necessary.

Institution	City	State	Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received
University of Northern Alabama	Florence	AL	08/1999	12/2002	B.S. Marketing/Mgt.
University of Alabama	Tuscaloosa	AL	08/1998	05/1999	N/A

Employment History

Provide all employers, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

Employer	Contact Person	Telephone
Carter McGuyer Design Group	[redacted]	[redacted]

[redacted]

Business Address

City	State	Zip	Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
Florence	AL	35634	08/2015	Current

Form A: Ownership Resume / Curriculum Vitae
Page 3

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

Surgical Dermatology Group [redacted] [redacted]

Employer | Contact Person | Telephone

[redacted]

Business Address

Vastavia Hills | AL | 35242

City | State | Zip

08/2009 | 03/2015

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Stay at Home Mother [redacted] [redacted]

Employer | Contact Person | Telephone

[redacted]

Business Address

Florence | AL | 35633

City | State | Zip

09/2004 | 08/2009

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Employer | Contact Person | Telephone

Business Address

City | State | Zip

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Employer | Contact Person | Telephone

Business Address

City | State | Zip

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Form A: Ownership Resume / Curriculum Vitae
Page 4

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

FORM A: Resumes or CVs of ALL Individuals with Ownership Interest– Emerald Standard Dispensary Facilities – Attachment to Exhibit 1, Section 1.1 Individual C

FORM A: OWNERSHIP RESUME / CURRICULUM VITAE

Emerald Standard, LLC	Dispensary
Business License Applicant Name	License Type
[redacted]	8%
Individual with Ownership Interest in Applicant	Individual's Ownership Percentage in Applicant

Residential History

Provide all residential addresses, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

[redacted]

Residential Street Address		
Muscle Shoals	AL	35661
City	State	Zip
09/2013	Present	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

[redacted]

Residential Street Address		
Birmingham	AL	35216
City	State	Zip
09/2013	04/2005	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY).	

Residential Street Address		
City	State	Zip
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Residential Street Address		
City	State	Zip
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

Education

Provide all institutions of higher education attended; attach additional form(s) if necessary.

Institution	City	State	Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received
University of Alabama	Tuscaloosa	AL	08/1992	08/1994	E.d.s. in Administration
University of Northa Alabama	Florence	AL	08/1988	05/1991	Masters of History
University of Monteralo	Monteralo	AL	08/1984	08/1985	N/A
University of North Alabama	Florence	AL	08/1973	05/1975	B.S Social Science

Employment History

Provide all employers, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

Employer	Contact Person	Telephone
Retired	[redacted]	[redacted]

Business Address [redacted]

City	State	Zip	Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
Muscle Shoals	AL	35661	09/2013	Present

Form A: Ownership Resume / Curriculum Vitae
Page 3

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

Vestavia Hills Board of Education [redacted] [redacted]

Employer | Contact Person | Telephone

[redacted]

Business Address

Birmingham | AL | 35216

City | State | Zip

07/2005 | 09/2013

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Cullman High School [redacted] [redacted]

Employer | Contact Person | Telephone

[redacted]

Business Address

Cullman | AL | 35055

City | State | Zip

07/1997 | 07/2005

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Employer | Contact Person | Telephone

Business Address

City | State | Zip

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Employer | Contact Person | Telephone

Business Address

City | State | Zip

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Form A: Ownership Resume / Curriculum Vitae
Page 4

FORM A: Resumes or CVs of ALL Individuals with Ownership Interest– Emerald Standard Dispensary Facilities – Attachment to Exhibit 1, Section 1.1 Individual D

FORM A: OWNERSHIP RESUME / CURRICULUM VITAE

Emerald Standard, LLC	Dispensary
Business License Applicant Name	License Type
[redacted]	4%
Individual with Ownership Interest in Applicant	Individual's Ownership Percentage in Applicant

Residential History

Provide all residential addresses, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

[redacted]

Residential Street Address

Florence	AL	35634
City	State	Zip
12/2012	Present	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

[redacted]

Residential Street Address

Signal Mountain	TN	37377
City	State	Zip
06/2008	12/2012	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY).	

[redacted]

Residential Street Address

Atlanta	GA	30319
City	State	Zip
01/2007	06/2008	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Residential Street Address

City	State	Zip
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

Education
Provide all institutions of higher education attended; attach additional form(s) if necessary.

Institution	City	State	Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received
University of Tennessee College of Law	Knoxville	TN	08/1999	05/2002	Juris Doctorate
Auburn University	Auburn	AL	08/1996	08/1997	Master of Accounting
Auburn University	Auburn	AL	09/1992	08/2006	BS - Accounting

Employment History
Provide all employers, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

Employer: Spry Law Firm, P.C.
Contact Person: [redacted]
Telephone: [redacted]
Business Address: [redacted]
City: Florence
State: AL
Zip: 35630
Date Employed From (MM/YYYY): 03/2018
Date Employed To (MM/YYYY): Present

Form A: Ownership Resume / Curriculum Vitae
Page 3

Exhibit 1 – Resume or Curriculum Vitae of
Individuals with Ownership Interest in Applicant

Yates & Spry, P.C.
Employer

[redacted]
Contact Person

[redacted]
Telephone

[redacted]
Business Address

Florence
City

AL
State

35630
Zip

11/2013
Date Employed From (MM/YYYY)

03/2018
Date Employed To (MM/YYYY)

Miller & Martin, PLLC
Employer

[redacted]
Contact Person

[redacted]
Telephone

[redacted]
Business Address

Chattanooga
City

TN
State

37402
Zip

09/2002
Date Employed From (MM/YYYY)

11/2013
Date Employed To (MM/YYYY)

Employer

Contact Person

Telephone

Business Address

City

State

Zip

Date Employed From (MM/YYYY)

Date Employed To (MM/YYYY)

Employer

Contact Person

Telephone

Business Address

City

State

Zip

Date Employed From (MM/YYYY)

Date Employed To (MM/YYYY)

Form A: Ownership Resume / Curriculum Vitae
Page 4

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

FORM A: Resumes or CVs of ALL Individuals with Ownership Interest– Emerald Standard Dispensary Facilities – Attachment to Exhibit 1, Section 1.1 Individual E

FORM A: OWNERSHIP RESUME / CURRICULUM VITAE

Emerald Standard, LLC	Dispensary
Business License Applicant Name	License Type
[redacted]	4%
Individual with Ownership Interest in Applicant	Individual's Ownership Percentage in Applicant

Residential History

Provide all residential addresses, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

[redacted]

Residential Street Address		
Florence	AL	35630
City	State	Zip
07/2021	Current	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

[redacted]

Residential Street Address		
Muscle Shoals	AL	35661
City	State	Zip
08/2015	07/2021	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY).	

[redacted]

Residential Street Address		
Tuscumbia	AL	35674
City	State	Zip
08/2002	08/2015	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Residential Street Address		
City	State	Zip
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

Education

Provide all institutions of higher education attended; attach additional form(s) if necessary.

Institution	City	State
University of North Alabama	Florence	AL

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received
08/1987	06/1991	B.S. Marketing

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Employment History

Provide all employers, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

Employer	Contact Person	Telephone
Bishop Properties	[redacted]	[redacted]

Business Address [redacted]

City	State	Zip
Florence	AL	35630

Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
06/2008	Current

Form A: Ownership Resume / Curriculum Vitae
Page 3

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

Jim Bishop Toyota [redacted] [redacted]

Employer | Contact Person | Telephone

Business sold in 2014

Business Address

City | State | Zip

06/1991 | 06/2014

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Jim Bishop Chevrolet [redacted] [redacted]

Employer | Contact Person | Telephone

Business sold in 2013

Business Address

City | State | Zip

06/1991 | 09/2013

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Jim Bishop Credit Cars [redacted] [redacted]

Employer | Contact Person | Telephone

Business sold in 2014

Business Address

City | State | Zip

06/2008 | 09/2014

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Employer | Contact Person | Telephone

Business Address

City | State | Zip

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Form A: Ownership Resume / Curriculum Vitae
Page 4

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

FORM A: Resumes or CVs of ALL Individuals with Ownership Interest– Emerald Standard Dispensary Facilities – Attachment to Exhibit 1, Section 1.1 Individual F

FORM A: OWNERSHIP RESUME / CURRICULUM VITAE

Emerald Standard, LLC	Dispensary
Business License Applicant Name	License Type
[redacted]	4%
Individual with Ownership Interest in Applicant	Individual's Ownership Percentage in Applicant

Residential History

Provide all residential addresses, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

[redacted]

Residential Street Address		
Florence	AL	35630
City	State	Zip
07/2021	Current	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

[redacted]

Residential Street Address		
Muscle Shoals	AL	35661
City	State	Zip
08/2015	07/2021	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY).	

[redacted]

Residential Street Address		
Tuscumbia	AL	35674
City	State	Zip
08/2002	08/2015	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Residential Street Address		
City	State	Zip
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

Education

Provide all institutions of higher education attended; attach additional form(s) if necessary.

Institution	City	State
Jefferson State Community College	Birmingham	AL

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received
08/1988	08/1989	N/A

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Employment History

Provide all employers, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

Employer	Contact Person	Telephone
Stay at Home Mother	[redacted]	[redacted]

Business Address: Multiple addresses

City	State	Zip
Multiple Cities	AL	Multiple Zips

Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
08/1998	Current

Form A: Ownership Resume / Curriculum Vitae
Page 3

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

FORM A: Resumes or CVs of ALL Individuals with Ownership Interest– Emerald Standard Dispensary Facilities – Attachment to Exhibit 1, Section 1.1 Individual G

FORM A: OWNERSHIP RESUME / CURRICULUM VITAE

Emerald Standard LLC	Dispensary
Business License Applicant Name	License Type
[redacted]	4%
Individual with Ownership Interest in Applicant	Individual's Ownership Percentage in Applicant

Residential History

Provide all residential addresses, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

[redacted]

_ Residential Street Address

Huntsville	AL	35802
City	State	Zip
09/2012	Current	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

[redacted]

Residential Street Address

Huntsville	AL	35801
City	State	Zip
02/1994	09/2012	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY).	

Residential Street Address

City	State	Zip
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Residential Street Address

City	State	Zip
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Exhibit 1 – Resume or Curriculum Vitae of
Individuals with Ownership Interest in Applicant

Education

Provide all institutions of higher education attended; attach additional form(s) if necessary.

Institution	City	State
University of North Carolina	Chapel Hill	NC

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received
09/1965	06/1969	B.A.

Institution	City	State
University of Alabama Birmingham	Birmingham	AL

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received
09/1969	06/1973	M.D.

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Employment History

Provide all employers, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

Employer	Contact Person	Telephone
Huntsville Hospital	[redacted]	[redacted]

Business Address: [redacted]

City	State	Zip
Huntsville	AL	35801

Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
02/2002	Current

Form A: Ownership Resume / Curriculum Vitae
Page 3

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

T.O.C.
Employer | [redacted] Contact Person | [redacted] Telephone

[redacted]
Business Address

Huntsville | AL | 35801
City | State | Zip

07/1979 | Current
Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Employer | Contact Person | Telephone

Business Address

City | State | Zip

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Employer | Contact Person | Telephone

Business Address

City | State | Zip

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Employer | Contact Person | Telephone

Business Address

City | State | Zip

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Form A: Ownership Resume / Curriculum Vitae
Page 4

Exhibit 1 – Resume or Curriculum Vitae of
Individuals with Ownership Interest in Applicant

FORM A: Resumes or CVs of ALL Individuals with Ownership Interest– Emerald Standard Dispensary Facilities – Attachment to Exhibit 1, Section 1.1 Individual H

FORM A: OWNERSHIP RESUME / CURRICULUM VITAE

Emerald Standard LLC	Dispensary
Business License Applicant Name	License Type
[redacted]	2%
Individual with Ownership Interest in Applicant	Individual's Ownership Percentage in Applicant

Residential History

Provide all residential addresses, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

[redacted]

Residential Street Address

Huntsville	AL	35801
City	State	Zip
11/1985	Current	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Residential Street Address

City	State	Zip
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY).	

Residential Street Address

City	State	Zip
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Residential Street Address

City	State	Zip
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

Education

Provide all institutions of higher education attended; attach additional form(s) if necessary.

Institution	City	State
Auburn University	Auburn	Al

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received
09/1968	06/1972	BS

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Employment History

Provide all employers, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

Employer	Contact Person	Telephone
Cutter Company LLC	[redacted]	[redacted]

Business Address: [redacted]

City	State	Zip
Huntsville	Al	35801

Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
01/1998	current

Form A: Ownership Resume / Curriculum Vitae
Page 3

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

FORM A: Resumes or CVs of ALL Individuals with Ownership Interest– Emerald Standard Dispensary Facilities – Attachment to Exhibit 1, Section 1.1 Individual I

FORM A: OWNERSHIP RESUME / CURRICULUM VITAE

EMERALD STANDARD LLC	DISPENSARY
Business License Applicant Name	License Type
[redacted]	41
Individual with Ownership Interest in Applicant	Individual's Ownership Percentage in Applicant

Residential History

Provide all residential addresses, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

[redacted]

Residential Street Address

COLUMBIA	MO	65201
City	State	Zip
05/2015	PRESENT	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

[redacted]

Residential Street Address

MOBERLY	MO	65270
City	State	Zip
04/2008	05/2015	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY).	

[redacted]

Residential Street Address

MOBERLY	MO	65270
City	State	Zip
04/2007	04/2008	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Residential Street Address

City	State	Zip
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Education

Provide all institutions of higher education attended; attach additional form(s) if necessary.

Institution	City	State
UNIVERSITY OF GUJARAT	AHMEDABAD	GJ

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received
07/1977	04/1979	MS MATHEMATICS

Institution	City	State
SCHOOL OF SCIENCE	MEHSANA	GJ

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received
07/1973	04/1977	BS MATHEMATICS

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Employment History

Provide all employers, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

Employer	Contact Person	Telephone
MUKTAJEEVAN INC	[redacted]	[redacted]

Business Address: [redacted]

City	State	Zip
MEXICO	MO	65265

Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
10/2017	12/2022

Form A: Ownership Resume / Curriculum Vitae
Page 3

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

SUDIP INC
Employer

[redacted]
Contact Person

[redacted]
Telephone

[redacted]
Business Address

MEXICO
City

MO
State

65265
Zip

06/2010
Date Employed From (MM/YYYY)

10/2017
Date Employed To (MM/YYYY)

JAI VARAHI INC
Employer

[redacted]
Contact Person

[redacted]
Telephone

[redacted]
Business Address

MOBERLY
City

MO
State

65270
Zip

05/2007
Date Employed From (MM/YYYY)

06/2010
Date Employed To (MM/YYYY)

Employer

Contact Person

Telephone

Business Address

City

State

Zip

Date Employed From (MM/YYYY)

Date Employed To (MM/YYYY)

Employer

Contact Person

Telephone

Business Address

City

State

Zip

Date Employed From (MM/YYYY)

Date Employed To (MM/YYYY)

Form A: Ownership Resume / Curriculum Vitae
Page 4

Exhibit 1 – Resume or Curriculum Vitae of
Individuals with Ownership Interest in Applicant

FORM A: Resumes or CVs of ALL Individuals with Ownership Interest– Emerald Standard Dispensary Facilities – Attachment to Exhibit 1, Section 1.1 Individual J

FORM A: OWNERSHIP RESUME / CURRICULUM VITAE

Emerald Standard, LLC	Dispensary
Business License Applicant Name	License Type
[redacted]	4%
Individual with Ownership Interest in Applicant	Individual's Ownership Percentage in Applicant

Residential History

Provide all residential addresses, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

[redacted]

_ Residential Street Address

Lake Wales	FL	33898
City	State	Zip
07/2021	Current	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

[redacted]

Residential Street Address

Chicago	IL	60647
City	State	Zip
08/2012	07/2021	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY).	

[redacted]

Residential Street Address

Chicago	IL	60614
City	State	Zip
05/2002	08/2012	
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Residential Street Address

City	State	Zip
Date Resided From (MM/YYYY)	Date Resided To (MM/YYYY)	

Education

Provide all institutions of higher education attended; attach additional form(s) if necessary.

Institution	City	State
Auburn University	Auburn	AL

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received
08/1994	06/1999	BS Ind. Design

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Institution	City	State

Date Attended From (MM/YYYY)	Date Attended To (MM/YYYY)	Degree Received

Employment History

Provide all employers, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

Employer	Contact Person	Telephone
Idea Circle Productions	[redacted]	[redacted]

Business Address: [redacted]

City	State	Zip
Lake Wales	FL	33898

Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
07/2009	Current

Form A: Ownership Resume / Curriculum Vitae
Page 3

Exhibit 1 – Resume or Curriculum Vitae of

Individuals with Ownership Interest in Applicant

Katherine Frank Creative | [redacted] | [redacted]
Employer | Contact Person | Telephone

[redacted]
Business Address

Glendale Heights | IL | 60139
City | State | Zip

05/1999 | 06/2009
Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Employer | Contact Person | Telephone

Business Address

City | State | Zip

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Employer | Contact Person | Telephone

Business Address

City | State | Zip

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Employer | Contact Person | Telephone

Business Address

City | State | Zip

Date Employed From (MM/YYYY) | Date Employed To (MM/YYYY)

Form A: Ownership Resume / Curriculum Vitae
Page 4

Exhibit 1 – Resume or Curriculum Vitae of
Individuals with Ownership Interest in Applicant

Exhibit 2 – Residency of Owners

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]

Printed Name of Verifying Individual

Founder/Shareholder

Title of Verifying Individual

[redacted]

Signature of Verifying Individual

12/29/2022

Verification Date

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

2.1 Residency Records

The Applicant is owned by a majority (55%) of Alabama residents. Seven owners can show records indicating proof of residence in Alabama for a continuous period of no less than 15 years preceding the date of this application. The records of each Owner are attached hereto and identified as "Proof of 15 Year Consecutive Residence – Emerald Standard Dispensary Facilities – Attachment to Exhibit 2, Section 2.1 Owner A-G"

Attachment Table of Contents

Proof of 15 Year Consecutive Residence – Emerald Standard Dispensary Facilities – Attachment to Exhibit 2, Section 2.1…

Name	Page
Owner A	**3**
Owner B	**25**
Owner C	**42**
Owner D	
This Owner D also goes by the first portion of their middle name	**58**
Owner E	**65**
Owner F	**72**
Owner G	**73**

Proof of 15 Year Consecutive Residence – Emerald Standard Dispensary Facilities – Attachment to Exhibit 2, Section 2.1 Owner A

12/22/22, 9:54 AM

Daffron : CIS

Daffron — The Software You Want. The Service You Need

CIS™ Customer Information System

Info Center | Tasks | Processing | Maintenance | Reports | Transformer | AMI | Florence | Properties | myJobs | Help

Account: [redacted]

Account Balances

Prior Balance:	$0.00
Current Bill:	$0.00
Payments:	$0.00
Adjustments:	$0.00
Amount Due:	$0.00
Due Date:	07/02/2007
After Date:	$0.00
Other RSPs:	$0.00
Other Services:	$0.00
All Services/RSPs:	$0.00
Pending Charges:	$0.00
Other Charges:	$0.00
YTD Usage:	30265
YTD Revenue:	2293.58

Location 096-0183

Rate: 122 - Residential Electric

Description: **RADIO READ**

Contract:

Demand:

Name: LIKE

Location: LIKE

Location:

Service Address City: LIKE

Meter #:

Social Security: [redacted]

Phone:

Drivers License #:

Status: – All –

Search | Clear

Overview | Credit | Load | History | Invoice | Security Lights | Location | Meter | Transformer | Readings | Work Order | Other Charges | Calc-A-Bill | RTB | RTB History | Deposits | Outage | Service Orders | Call Tracking | Daily Readings | TVA | WO/SO Tracking | Landlord/Tenant | Letters | Special Minimums | Single Adjustment

Display: Billing Only | By Sub | Date Between 11/15/2004 And 07/09/2007

Search

Insert Comment

Transaction Date	Accounting Date	Service/RSP	From	To	Read Code	Read Date	Days	Rate	Usage	Monthly Charges	Type	Adj/Pay Amount	Balance Due
06/13/2007	06/13/2007	1	74450	75526		06/11/2007	28	L22	1076	$86.62	1 - Billing	$0.00	295.69
06/13/2007	06/13/2007	2	5757	5767		06/11/2007	28	R1	0	$9.22	1 - Billing	$0.00	0.00
06/13/2007	06/13/2007	3	3828	3887		06/11/2007	28	U22	59	$18.81	1 - Billing	$0.00	0.00
06/13/2007	06/13/2007	5	3828	3887		06/11/2007	28	U22	59	$18.21	1 - Billing	$0.00	0.00
06/13/2007	06/13/2007	6	0	0		06/11/2007	28	F22	0	$11.20	1 - Billing	$0.00	0.00
Month Total										$144.06		$0.00	295.69
05/22/2007	05/22/2007	1	73477	74450		05/14/2007	31	L22	973	$79.49	1 - Billing	$0.00	144.40
05/22/2007	05/22/2007	2	5757	5767		05/14/2007	31	R1	10	$24.95	1 - Billing	$0.00	0.00
05/22/2007	05/22/2007	3	3785	3828		05/14/2007	31	U22	43	$14.09	1 - Billing	$0.00	0.00
05/22/2007	05/22/2007	5	3785	3828		05/14/2007	31	U22	43	$13.67	1 - Billing	$0.00	0.00
05/22/2007	05/22/2007	6	0	0		05/14/2007	31	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total										$144.40		$0.00	144.40
04/19/2007	04/19/2007	1	72586	73477		04/12/2007	30	L22	891	$66.18	1 - Billing	$0.00	164.00
04/19/2007	04/19/2007	2	5726	5757		04/12/2007	30	R1	31	$55.61	1 - Billing	$0.00	0.00
04/19/2007	04/19/2007	3	3739	3785		04/12/2007	30	U22	46	$15.00	1 - Billing	$0.00	0.00
04/19/2007	04/19/2007	5	3739	3785		04/12/2007	30	U22	46	$14.21	1 - Billing	$0.00	0.00
04/19/2007	04/19/2007	6	0	0		04/12/2007	30	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total										$164.00		$0.00	164.00
03/22/2007	03/22/2007	1	71523	72586		03/13/2007	28	L22	1063	$83.50	1 - Billing	$0.00	243.61
03/22/2007	03/22/2007	2	5637	5726		03/13/2007	28	R1	89	$144.04	1 - Billing	$0.00	0.00
03/22/2007	03/22/2007	3	3713	3739		03/13/2007	28	U22	26	$10.20	1 - Billing	$0.00	0.00
03/22/2007	03/22/2007	5	3713	3739		03/13/2007	28	U22	26	$13.67	1 - Billing	$0.00	0.00
03/22/2007	03/22/2007	6	0	0		03/13/2007	28	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total										$243.61		$0.00	243.61
02/20/2007	02/20/2007	1	70918	71923		02/13/2007	32	L22	1005	$81.01	1 - Billing	$0.00	406.35
02/20/2007	02/20/2007	2	5461	5637		02/13/2007	32	R1	176	$284.53	1 - Billing	$0.00	0.00
02/20/2007	02/20/2007	3	3667	3713		02/13/2007	32	U22	46	$15.00	1 - Billing	$0.00	0.00
02/20/2007	02/20/2007	5	3667	3713		02/13/2007	32	U22	46	$14.21	1 - Billing	$0.00	0.00
02/20/2007	02/20/2007	6	0	0		02/13/2007	32	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total										$406.35		$0.00	406.35
01/23/2007	01/23/2007	1	69940	70918		01/12/2007	29	L22	978	$79.05	1 - Billing	$0.00	266.80
01/23/2007	01/23/2007	2	5373	5461		01/12/2007	29	R1	88	$151.68	1 - Billing	$0.00	0.00
01/23/2007	01/23/2007	3	3639	3667		01/12/2007	29	U22	28	$10.20	1 - Billing	$0.00	0.00
01/23/2007	01/23/2007	5	3639	3667		01/12/2007	29	U22	28	$13.67	1 - Billing	$0.00	0.00
01/23/2007	01/23/2007	6	0	0		01/12/2007	29	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total										$266.80		$0.00	266.80
12/20/2006	12/20/2006	1	68922	69940		12/14/2006	30	L22	1018	$81.83	1 - Billing	$0.00	318.18
12/20/2006	12/20/2006	2	5258	5373		12/14/2006	30	R1	115	$198.18	1 - Billing	$0.00	0.00
12/20/2006	12/20/2006	3	3602	3639		12/14/2006	30	U22	37	$12.30	1 - Billing	$0.00	0.00
12/20/2006	12/20/2006	5	3602	3639		12/14/2006	30	U22	37	$13.67	1 - Billing	$0.00	0.00
12/20/2006	12/20/2006	6	0	0		12/14/2006	30	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total										$318.18		$0.00	318.18
11/21/2006	11/21/2006	1	68148	68922		11/14/2006	32	L22	774	$64.21	1 - Billing	$0.00	223.75
11/21/2006	11/21/2006	2	5195	5258		11/14/2006	32	R1	63	$114.67	1 - Billing	$0.00	0.00
11/21/2006	11/21/2006	3	3550	3602		11/14/2006	32	U22	52	$16.80	1 - Billing	$0.00	0.00
11/21/2006	11/21/2006	5	3550	3602		11/14/2006	32	U22	52	$16.07	1 - Billing	$0.00	0.00
11/21/2006	11/21/2006	6	0	0		11/14/2006	32	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total										$223.75		$0.00	223.75
10/20/2006	10/20/2006	1	67407	68148		10/13/2006	29	L22	741	$61.83	1 - Billing	$0.00	112.74
10/20/2006	10/20/2006	2	5194	5195		10/13/2006	29	R1	1	$11.54	1 - Billing	$0.00	0.00
10/20/2006	10/20/2006	3	3508	3550		10/13/2006	29	U22	42	$13.50	1 - Billing	$0.00	0.00
10/20/2006	10/20/2006	5	3508	3550		10/13/2006	29	U22	42	$13.87	1 - Billing	$0.00	0.00
10/20/2006	10/20/2006	6	0	0		10/13/2006	29	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total										$112.74		$0.00	112.74

172.16.239.147:8091/CISIXp/runApp 1/3

Exhibit 2 – Residency of Owners

12/22/22, 9:54 AM

Daffron : CIS

	Transaction Date	Accounting Date	Service	RSP	From	To	Read Code	Read Date	Days	Rate	Usage	Monthly Charges	Type	Adj/Pay Amount	Balance Due
	09/21/2006	09/21/2006	1		66000	67407		09/14/2006	31	122	1407	$114.12	1 - Billing	$0.00	161.27
	09/21/2006	09/21/2006	2		5194	5194		09/14/2006	31	R1	0	$9.88	1 - Billing	$0.00	0.00
	09/21/2006	09/21/2006	3		3475	3509		09/14/2006	31	U22	34	$11.40	1 - Billing	$0.00	0.00
	09/21/2006	09/21/2006	5		3475	3509		09/14/2006	31	U22	34	$13.87	1 - Billing	$0.00	0.00
	09/21/2006	09/21/2006	6		0	0		09/14/2006	31	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$161.27		$0.00	161.27
	08/22/2006	08/22/2006	1		64238	66000		08/14/2006	32	122	1762	$140.81	1 - Billing	$0.00	188.56
	08/22/2006	08/22/2006	2		5194	5194		08/14/2006	32	R1	0	$9.88	1 - Billing	$0.00	0.00
	08/22/2006	08/22/2006	3		3439	3475		08/14/2006	32	U22	36	$12.00	1 - Billing	$0.00	0.00
	08/22/2006	08/22/2006	5		3439	3475		08/14/2006	32	U22	36	$13.87	1 - Billing	$0.00	0.00
	08/22/2006	08/22/2006	6		0	0		08/14/2006	32	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$188.56		$0.00	188.56
	07/20/2006	07/20/2006	1		62791	64238		07/13/2006	30	122	1447	$117.12	1 - Billing	$0.00	164.27
	07/20/2006	07/20/2006	2		5194	5194		07/13/2006	30	R1	0	$9.88	1 - Billing	$0.00	0.00
	07/20/2006	07/20/2006	3		3405	3439		07/13/2006	30	U22	34	$11.40	1 - Billing	$0.00	0.00
	07/20/2006	07/20/2006	5		3405	3439		07/13/2006	30	U22	34	$13.87	1 - Billing	$0.00	0.00
	07/20/2006	07/20/2006	6		0	0		07/13/2006	30	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$164.27		$0.00	164.27
	06/21/2006	06/21/2006	1		61575	62791		06/13/2006	33	122	1216	$99.76	1 - Billing	$0.00	149.31
	06/21/2006	06/21/2006	2		5194	5194		06/13/2006	33	R1	0	$9.88	1 - Billing	$0.00	0.00
	06/21/2006	06/21/2006	3		3363	3405		06/13/2006	33	U22	42	$13.80	1 - Billing	$0.00	0.00
	06/21/2006	06/21/2006	5		3363	3405		06/13/2006	33	U22	42	$13.87	1 - Billing	$0.00	0.00
	06/21/2006	06/21/2006	6		0	0		06/13/2006	33	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$149.31		$0.00	149.31
	05/23/2006	05/23/2006	1		60759	61575		05/11/2006	28	122	816	$69.68	1 - Billing	$0.00	115.63
	05/23/2006	05/23/2006	2		5194	5194		05/11/2006	28	R1	0	$9.88	1 - Billing	$0.00	0.00
	05/23/2006	05/23/2006	3		3334	3363		05/11/2006	28	U22	29	$10.20	1 - Billing	$0.00	0.00
	05/23/2006	05/23/2006	5		3334	3363		05/11/2006	28	U22	29	$13.87	1 - Billing	$0.00	0.00
	05/23/2006	05/23/2006	6		0	0		05/11/2006	28	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$115.63		$0.00	115.63
	04/20/2006	04/20/2006	1		59952	60759		04/13/2006	31	122	807	$71.00	1 - Billing	$0.00	453.05
	04/20/2006	04/20/2006	2		5149	5194		04/13/2006	31	R1	45	$86.41	1 - Billing	$0.00	0.00
	04/20/2006	04/20/2006	3		3299	3334		04/13/2006	31	U22	35	$11.70	1 - Billing	$0.00	0.00
	04/20/2006	04/20/2006	5		3299	3334		04/13/2006	31	U22	35	$13.87	1 - Billing	$0.00	0.00
	04/20/2006	04/20/2006	6		0	0		04/13/2006	31	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$194.98		$0.00	453.05
	03/21/2006	03/21/2006	1		59224	59952		03/13/2006	28	122	728	$58.68	1 - Billing	$0.00	245.79
	03/21/2006	03/21/2006	2		5066	5149		03/13/2006	28	R1	83	$151.04	1 - Billing	$0.00	0.00
	03/21/2006	03/21/2006	3		3275	3299		03/13/2006	28	U22	24	$10.20	1 - Billing	$0.00	0.00
	03/21/2006	03/21/2006	5		3275	3299		03/13/2006	28	U22	24	$13.87	1 - Billing	$0.00	0.00
	03/21/2006	03/21/2006	6		0	0		03/13/2006	28	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$245.79		$0.00	245.79
	02/21/2006	02/21/2006	1		58313	59224		02/13/2006	32	122	911	$71.33	1 - Billing	$0.00	378.78
	02/21/2006	02/21/2006	2		4929	5066		02/13/2006	32	R1	137	$271.38	1 - Billing	$0.00	0.00
	02/21/2006	02/21/2006	3		3245	3275		02/13/2006	32	U22	30	$10.20	1 - Billing	$0.00	0.00
	02/21/2006	02/21/2006	5		3245	3275		02/13/2006	32	U22	30	$13.87	1 - Billing	$0.00	0.00
	02/21/2006	02/21/2006	6		0	0		02/13/2006	32	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$378.78		$0.00	378.78
	01/19/2006	01/19/2006	1		57193	58313		01/12/2006	30	122	1120	$85.79	1 - Billing	$0.00	364.19
	01/19/2006	01/19/2006	2		4808	4929		01/12/2006	30	R1	121	$240.83	1 - Billing	$0.00	0.00
	01/19/2006	01/19/2006	3		3210	3245		01/12/2006	30	U22	35	$11.70	1 - Billing	$0.00	0.00
	01/19/2006	01/19/2006	5		3210	3245		01/12/2006	30	U22	35	$13.87	1 - Billing	$0.00	0.00
	01/19/2006	01/19/2006	6		0	0		01/12/2006	30	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$364.19		$0.00	364.19
	12/19/2005	12/19/2005	1		56264	57193		12/13/2005	28	122	929	$72.58	1 - Billing	$0.00	357.73
	12/19/2005	12/19/2005	2		4683	4808		12/13/2005	28	R1	125	$248.47	1 - Billing	$0.00	0.00
	12/19/2005	12/19/2005	3		3178	3210		12/13/2005	28	U22	32	$10.81	1 - Billing	$0.00	0.00
	12/19/2005	12/19/2005	5		3178	3210		12/13/2005	28	U22	32	$13.87	1 - Billing	$0.00	0.00
	12/19/2005	12/19/2005	6		0	0		12/13/2005	28	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$357.73		$0.00	357.73
	11/21/2005	11/21/2005	1		55418	56264		11/15/2005	33	122	846	$66.84	1 - Billing	$0.00	190.23
	11/21/2005	11/21/2005	2		4644	4683		11/15/2005	33	R1	39	$84.32	1 - Billing	$0.00	0.00
	11/21/2005	11/21/2005	3		3138	3178		11/15/2005	33	U22	40	$13.20	1 - Billing	$0.00	0.00
	11/21/2005	11/21/2005	5		3138	3178		11/15/2005	33	U22	40	$13.87	1 - Billing	$0.00	0.00
	11/21/2005	11/21/2005	6		0	0		11/15/2005	33	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$190.23		$0.00	190.23
	10/20/2005	10/20/2005	1		54536	55418		10/13/2005	29	122	882	$69.33	1 - Billing	$0.00	117.19
	10/20/2005	10/20/2005	2		4643	4644		10/13/2005	29	R1	1	$11.79	1 - Billing	$0.00	0.00
	10/20/2005	10/20/2005	3		3111	3138		10/13/2005	29	U22	27	$10.20	1 - Billing	$0.00	0.00
	10/20/2005	10/20/2005	5		3111	3138		10/13/2005	29	U22	27	$13.87	1 - Billing	$0.00	0.00
	10/20/2005	10/20/2005	6		0	0		10/13/2005	29	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$117.19		$0.00	117.19
	09/22/2005	09/22/2005	1		53005	54536		09/14/2005	34	122	1531	$107.75	1 - Billing	$0.00	156.40
	09/22/2005	09/22/2005	2		4643	4643		09/14/2005	34	R1	0	$9.88	1 - Billing	$0.00	0.00
	09/22/2005	09/22/2005	3		3072	3111		09/14/2005	34	U22	39	$12.90	1 - Billing	$0.00	0.00
	09/22/2005	09/22/2005	5		3072	3111		09/14/2005	34	U22	39	$13.87	1 - Billing	$0.00	0.00
	09/22/2005	09/22/2005	6		0	0		09/14/2005	34	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$156.40		$0.00	156.40
	08/22/2005	08/22/2005	1		51653	53005		08/11/2005	28	122	1352	$96.13	1 - Billing	$0.00	142.08
	08/22/2005	08/22/2005	2		4643	4643		08/11/2005	28	R1	0	$9.88	1 - Billing	$0.00	0.00
	08/22/2005	08/22/2005	3		3045	3072		08/11/2005	28	U22	27	$10.20	1 - Billing	$0.00	0.00
	08/22/2005	08/22/2005	5		3045	3072		08/11/2005	28	U22	27	$13.87	1 - Billing	$0.00	0.00
	08/22/2005	08/22/2005	6		0	0		08/11/2005	28	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$142.08		$0.00	142.08
	07/21/2005	07/21/2005	1		50462	51653		07/14/2005	29	122	1191	$85.68	1 - Billing	$0.00	131.93
	07/21/2005	07/21/2005	2		4643	4643		07/14/2005	29	R1	0	$9.88	1 - Billing	$0.00	0.00
	07/21/2005	07/21/2005	3		3014	3045		07/14/2005	29	U22	31	$10.50	1 - Billing	$0.00	0.00
	07/21/2005	07/21/2005	5		3014	3045		07/14/2005	29	U22	31	$13.87	1 - Billing	$0.00	0.00
	07/21/2005	07/21/2005	6		0	0		07/14/2005	29	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$131.93		$0.00	131.93
	06/21/2005	06/21/2005	1		49593	50462		06/15/2005	34	122	869	$64.76	1 - Billing	$0.00	109.55
	06/21/2005	06/21/2005	2		4643	4643		06/15/2005	34	R1	0	$9.88	1 - Billing	$0.00	0.00
	06/21/2005	06/21/2005	3		2988	3014		06/15/2005	34	U22	26	$9.70	1 - Billing	$0.00	0.00
	06/21/2005	06/21/2005	5		2988	3014		06/15/2005	34	U22	26	$13.21	1 - Billing	$0.00	0.00
	06/21/2005	06/21/2005	6		0	0		06/15/2005	34	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$109.55		$0.00	109.55
	05/19/2005	05/19/2005	1		48893	49593		05/12/2005	28	122	700	$53.79	1 - Billing	$0.00	110.90
	05/19/2005	05/19/2005	2		4633	4643		05/12/2005	28	R1	10	$22.20	1 - Billing	$0.00	0.00
	05/19/2005	05/19/2005	3		2958	2988		05/12/2005	28	U22	30	$9.70	1 - Billing	$0.00	0.00
	05/19/2005	05/19/2005	5		2958	2988		05/12/2005	28	U22	30	$13.21	1 - Billing	$0.00	0.00
	05/19/2005	05/19/2005	6		0	0		05/12/2005	28	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$110.90		$0.00	110.90
	04/21/2005	04/21/2005	1		48177	48893		04/14/2005	30	122	716	$54.82	1 - Billing	$0.00	136.59
	04/21/2005	04/21/2005	2		4603	4633		04/14/2005	30	R1	30	$46.86	1 - Billing	$0.00	0.00
	04/21/2005	04/21/2005	3		2932	2958		04/14/2005	30	U22	26	$9.70	1 - Billing	$0.00	0.00
	04/21/2005	04/21/2005	5		2932	2958		04/14/2005	30	U22	26	$13.21	1 - Billing	$0.00	0.00
	04/21/2005	04/21/2005	6		0	0		04/14/2005	30	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$136.59		$0.00	136.59
	03/22/2005	03/22/2005	1		47256	48177		03/15/2005	32	122	921	$68.14	1 - Billing	$0.00	224.08
	03/22/2005	03/22/2005	2		4514	4603		03/15/2005	32	R1	89	$119.60	1 - Billing	$0.00	0.00
	03/22/2005	03/22/2005	3		2897	2932		03/15/2005	32	U22	35	$11.13	1 - Billing	$0.00	0.00
	03/22/2005	03/22/2005	5		2897	2932		03/15/2005	32	U22	35	$13.21	1 - Billing	$0.00	0.00

172.16.239.147:8091/CISiXp/runApp 2/3

12/22/22, 9:54 AM

Daffron : CIS

	Transaction Date	Accounting Date	Service	RSP	From	To	Read Code	Read Date	Days	Rate	Usage	Monthly Charges	Type	Adj/Pay Amount	Balance Due
	03/22/2005	03/22/2005	6		0	0		03/15/2005	32	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$224.08		$0.00	224.08
	02/22/2005	02/22/2005	1		46622	47256		02/11/2005	25	122	634	$49.49	1 - Billing	$0.00	215.09
	02/22/2005	02/22/2005	2		4416	4514		02/11/2005	25	R1	98	$130.69	1 - Billing	$0.00	0.00
	02/22/2005	02/22/2005	3		2878	2897		02/11/2005	25	U22	19	$9.70	1 - Billing	$0.00	0.00
	02/22/2005	02/22/2005	5		2878	2897		02/11/2005	25	U22	19	$13.21	1 - Billing	$0.00	0.00
	02/22/2005	02/22/2005	6		0	0		02/11/2005	25	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$215.09		$0.00	215.09
	01/20/2005	01/20/2005	1		45601	46622		01/17/2005	34	122	1021	$74.63	1 - Billing	$0.00	282.99
	01/20/2005	01/20/2005	2		4280	4416		01/17/2005	34	R1	136	$172.59	1 - Billing	$0.00	0.00
	01/20/2005	01/20/2005	3		2845	2878		01/17/2005	34	U22	33	$10.56	1 - Billing	$0.00	0.00
	01/20/2005	01/20/2005	5		2845	2878		01/17/2005	34	U22	33	$13.21	1 - Billing	$0.00	0.00
	01/20/2005	01/20/2005	6		0	0		01/17/2005	34	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$282.99		$0.00	282.99
	12/17/2004	12/17/2004	1		45263	45601		12/14/2004	25	122	338	$30.27	1 - Billing	$0.00	116.25
	12/17/2004	12/17/2004	2		4244	4280		12/14/2004	25	R1	36	$51.07	1 - Billing	$0.00	0.00
	12/17/2004	12/17/2004	3		2836	2845		12/14/2004	25	U22	9	$9.70	1 - Billing	$0.00	0.00
	12/17/2004	12/17/2004	5		2836	2845		12/14/2004	25	U22	9	$13.21	1 - Billing	$0.00	0.00
	12/17/2004	12/17/2004	6		0	0		12/14/2004	25	F22	0	$12.00	1 - Billing	$0.00	0.00
Month Total												$116.25		$0.00	116.25
	11/19/2004	11/19/2004	1		45263	45263		11/19/2004	4	122	0	$31.11	1 - Billing	$0.00	37.08
	11/19/2004	11/19/2004	2		4244	4244		11/19/2004	4	R1	0	$1.32	1 - Billing	$0.00	0.00
	11/19/2004	11/19/2004	3		2836	2836		11/19/2004	4	U22	0	$1.29	1 - Billing	$0.00	0.00
	11/19/2004	11/19/2004	5		2836	2836		11/19/2004	4	U22	0	$1.76	1 - Billing	$0.00	0.00
	11/19/2004	11/19/2004	6		0	0		11/19/2004	4	F22	0	$1.60	1 - Billing	$0.00	0.00
Month Total												$37.08		$0.00	37.08
												$6,314.98		$0.00	6724.66
												$6,314.98		$0.00	6724.66

Insert Comment

172.16.239.147:8091/CISiXp/runApp

3/3

12/22/22, 9:54 AM

Daffron : CIS

Daffron — The Software You Want. The Service You Need.

CIS™ Customer Information System

Info Center | Tasks | Processing | Maintenance | Reports | Transformer | AMI | Finance | Properties | myJobs | Help

Account: [redacted]
Name: LIKE
Location: LIKE
Location: =
Service Address City: LIKE
Meter #: =
Social Security: =
Phone: =
Drivers License #: =
Status: = -- All --

Search | Clear

Account [redacted]

5 - Inactive
Paycodes 1112111111111
Conn Date: 06/05/2007
Final Account, Good Standing

Images
Service Directions

Account Balances	
Prior Balance:	$0.00
Current Bill:	$0.00
Payments:	$0.00
Adjustments:	$0.00
Amount Due:	$0.00
Due Date:	10/26/2009
After Date:	$0.00
Other RSPs:	$0.00
Other Services:	$0.00
All Services/RSPs:	$0.00
Pending Charges:	$0.00
Other Charges:	$0.00
YTD Usage:	38451
YTD Revenue:	3314.89

Location 037-0180
Rate: 122 - Residential Electric
Serv Addr: [redacted]
Description: *RADIO READ*
Contract:
Demand:

Overview | Credit | Lead | History | Invoice | Security Lights | Location | Meter | Transformer | Readings | Work Order | Other Charges | Calc-A-Bill | KTB | KTB History | Deposits | Outage | Service Orders | Call Tracking | Daily Readings | FVA | WORO Tracking | Landlord/Tenant | Letters | Special Minimums | Single Adjustment

Display = Billing Only | By Sub | Date Between 08/05/2007 And 10/26/2009

Search

Insert Comment

	Transaction Date	Accounting Date	Service	RSP	From	To	Read Code	Read Date	Days	Rate	Usage	Monthly Charges	Type	Adj/Pay Amount	Balance Due
	10/10/2009	10/10/2009	1		96628	98239		10/01/2009	34	122	1611	$149.02	1 - Billing	$0.00	210.14
	10/10/2009	10/10/2009	2		4341	4346		10/01/2009	34	R1	5	$16.99	1 - Billing	$0.00	62.12
	10/10/2009	10/10/2009	3		13065	13087		10/01/2009	34	U22	22	$14.86	1 - Billing	$0.00	45.13
	10/10/2009	10/10/2009	5		13043	13087		10/01/2009	34	U22	22	$18.17	1 - Billing	$0.00	31.77
	10/10/2009	10/10/2009	6		0	0		10/01/2009	34	F22	0	$13.60	1 - Billing	$0.00	13.60
Month Total												$210.14		$0.00	362.76
	09/11/2009	09/11/2009	1		94834	96628		09/01/2009	31	122	1794	$164.93	1 - Billing	$0.00	220.42
	09/11/2009	09/11/2009	2		4336	4341		09/01/2009	31	R1	5	$13.67	1 - Billing	$0.00	95.49
	09/11/2009	09/11/2009	3		13046	13065		09/01/2009	31	U22	19	$11.79	1 - Billing	$0.00	39.82
	09/11/2009	09/11/2009	5		13046	13065		09/01/2009	31	U22	19	$16.03	1 - Billing	$0.00	28.03
	09/11/2009	09/11/2009	6		0	0		09/01/2009	31	F22	0	$12.00	1 - Billing	$0.00	12.00
Month Total												$220.42		$0.00	355.76
	08/11/2009	08/11/2009	1		92927	94834		08/01/2009	31	122	1907	$174.51	1 - Billing	$0.00	231.04
	08/11/2009	08/11/2009	2		4331	4336		08/01/2009	31	R1	5	$13.67	1 - Billing	$0.00	58.53
	08/11/2009	08/11/2009	3		13013	13046		08/01/2009	31	U22	33	$12.83	1 - Billing	$0.00	40.86
	08/11/2009	08/11/2009	5		13013	13046		08/01/2009	31	U22	33	$16.03	1 - Billing	$0.00	28.03
	08/11/2009	08/11/2009	6		0	0		08/01/2009	31	F22	0	$12.00	1 - Billing	$0.00	12.00
Month Total												$231.04		$0.00	368.46
	07/11/2009	07/11/2009	1		90922	92927		07/01/2009	30	122	2005	$184.93	1 - Billing	$0.00	244.13
	07/11/2009	07/11/2009	2		4326	4331		07/01/2009	30	R1	5	$13.67	1 - Billing	$0.00	59.30
	07/11/2009	07/11/2009	3		12972	13013		07/01/2009	30	U22	41	$15.60	1 - Billing	$0.00	43.83
	07/11/2009	07/11/2009	5		12972	13013		07/01/2009	30	U22	41	$16.03	1 - Billing	$0.00	28.03
	07/11/2009	07/11/2009	6		0	0		07/01/2009	30	F22	0	$12.00	1 - Billing	$0.00	12.00
Month Total												$244.13		$0.00	387.09
	06/10/2009	06/10/2009	1		90089	90922		06/01/2009	27	122	833	$86.06	1 - Billing	$0.00	139.81
	06/10/2009	06/10/2009	2		4322	4326		06/01/2009	27	R1	4	$14.32	1 - Billing	$0.00	53.75
	06/10/2009	06/10/2009	3		12957	12972		06/01/2009	27	U22	15	$11.58	1 - Billing	$0.00	39.23
	06/10/2009	06/10/2009	5		12957	12972		06/01/2009	27	U22	15	$15.71	1 - Billing	$0.00	27.68
	06/10/2009	06/10/2009	6		0	0		06/01/2009	27	F22	0	$12.00	1 - Billing	$0.00	11.97
Month Total												$139.69		$0.00	272.44
	05/12/2009	05/12/2009	1		89413	90089		05/05/2009	34	122	676	$72.34	1 - Billing	$0.00	145.72
	05/12/2009	05/12/2009	2		4301	4322		05/05/2009	34	R1	21	$34.22	1 - Billing	$0.00	73.48
	05/12/2009	05/12/2009	3		12938	12957		05/05/2009	34	U22	19	$11.55	1 - Billing	$0.00	39.26
	05/12/2009	05/12/2009	5		12938	12957		05/05/2009	34	U22	19	$15.71	1 - Billing	$0.00	27.71
	05/12/2009	05/12/2009	6		0	0		05/05/2009	34	F22	0	$12.00	1 - Billing	$0.00	12.00
Month Total												$145.72		$0.00	298.17
	04/13/2009	04/13/2009	1		88844	89413		04/01/2009	29	122	569	$62.83	1 - Billing	$0.00	158.32
	04/13/2009	04/13/2009	2		4261	4301		04/01/2009	29	R1	40	$38.23	1 - Billing	$0.00	93.49
	04/13/2009	04/13/2009	3		12917	12938		04/01/2009	29	U22	21	$11.55	1 - Billing	$0.00	39.26
	04/13/2009	04/13/2009	5		12917	12938		04/01/2009	29	U22	21	$15.71	1 - Billing	$0.00	27.71
	04/13/2009	04/13/2009	6		0	0		04/01/2009	29	F22	0	$12.00	1 - Billing	$0.00	12.00
Month Total												$138.32		$0.00	332.78
	03/12/2009	03/12/2009	1		88285	88844		03/03/2009	29	122	559	$65.18	1 - Billing	$0.00	240.24
	03/12/2009	03/12/2009	2		4157	4261		03/03/2009	29	R1	104	$120.80	1 - Billing	$0.00	175.06
	03/12/2009	03/12/2009	3		12901	12917		03/03/2009	29	U22	16	$11.55	1 - Billing	$0.00	39.26
	03/12/2009	03/12/2009	5		12901	12917		03/03/2009	29	U22	16	$15.71	1 - Billing	$0.00	27.71
	03/12/2009	03/12/2009	6		0	0		03/03/2009	29	F22	0	$12.00	1 - Billing	$0.00	12.00
Month Total												$240.24		$0.00	494.27
	02/11/2009	02/11/2009	1		87520	88285		02/02/2009	31	122	765	$84.50	1 - Billing	$0.00	319.53
	02/11/2009	02/11/2009	2		4011	4157		02/02/2009	31	R1	146	$195.77	1 - Billing	$0.00	235.03
	02/11/2009	02/11/2009	3		12881	12901		02/02/2009	31	U22	20	$11.58	1 - Billing	$0.00	39.26
	02/11/2009	02/11/2009	5		12881	12901		02/02/2009	31	U22	20	$15.71	1 - Billing	$0.00	27.71
	02/11/2009	02/11/2009	6		0	0		02/02/2009	31	F22	0	$12.00	1 - Billing	$0.00	12.00
Month Total												$319.53		$0.00	633.53

172.16.239.147:8091/CISiXpiruhApp

1/3

12/22/22, 9:54 AM

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Transaction Date	Accounting Date	Service	RSP	From	To	Read Code	Read Date	Days	Rate	Usage	Monthly Charges	Type	Adj/Pay	Amount	Balance Due
03/12/2009	01/12/2009	1		86605	87520		01/03/2009	32	U22	915	$86.58	1 - Billing		$0.00	339.24
01/12/2009	01/12/2009	3		3877	4011		01/03/2009	32	R1	134	$201.40	1 - Billing		$0.00	240.65
01/12/2009	01/12/2009	3		12852	12881		01/03/2009	32	U22	29	$11.55	1 - Billing		$0.00	39.26
01/12/2009	01/12/2009	5		12852	12881		01/03/2009	32	U22	29	$15.71	1 - Billing		$0.00	27.71
01/12/2009	01/12/2009	6		0	0		01/03/2009	32	F22	0	$12.00	1 - Billing		$0.00	12.00
Month Total											$329.24			$0.00	658.87
12/11/2008	12/11/2008	1		85605	86605		12/01/2008	30	U22	720	$84.13	1 - Billing		$0.00	262.14
12/11/2008	12/11/2008	2		3790	3877		12/01/2008	30	R1	87	$136.75	1 - Billing		$0.00	178.01
12/11/2008	12/11/2008	3		12833	12852		12/01/2008	30	U22	21	$11.55	1 - Billing		$0.00	39.26
12/11/2008	12/11/2008	5		12833	12852		12/01/2008	30	U22	21	$15.71	1 - Billing		$0.00	27.71
12/11/2008	12/11/2008	6		0	0		12/01/2008	30	F22	0	$12.00	1 - Billing		$0.00	12.00
Month Total											$260.14			$0.00	519.12
11/12/2008	11/12/2008	1		85233	85605		11/01/2008	31	U22	372	$37.38	1 - Billing		$0.00	165.04
11/12/2008	11/12/2008	2		3764	3790		11/01/2008	31	R1	26	$40.90	1 - Billing		$0.00	87.65
11/12/2008	11/12/2008	3		12810	12833		11/01/2008	31	U22	23	$11.55	1 - Billing		$0.00	39.26
11/12/2008	11/12/2008	5		12810	12833		11/01/2008	31	U22	23	$15.71	1 - Billing		$0.00	27.71
11/12/2008	11/12/2008	6		0	0		11/01/2008	31	F22	0	$12.00	1 - Billing		$0.00	12.00
Month Total											$105.04			$0.00	331.66
10/13/2008	10/13/2008	1		84128	85233		10/01/2008	29	U22	1107	$118.07	1 - Billing		$0.00	174.61
10/13/2008	10/13/2008	2		3759	3764		10/01/2008	29	R1	5	$17.28	1 - Billing		$0.00	56.54
10/13/2008	10/13/2008	3		12789	12810		10/01/2008	29	U22	21	$11.55	1 - Billing		$0.00	39.26
10/13/2008	10/13/2008	5		12789	12810		10/01/2008	29	U22	21	$15.71	1 - Billing		$0.00	27.71
10/13/2008	10/13/2008	6		0	0		10/01/2008	29	F22	0	$12.00	1 - Billing		$0.00	12.00
Month Total											$174.61			$0.00	310.12
09/11/2008	09/11/2008	1		82212	84128		09/02/2008	29	U22	1914	$166.76	1 - Billing		$0.00	227.93
09/11/2008	09/11/2008	2		3754	3759		09/02/2008	29	R1	5	$17.28	1 - Billing		$0.00	58.23
09/11/2008	09/11/2008	3		12794	12789		09/02/2008	29	U22	35	$13.24	1 - Billing		$0.00	40.95
09/11/2008	09/11/2008	5		12794	12789		09/02/2008	29	U22	35	$15.71	1 - Billing		$0.00	27.71
09/11/2008	09/11/2008	6		0	0		09/02/2008	29	F22	0	$12.00	1 - Billing		$0.00	12.00
Month Total											$227.97			$0.00	366.82
08/12/2008	08/12/2008	1		79862	82212		08/04/2008	34	U22	2350	$208.46	1 - Billing		$0.00	263.09
08/12/2008	08/12/2008	2		3748	3754		08/04/2008	34	R1	6	$17.28	1 - Billing		$0.00	56.54
08/12/2008	08/12/2008	3		12734	12794		08/04/2008	34	U22	60	$13.20	1 - Billing		$0.00	39.26
08/12/2008	08/12/2008	5		12734	12794		08/04/2008	34	U22	60	$15.71	1 - Billing		$0.00	27.71
08/12/2008	08/12/2008	6		0	0		08/12/2008	29	F22	0	$12.00	1 - Billing		$0.00	12.00
Month Total											$263.00			$0.00	398.61
07/14/2008	07/14/2008	1		78055	79862		07/01/2008	29	U22	1807	$180.68	1 - Billing		$0.00	215.75
07/14/2008	07/14/2008	2		3745	3748		07/01/2008	29	R1	4	$15.81	1 - Billing		$0.00	58.07
07/14/2008	07/14/2008	3		12711	12734		07/01/2008	29	U22	23	$11.88	1 - Billing		$0.00	39.26
07/14/2008	07/14/2008	5		12711	12734		07/01/2008	29	U22	23	$15.71	1 - Billing		$0.00	27.71
07/14/2008	07/14/2008	6		0	0		07/14/2008	32	F22	0	$12.00	1 - Billing		$0.00	12.00
Month Total											$215.70			$0.00	349.79
06/12/2008	06/12/2008	1		76655	78055		06/02/2008	33	U22	1380	$123.22	1 - Billing		$0.00	173.04
06/12/2008	06/12/2008	2		3740	3745		06/02/2008	33	R1	5	$18.90	1 - Billing		$0.00	55.72
06/12/2008	06/12/2008	3		12680	12711		06/02/2008	33	U22	31	$11.34	1 - Billing		$0.00	38.82
06/12/2008	06/12/2008	5		12680	12711		06/02/2008	33	U22	31	$15.26	1 - Billing		$0.00	27.26
06/12/2008	06/12/2008	6		0	0		06/12/2008	30	F22	0	$12.00	1 - Billing		$0.00	12.00
Month Total											$179.84			$0.00	312.84
05/13/2008	05/13/2008	1		75661	76655		05/01/2008	30	U22	1093	$91.36	1 - Billing		$0.00	170.90
05/13/2008	05/13/2008	2		3716	3740		05/01/2008	30	R1	24	$41.88	1 - Billing		$0.00	79.54
05/13/2008	05/13/2008	3		12652	12680		05/01/2008	30	U22	28	$11.22	1 - Billing		$0.00	39.48
05/13/2008	05/13/2008	5		12652	12680		05/01/2008	30	U22	28	$15.26	1 - Billing		$0.00	27.26
05/13/2008	05/13/2008	6		0	0		05/13/2008	27	F22	0	$12.00	1 - Billing		$0.00	12.00
Month Total											$170.90			$0.00	328.18
04/16/2008	04/16/2008	1		74848	75661		04/01/2008	31	U22	813	$75.63	1 - Billing		$0.00	080.70
04/16/2008	04/16/2008	2		3696	3716		04/01/2008	31	R1	20	$86.59	1 - Billing		$0.00	125.07
04/16/2008	04/16/2008	3		12626	12652		04/01/2008	31	U22	26	$11.22	1 - Billing		$0.00	38.48
04/16/2008	04/16/2008	5		12626	12652		04/01/2008	31	U22	26	$15.26	1 - Billing		$0.00	27.26
04/16/2008	04/16/2008	6		0	0		04/16/2008	36	F22	0	$12.00	1 - Billing		$0.00	12.00
Month Total											$206.70			$0.00	403.31
03/11/2008	03/11/2008	1		73996	74848		03/01/2008	28	U22	852	$79.53	1 - Billing		$0.00	244.64
03/11/2008	03/11/2008	2		3680	3696		03/01/2008	28	R1	16	$130.61	1 - Billing		$0.00	169.09
03/11/2008	03/11/2008	3		12601	12626		03/01/2008	28	U22	25	$11.22	1 - Billing		$0.00	38.48
03/11/2008	03/11/2008	5		12601	12626		03/01/2008	28	U22	25	$15.26	1 - Billing		$0.00	27.26
03/11/2008	03/11/2008	6		0	0		03/11/2008	27	F22	0	$12.00	1 - Billing		$0.00	12.00
Month Total											$244.64			$0.00	491.47
02/13/2008	02/13/2008	1		72919	73956		02/02/2008	30	U22	1037	$86.30	1 - Billing		$0.00	310.14
02/13/2008	02/13/2008	2		3421	3680		02/02/2008	30	R1	259	$184.69	1 - Billing		$0.00	223.84
02/13/2008	02/13/2008	3		12580	12601		02/02/2008	30	U22	32	$11.89	1 - Billing		$0.00	39.15
02/13/2008	02/13/2008	5		12580	12601		02/02/2008	30	U22	32	$15.26	1 - Billing		$0.00	27.26
02/13/2008	02/13/2008	6		0	0		02/13/2008	30	F22	0	$12.00	1 - Billing		$0.00	12.00
Month Total											$310.14			$0.00	612.39
01/14/2008	01/14/2008	1		71634	72919		01/03/2008	33	U22	1285	$104.95	1 - Billing		$0.00	288.46
01/14/2008	01/14/2008	2		3317	3421		01/03/2008	33	R1	104	$145.01	1 - Billing		$0.00	183.50
01/14/2008	01/14/2008	3		12539	12580		01/03/2008	33	U22	41	$11.23	1 - Billing		$0.00	38.49
01/14/2008	01/14/2008	5		12539	12580		01/03/2008	33	U22	41	$15.26	1 - Billing		$0.00	27.26
01/14/2008	01/14/2008	6		0	0		01/14/2008	32	F22	0	$12.00	1 - Billing		$0.00	12.00
Month Total											$288.45			$0.00	549.70
12/13/2007	12/13/2007	1		70674	71634		12/01/2007	30	U22	960	$82.26	1 - Billing		$0.00	207.28
12/13/2007	12/13/2007	2		3298	3317		12/01/2007	30	R1	19	$88.34	1 - Billing		$0.00	125.03
12/13/2007	12/13/2007	3		12513	12539		12/01/2007	30	U22	26	$11.22	1 - Billing		$0.00	38.48
12/13/2007	12/13/2007	5		12513	12539		12/01/2007	30	U22	26	$15.26	1 - Billing		$0.00	27.26
12/13/2007	12/13/2007	6		0	0		12/13/2007	42	F22	0	$12.00	1 - Billing		$0.00	12.00
Month Total											$207.28			$0.00	410.04
11/09/2007	11/09/2007	1		69245	70674		11/01/2007	31	U22	1429	$118.40	1 - Billing		$0.00	189.05
11/09/2007	11/09/2007	2		3242	3298		11/01/2007	31	R1	56	$31.50	1 - Billing		$0.00	0.00
11/09/2007	11/09/2007	3		12481	12513		11/01/2007	31	U22	32	$11.89	1 - Billing		$0.00	0.00
11/09/2007	11/09/2007	5		12481	12513		11/01/2007	31	U22	32	$15.26	1 - Billing		$0.00	0.00
11/09/2007	11/09/2007	6		0	0		11/01/2007	30	F22	0	$12.00	1 - Billing		$0.00	0.00
Month Total											$189.05			$0.00	189.05
10/11/2007	10/11/2007	1		67539	69245		10/02/2007	29	U22	1706	$139.73	1 - Billing		$0.00	193.80
10/11/2007	10/11/2007	2		3238	3242		10/02/2007	29	R1	4	$15.39	1 - Billing		$0.00	0.00
10/11/2007	10/11/2007	3		12454	12481		10/02/2007	29	U22	27	$11.22	1 - Billing		$0.00	0.00
10/11/2007	10/11/2007	5		12454	12481		10/02/2007	29	U22	27	$15.26	1 - Billing		$0.00	0.00
10/11/2007	10/11/2007	6		0	0		10/02/2007	29	F22	0	$12.00	1 - Billing		$0.00	0.00
Month Total											$193.60			$0.00	193.80
09/12/2007	09/12/2007	1		64392	67539		09/03/2007	32	U22	3147	$236.63	1 - Billing		$0.00	297.25
09/12/2007	09/12/2007	2		3232	3238		09/03/2007	32	R1	6	$18.15	1 - Billing		$0.00	0.00
09/12/2007	09/12/2007	3		12418	12454		09/03/2007	32	U22	36	$13.21	1 - Billing		$0.00	0.00
09/12/2007	09/12/2007	5		12418	12454		09/03/2007	32	U22	36	$15.26	1 - Billing		$0.00	0.00
09/12/2007	09/12/2007	6		0	0		09/03/2007	32	F22	0	$12.00	1 - Billing		$0.00	0.00
Month Total											$297.25			$0.00	297.25
08/09/2007	08/09/2007	1		61990	64392		08/02/2007	30	U22	2402	$184.11	1 - Billing		$0.00	240.49
08/09/2007	08/09/2007	2		3227	3232		08/02/2007	30	R1	5	$16.90	1 - Billing		$0.00	0.00
08/09/2007	08/09/2007	3		12385	12418		08/02/2007	30	U22	33	$13.22	1 - Billing		$0.00	0.00
08/09/2007	08/09/2007	5		12385	12418		08/02/2007	30	U22	33	$15.26	1 - Billing		$0.00	0.00
08/09/2007	08/09/2007	6		0	0		08/02/2007	30	F22	0	$12.00	1 - Billing		$0.00	0.00
Month Total											$240.49			$0.00	240.49
07/12/2007	07/12/2007	1		59788	61990		07/03/2007	32	U22	2202	$169.47	1 - Billing		$0.00	225.19
07/12/2007	07/12/2007	2		3223	3227		07/03/2007	32	R1	4	$15.94	1 - Billing		$0.00	0.00
07/12/2007	07/12/2007	3		12351	12385		07/03/2007	32	U22	34	$12.55	1 - Billing		$0.00	0.00
07/12/2007	07/12/2007	5		12351	12385		07/03/2007	32	U22	34	$15.26	1 - Billing		$0.00	0.00

172.16.239.147:8091/CISiXp/runApp 2/3

12/22/22, 9:54 AM

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	Transaction Date	Accounting Date	Service	RSP	From	To	Read Code	Read Date	Days	Rate	Usage	Monthly Charges	Type	Adj/Pay Amount	Balance Due
	07/12/2007	07/12/2007	8		0	0		07/03/2007	22	FT2	0	$12.00	1 - Billing	$0.00	0.20
Month Total												$225.19		$0.00	225.19
	06/12/2007	06/12/2007	1		59788	59788		06/11/2007	6	122	0	$31.88	1 - Billing	$0.00	40.85
	06/12/2007	06/12/2007	2		3223	3223		06/11/2007	6	R1	0	$1.98	1 - Billing	$0.00	0.00
	06/12/2007	06/12/2007	3		12351	12351		06/11/2007	6	U21	0	$2.04	1 - Billing	$0.00	0.00
	06/12/2007	06/12/2007	5		12351	12351		06/11/2007	6	U22	0	$2.77	1 - Billing	$0.00	0.00
	06/12/2007	06/12/2007	6		0	0		06/11/2007	6	FT2	0	$2.40	1 - Billing	$0.00	0.00
Month Total												$40.85		$0.00	40.85
[illegible]												$6,344.67		$0.00	10734.71
												$6,344.67		$0.00	10734.71

Insert Comment

172.16.239.147:8091/CisUXp/runApp

```
City of Tuscumbia      (Line: 24)(12/22/2022)(09:38)([redacted])(Rev: 8.43)(UB1100)
Utility Billing                    Customer File                          Inquire: 01
*****************************************************************************************
* Acct#: ([redacted]  [redacted]                ] [Terminated]  Cycle: ( 1) *
********************************** Master Info ***********************************
* Stat:  (T) [Terminated      )                 *Transfer From:      <   -    -  > *
* Name:  ([redacted]                )           *Transfer To:        <   -    -  > *
*        (                                )     *---------(Budget)------(Actual)--*
* Addr:  ([redacted])                          *Total: (        0.00)(        0.00)*
*        (                                )     *Curr:  (        0.00)(        0.00)*
* City:  (TUSCUMBIA AL          )(35674-    ) *30-60: (             )(        0.00)*
*--------------------Mailing------------------*60-90:                (        0.00)*
* Addr:  ([redacted])                          *Over 90:              (        0.00)*
*        (                                )     *In-Process:           (        0.00)*
* City:  (MUSCLE SHOALS AL      )(35662-2147) *Total Budget Bill:    (            )*
* CART:  (      )         Delivery Point: (  ) *Last Payment:         (       67.79)*
*--------------Credit History----------------*--------------Dates--------------*
*Rating: (3) (3-2-3-2-2-2-2-2-2-2-2-2-2-2-2) *Inst: 11/11/1964 Bill:  1/07/2011*
*------------------Services------------------*On:   10/08/2009 Late:  1/22/2011*
*EL DM WA GA GB OL SW CC LS LN AF GM EM WM MC*Off:  12/21/2010 Pymt:  2/18/2011*
* T-  - T- T- T-  - T-  -  - T-  -  -  -  -  *DOB1: [redacted]DOB2:            *
*****************************************************************************************
 Message:  [Screen 01 of 18 Displayed.....Enter Next Screen to Process: ] < 1>
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City of Tuscumbia      (Line: 24)(12/22/2022)(09:39)([redacted])(Rev: 8.43)(UB1100)
Utility Billing                    Customer File                          Inquire: 01
*****************************************************************************
* Acct#: ([redacted] [Terminated]  Cycle: ( 1) *
******************************** Master Info ********************************
* Stat:  (T) [Terminated     ]                *Transfer From:     <   -    -  > *
* Name:  ([redacted])                         *Transfer To:       <   -    -  > *
*        (                                )   *---------(Budget)------(Actual)--*
* Addr:  ([redacted])                         *Total: (        0.00)(        0.00)*
*        (                                )   *Curr:  (        0.00)(        0.00)*
* City:  (TUSCUMBIA AL          )(35674-    ) *30-60: (            )(        0.00)*
*-------------------Mailing-------------------*60-90:               (        0.00)*
* Addr:  ([redacted])                         *Over 90:             (        0.00)*
*        (                                )   *In-Process:          (        0.00)*
* City:  (MUSCLE SHOALS AL      )(35662-2147) *Total Budget Bill:   (            )*
* CART:  (B002 )         Delivery Point: (47) *Last Payment:        (       87.96)*
*-------------Credit History----------------*-------------Dates-------------*
*Rating: (2) (2-2-2- - - - - - - - - - - - ) *Inst: 11/11/1964 Bill:  2/10/2014*
*------------------Services-----------------*On:   11/12/2013 Late:  2/22/2014*
*EL DM WA GA GB OL SW CC LS LN AF GM EM WM MC*Off:   1/24/2014 Pymt:  2/19/2014*
* T-  - T- T- T-  - T-  -  -  -  -  -  -  - *DOB1: [redacted]OB2:            *
*****************************************************************************
 Message:  [Screen 01 of 18 Displayed.....Enter Next Screen to Process: | < 1>
```

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MAILING ADDR IS APT D      *                          *
SERV ADDR IS 911 ADDR      *      Customer File       *
*****************************************************************************
* Acct#: (                                          [Terminated]  Cycle: ( 1) *
********************************** Master Info ********************************
* Stat:  (T) [Terminated      ]              *Transfer From:     <   -    -  > *
* Name:  (                                   *Transfer To:       <   -    -  > *
*        (                                   *---------(Budget)------(Actual)--*
* Addr:  (                                   *Total: (       0.00)(       0.00)*
*        (                              )    *Curr:  (       0.00)(       0.00)*
* City:  (TUSCUMBIA AL          )(35674-    ) *30-60: (           )(       0.00)*
*-------------------Mailing------------------*60-90:              (       0.00)*
* Addr:  (                                   *Over 90:            (       0.00)*
*        (                              )    *In-Process:         (       0.00)*
* City:  (MUSCLE SHOALS AL      )(35661-     ) *Total Budget Bill:  (           )*
* CART:  (      )         Delivery Point: (  ) *Last Payment:       (      46.55)*
*-------------Credit History-----------------*--------------Dates--------------*
*Rating: (3) (2-3-2-2-2-2-2-2-2-2-2-2-2-2-2) *Inst:  6/06/2006 Bill:  1/09/2015*
*------------------Services-------------------*On:    4/19/2012 Late:  1/22/2015*
*EL DM WA GA GB OL SW CC LS LN AF GM EM WM MC*Off:  12/22/2014 Pymt:  1/23/2015*
* T-  - T- T- T-  - T-  -  - T- T- T-  -  -  *DOB1:                DOB2:
*****************************************************************************
Message:  [Screen 01 of 18 Displayed.....Enter Next Screen to Process: ] < 1>
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417047846 03/21/2012 5:03 PM

Form **1040** Department of the Treasury—Internal Revenue Service (99) **U.S. Individual Income Tax Return** **2011** OMB No. 1545-0074 IRS Use Only—Do not write or staple in this space.

For the year Jan. 1–Dec. 31, 2011, or other tax year beginning , 2011, ending , 20 See separate instructions.

Your first name and initial [redacted] Last name [redacted] Your social security number [redacted]

If a joint return, spouse's first name and initial [redacted] Last name [redacted] Spouse's social security number [redacted]

Home address (number and street). If you have a P.O. box, see instructions. [redacted] Apt. no. ▲ Make sure the SSN(s) above and on line 6c are correct.

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below (see instructions).
Tuscumbia AL 35674

Foreign country name | Foreign province/county | Foreign postal code

Presidential Election Campaign: Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

Filing Status Check only one box.
1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). (See instructions.) If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) with dependent child

Exemptions
6a ☒ **Yourself.** If someone can claim you as a dependent, do not check box 6a
b ☒ **Spouse**
Boxes checked on 6a and 6b: 2

c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if child under age 17 qual. for child tax credit (see instr.)

If more than four dependents, see instructions and check here ▶ ☐

No. of children on 6c who: • lived with you ___ • did not live with you due to divorce or separation (see instructions) ___
Dependents on 6c not entered above ___

d Total number of exemptions claimed — Add numbers on lines above ▶ 2

Income
Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.
If you did not get a W-2, see instructions.

7	Wages, salaries, tips, etc. Attach Form(s) W-2		7	48,077
8a	Taxable interest. Attach Schedule B if required		8a	
b	Tax-exempt interest. Do not include on line 8a	8b		
9a	Ordinary dividends. Attach Schedule B if required		9a	
b	Qualified dividends	9b		
10	Taxable refunds, credits, or offsets of state and local income taxes		10	
11	Alimony received		11	
12	Business income or (loss). Attach Schedule C or C-EZ		12	-3,497
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐		13	
14	Other gains or (losses). Attach Form 4797		14	
15a	IRA distributions 15a	b Taxable amount	15b	
16a	Pensions and annuities 16a	b Taxable amount	16b	
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E		17	-8,198
18	Farm income or (loss). Attach Schedule F		18	
19	Unemployment compensation		19	
20a	Social security benefits 20a	b Taxable amount	20b	
21	Other income. List type and amount		21	
22	Combine the amounts in the far right column for lines 7 through 21. This is your total income ▶		22	36,382

Enclose, but do not attach, any payment. Also, please use Form 1040-V.

Adjusted Gross Income

23	Educator expenses	23	
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24	
25	Health savings account deduction. Attach Form 8889	25	
26	Moving expenses. Attach Form 3903	26	
27	Deductible part of self-employment tax. Attach Schedule SE	27	
28	Self-employed SEP, SIMPLE, and qualified plans	28	
29	Self-employed health insurance deduction	29	
30	Penalty on early withdrawal of savings	30	
31a	Alimony paid b Recipient's SSN ▶ [redacted]	31a	400
32	IRA deduction	32	
33	Student loan interest deduction	33	95
34	Tuition and fees. Attach Form 8917	34	
35	Domestic production activities deduction. Attach Form 8903	35	
36	Add lines 23 through 35	36	495
37	Subtract line 36 from line 22. This is your adjusted gross income ▶	37	35,887

For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.
DAA Form **1040** (2011)

417047846 04/02/2013 2:55 PM

Form **1040** Department of the Treasury—Internal Revenue Service (99) **U.S. Individual Income Tax Return** **2012** OMB No. 1545-0074 IRS Use Only—Do not write or staple in this space.

For the year Jan. 1–Dec. 31, 2012, or other tax year beginning , 2012, ending , 20 See separate instructions.

Your first name and initial [redacted] Last name [redacted] Your social security number [redacted]

If a joint return, spouse's first name and initial [redacted] Last name [redacted] Spouse's social security number [redacted]

Home address (number and street). If you have a P.O. box, see instructions. [redacted] Apt. no. ▲ Make sure the SSN(s) above and on line 6c are correct.

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below (see instructions).
Tuscumbia AL 35674

Foreign country name | Foreign province/state/county | Foreign postal code

Presidential Election Campaign: Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

Filing Status Check only one box.
1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). (See instructions.) If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) with dependent child

Exemptions
6a ☒ Yourself. If someone can claim you as a dependent, do not check box 6a
b ☒ Spouse
Boxes checked on 6a and 6b: 2

c Dependents:

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if child under age 17 qual. for child tax credit (see instr.)
[redacted]	[redacted]	Daughter	☒

If more than four dependents, see instructions and check here ▶ ☐

No. of children on 6c who: • lived with you: 1 • did not live with you due to divorce or separation (see instructions):
Dependents on 6c not entered above:

d Total number of exemptions claimed — Add numbers on lines above ▶ 3

Income
Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.
If you did not get a W-2, see instructions.
Enclose, but do not attach, any payment. Also, please use Form 1040-V.

7	Wages, salaries, tips, etc. Attach Form(s) W-2		7	71,538
8a	Taxable interest. Attach Schedule B if required		8a	
b	Tax-exempt interest. Do not include on line 8a	8b		
9a	Ordinary dividends. Attach Schedule B if required		9a	
b	Qualified dividends	9b		
10	Taxable refunds, credits, or offsets of state and local income taxes		10	
11	Alimony received		11	
12	Business income or (loss). Attach Schedule C or C-EZ		12	-5,253
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐		13	
14	Other gains or (losses). Attach Form 4797		14	
15a	IRA distributions 15a	b Taxable amount	15b	
16a	Pensions and annuities 16a	b Taxable amount	16b	
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E		17	-482
18	Farm income or (loss). Attach Schedule F		18	
19	Unemployment compensation		19	
20a	Social security benefits 20a	b Taxable amount	20b	
21	Other income. List type and amount		21	
22	Combine the amounts in the far right column for lines 7 through 21. This is your total income ▶		22	65,803

Adjusted Gross Income

23	Educator expenses	23		
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24		
25	Health savings account deduction. Attach Form 8889	25		
26	Moving expenses. Attach Form 3903	26		
27	Deductible part of self-employment tax. Attach Schedule SE	27		
28	Self-employed SEP, SIMPLE, and qualified plans	28		
29	Self-employed health insurance deduction	29		
30	Penalty on early withdrawal of savings	30		
31a	Alimony paid b Recipient's SSN ▶	31a		
32	IRA deduction	32		
33	Student loan interest deduction	33		
34	Tuition and fees. Attach Form 8917	34		
35	Domestic production activities deduction. Attach Form 8903	35		
36	Add lines 23 through 35		36	
37	Subtract line 36 from line 22. This is your adjusted gross income ▶		37	65,803

For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.
DAA Form 1040 (2012)

MCCB 02/22/2014 7:02 AM

Form **1040** Department of the Treasury—Internal Revenue Service (99) **U.S. Individual Income Tax Return** **2013** OMB No. 1545-0074 IRS Use Only—Do not write or staple in this space.

For the year Jan. 1–Dec. 31, 2013, or other tax year beginning , 2013, ending , 20 See separate instructions.

Your first name and initial [redacted] Last name [redacted] Your social security number [redacted]

If a joint return, spouse's first name and initial [redacted] Last name [redacted] Spouse's social security number [redacted]

Home address (number and street). If you have a P.O. box, see instructions. [redacted] Apt. no. D ▲ Make sure the SSN(s) above and on line 6c are correct.

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below (see instructions). Tuscumbia AL 35674

Foreign country name | Foreign province/state/county | Foreign postal code

Presidential Election Campaign Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

Filing Status Check only one box.

1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). (See instructions.) If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) with dependent child

Exemptions

6a ☒ **Yourself.** If someone can claim you as a dependent, **do not** check box 6a
b ☒ **Spouse**
} Boxes checked on 6a and 6b: 2

c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if child under age 17 qual. for child tax credit (see instr.)
[redacted]	[redacted]	Daughter	☒

If more than four dependents, see instructions and check here ▶ ☐

No. of children on 6c who: • lived with you: 1 • did not live with you due to divorce or separation (see instructions): Dependents on 6c not entered above: Add numbers on lines above ▶ 3

d Total number of exemptions claimed

Income

Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.

If you did not get a W-2, see instructions.

7	Wages, salaries, tips, etc. Attach Form(s) W-2	7	100,000
8a	Taxable interest. Attach Schedule B if required	8a	
b	Tax-exempt interest. Do not include on line 8a — 8b		
9a	Ordinary dividends. Attach Schedule B if required	9a	
b	Qualified dividends — 9b		
10	Taxable refunds, credits, or offsets of state and local income taxes	10	636
11	Alimony received	11	
12	Business income or (loss). Attach Schedule C or C-EZ	12	-685
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐	13	
14	Other gains or (losses). Attach Form 4797	14	
15a	IRA distributions — 15a — b Taxable amount	15b	6,028
16a	Pensions and annuities — 16a — b Taxable amount	16b	20
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E	17	-13,534
18	Farm income or (loss). Attach Schedule F	18	
19	Unemployment compensation	19	
20a	Social security benefits — 20a — b Taxable amount	20b	
21	Other income. List type and amount	21	
22	Combine the amounts in the far right column for lines 7 through 21. This is your **total income** ▶	22	92,465

Adjusted Gross Income

23	Educator expenses	23	
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24	
25	Health savings account deduction. Attach Form 8889	25	
26	Moving expenses. Attach Form 3903	26	
27	Deductible part of self-employment tax. Attach Schedule SE	27	
28	Self-employed SEP, SIMPLE, and qualified plans	28	
29	Self-employed health insurance deduction	29	
30	Penalty on early withdrawal of savings	30	
31a	Alimony paid b Recipient's SSN ▶	31a	
32	IRA deduction	32	
33	Student loan interest deduction	33	
34	Tuition and fees. Attach Form 8917	34	
35	Domestic production activities deduction. Attach Form 8903	35	
36	Add lines 23 through 35	36	
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶	37	92,465

For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions. Form **1040** (2013)
DAA

MCCB 04/07/2015 5:43 PM

Form **1040** Department of the Treasury—Internal Revenue Service (99) **U.S. Individual Income Tax Return** **2014** OMB No. 1545-0074 IRS Use Only—Do not write or staple in this space.

For the year Jan. 1–Dec. 31, 2014, or other tax year beginning , 2014, ending , 20 See separate instructions.

Your first name and initial: [redacted] Last name: [redacted] Your social security number: [redacted]

If a joint return, spouse's first name and initial: [redacted] Last name: [redacted] Spouse's social security number: [redacted]

Home address (number and street). If you have a P.O. box, see instructions.: [redacted] Apt. no. ▲ Make sure the SSN(s) above and on line 6c are correct.

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below (see instructions).: MUSCLE SHOALS AL 35661

Foreign country name | Foreign province/state/county | Foreign postal code

Presidential Election Campaign Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

Filing Status Check only one box.

1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). (See instructions.) If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) with dependent child

Exemptions

6a ☒ **Yourself.** If someone can claim you as a dependent, do not check box 6a
b ☒ **Spouse**
} Boxes checked on 6a and 6b: 2

c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if child under age 17 qual. for child tax credit (see instr.)
[redacted]	[redacted]	Daughter	☒

If more than four dependents, see instructions and check here ▶ ☐

No. of children on 6c who:
- lived with you: 1
- did not live with you due to divorce or separation (see instructions):

Dependents on 6c not entered above:

d Total number of exemptions claimed — Add numbers on lines above ▶ 3

Income

Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.

If you did not get a W-2, see instructions.

7	Wages, salaries, tips, etc. Attach Form(s) W-2		7	113,115
8a	Taxable interest. Attach Schedule B if required		8a	
b	Tax-exempt interest. Do not include on line 8a	8b		
9a	Ordinary dividends. Attach Schedule B if required		9a	
b	Qualified dividends	9b		
10	Taxable refunds, credits, or offsets of state and local income taxes		10	309
11	Alimony received		11	
12	Business income or (loss). Attach Schedule C or C-EZ		12	-21,701
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐		13	65,181
14	Other gains or (losses). Attach Form 4797		14	
15a	IRA distributions	15a	b Taxable amount	15b
16a	Pensions and annuities	16a	b Taxable amount	16b
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E		17	-16,976
18	Farm income or (loss). Attach Schedule F		18	
19	Unemployment compensation		19	
20a	Social security benefits	20a	b Taxable amount	20b
21	Other income. List type and amount		21	
22	Combine the amounts in the far right column for lines 7 through 21. This is your **total income** ▶		22	139,928

Adjusted Gross Income

23	Educator expenses	23	
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24	
25	Health savings account deduction. Attach Form 8889	25	
26	Moving expenses. Attach Form 3903	26	
27	Deductible part of self-employment tax. Attach Schedule SE	27	
28	Self-employed SEP, SIMPLE, and qualified plans	28	
29	Self-employed health insurance deduction	29	
30	Penalty on early withdrawal of savings	30	
31a	Alimony paid b Recipient's SSN ▶	31a	
32	IRA deduction	32	
33	Student loan interest deduction	33	
34	Tuition and fees. Attach Form 8917	34	
35	Domestic production activities deduction. Attach Form 8903	35	
36	Add lines 23 through 35		36
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶		37 139,928

For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.
DAA Form **1040** (2014)

MCCB 04/12/2016 8:44 PM

Form **1040** Department of the Treasury—Internal Revenue Service (99) **U.S. Individual Income Tax Return** **2015** OMB No. 1545-0074 IRS Use Only—Do not write or staple in this space.

For the year Jan. 1–Dec. 31, 2015, or other tax year beginning , 2015, ending , 20 See separate instructions.

Your first name and initial: [redacted] Last name: [redacted] Your social security number: [redacted]

If a joint return, spouse's first name and initial: [redacted] Last name: [redacted] Spouse's social security number: [redacted]

Home address (number and street). If you have a P.O. box, see instructions. [redacted] Apt. no. ▲ Make sure the SSN(s) above and on line 6c are correct.

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below (see instructions).
MUSCLE SHOALS AL 35661

Foreign country name | Foreign province/state/county | Foreign postal code

Presidential Election Campaign Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

Filing Status Check only one box.
1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). (See instructions.) If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) with dependent child

Exemptions
6a ☒ **Yourself.** If someone can claim you as a dependent, do not check box 6a
b ☒ **Spouse**
Boxes checked on 6a and 6b: 2

c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if child under age 17 qual. for child tax credit (see instr.)
[redacted]		Daughter	☒

If more than four dependents, see instructions and check here ▶ ☐

No. of children on 6c who:
- lived with you: 1
- did not live with you due to divorce or separation (see instructions):
Dependents on 6c not entered above:

d Total number of exemptions claimed — Add numbers on lines above ▶ 3

Income
Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.
If you did not get a W-2, see instructions.

7	Wages, salaries, tips, etc. Attach Form(s) W-2		7	136,000
8a	Taxable interest. Attach Schedule B if required		8a	
b	Tax-exempt interest. Do not include on line 8a	8b		
9a	Ordinary dividends. Attach Schedule B if required		9a	
b	Qualified dividends	9b		
10	Taxable refunds, credits, or offsets of state and local income taxes		10	
11	Alimony received		11	
12	Business income or (loss). Attach Schedule C or C-EZ		12	13,932
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐		13	0
14	Other gains or (losses). Attach Form 4797		14	
15a	IRA distributions 15a	b Taxable amount	15b	
16a	Pensions and annuities 16a	b Taxable amount	16b	
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E		17	9,797
18	Farm income or (loss). Attach Schedule F		18	
19	Unemployment compensation		19	
20a	Social security benefits 20a	b Taxable amount	20b	
21	Other income. List type and amount		21	
22	Combine the amounts in the far right column for lines 7 through 21. This is your **total income** ▶		22	159,729

Adjusted Gross Income

23	Educator expenses	23	
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24	
25	Health savings account deduction. Attach Form 8889	25	
26	Moving expenses. Attach Form 3903	26	
27	Deductible part of self-employment tax. Attach Schedule SE	27	984
28	Self-employed SEP, SIMPLE, and qualified plans	28	
29	Self-employed health insurance deduction	29	6,831
30	Penalty on early withdrawal of savings	30	
31a	Alimony paid b Recipient's SSN ▶	31a	
32	IRA deduction	32	
33	Student loan interest deduction	33	
34	Tuition and fees. Attach Form 8917	34	
35	Domestic production activities deduction. Attach Form 8903	35	
36	Add lines 23 through 35	36	7,815
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶	37	151,914

For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.
DAA Form **1040** (2015)

MCCB 04/18/2017 1:21 PM

Form **1040** Department of the Treasury—Internal Revenue Service (99) **U.S. Individual Income Tax Return** **2016** OMB No. 1545-0074 IRS Use Only—Do not write or staple in this space.

For the year Jan. 1–Dec. 31, 2016, or other tax year beginning , 2016, ending , 20 See separate instructions.

Your first name and initial [redacted] Last name [redacted] Your social security number [redacted]

If a joint return, spouse's first name and initial [redacted] Last name [redacted] Spouse's social security number [redacted]

Home address (number and street). If you have a P.O. box, see instructions. [redacted] Apt. no. ▲ Make sure the SSN(s) above and on line 6c are correct.

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below (see instructions).
MUSCLE SHOALS AL 35661

Foreign country name | Foreign province/state/county | Foreign postal code

Presidential Election Campaign Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

Filing Status Check only one box.
1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). (See instructions.) If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) with dependent child

Exemptions
6a ☒ **Yourself.** If someone can claim you as a dependent, do not check box 6a
b ☒ **Spouse**
} Boxes checked on 6a and 6b: 2

c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if child under age 17 qual. for child tax credit (see instr.)
[redacted]		Daughter	☒

If more than four dependents, see instructions and check here ▶ ☐

No. of children on 6c who:
- lived with you: 1
- did not live with you due to divorce or separation (see instructions):
Dependents on 6c not entered above:

d **Total number of exemptions claimed** — Add numbers on lines above ▶ 3

Income
Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.
If you did not get a W-2, see instructions.

7	Wages, salaries, tips, etc. Attach Form(s) W-2		7	146,000
8a	**Taxable** interest. Attach Schedule B if required		8a	
b	**Tax-exempt** interest. Do not include on line 8a	8b		
9a	Ordinary dividends. Attach Schedule B if required		9a	
b	Qualified dividends	9b		
10	Taxable refunds, credits, or offsets of state and local income taxes		10	756
11	Alimony received		11	
12	Business income or (loss). Attach Schedule C or C-EZ		12	-33,483
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐		13	
14	Other gains or (losses). Attach Form 4797		14	
15a	IRA distributions	15a	b Taxable amount	15b
16a	Pensions and annuities	16a	b Taxable amount	16b
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E		17	28,608
18	Farm income or (loss). Attach Schedule F		18	
19	Unemployment compensation		19	
20a	Social security benefits	20a	b Taxable amount	20b
21	Other income. List type and amount		21	
22	Combine the amounts in the far right column for lines 7 through 21. This is your **total income** ▶		22	141,881

Adjusted Gross Income

23	Educator expenses	23		
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24		
25	Health savings account deduction. Attach Form 8889	25		
26	Moving expenses. Attach Form 3903	26		
27	Deductible part of self-employment tax. Attach Schedule SE	27		
28	Self-employed SEP, SIMPLE, and qualified plans	28		
29	Self-employed health insurance deduction	29	12,902	
30	Penalty on early withdrawal of savings	30		
31a	Alimony paid b Recipient's SSN ▶	31a		
32	IRA deduction	32		
33	Student loan interest deduction	33		
34	Tuition and fees. Attach Form 8917	34		
35	Domestic production activities deduction. Attach Form 8903	35		
36	Add lines 23 through 35		36	12,902
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶		37	128,979

For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.
DAA Form **1040** (2016)

MCCB 04/12/2018 6:46 PM

Form **1040** Department of the Treasury—Internal Revenue Service (99) **U.S. Individual Income Tax Return** **2017** OMB No. 1545-0074 IRS Use Only—Do not write or staple in this space.

For the year Jan. 1–Dec. 31, 2017, or other tax year beginning , 2017, ending , 20 See separate instructions.

Your first name and initial: [redacted] Last name: [redacted] Your social security number: [redacted]

If a joint return, spouse's first name and initial: [redacted] Last name: [redacted] Spouse's social security number: [redacted]

Home address (number and street). If you have a P.O. box, see instructions. [redacted] Apt. no. ▲ Make sure the SSN(s) above and on line 6c are correct.

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below (see instructions).
MUSCLE SHOALS AL 35661

Foreign country name | Foreign province/state/county | Foreign postal code

Presidential Election Campaign: Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

Filing Status Check only one box.
1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). (See instructions.) If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) (see instructions)

Exemptions
6a ☒ **Yourself.** If someone can claim you as a dependent, **do not** check box 6a
b ☒ **Spouse**
Boxes checked on 6a and 6b: 2

c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if child under age 17 qual. for child tax credit (see instr.)
[redacted]		Daughter	☒

If more than four dependents, see instructions and check here ▶ ☐

No. of children on 6c who: • lived with you: 1 • did not live with you due to divorce or separation (see instructions): ____ Dependents on 6c not entered above: ____

d Total number of exemptions claimed — Add numbers on lines above ▶ 3

Income Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld. If you did not get a W-2, see instructions.

7	Wages, salaries, tips, etc. Attach Form(s) W-2		7	130,770
8a	Taxable interest. Attach Schedule B if required		8a	51
b	Tax-exempt interest. Do not include on line 8a	8b		
9a	Ordinary dividends. Attach Schedule B if required		9a	
b	Qualified dividends	9b		
10	Taxable refunds, credits, or offsets of state and local income taxes		10	2,108
11	Alimony received		11	
12	Business income or (loss). Attach Schedule C or C-EZ		12	-76,353
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐		13	
14	Other gains or (losses). Attach Form 4797		14	
15a	IRA distributions	15a	b Taxable amount 15b	
16a	Pensions and annuities	16a	b Taxable amount 16b	
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E		17	60,400
18	Farm income or (loss). Attach Schedule F		18	
19	Unemployment compensation		19	
20a	Social security benefits	20a	b Taxable amount 20b	
21	Other income. List type and amount		21	
22	Combine the amounts in the far right column for lines 7 through 21. This is your **total income** ▶		22	116,976

Adjusted Gross Income

23	Educator expenses	23	
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24	
25	Health savings account deduction. Attach Form 8889	25	
26	Moving expenses. Attach Form 3903	26	
27	Deductible part of self-employment tax. Attach Schedule SE	27	
28	Self-employed SEP, SIMPLE, and qualified plans	28	
29	Self-employed health insurance deduction	29	2,864
30	Penalty on early withdrawal of savings	30	
31a	Alimony paid b Recipient's SSN ▶	31a	
32	IRA deduction	32	
33	Student loan interest deduction	33	
34	Tuition and fees. Attach Form 8917	34	
35	Domestic production activities deduction. Attach Form 8903	35	
36	Add lines 23 through 35	36	2,864
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶	37	114,112

For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.
DAA Form **1040** (2017)

MCCB 04/10/2019 2:25 PM

Form **1040** Department of the Treasury—Internal Revenue Service (99) **U.S. Individual Income Tax Return** **2018** OMB No. 1545-0074 IRS Use Only—Do not write or staple in this space.

Filing status: [ ] Single [X] Married filing jointly [ ] Married filing separately [ ] Head of household [ ] Qualifying widow(er)

Your first name and initial: [redacted] Last name: [redacted] Your social security number: [redacted]

Your standard deduction: [ ] Someone can claim you as a dependent [ ] You were born before January 2, 1954 [ ] You are blind

If joint return, spouse's first name and initial: [redacted] Last name: [redacted] Spouse's social security number: [redacted]

Spouse standard deduction: [ ] Spouse is blind [ ] Someone can claim your spouse as a dependent [ ] Spouse was born before January 2, 1954 [ ] Spouse itemizes on a separate return or you were a dual-status alien

[X] Full-year health care coverage or exempt (see instr.)

Home address (number and street). If you have a P.O. box, see instructions. [redacted] Apt. no.

Presidential Election Campaign (see instr.) [ ] You [ ] Spouse

City, town or post office, state, and ZIP code. If you have a foreign address, attach Schedule 6.
FLORENCE AL 35634

If more than four dependents, see instr. and ✓ here ▶ [ ]

Dependents (see instructions): (1) First name Last name	(2) Social security number	(3) Relationship to you	(4) ✓ if qualifies for (see instr.): Child tax credit	Credit for other dependents
[redacted]		Daughter	[X]	[ ]
			[ ]	[ ]
			[ ]	[ ]
			[ ]	[ ]

Sign Here Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Joint return? See instructions. Keep a copy for your records.

Your signature | Date | Your occupation: PRODUCT DESIGN | If the IRS sent you an Identity Protection PIN, enter it here (see instr.)

Spouse's signature. If a joint return, both must sign. | Date | Spouse's occupation: PRODUCT DESIGN | If the IRS sent you an Identity Protection PIN, enter it here (see instr.): 102848

Paid Preparer Use Only

Preparer's name: [redacted] | Preparer's signature | PTIN: [redacted] | Check if: [X] 3rd Party Designee [ ] Self-employed

Firm's name ▶ Norvell & Associates, PC | Firm's EIN: [redacted]

Firm's address ▶ [redacted] Florence AL 35630 | Phone no.: [redacted]

For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate Instructions. Form **1040** (2018)

DAA

MCCB 06/01/2020 11:03 AM

Form **1040** Department of the Treasury—Internal Revenue Service (99)
U.S. Individual Income Tax Return **2019** OMB No. 1545-0074 IRS Use Only—Do not write or staple in this space.

Filing Status Check only one box.
☐ Single ☒ Married filing jointly ☐ Married filing separately (MFS) ☐ Head of household (HOH) ☐ Qualifying widow(er) (QW)
If you checked the MFS box, enter the name of spouse. If you checked the HOH or QW box, enter the child's name if the qualifying person is a child but not your dependent. ▶

Your first name and middle initial	Last name	Your social security number
[redacted]	[redacted]	[redacted]
If joint return, spouse's first name and middle initial	Last name	Spouse's social security number
[redacted]	[redacted]	[redacted]

Home address (number and street). If you have a P.O box, see instructions. [redacted] Apt. no.

Presidential Election Campaign Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below (see instructions).
FLORENCE AL 35634

Foreign country name | Foreign province/state/county | Foreign postal code | If more than four dependents, see instr. and ✓ here ▶ ☐

Standard Deduction Someone can claim: ☐ You as a dependent ☐ Your spouse as a dependent
☐ Spouse itemizes on a separate return or you were a dual-status alien

Age/Blindness You: ☐ Were born before January 2, 1955 ☐ Are blind **Spouse:** ☐ Was born before January 2, 1955 ☐ Is blind

Dependents (see instructions):

(1) First name Last name	(2) Social security number	(3) Relationship to you	(4) ✓ if qualifies for (see instructions): Child tax credit	Credit for other dependents
[redacted]		Daughter	☒	

1	Wages, salaries, tips, etc. Attach Form(s) W-2			1	105,693
2a	Tax-exempt interest	2a	b Taxable interest. Attach Sch. B if required	2b	
3a	Qualified dividends	3a	b Ordinary divs. Att. Sch. B if req.	3b	
4a	IRA distributions	4a	b Taxable amount	4b	
c	Pensions and annuities	4c	d Taxable amount	4d	
5a	Soc. sec. ben.	5a	b Taxable amount	5b	
6	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐			6	
7a	Other income from Schedule 1, line 9			7a	111,261
b	Add lines 1, 2b, 3b, 4b, 4d, 5b, 6, and 7a. This is your **total income** ▶			7b	216,954
8a	Adjustments to income from Schedule 1, line 22			8a	703
b	Subtract line 8a from line 7b. This is your **adjusted gross income** ▶			8b	216,251
9	**Standard deduction or itemized deductions** (from Schedule A)		9 24,400		
10	Qualified business income deduction. Attach Form 8995 or Form 8995-A		10 21,368		
11a	Add lines 9 and 10			11a	45,768
b	**Taxable income.** Subtract line 11a from line 8b. If zero or less, enter -0-			11b	170,483

Standard Deduction for— • Single or Married filing separately, $12,200 • Married filing jointly or Qualifying widow(er), $24,400 • Head of household, $18,350 • If you checked any box under Standard Deduction, see instructions.

For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions. Form **1040** (2019)

DAA

MCCB 04/06/2021 2:42 PM

Form **1040** Department of the Treasury—Internal Revenue Service (99) **U.S. Individual Income Tax Return** **2020** OMB No. 1545-0074 IRS Use Only–Do not write or staple in this space.

Filing Status Check only one box. ☐ Single ☒ Married filing jointly ☐ Married filing separately (MFS) ☐ Head of household (HOH) ☐ Qualifying widow(er) (QW)
If you checked the MFS box, enter the name of your spouse. If you checked the HOH or QW box, enter the child's name if the qualifying person is a child but not your dependent. ▶

Your first name and middle initial	Last name	Your social security number
[redacted]	[redacted]	[redacted]
If joint return, spouse's first name and middle initial	Last name	Spouse's social security number
[redacted]	[redacted]	[redacted]

Home address (number and street). If you have a P.O box, see instructions. [redacted] Apt. no.

City, town or post office. If you have a foreign address, also complete spaces below. FLORENCE State AL ZIP code 35634

Foreign country name | Foreign province/state/county | Foreign postal code

Presidential Election Campaign Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

At anytime during 2020, did you receive, sell, send, exchange, or otherwise acquire financial interest in any virtual currency? ☐ Yes ☒ No

Standard Deduction Someone can claim: ☐ You as a dependent ☐ Your spouse as a dependent
☐ Spouse itemizes on a separate return or you were a dual-status alien

Age/Blindness You: ☐ Were born before January 2, 1956 ☐ Are blind **Spouse:** ☐ Was born before January 2, 1956 ☐ Is blind

Dependents (see instructions):

(1) First name Last name	(2) Social security number	(3) Relationship to you	(4) ✓ if qualifies for (see instructions): Child tax credit	Credit for other dependents
If more than four dependents, see instructions and check here ☐ ▶ [redacted]		Daughter		X

1	Wages, salaries, tips, etc. Attach Form(s) W-2			1	134,193
2a	Tax-exempt interest	2a	b Taxable interest	2b	1
3a	Qualified dividends	3a	b Ordinary dividends	3b	
4a	IRA distributions	4a	b Taxable amount	4b	
5a	Pensions and annuities	5a	b Taxable amount	5b	
6a	Soc. sec. ben.	6a	b Taxable amount	6b	
7	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐			7	
8	Other income from Schedule 1, line 9			8	25,372
9	Add lines 1, 2b, 3b, 4b, 5b, 6b, 7, and 8. This is your **total income** ▶			9	159,566
10	Adjustments to income:				
a	From Schedule 1, line 22	10a	1,399		
b	Charitable contributions if you take the standard deduction. See instructions	10b			
c	Add line 10a and 10b. These are your **total adjustments to income**			10c	1,399
11	Subtract line 10c from line 9. This is your **adjusted gross income** ▶			11	158,167
12	**Standard deduction or itemized deductions** (from Schedule A)			12	38,099
13	Qualified business income deduction. Attach Form 8995 or Form 8995-A			13	4,103
14	Add lines 12 and 13			14	42,202
15	**Taxable income.** Subtract line 14 from line 11. If zero or less, enter -0-			15	115,965

Attach Sch. B if required.

Standard Deduction for –
- Single or Married filing separately, $12,400
- Married filing jointly or Qualifying widow(er), $24,800
- Head of household, $18,650
- If you checked any box under Standard Deduction, see instructions.

For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions. Form **1040** (2020)

DAA

MCCB 04/06/2022 11:53 AM

Form **1040** Department of the Treasury—Internal Revenue Service (99) **U.S. Individual Income Tax Return** **2021** OMB No. 1545-0074 IRS Use Only–Do not write or staple in this space.

Filing Status Check only one box. ☐ Single ☒ Married filing jointly ☐ Married filing separately (MFS) ☐ Head of household (HOH) ☐ Qualifying widow(er) (QW)
If you checked the MFS box, enter the name of your spouse. If you checked the HOH or QW box, enter the child's name if the qualifying person is a child but not your dependent ▶

Your first name and middle initial	Last name	Your social security number
[redacted]	[redacted]	[redacted]
If joint return, spouse's first name and middle initial	Last name	Spouse's social security number
[redacted]	[redacted]	[redacted]

Home address (number and street). If you have a P.O box, see instructions. [redacted] Apt. no.

City, town or post office. If you have a foreign address, also complete spaces below. FLORENCE State AL ZIP code 35634

Foreign country name | Foreign province/state/county | Foreign postal code

Presidential Election Campaign Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

At any time during 2021, did you receive, sell, exchange, or otherwise dispose of any financial interest in any virtual currency? ☐ Yes ☒ No

Standard Deduction **Someone can claim:** ☐ You as a dependent ☐ Your spouse as a dependent
☐ Spouse itemizes on a separate return or you were a dual-status alien

Age/Blindness You: ☐ Were born before January 2, 1957 ☐ Are blind **Spouse:** ☐ Was born before January 2, 1957 ☐ Is blind

Dependents (see instructions):

If more than four dependents, see instr. and check here ▶ ☐	(1) First name Last name	(2) Social security number	(3) Relationship to you	(4) ✓ if qualifies for (see instructions): Child tax credit	Credit for other dependents
	[redacted]		Daughter		☒

Attach Sch.B if required.

1	Wages, salaries, tips, etc. Attach Form(s) W-2			1	130,770
2a	Tax-exempt interest	2a	b Taxable interest	2b	
3a	Qualified dividends	3a	b Ordinary dividends	3b	
4a	IRA distributions	4a	b Taxable amount	4b	
5a	Pensions and annuities	5a	b Taxable amount	5b	
6a	Soc. sec. ben.	6a	b Taxable amount	6b	
7	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐			7	12,460
8	Other income from Schedule 1, line 10			8	19,023
9	Add lines 1, 2b, 3b, 4b, 5b, 6b, 7, and 8. This is your **total income** ▶			9	162,253
10	Adjustments to income from Schedule 1, line 26			10	0
11	Subtract line 10 from line 9. This is your **adjusted gross income** ▶			11	162,253
12a	**Standard deduction or itemized deductions** (from Schedule A)	12a	33,862		
b	Charitable contributions if you take the standard deduction (see instructions)	12b			
c	Add lines 12a and 12b			12c	33,862
13	Qualified business income deduction from Form 8995 or Form 8995-A			13	3,805
14	Add lines 12c and 13 ▶			14	37,667
15	**Taxable income.** Subtract line 14 from line 11. If zero or less, enter -0-			15	124,586

Standard Deduction for –
- Single or Married filing separately, $12,550
- Married filing jointly or Qualifying widow(er), $25,100
- Head of household, $18,800
- If you checked any box under *Standard Deduction*, see instructions.

For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions. Form **1040** (2021)

DAA

Dear [redacted]

Your Auto Payment Date is almost here

This is a reminder of an upcoming AutoPay transaction; no action is required on your part.

Your bill is scheduled to be paid via AutoPay on 12/19/2022.

***Notice**-If you have AutoPay set up with a credit/debit card, please check your email to ensure the card was accepted for payment on the due date. If denied, you may be subject to late payment penalties and/or disconnection.

Please go to https://ebiz.florenceutilities.com/ and log on to the Customer Portal to review this bill (invoice) or to change your AutoPay options. Changes must be made prior to the payment date above. If you change your payment method (echeck/Credit/Debit Cards), the convenience fee amount may change. Please confirm the fee amount via email below if you are unsure.

If you have any questions regarding your account, please email us at customerservice@florenceal.org and include your account number, first name and last name on the account.

Thank you for choosing AutoPay,

City of Florence AL

P.S. Please be sure to update your checkbook if you are paying by echeck.

Please Note: To ensure delivery of account related email notifications, please add no-reply@invoicecloud.net to your safe senders list.

If you haven't already gone paperless, please help us save money and the environment by enrolling now. Simply log into your account and follow the prompts to go through the paperless process. Thank you!

AutoPay Details

Account Number:

[redacted]

Invoice Number:

125945001114890

Payment Date:

12/19/2022

Balance Due:

$268.30

Service Fee

$0.00

Total Amount

$268.30

Payment Method

My Bank Checking
Account Ending in 7267

InvoiceCloud

"Please consider the environment" before printing this email

Proof of 15 Year Consecutive Residence – Emerald Standard Dispensary Facilities – Attachment to Exhibit 2, Section 2.1 B

FORM
AL8453

Individual Income Tax Declaration for Electronic Filing

2006

Label

[redacted]

FLORENCE AL 35633

Part I
Tax Return Information

1	Alabama net income (Form 40, line 7)	41,845.
2	Total tax payable (Form 40, line 22)	2,003.
3	Total payments (Form 40, line 30)	41,778.
4	Refund (Form 40, line 33)	175.
5	Amount due (Form 40, line 34)	

Part II
Direct Deposit

1 Routing number [redacted]

2 Account number [redacted]

3 Type of account ☒ Checking ☐ Savings

Part III
Declaration of Taxpayer

Under penalties of perjury, I declare that I have examined the information contained on my return with the information I have provided to my electronic return originator and that the amounts described in Part I above agree with the amounts shown on the corresponding lines of my 2006 Alabama individual income tax return. To the best of my knowledge and belief, this return, including any accompanying schedules and statements, is true, correct, and complete. I hereby authorize the Alabama Department of Revenue to disclose to my ERO, Declaration below, any information concerning the acceptance of my return or any questions encountered in the processing of my return.

Sign Here ☒ I authorize a representative of the Department of Revenue to discuss my return and attachments with my preparer.

Part IV
Declaration of Electronic Return Originator (ERO) and Paid Preparer

I declare that I have compared the above taxpayer's Alabama individual income tax return and that the amounts on this form are complete and correctly represented based on all information of which I have any knowledge. I also declare that I have followed all other requirements described in IRS Pub 1345, Revenue Procedures for Electronic Filing of Individual Income Tax Returns (Tax Year 2006), and the Alabama Handbook for Electronic Filers of Individual Income Tax Returns (Tax Year 2006). **If I am also the paid preparer, under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.**

ERO's Use Only

Date 04/04/07 ☒ [redacted]

[redacted]

FLORENCE AL 35630

Paid Preparer's Use Only

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

Date

Check if self-employed ☐

Form AL8453 (2006)

DO NOT MAIL TO ALABAMA DEPT. OF REVENUE

AL30

Declaration Control Number (DCN)

02 – 410563 – 00872 – 8

FORM AL8453

ALABAMA DEPARTMENT OF REVENUE

Individual Income Tax Declaration for Electronic Filing

2007

For the year January 1 – December 31, 2007

Label

[redacted]

FLORENCE AL 35633

Part I Tax Return Information

1	Alabama taxable income (Form 40, line 18)	37,776.
2	Total tax liability (Form 40, line 21)	1,808.
3	Total payments (Form 40, line 28)	2,163.
4	Refund (Form 40, line 32)	355.
5	Amount you owe (Form 40, line 36)	

Part II Direct Deposit and Debit

1 Routing number: [redacted]

2 Account number: [redacted]

BRIGGS TAX SERVICE
[redacted]
Florence, AL 35630 766-9810

3 Type of account: [X] Checking [ ] Savings

4 Direct Debit: [ ]

TAXPAYERS COPY FOR _____

Part III Declaration of Taxpayer

Under penalties of perjury, I declare that I have examined this return and, to the best of my knowledge and belief, this return, including any accompanying schedules and statements, is true, correct, and complete. I also authorize the Alabama Department of Revenue to disclose to my ERO described below, any information concerning the disbursement of the refund requested or any problems encountered in the processing of my return.

old copy "Keep" both 5 years

ADDITIONAL COPIES $10.00 EA.

Sign Here

[X] I authorize a representative of the Department of Revenue to discuss my return and attachments with my preparer.

Your signature ▸ Date ▸ Spouse's signature, if a joint return, MUST sign. Date

Part IV Declaration of Electronic Return Originator (ERO) and Paid Preparer

I declare that I have reviewed the above taxpayer's Alabama individual income tax return and that the entries on this form are complete and correctly represented based on all information of which I have any knowledge. I also declare that I have followed all other requirements described in IRS Pub 1345, Revenue Procedures for Electronic Filing of Individual Income Tax Returns (Tax Year 2007), and the Alabama Handbook for Electronic Filers of Individual Income Tax Returns (Tax Year 2007). **If I am also the paid preparer, under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.**

ERO's Use Only

ERO's signature ▸ Date 03/05/08 Check if also paid preparer [X] [redacted]

Firm's name (or yours if self-employed) and address ▸ B&H DBA BRIGGS TAX SERVICE
[redacted]
FLORENCE AL 35630

Paid Preparer's Use Only

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

Preparer's signature ▸ Date Check if self-employed [ ] Preparer's SSN or PTIN

Firm's name (or yours if self-employed) and address ▸ Employer Identification Number ZIP Code

Form AL8453 (2007)

DO NOT MAIL TO ALABAMA DEPT. OF REVENUE

AL30

Declaration Control Number (DCN)
00 - 620543 - 01302 - 9

FORM
AL8453

ALABAMA DEPARTMENT OF REVENUE
Individual Income Tax Declaration for Electronic Filing
For the year January 1 – December 31, 2008

2008

Label
Use Alabama label. Otherwise, please type or print.

LABEL HERE
[redacted]
FLORENCE AL 35633

Part I
Tax Return Information

1	Alabama taxable income (Form 40, line 10)	34,709.
2	Total tax liability (Form 40, line 21)	1,658.
3	Total payments (Form 40, line 25)	1,819.
4	Refund (Form 40, line 33)	161.
5	Amount you owe (Form 40, line 28)	

Part II
Direct Deposit and Debit

1 Routing number: [redacted]
2 Account number: [redacted]
3 Type of account: [X] Checking [ ] Savings
4 Direct Debit [ ]

Part III
Declaration of Taxpayer

Under penalties of perjury, I declare that I have compared the information contained on my return with the information I have provided to my electronic return originator and that the amounts described in Part I above agree with the amounts shown on the corresponding lines of my 2008 Alabama individual income tax return. To the best of my knowledge and belief this return, including any accompanying schedules and statements, is true, correct, and complete. Also, I hereby authorize the Alabama Department of Revenue to disclose to my ERO described below, any information concerning the disbursement of the refund requested or any problems encountered in the processing of my return.

Sign Here
[X] I authorize a representative of the Department of Revenue to discuss my return and attachments with my preparer.
Your signature | Date | Spouse's signature. If a joint return, BOTH must sign. | Date

Part IV
Declaration of Electronic Return Originator (ERO) and Paid Preparer

I declare that I have reviewed the above taxpayer's Alabama individual income tax return and that the entries on this form are complete and correctly represented based on all information of which I have any knowledge. I also declare that I have followed all other requirements described in IRS Pub 1345, Revenue Procedures for Electronic Filing of Individual Income Tax Returns (Tax Year 2008), and the Alabama Handbook for Electronic Filers of Individual Income Tax Returns (Tax Year 2008). **If I am also the paid preparer, under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.**

ERO's Use Only
ERO's signature | Date 03/05/09 | Check if also paid preparer [X] | Preparer's SSN or PTIN [redacted]
Employer Identification Number [redacted]
Firm's name (or yours if self-employed) and address: B&H DBA BRIGGS TAX SERVICE [redacted]
FLORENCE AL ZIP Code 35630

Paid Preparer's Use Only
Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.
Preparer's signature | Date | Check if self-employed [ ] | Preparer's SSN or PTIN
Employer Identification Number
Firm's name (or yours if self-employed) and address | ZIP Code

Form AL8453 (2008)

DO NOT MAIL TO ALABAMA DEPT. OF REVENUE

AL30

Form **1040X**

Department of the Treasury — Internal Revenue Service

Amended U.S. Individual Income Tax Return

▶ See separate instructions.

Your first name: [redacted] M.I. Last name: [redacted] Your social security number: [redacted]

If a joint return, spouse's first name: M.I. Last name: [redacted] Your spouse's social security number: [redacted]

Your current home address (number and street). If you have a P.O. box, see instructions. Apt. no. Your phone number: [redacted]

Your city, town or post office. If you have a foreign address, see instructions. State ZIP code

FLORENCE AL 35633

All filers must complete lines A, B, and C.

A Amended return filing status. You must check one box even if you are not changing your filing status. **Caution.** You cannot change your filing status from joint to separate returns after the due date.

☐ Single ☒ Married filing jointly ☐ Married filing separately

☐ Qualifying widow(er) ☐ Head of household (If the qualifying person is a child but not your dependent, see instructions.)

B This return is for calendar year ☒ 2009 ☐ 2008 ☐ 2007 ☐ 2006

Other year. Enter one calendar year or fiscal year (month and year ended)

C Explanation of changes. In the space provided below, tell us why you are filing Form 1040X.

TAXPAYER'S QUALIFY FOR THE LONG-TIME RESIDENT CREDIT. SEE ATTACHED HUD-1, INSURANCE FORMS AND UTILITY BOARD STATEMENT SHOWING UTILITIES BEING CUT OFF AT THE OLD RESINDENCE AND BEING CUT ON AT THE NEW.
THANKS

TP COPY

		Correct amount
Income and Deductions		
1 Adjusted gross income (see instructions). If net operating loss (NOL) carryback is included, check here ☐	1	
2 Itemized deductions or standard deduction (see instructions)	2	
3 Subtract line 2 from line 1	3	
4 Exemptions. **If changing, complete the Exemptions section on page 2 and enter the amount from line 30** (see instructions)	4	
5 Taxable income. Subtract line 4 from line 3	5	
Tax Liability		
6 Tax (see instructions). Enter method used to figure tax:	6	
7 Credits (see instructions). If general business credit carryback is included, check here ☐	7	
8 Subtract line 7 from line 6. If the result is zero or less, enter -0-	8	
9 Other taxes (see instructions)	9	
10 Total tax. Add lines 8 and 9	10	875.
Payments		
11 Federal income tax withheld and excess social security and tier 1 RRTA tax withheld. **(If changing, see instructions)**	11	3,323.
12 Estimated tax payments, including amount applied from prior year's return (see instructions)	12	
13 Earned income credit (EIC) (see instructions)	13	
14 Refundable credits from ☒ Schedule M or Form(s) ☐ 2439 ☐ 4136 ☒ 5405 ☐ 8801 ☐ 8812 ☐ 8863 ☐ 8885 or ☐ other (specify)	14	7,300.
15 Total amount paid with request for extension of time to file, tax paid with original return, and additional tax paid after return was filed (see instructions)	15	0.
16 Total payments. Add lines 11 through 15	16	10,623.
Refund or Amount You Owe (**Note.** Allow 8-12 weeks to process Form 1040X.)		
17 Overpayment, if any, as shown on original return or as previously adjusted by the IRS (see instructions)	17	3,248.
18 Subtract line 17 from line 16 (If less than zero, see instructions)	18	7,375.
19 **Amount you owe.** If line 10 is more than line 18, enter the difference (see instructions)	19	
20 If line 10 is less than line 18, enter the difference. This is the amount **overpaid** on this return	20	6,500.
21 Amount of line 20 you want **refunded to you**	21	6,500.
22 Amount of line 20 you want **applied to your (enter year):** estimated tax 22		

Complete and sign this form on Page 2.

BAA For Paperwork Reduction Act Notice, see instructions. Form **1040X** (Rev. 1 2010)

Declaration Control Number (DCN)
00 – 630543 – 03424 – 1

FORM
AL8453

ALABAMA DEPARTMENT OF REVENUE
Individual Income Tax Declaration for Electronic Filing
For the year January 1 – December 31, 2010

2010

Label
Use Alabama label. Otherwise, please type or print.

LABEL HERE

[redacted]
[redacted]
[redacted]
FLORENCE AL 35633

Part I
Tax Return Information
(Whole dollars only.)

1	Alabama taxable income (Form 40, line 16)	45,730.
2	Total tax liability (Form 40, line 21)	2,208.
3	Total payments (Form 40, line 25)	2,128.
4	Refund (Form 40, line 33)	
5	Amount you owe (Form 40, line 28) MAIL VOUCHER	80.

Part II
Direct Deposit and Debit

1 Routing number [redacted]
2 Account number [redacted]
3 Type of account ☐ Checking ☐ Savings
4 Direct Debit ☐

Part III
Declaration of Taxpayer
(Sign only after Part I is completed.)

Under penalties of perjury, I declare that I have compared the information contained on my return with the information I have provided to my electronic return originator and that the amounts described in Part I above agree with the amounts shown on the corresponding lines of my 2010 Alabama individual income tax return. To the best of my knowledge and belief this return, including any accompanying schedules and statements, is true, correct, and complete. Also, I hereby authorize the Alabama Department of Revenue to disclose to my ERO described below, any information concerning the disbursement of the refund requested or any problems encountered in the processing of my return.

Sign Here ☒ I authorize a representative of the Department of Revenue to discuss my return and attachments with my preparer.

Your signature | Date | Spouse's signature. If a joint return, BOTH must sign. | Date

Part IV
Declaration of Electronic Return Originator (ERO) and Paid Preparer
(See instructions.)

I declare that I have reviewed the above taxpayer's Alabama individual income tax return and that the entries on this form are complete and correctly represented based on all information of which I have any knowledge. I also declare that I have followed all other requirements described in IRS Pub 1345, Revenue Procedures for Electronic Filing of Individual Income Tax Returns (Tax Year 2010), and the Alabama Handbook for Electronic Filers of Individual Income Tax Returns (Tax Year 2010). **If I am also the paid preparer, under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.**

ERO's Use Only

ERO's signature | Date 04/09/11 | Check if also paid preparer ☒ | Preparer's SSN or PTIN [redacted]
Employer Identification Number [redacted]
Firm's name (or yours if self-employed) and address: B&H DBA BRIGGS TAX SERVICE [redacted]
FLORENCE AL | ZIP Code 35630

Paid Preparer's Use Only

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

Preparer's signature | Date | Check if self-employed ☐ | Preparer's SSN or PTIN
Employer Identification Number
Firm's name (or yours if self-employed) and address | ZIP Code

Form AL8453 (2010)

DO NOT MAIL TO ALABAMA DEPT. OF REVENUE

AL30

Declaration Control Number (DCN): 06 - 630543 - 01777 - 2

FORM AL8453

ALABAMA DEPARTMENT OF REVENUE

Individual Income Tax Declaration for Electronic Filing

2011

For the year January 1 – December 31, 2011

[redacted]

FLORENCE AL 35633

Part I Tax Return Information

1	Alabama taxable income (Form 40, line 16 or Form 40NR, line 19)	[illegible]
2	Total tax liability (Form 40, line 21) or net tax due (Form 40NR, line 20)	[illegible]
3	Total payments (Form 40, line 25 or Form 40NR, line 25)	[illegible]
4	Refund (Form 40, line 33 or Form 40NR, line 32)	
5	Amount you owe (Form 40, line 28 or Form 40NR, line 28)	[illegible]

Part II Direct Deposit and Debit

1 Routing number [redacted]

2 Account number [redacted]

3 Type of account: [X] Checking [ ] Savings

4 Direct Debit [X] 4/15/12

Part III Declaration of Taxpayer

Under penalties of perjury, I declare that I have compared the information contained on my return with the information I have provided to my electronic return originator and that the amounts described in Part I above agree with the amounts shown on the corresponding lines of my 2011 Alabama individual income tax return. To the best of my knowledge and belief this return, including any accompanying schedules and statements, is true, correct, and complete. Also, I hereby authorize the Alabama Department of Revenue to disclose to my ERO described below, any information concerning the disbursement of the refund requested or any problems encountered in the processing of my return.

Sign Here ▸ [X] I authorize a representative of the Department of Revenue to discuss my return and attachments with my preparer.

Your signature | Date | Spouse's signature if joint return (EIN required) | Date

Part IV Declaration of Electronic Return Originator (ERO) and Paid Preparer

I declare that I have reviewed the above taxpayer's Alabama individual income tax return and that the return on this form are complete and correctly represented based on all information of which I have any knowledge. I also declare that I have followed all other requirements described in IRS Pub 1345, Revenue Procedures for Electronic Filing of Individual Income Tax Returns (Tax Year 2011), and the Alabama Handbook for Electronic Filers of Individual Income Tax Returns (Tax Year 2011). **If I am also the paid preparer, under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.**

ERO's Use Only

ERO's signature ▸ Date 02/24/12 Check if also paid preparer [X] Preparer's PTIN [redacted]

Firm's name (or yours if self-employed) and address ▸ BAN DBA BRIGGS TAX SERVICE [redacted] FLORENCE AL 35630 Employer Identification Number [redacted] ZIP Code

Paid Preparer's Use Only

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

Preparer's signature ▸ Date Check if self-employed [ ] Preparer's PTIN

Firm's name (or yours if self-employed) and address ▸ Employer Identification Number ZIP Code

Form AL8453 (2011)

DO NOT MAIL TO ALABAMA DEPT. OF REVENUE

AL30

FORM
AL8453

ALABAMA DEPARTMENT OF REVENUE
Individual Income Tax Declaration for Electronic Filing
For the year January 1 – December 31, 2012

2012

[redacted]

FLORENCE AL 35633

Part I Tax Return Information

1	Alabama taxable income (Form 40, line 16 or Form 40NR, line 18)	1 57,061.
2	Total tax liability (Form 40, line 21) or Net tax due (Form 40NR, line 20)	2 2,773.
3	Total payments (Form 40, line 25 or Form 40NR, line 25)	3 2,670.
4	Refund (Form 40, line 33 or Form 40NR, line 32)	4
5	Amount you owe (Form 40, line 28 or Form 40NR, line 28)	5 103.

Part II Direct Deposit and Debit

1 Routing number [redacted]
2 Account number [redacted]
3 Type of account [X] Checking [ ] Savings
4 Direct Debit [X]

4-15-13

Part III Declaration of Taxpayer

Under penalties of perjury, I declare that I have compared the information contained on my return with the information I have provided to my electronic return originator and that the amounts described as Part I above agree with the amounts shown on the corresponding lines of my 2012 Alabama individual income tax return. To the best of my knowledge and belief this return, including any accompanying schedules and statements, is true, correct, and complete. Also, I hereby authorize the Alabama Department of Revenue to disclose to my ERO described below, any information concerning the disbursement of the refund requested or any problems encountered in the processing of my return.

Sign Here ▸ [X] I authorize a representative of the Department of Revenue to discuss my return and attachments with my preparer.

Your signature | Date | Spouse's signature | Date

Part IV Declaration of Electronic Return Originator (ERO) and Paid Preparer

I declare that I have reviewed the above taxpayer's Alabama individual income tax return and that the entries on this form are complete and correctly represented based on all information of which I have any knowledge. I also declare that I have followed all other requirements described in IRS Pub 1345, Revenue Procedures for Electronic Filing of Individual Income Tax Returns (Tax Year 2012), and the Alabama Handbook for Electronic Filers of Individual Income Tax Returns (Tax Year 2012). **If I am also the paid preparer, under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.**

ERO's Use Only

BRIGGS TAX SERVICE
2310 Huntsville Road
Florence, AL 35630
04/09/13

ERO's signature ▸ [X] [redacted]

Firm name (or yours if self-employed) and address ▸ B&H DBA BRIGGS TAX SERVICE [redacted] FLORENCE

TAXPAYERS COPY FOR
"Keep" each 5 years
ADDITIONAL COPIES $10.00 EA.

Paid Preparer's Use Only

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

Preparer's signature ▸ | Date | Check if self-employed [ ] | Preparer's PTIN

Firm name (or yours if self-employed) and address ▸ | Employer identification number | ZIP Code

Form AL8453 (2012)

DO NOT MAIL TO ALABAMA DEPT. OF REVENUE

AL30

AL8453 Individual Income Tax Declaration for Electronic Filing 2013

[redacted]

Part I Tax Return Information

1 Alabama taxable income (Form 40, line 10 or Form 40NR, line 10)
2 Total tax liability (Form 40, line 21) or Net tax due (Form 40NR, line 21)
3 Total payments (Form 40, line 26 or Form 40NR, line 29)
4 Refund (Form 40, line 34 or Form 40NR, line 33)
5 Amount you owe (Form 40, line 28 or Form 40NR, line 29)

Part II Refund and Payment Information

1 Routing number [redacted]
2 Account number [redacted]
3 Type of account ☒ Checking ☐ Savings
4 Type of transaction ☒ Direct Deposit ☐ Direct Debit
5 ☐ Paper Check Check the box to have your refund issued by a paper check

Part III Declaration of Taxpayer

Under penalties of perjury, I declare that I have compared the information contained on my return with the information I have provided to my return originator and that the amounts described in Part I above agree with the amounts shown on the corresponding lines of my 2013 Alabama income tax return. To the best of my knowledge and belief, this return, including any accompanying schedules and statements, is true, correct, and complete. Also, I hereby authorize the Alabama Department of Revenue to disclose to my ERO designated below, any information concerning the disbursement of the refund requested or any problems encountered in the processing of my return.

☒ I authorize a representative of the Department of Revenue to discuss my return and attachments with my preparer.

Sign Here ▶ Your signature Date ▶ Spouse's signature If a joint return, both must sign Date

Part IV Declaration of Electronic Return Originator (ERO) and Paid Preparer

I declare that I have reviewed the above taxpayer's Alabama individual income tax return and that the entries on this form are complete and correct, based on all information of which I have any knowledge. I also declare that I have followed all other requirements mentioned in IRS Pub 1345, Revenue Procedures for Electronic Filing of Individual Income Tax Returns (Tax Year 2013), and the Alabama Handbook for Electronic Filing of Individual Income Tax Returns (Tax Year 2013). **If I am also the paid preparer, under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.**

ERO's Use Only

ERO's signature ▶ Date 03/31/2014 Check if also paid preparer ☐ Preparer's PTIN

Firm's name (or yours if self-employed) and address ▶ [redacted] EIN [redacted] ZIP Code 35210

Paid Preparer's Use Only

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

Preparer's signature ▶ Date 03/31/2014 Check if self-employed ☐ Preparer's PTIN [redacted]

Firm's name (or yours if self-employed) and address ▶ [redacted] EIN [redacted] ZIP Code 35210

AL Form PT 1555 Form AL8453 (2013)

DO NOT MAIL TO ALABAMA DEPT. OF REVENUE

FORM AL8453

ALABAMA DEPARTMENT OF REVENUE

Individual Income Tax Declaration for Electronic Filing

2014

For the year January 1 – December 31, 2014

[redacted]

[redacted]

[redacted]

[redacted]

FLORENCE AL 35631

Part I Tax Return Information

1 Alabama taxable income (Form 40, line 16 or Form 40NR, line 18)

2 Total tax liability (Form 40, line 21) or Net tax due (Form 40NR, line 20)

3 Total payments (Form 40, line 27 or Form 40NR, line 27)

4 Refund (Form 40, line 35 or Form 40NR, line 34)

5 Amount you owe (Form 40, line 39 or Form 40NR, line 38)

Part II Refund and Payment Information

1 Routing number [redacted]

2 Account number [redacted]

3 Type of account ☒ Checking ☐ Savings

4 Type of transaction ☒ Direct Deposit ☐ Direct Debit

5 ☐ Paper Check (Check this box to have your refund issued by a paper check.)

Part III Declaration of Taxpayer

Under penalties of perjury, I declare that I have compared the information contained on my return with the information I have provided to my electronic return originator and that the amounts described in Part I above agree with the amounts shown on the corresponding lines of my 2014 Alabama individual income tax return. To the best of my knowledge and belief this return, including any accompanying schedules and statements, is true, correct, and complete. Also, I hereby authorize the Alabama Department of Revenue to disclose to my ERO described below, any information concerning the disbursement of the refund requested or any problems encountered in the processing of my return.

☒ I authorize a representative of the Department of Revenue to discuss my return and attachments with my preparer

Sign Here ▶ Taxpayer signature Date ▶ Spouse's signature. If a joint return, BOTH must sign. Date

Part IV Declaration of Electronic Return Originator (ERO) and Paid Preparer

I declare that I have reviewed the above taxpayer's Alabama individual income tax return and that the entries on this form are complete and correctly represented based on all information of which I have any knowledge. I also declare that I have followed all other requirements described in IRS Pub 1345, Revenue Procedures for Electronic Filing of Individual Income Tax Returns (Tax Year 2014), and the Alabama Handbook for Electronic Filers of Individual Income Tax Returns (Tax Year 2014). **If I am also the paid preparer, under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.**

ERO's Use Only

ERO's signature ▶ Date 03/[illegible] Check if also Paid preparer ☐ Preparer's PTIN [redacted]

Firm's name (or yours if self-employed) and address ▶ NAN DBA BRIGGS TAX SERVICE [redacted]

Paid Preparer's Use Only

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

Preparer's signature ▶ Date 03/19/2015 Check if self-employed ☐ Preparer's PTIN [redacted]

Firm's name (or yours if self-employed) and address ▶ TERRY NASH [redacted]

ZIP Code [redacted]

BRIGGS TAX SERVICE 2310 Mussleshoals Road Florence, AL 35630 TAXPAYER COPY "Keep for 3 years"

Form AL8453 2014

DO NOT MAIL TO ALABAMA DEPT. OF REVENUE

FORM **AL8453**

ALABAMA DEPARTMENT OF REVENUE

Individual Income Tax Declaration for Electronic Filing

2015

For the year January 1 – December 31, 2015

[redacted]

[redacted]

FLORENCE AL 35633

Part I Tax Return Information

1	Alabama taxable income (Form 40, line 16 or Form 40NR, line 18)	1
2	Total tax liability (Form 40, line 21) or Net tax due (Form 40NR, line 22)	2
3	Total payments (Form 40, line 27 or Form 40NR, line 27)	3
4	Refund (Form 40, line 35 or Form 40NR, line 34)	4
5	Amount you owe (Form 40, line 30 or Form 40NR, line 30)	5

Part II Refund and Payment Information

1 Routing number: [redacted]

2 Account number: [redacted]

3 Type of account: ☒ Checking ☐ Savings

4 Type of transaction: ☒ Direct Deposit ☐ Direct Debit

5 ☐ Paper Check (Check this box to have your refund issued by a paper check.)

Part III Declaration of Taxpayer

Under penalties of perjury, I declare that I have compared the information contained on my return with the information I have provided to my electronic return originator and that the amounts described in Part 1 above agree with the amounts shown on the corresponding lines of my 2015 Alabama individual income tax return. To the best of my knowledge and belief this return, including any accompanying schedules and statements, is true, correct, and complete. Also, I hereby authorize the Alabama Department of Revenue to disclose to my ERO described below, any information concerning the disbursement of the refund requested or any problems encountered in the processing of my return. In addition, by using a computer system and software to transmit my return electronically, I consent to the disclosure to the Alabama Department of Revenue of all information pertaining to my use of the system and software and to the transmission of my tax return electronically.

☒ I authorize a representative of the Department of Revenue to discuss my return and attachments with my preparer.

Sign Here ▶ Your signature Date ▶ Spouse's signature. If a joint return, BOTH must sign. Date

Part IV Declaration of Electronic Return Originator (ERO) and Paid Preparer

I declare that I have reviewed the above taxpayer's Alabama individual income tax return and that the entries on this form are complete and correctly represented based on all information of which I have any knowledge. I also declare that I have followed all other requirements described in IRS PUB. 1345, Revenue Procedures for Electronic Filing of Individual Income Tax Returns (Tax Year 2015), and the Alabama Handbook for Electronic Filers of Individual Income Tax Returns (Tax Year 2015). **If I am also the paid preparer, under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.**

ERO's Use Only

ERO's signature ▶ Date 04/08/2016 Check if also paid preparer ☐ Preparer's PTIN [redacted]

Firm's name (or yours if self-employed) and address ▶ B&H DBA BRIGGS TAX SERVICE [redacted] E.I. No. [redacted] ZIP Code 35630

Paid Preparer's Use Only

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

Preparer's signature ▶ Date 04/08/2016 Check if self-employed ☐ Preparer's PTIN [redacted]

Firm's name (or yours if self-employed) and address ▶ [redacted] E.I. No. [redacted] ZIP Code 35630

1555 Form AL8453 2015

DO NOT MAIL TO ALABAMA DEPT. OF REVENUE

FORM
AL8453

ALABAMA DEPARTMENT OF REVENUE
Individual Income Tax Declaration for Electronic Filing

2016

For the year January 1 – December 31, 2016

[redacted]

FLORENCE AL 35633

Part I Tax Return Information

1	Alabama taxable income (Form 40, line 16 or Form 40NR, line 18)	16,603.
2	Total tax liability (Form 40, line 21) or Net tax due (Form 40NR, line 20)	1,721.
3	Total payments (Form 40, line 27 or Form 40NR, line 27)	1,721.
4	Refund (Form 40, line 35 or Form 40NR, line 34)	[illegible]
5	Amount you owe (Form 40, line 30 or Form 40NR, line 30)	

Part II Refund and Payment Information

1 Routing number [redacted]
2 Account number [redacted]
3 Type of account: ☒ Checking ☐ Savings
4 Type of transaction: ☒ Direct Deposit ☐ Direct Debit
5 ☐ Paper Check (Check this box to have your refund issued by a paper check.)

Part III Declaration of Taxpayer

Under penalties of perjury, I declare that I have compared the information contained on my return with the information I have provided to my electronic return originator and that the amounts described in Part I above agree with the amounts shown on the corresponding lines of my 2016 Alabama individual income tax return. To the best of my knowledge and belief this return, including any accompanying schedules and statements, is true, correct, and complete. Also, I hereby authorize the Alabama Department of Revenue to disclose to my ERO described below, any information concerning the disbursement of the refund requested or any problems encountered in the processing of my return. By using a computer system and software to prepare and transmit my client's return electronically, I consent to the disclosure of all information pertaining to my use of the system and software to create my client's return and to the electronic transmission of my client's tax return to the **Alabama Department of Revenue**, as applicable by law.

☒ I authorize a representative of the Department of Revenue to discuss my return and attachments with my preparer.

Sign Here ▶ Your signature | Date ▶ Spouse's signature. If a joint return, BOTH must sign. | Date

Part IV Declaration of Electronic Return Originator (ERO) and Paid Preparer

I declare that I have reviewed the above taxpayer's Alabama individual income tax return and that the entries on this form are complete and correctly represented based on all information of which I have any knowledge. I also declare that I have followed all other requirements described in IRS Pub. 1345, Revenue Procedures for Electronic Filing of Individual Income Tax Returns (Tax Year 2016), and the Alabama Handbook for Electronic Filers of Individual Income Tax Returns (Tax Year 2016). **If I am also the paid preparer, under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.**

ERO's Use Only

ERO's signature ▶ Date 03/10/2017 Check if also paid preparer ☐ Preparer's PTIN

Firm's name (or yours if self-employed) and address ▶ B&H DBA BRIGGS TAX SERVICE [redacted] EI No. [redacted] ZIP Code 35630

Paid Preparer's Use Only

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

Preparer's signature ▶ Date 03/10/2017 Check if self-employed ☐ Preparer's PTIN [redacted]

Firm's name (or yours if self-employed) and address ▶ [redacted] EI No. [redacted] ZIP Code 35630

Form AL8453 2016
1555

DO NOT MAIL TO ALABAMA DEPT. OF REVENUE

Form
AL8453

ALABAMA DEPARTMENT OF REVENUE
Individual Income Tax Declaration for Electronic Filing

2017

For the year January 1 – December 31, 2017

[redacted]

AL 35633

Part I Tax Return Information

1 Alabama Taxable Income (Form 40, line 16 or Form 40NR, line 16)	[illegible]
2 Total tax liability (Form 40, line 21) or filed tax due (Form 40NR, line 20)	[illegible]
3 Total payments (Form 40, line 27 or Form 40NR, line 27)	[illegible]
4 Refund (Form 40, line 35 or Form 40NR, line 34)	[illegible]
5 Amount you owe (Form 40, line 33 or Form 40NR, line 30)	

Part II Refund and Payment Information

1 Routing number [redacted]
2 Account number [redacted]
3 Type of account: ☒ Checking ☐ Savings
4 Type of transaction: ☒ Direct Deposit ☐ Direct Debit
5 ☐ Paper Check (Check this box to have your refund issued by a paper check.)

Part III Declaration of Taxpayer

Under penalties of perjury, I declare that I have compared the information contained on my return with the information I have provided to my electronic return originator and that the amounts described in Part I above agree with the amounts shown on the corresponding lines of my 2017 Alabama individual income tax return. To the best of my knowledge and belief, this return, including any accompanying schedules and statements, is true, correct, and complete. Also, I hereby authorize the Alabama Department of Revenue to disclose to my ERO described below: any information concerning the disbursement of the refund requested or any problems encountered in the processing of my return.

☐ I authorize a representative of the Department of Revenue to discuss my return and attachments with my preparer.

Sign Here ▶ Your signature | Date ▶ Spouse's signature. If a joint return, BOTH must sign. | Date

Part IV Declaration of Electronic Return Originator (ERO) and Paid Preparer

I declare that I have reviewed the taxpayer's Alabama individual income tax return and that the entries on this form are complete and correctly represent based on all information of which I have any knowledge. I also declare that I have followed all other requirements described in IRS Pub. 1345, Revenue Procedures for Electronic Filing of Individual Income Tax Returns (Tax Year 2017), and the Alabama Handbook for Electronic Filers of Individual Income Tax Returns (Tax Year 2017). By using a computer system and software to prepare and transmit my client's return electronically, I consent to the disclosure of all information pertaining to my use of the system and software to enable my client's return and to the electronic transmission of my client's tax return to the **Alabama Department of Revenue** as applicable by law. **If I am also the paid preparer, under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.**

ERO's Use Only

ERO's signature ▶ Date 03/09/2018 | Check if also paid preparer ☐ | Preparer's PTIN [redacted]

Firm's name (or yours if self-employed) and address ▶ [redacted] | EI No. [redacted] | ZIP Code 35630

Paid Preparer's Use Only

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

Preparer's signature ▶ Date 03/09/2018 | Check if self-employed ☐ | Preparer's PTIN [redacted]

Firm's name (or yours if self-employed) and address ▶ [redacted] | EI No. [redacted] | ZIP Code 35630

Form AL8453 2017

DO NOT MAIL TO ALABAMA DEPT. OF REVENUE

1555

FORM
AL8453

ALABAMA DEPARTMENT OF REVENUE
Individual Income Tax Declaration for Electronic Filing

2018

For the year January 1 – December 31, 2018

[redacted]

Part I Tax Return Information

1 Alabama taxable income (Form 40, line 16 or Form 40NR, line 15)
2 Total tax liability (Form 40, line 21) or Net tax due (Form 40NR, line 20)
3 Total payments (Form 40, line 26 or Form 40NR, line 25)
4 Refund (Form 40, line 34 or Form 40NR, line 33)
5 Amount you owe (Form 40, line 29 or Form 40NR, line 29)

Part II Refund and Payment Information

1 Routing number [redacted]
2 Account number [redacted]
3 Type of account ☒ Checking ☐ Savings
4 Type of transaction ☒ Direct Deposit ☐ Direct Debit
5 ☐ Paper Check (Check this box to have your refund issued by a paper check.)

Part III Declaration of Taxpayer

Under penalties of perjury, I declare that I have compared the information contained on my return with the information I have provided to my electronic return originator and that the amounts described in Part I above agree with the amounts shown on the corresponding lines of my 2018 Alabama individual income tax return. To the best of my knowledge and belief, this return, including any accompanying schedules and statements, is true, correct, and complete. Also, I hereby authorize the Alabama Department of Revenue to disclose to my ERO described below, any information concerning the disbursement of the refund requested or any problems encountered in the processing of my return.

☐ I authorize a representative of the Department of Revenue to discuss my return and attachments with my preparer.

Sign Here ▶ Your signature | Date | ▶ Spouse's signature. If a joint return, BOTH must sign. | Date

Part IV Declaration of Electronic Return Originator (ERO) and Paid Preparer

I declare that I have reviewed the above taxpayer's Alabama individual income tax return and that the entries on this form are complete and correctly represented based on all information of which I have any knowledge. I also declare that I have followed all other requirements described in IRS Pub. 1345, Revenue Procedures for Electronic Filing of Individual Income Tax Returns (Tax Year 2018), and the Alabama Handbook for Electronic Filers of Individual Income Tax Returns (Tax Year 2018). By using a computer system and software to prepare and transmit my client's return electronically, I consent to the disclosure of all information pertaining to my use of the system and software to create my client's return and to the electronic transmission of my client's tax return to the **Alabama Department of Revenue**, as applicable by law. **If I am also the paid preparer, under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.**

ERO's Use Only

ERO's signature ▶ | Date 04/08/2019 | Check if also paid preparer ☐ | Preparer's PTIN [redacted]

Firm's name (or yours if self-employed) and address ▶ B&B DBA BRIDGE TAX SERVICE [redacted] | E.I. No. [redacted] | ZIP Code 35630

Paid Preparer's Use Only

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

Preparer's signature ▶ | Date 04/08/2019 | Check if self-employed ☐ | Preparer's PTIN [redacted]

Firm's name (or yours if self-employed) and address ▶ DORIS BELCHER [redacted] | E.I. No. [redacted] | ZIP Code 35630

Form AL8453 2018

DO NOT MAIL TO ALABAMA DEPT. OF REVENUE

1555

FORM
AL8453

ALABAMA DEPARTMENT OF REVENUE
Individual Income Tax Declaration for Electronic Filing

2019

For the year January 1 – December 31, 2019

[redacted]

FLORENCE AL 35630

Part I Tax Return Information

1 Alabama taxable income (Form 40, line 18 or Form 40NR, line 18)
2 Total tax liability (Form 40, line 21) or Net tax due (Form 40NR, line 20)
3 Total payments (Form 40, line 26 or Form 40NR, line 26)
4 Refund (Form 40, line 34 or Form 40NR, line 33)
5 Amount you owe (Form 40, line 29 or Form 40NR, line 29)

Part II Refund and Payment Information

1 Routing number [redacted]
2 Account number [redacted]
3 Type of account ☒ Checking ☐ Savings
4 Type of transaction ☒ Direct Deposit ☐ Direct Debit
5 ☐ Paper Check (Check this box to have your refund issued by a paper check.)

BRIGGS TAX SERVICE
2310 Huntsville Road
Florence, AL 35630 766-0310

Part III Declaration of Taxpayer

Under penalties of perjury, I declare that I have compared the information contained on my return with the information I have provided to my electronic return originator and that the amounts described in Part I above agree with the amounts shown on the corresponding lines of my 2019 Alabama individual income tax return. To the best of my knowledge and belief, this return, including any accompanying schedules and statements, and my electronic filing [illegible] hereby authorize the Alabama Department of Revenue to disclose to my ERO described below, any information concerning the disbursement of the refund requested in Part II of my return or any other information in the processing of my return.

Tax Year 2019
old copy Keep each 5 years

☒ I authorize a representative of the Department of Revenue to discuss my return and attachments with my preparer.

Sign Here ▶ Your signature Date ▶ Spouse's signature. If a joint return, BOTH must sign. Date

Part IV Declaration of Electronic Return Originator (ERO) and Paid Preparer

I declare that I have reviewed the above taxpayer's Alabama individual income tax return and that the entries on this form are complete and correct, to the best of my knowledge and belief, based on all information of which I have any knowledge. I also declare that I have followed all other requirements described in IRS Pub. 1345, Revenue Procedures for Electronic Filing of Individual Income Tax Returns (Tax Year 2019), and the Alabama Handbook for Electronic Filers of Individual Income Tax Returns (Tax Year 2019). By using a computer system and software to prepare and transmit my client's return electronically, I consent to the disclosure of all information pertaining to my use of the system and software to create my client's return and to the electronic transmission of my client's tax return to the Alabama Department of Revenue, as applicable by law. If I am also the paid preparer, under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

ERO's Use Only

ERO's signature ▶ Date 02/15/2020 Check if also paid preparer ☐ Preparer's PTIN

Firm's name (or yours, if self-employed) and address ▶ B&H DBA BRIGGS TAX SERVICE [redacted] EIN [redacted] ZIP Code 35630

Paid Preparer's Use Only

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

Preparer's signature ▶ Date 02/15/2020 Check if self-employed ☐ [redacted]

Firm's name (or yours, if self-employed) and address [redacted] EIN [redacted] ZIP Code 35630

DO NOT MAIL TO ALABAMA DEPT. OF REVENUE

Form AL8453 2019

FORM
AL8453

ALABAMA DEPARTMENT OF REVENUE
Individual Income Tax Declaration for Electronic Filing
For the year January 1 – December 31, 2020

2020

[redacted]

Part I Tax Return Information

1 Alabama taxable income (Form 40, line 18 or Form 40NR, line 18)
2 Total tax liability (Form 40, line 21) or Net tax due (Form 40NR, line 20)
3 Total payments (Form 40, line 26 or Form 40NR, line 28)
4 Refund (Form 40, line 34 or Form 40NR, line 33)
5 Amount you owe (Form 40, line 29 or Form 40NR, line 29)

Part II Refund and Payment Information

1 Routing number [redacted]
2 Account number [redacted]
3 Type of account ☒ Checking ☐ Savings
4 Type of transaction ☒ Direct Deposit ☐ Direct Debit
5 ☐ Paper Check (Check this box to have your refund issued by a paper check.)

Part III Declaration of Taxpayer

Under penalties of perjury, I declare that I have compared the information contained on my return with the information I have provided to my electronic return originator and that the amounts described in Part I above agree with the amounts shown on the corresponding lines of my 2020 Alabama individual income tax return. To the best of my knowledge and belief, the return, including any accompanying schedules and statements, is true, correct, and complete. Also, I hereby authorize the Alabama Department of Revenue to disclose to my ERO described below, any information concerning the disbursement of the refund requested or any problems encountered in the processing of my return.

☒ I authorize a representative of the Department of Revenue to discuss my return and attachments with my preparer.

Sign Here ▶ Your signature | Date ▶ Spouse's signature. If a joint return, BOTH must sign. | Date

Part IV Declaration of Electronic Return Originator (ERO) and Paid Preparer

I declare that I have reviewed the above taxpayer's Alabama individual income tax return and that the entries on this form are complete and correctly represent the data and all information of which I have any knowledge. I also declare that I have followed all other requirements described in IRS Pub. 1345, Revenue Procedure for Electronic Filing of Individual Income Tax Returns (Tax Year 2020), and the Alabama Handbook for Electronic Filers of Individual Income Tax Returns (Tax Year 2020). By using a computer system and software to produce and transmit my client's return electronically, I consent to the disclosure of all information pertaining to my use of the system and software to create my client's return and to the electronic transmission of my client's tax return to the **Alabama Department of Revenue**, as applicable by law. If I am also the **paid preparer, under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.**

ERO's Use Only

ERO's signature ▶ | Date 05/30/2021 | Check if also paid preparer ☐ | Preparer's PTIN

Firm's name (or yours if self-employed) and address ▶ B&N DBA BRIGGS TAX SERVICE [redacted] | E-file No. [redacted] | ZIP Code 35630

Paid Preparer's Use Only

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

Preparer's signature ▶ | Date 05/30/2021 | Check if self-employed ☐ | Preparer's PTIN [redacted]

Firm's name (or yours if self-employed) and address ▶ [redacted] | E-file No. [redacted] | ZIP Code 35630

DO NOT MAIL TO ALABAMA DEPT. OF REVENUE

Form AL8453 2020
1555-1

RECORD NOT MAIL

FORM
AL8453

ALABAMA DEPARTMENT OF REVENUE
Individual Income Tax Declaration for Electronic Filing

2021

For the year January 1 – December 31, 2021

[redacted]

FLORENCE AL 35633

Part I Tax Return Information

1 Alabama taxable income (Form 40, line 16 or Form 40NR, line 16)
2 Total tax liability (Form 40, line 21) or Net tax due (Form 40NR, line 20)
3 Total payments (Form 40, line 27 or Form 40NR, line 25)
4 Refund (Form 40, line 35 or Form 40NR, line 33)
5 Amount you owe (Form 40, line 30 or Form 40NR, line 28)

Part II Refund and Payment Information

1 Routing number: [redacted]
2 Account number: [redacted]
3 Type of account: ☒ Checking ☐ Savings
4 Type of transaction: ☒ Direct Deposit ☐ Direct Debit
5 ☐ Paper Check (Check this box to have your refund issued by a paper check.)

Part III Declaration of Taxpayer

Under penalties of perjury, I declare that I have compared the information on my return with the information I have provided to my electronic return originator (ERO) and that the amounts described in Part I above agree with the amounts shown on the corresponding lines of my 2021 Alabama individual income tax return. To the best of my knowledge and belief, this return, including any accompanying schedules and statements, is true, correct, and complete. Also, I hereby authorize the Alabama Department of Revenue to disclose to my ERO described below, any information concerning the disbursement of the refund requested or any problems encountered in the processing of my return.

☒ I authorize a representative of the Department of Revenue to discuss my return and attachments with my preparer.

Sign Here ▶ Your signature Date ▶ Spouse's signature. If a joint return, BOTH must sign. Date

Part IV Declaration of Electronic Return Originator (ERO) and Paid Preparer

I declare that I have reviewed the above taxpayer's Alabama individual income tax return and that the entries on this form are complete and correctly represented based on all information of which I have any knowledge. I also declare that I have followed all other requirements described in IRS PUB. 1345, Revenue Procedure for Electronic Filing of Individual Income Tax Returns (Tax Year 2021), and the Alabama Handbook for Electronic Filers of Individual Income Tax Returns (Tax Year 2021). By using a computer system and software to prepare and transmit my client's return electronically, I consent to the disclosure of all information pertaining to my use of the system and software to create my client's return and to the electronic transmission of my client's tax return to the **Alabama Department of Revenue**, as applicable by law. **If I am also the paid preparer, under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.**

ERO's Use Only

ERO's signature ▶ Date 05/10/2022 Check if also paid preparer ☐ Preparer's PTIN

Firm's name (or yours if self-employed) and address ▶ BJB DBA BRIGGS TAX SERVICE [redacted] E.I. No. [redacted] ZIP Code 35630

Paid Preparer's Use Only

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

Preparer's signature ▶ Date 05/10/2022 Check if self-employed ☐ Preparer's PTIN [redacted]

Firm's name (or yours if self-employed) and address ▶ MARY MORRIS [redacted] E.I. No. [redacted] ZIP Code 35630

DO NOT MAIL TO ALABAMA DEPT. OF REVENUE

Form AL8453 (R) 1555-1

DO NOT MAIL

PENNYMAC
[redacted]
LOS ANGELES, CA 90051-4387

004188 5783206 000 01 001
[redacted]
FLORENCE, AL 35633-1742

Contact Us:
Web: www.PennyMac.com
General Insurance:
Settlement Claim Checks:
Customer Service: [redacted]

Mortgage Activity Statement

Statement Date: December 5, 2022

Loan Number: [redacted]
Payment Due Date: **January 1, 2023**
Amount Due: $930.50

If payment is received after 1/11/2023, $31.33 late fee will be charged. If the Amount Due changes, based on the terms of your mortgage, the late fee amount may also change.

Explanation of Amount Due

Contractual Amount Due		
Principal		$285.62
Interest		$345.02
Escrow (Taxes and Insurance)		$299.86
Regular Monthly Payment:		**$930.50**
Fees & Charges (total outstanding)		$0.00
Charges since last statement	$0.00	
Credits since last statement	$0.00	
Overdue Payment		**$0.00**
Total Amount Due:		**$930.50**

Important Messages

***Suspense**: Any amount received less than a full payment will be applied to a suspense account for your mortgage. When enough is received to equal a full payment, a full payment will be applied to your mortgage.

Account Information

Property Address: [redacted]
FLORENCE, AL 35633

Unpaid Principal Balance:	$144,007.40
Escrow Balance:	$1,131.53
Suspense Balance:	$0.00
Interest Rate:	2.875%
Prepayment Penalty:	No

Past Payments Breakdown	As of Last Stmt	Paid Year to Date
Principal:	$284.94	$3,374.70
Interest:	$345.70	$4,192.98
Escrow (Taxes & Insurance):	$299.86	$3,194.49
Fees:	$0.00	$0.00
Suspense*:	$0.00	$0.00
Total:	$930.50	$10,762.17

You are enrolled in AutoPay. This coupon is provided for informational purposes only.
If your AutoPay is cancelled before your next scheduled draft, please initiate your payment.

PENNYMAC

Payment Date: 1/1/2023

Loan Number: [redacted]

Payment Amount:	$
Additional Principal:	$
Additional Escrow:	$
Other:	$
Total Amount Enclosed:	$

PENNYMAC LOAN SERVICES, LLC
[redacted]
DALLAS, TX 75266-0929

[redacted]
FLORENCE, AL 35633-1742

0100803364331610009305030000930508

Proof of 15 Year Consecutive Residence – Emerald Standard Dispensary Facilities – Attachment to Exhibit 2, Section 2.1 Owner C

Form **1040** U.S. Individual Income Tax Return **2006** (99)

IRS Use Only - Do not write or staple in this space.

For the year Jan. 1-Dec. 31, 2006, or other tax year beginning , 2006, ending , 20

Label (See instructions on page 16.) Use the IRS label. Otherwise, please print or type.

LABEL HERE

Your first name and initial: [redacted] Last name: [redacted]

If a joint return, spouse's first name and initial: [redacted] Last name: [redacted]

Home address (number and street). If you have a P.O. box, see page 16.: [redacted] Apt. no.: [redacted]

City, town or post office, state, and ZIP code. If you have a foreign address, see page 16: BIRMINGHAM, AL 35216

Your social security number: [redacted]

Spouse's social security number: [redacted]

You **must** enter your SSN(s) above.

Checking a box below will not change your tax or refund.

Presidential Election Campaign ▶ Check here if you, or your spouse if filing jointly, want $3 to go to this fund (see page 16) ▶ [ ] You [ ] Spouse

Filing Status Check only one box.

1 [ ] Single
2 [X] Married filing jointly (even if only one had income)
3 [ ] Married filing separately. Enter spouse's SSN above and full name here. ▶
4 [ ] Head of household (with qualifying person). If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 [ ] Qualifying widow(er) with dependent child (see page 17)

Exemptions

6a [X] **Yourself.** If someone can claim you as a dependent, **do not** check box 6a
b [X] **Spouse**

Boxes checked on 6a and 6b: 2

c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if qualifying child for child tax credit (see page 19)

If more than four dependents, see page 19.

No. of children on 6c who:
- lived with you
- did not live with you due to divorce or separation (see page 20)

Dependents on 6c not entered above

d Total number of exemptions claimed — Add numbers on lines above ▶ 2

Income

Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.

If you did not get a W-2, see page 23.

Enclose, but do not attach, any payment. Also, please use Form 1040-V.

7	Wages, salaries, tips, etc. Attach Form(s) W-2			7	85,812.
8a	**Taxable** interest. Attach Schedule B if required			8a	1,957.
b	**Tax-exempt** interest. **Do not** include on line 8a	8b			
9a	Ordinary dividends. Attach Schedule B if required			9a	
b	Qualified dividends (see page 23)	9b			
10	Taxable refunds, credits, or offsets of state and local income taxes	STMT 2 STMT 3		10	1,277.
11	Alimony received			11	
12	Business income or (loss). Attach Schedule C or C-EZ			12	0.
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ [ ]			13	
14	Other gains or (losses). Attach Form 4797			14	
15a	IRA distributions	15a	b Taxable amount	15b	
16a	Pensions and annuities	16a 22,331.	b Taxable amount	16b	22,331.
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E			17	
18	Farm income or (loss). Attach Schedule F			18	<9,720.>
19	Unemployment compensation			19	
20a	Social security benefits	20a	b Taxable amount (see page 27)	20b	
21	Other income. List type and amount (see page 29)			21	
22	Add the amounts in the far right column for lines 7 through 21. This is your **total income** ▶			22	101,657.

Adjusted Gross Income

23	Archer MSA deduction. Attach Form 8853 E	23	500.		
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24			
25	Health savings account deduction. Attach Form 8889	25			
26	Moving expenses. Attach Form 3903	26			
27	One-half of self-employment tax. Attach Schedule SE	27			
28	Self-employed SEP, SIMPLE, and qualified plans	28			
29	Self-employed health insurance deduction (see page 29)	29			
30	Penalty on early withdrawal of savings	30			
31a	Alimony paid b Recipient's SSN ▶	31a			
32	IRA deduction (see page 31)	32			
33	Student loan interest deduction (see page 33)	33			
34	Jury duty pay you gave to your employer	34			
35	Domestic production activities deduction. Attach Form 8903	35			
36	Add lines 23 through 31a and 32 through 35			36	500.
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶			37	101,157.

S10001 03-19-07

LHA For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see page 80. Form 1040 (2006)

Form **1040** U.S. Individual Income Tax Return **2007**

IRS Use Only - Do not write or staple in this space.

For the year Jan. 1-Dec. 31, 2007, or other tax year beginning , 2007, ending , 20 OMB No. 1545-0074

Label (See instructions on page 12.) Use the IRS label. Otherwise, please print or type.

LABEL HERE

Your first name and initial: [redacted] Last name: [redacted] Your social security number: [redacted]

If a joint return, spouse's first name and initial: [redacted] Last name: [redacted] Spouse's social security number: [redacted]

Home address (number and street). If you have a P.O. box, see page 12. [redacted] Apt. no. [redacted]

City, town or post office, state, and ZIP code. If you have a foreign address, see page 12. BIRMINGHAM, AL 35216

You **must** enter ▲ your SSN(s) above. ▲

Checking a box below will not change your tax or refund.

Presidential Election Campaign ▶ Check here if you, or your spouse if filing jointly, want $3 to go to this fund (see page 12) ▶ ☐ You ☐ Spouse

Filing Status Check only one box.

1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) with dependent child (see page 14)

Exemptions

6a ☒ **Yourself.** If someone can claim you as a dependent, **do not** check box 6a
b ☒ **Spouse**

Boxes checked on 6a and 6b: 2

c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if qualifying child for child tax credit (see page 15)

If more than four dependents, see page 15.

No. of children on 6c who:
- lived with you
- did not live with you due to divorce or separation (see page 16)

Dependents on 6c not entered above

d Total number of exemptions claimed — Add numbers on lines above ▶ 2

Income

Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.

If you did not get a W-2, see page 19.

Enclose, but do not attach, any payment. Also, please use Form 1040-V.

7	Wages, salaries, tips, etc. Attach Form(s) W-2		7	85,961.
8a	**Taxable** interest. Attach Schedule B if required		8a	2,717.
b	**Tax-exempt** interest. **Do not** include on line 8a	8b		
9a	Ordinary dividends. Attach Schedule B if required		9a	
b	Qualified dividends (see page 19)	9b		
10	Taxable refunds, credits, or offsets of state and local income taxes	STMT 2 STMT 3	10	1,026.
11	Alimony received		11	
12	Business income or (loss). Attach Schedule C or C-EZ		12	0.
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐		13	5,776.
14	Other gains or (losses). Attach Form 4797		14	
15a	IRA distributions	15a	b Taxable amount	15b
16a	Pensions and annuities	16a 25,281.	b Taxable amount	16b 24,097.
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E		17	
18	Farm income or (loss). Attach Schedule F		18	<8,330.>
19	Unemployment compensation		19	
20a	Social security benefits	20a	b Taxable amount (see page 24)	20b
21	Other income. List type and amount (see page 24)		21	
22	Add the amounts in the far right column for lines 7 through 21. This is your **total income** ▶		22	111,247.

Adjusted Gross Income

23	Educator expenses (see page 26)	23	250.	
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24		
25	Health savings account deduction. Attach Form 8889	25		
26	Moving expenses. Attach Form 3903	26		
27	One-half of self-employment tax. Attach Schedule SE	27		
28	Self-employed SEP, SIMPLE, and qualified plans	28		
29	Self-employed health insurance deduction (see page 26)	29		
30	Penalty on early withdrawal of savings	30		
31a	Alimony paid b Recipient's SSN ▶	31a		
32	IRA deduction (see page 27)	32		
33	Student loan interest deduction (see page 30)	33		
34	Tuition and fees deduction. Attach Form 8917	34		
35	Domestic production activities deduction. Attach Form 8903	35		
36	Add lines 23 through 31a and 32 through 35		36	250.
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶		37	110,997.

F10001 11-05-07

LHA **For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see page 83.** Form **1040** (2007)

Form **1040** U.S. Individual Income Tax Return **2008** (99) IRS Use Only - Do not write or staple in this space.

For the year Jan. 1-Dec. 31, 2008, or other tax year beginning , 2008, ending , 20 OMB No. 1545-0074

Label (See instructions on page 14.) Use the IRS label. Otherwise, please print or type.

LABEL HERE

Your first name and initial [redacted]	Last name [redacted]
If a joint return, spouse's first name and initial [redacted]	Last name [redacted]
Home address (number and street). If you have a P.O. box, see page 14. [redacted]	Apt. no. 202
City, town or post office, state, and ZIP code. If you have a foreign address, see page 14. BIRMINGHAM, AL 35216	

Your social security number [redacted]
Spouse's social security number [redacted]
You **must** enter ▲ your SSN(s) above. ▲
Checking a box below will not change your tax or refund.

Presidential Election Campaign ▶ Check here if you, or your spouse if filing jointly, want $3 to go to this fund (see page 14) ▶ ☐ You ☐ Spouse

Filing Status Check only one box.

1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) with dependent child (see page 16)

Exemptions

6a ☒ **Yourself.** If someone can claim you as a dependent, **do not** check box 6a
b ☒ **Spouse**
Boxes checked on 6a and 6b 2

c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if qualifying child for child tax credit (see page 17)

No. of children on 6c who:
- lived with you
- did not live with you due to divorce or separation (see page 18)

Dependents on 6c not listed above

If more than four dependents, see page 17.

d Total number of exemptions claimed — Add numbers on lines above ▶ 2

Income

Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.

If you did not get a W-2, see page 21.

Enclose, but do not attach, any payment. Also, please use Form 1040-V.

7	Wages, salaries, tips, etc. Attach Form(s) W-2			7	91,125.
8a	**Taxable** interest. Attach Schedule B if required			8a	2,324.
b	**Tax-exempt** interest. **Do not** include on line 8a	8b			
9a	Ordinary dividends. Attach Schedule B if required			9a	
b	Qualified dividends (see page 21)	9b			
10	Taxable refunds, credits, or offsets of state and local income taxes	STMT 2	STMT 3	10	1,103.
11	Alimony received			11	
12	Business income or (loss). Attach Schedule C or C-EZ			12	
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐			13	
14	Other gains or (losses). Attach Form 4797			14	
15a	IRA distributions	15a	b Taxable amount	15b	
16a	Pensions and annuities	16a 23,483.	b Taxable amount	16b	23,483.
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E			17	
18	Farm income or (loss). Attach Schedule F			18	-15,905.
19	Unemployment compensation			19	
20a	Social security benefits	20a	b Taxable amount (see page 26)	20b	
21	Other income. List type and amount (see page 28)			21	
22	Add the amounts in the far right column for lines 7 through 21. This is your **total income** ▶			22	102,130.

Adjusted Gross Income

23	Educator expenses (see page 28)	23	250.		
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24			
25	Health savings account deduction. Attach Form 8889	25			
26	Moving expenses. Attach Form 3903	26			
27	One-half of self-employment tax. Attach Schedule SE	27			
28	Self-employed SEP, SIMPLE, and qualified plans	28			
29	Self-employed health insurance deduction (see page 29)	29			
30	Penalty on early withdrawal of savings	30			
31a	Alimony paid b Recipient's SSN ▶	31a			
32	IRA deduction (see page 30)	32			
33	Student loan interest deduction (see page 33)	33			
34	Tuition and fees deduction. Attach Form 8917	34			
35	Domestic production activities deduction. Attach Form 8903	35			
36	Add lines 23 through 31a and 32 through 35			36	250.
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶			37	101,880.

810001 11-10-08

LHA **For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see page 88.** Form **1040** (2008)

Form **1040** U.S. Individual Income Tax Return **2009**

IRS Use Only—Do not write or staple in this space.

For the year Jan. 1–Dec. 31, 2009, or other tax year beginning 2009, ending , 20 OMB No. 1545-0074

Label (See instructions on page 14.) Use the IRS label. Otherwise, please print or type.

LABEL HERE

Your first name and initial	Last name	Your social security number
[redacted]	[redacted]	[redacted]
If a joint return, spouse's first name and initial	Last name	Spouse's social security number
[redacted]	[redacted]	[redacted]
Home address (number and street). If you have a P.O. box, see page 14.	Apt. no.	You **must** enter your SSN(s) above
[redacted]	[redacted]	
City, town or post office, state, and ZIP code. If you have a foreign address, see page 14.		Checking a box below will not change your tax or refund.
BIRMINGHAM, AL 35216		

Presidential Election Campaign ▶ Check here if you, or your spouse if filing jointly, want $3 to go to this fund (see page 14) ▶ ☐ You ☐ Spouse

Filing Status Check only one box.

1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here ▶
4 ☐ Head of household (with qualifying person). If the qualifying person is a child but not your dependent, enter this child's name here ▶
5 ☐ Qualifying widow(er) with dependent child (see page 16)

Exemptions

6a ☒ **Yourself.** If someone can claim you as a dependent, do not check box 6a
b ☒ **Spouse**

Boxes checked on 6a and 6b: 2

c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if qualifying child for child tax credit (see page 17)

If more than four dependents, see page 17 and check here ▶ ☐

No. of children on 6c who
- lived with you
- did not live with you due to divorce or separation (see page 18)

Dependents on 6c not entered above

Add numbers on lines above ▶ 2

d Total number of exemptions claimed 2

Income

Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.

If you did not get a W-2, see page 22.

Enclose, but do not attach, any payment. Also, please use Form 1040-V.

7	Wages, salaries, tips, etc. Attach Form(s) W-2		7	93,071.
8a	**Taxable** interest. Attach Schedule B if required		8a	2,504.
b	**Tax-exempt** interest. **Do not** include on line 8a	8b		
9a	Ordinary dividends. Attach Schedule B if required		9a	8.
b	Qualified dividends (see page 22)	9b 8.		
10	Taxable refunds, credits, or offsets of state and local income taxes	STMT 2 STMT 3	10	1,661.
11	Alimony received		11	
12	Business income or (loss). Attach Schedule C or C-EZ		12	
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐		13	
14	Other gains or (losses). Attach Form 4797		14	
15a	IRA distributions 15a	b Taxable amount	15b	
16a	Pensions and annuities 16a	b Taxable amount	16b	23,483.
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E		17	
18	Farm income or (loss). Attach Schedule F		18	-11,012.
19	Unemployment compensation (see page 27)		19	
20a	Social security benefits 20a	b Taxable amount (see page 27)	20b	
21	Other income. List type and amount (see page 29)		21	
22	Add the amounts in the far right column for lines 7 through 21. This is your **total income** ▶		22	109,715.

Adjusted Gross Income

23	Educator expenses (see page 29)	23	250.	
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24		
25	Health savings account deduction. Attach Form 8889	25		
26	Moving expenses. Attach Form 3903	26		
27	One-half of self-employment tax. Attach Schedule SE	27		
28	Self-employed SEP, SIMPLE, and qualified plans	28		
29	Self-employed health insurance deduction (see page 30)	29		
30	Penalty on early withdrawal of savings	30		
31a	Alimony paid b Recipient's SSN ▶	31a		
32	IRA deduction (see page 31)	32		
33	Student loan interest deduction (see page 34)	33		
34	Tuition and fees deduction. Attach Form 8917	34		
35	Domestic production activities deduction. Attach Form 8903	35		
36	Add lines 23 through 31a and 32 through 35		36	250.
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶		37	109,465.

910001 10-20-09

LHA **For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see page 97.** Form **1040** (2009)

Form **1040** U.S. Individual Income Tax Return **2010**

IRS Use Only - Do not write or staple in this space.

For the year Jan. 1-Dec. 31, 2010, or other tax year beginning , 2010, ending , 20 OMB No. 1545-0074

Name, Address, and SSN — See separate instructions.

PRINT CLEARLY

Your first name and initial: [redacted] Last name: [redacted] Your social security number: [redacted]

If a joint return, spouse's first name and initial: [redacted] Last name: [redacted] Spouse's social security number: [redacted]

Home address (number and street). If you have a P.O. box, see instructions. [redacted] Apt. no. [redacted]

City, town or post office, state, and ZIP code: BIRMINGHAM, AL 35216

Make sure the SSN(s) above and on line 6c are correct.

Checking a box below will not change your tax or refund.

Presidential Election Campaign ▶ Check here if you, or your spouse if filing jointly, want $3 to go to this fund ▶ [ ] You [ ] Spouse

Filing Status — Check only one box.

1 [ ] Single
2 [X] Married filing jointly (even if only one had income)
3 [ ] Married filing separately. Enter spouse's SSN above and full name here. ▶
4 [ ] Head of household (with qualifying person). If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 [ ] Qualifying widow(er) with dependent child

Exemptions

6a [X] **Yourself.** If someone can claim you as a dependent, **do not** check box 6a
b [X] **Spouse**

Boxes checked on 6a and 6b: 2

c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if child under age 17 qualifying for child tax credit

If more than four dependents, see instructions and check here ▶ [ ]

No. of children on 6c who:
- lived with you
- did not live with you due to divorce or separation (see instructions)

Dependents on 6c not listed above

d Total number of exemptions claimed — Add numbers on lines above ▶ 2

Income

Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.

If you did not get a W-2, see page 20.

Enclose, but do not attach, any payment. Also, please use Form 1040-V.

7	Wages, salaries, tips, etc. Attach Form(s) W-2		7	92,253.
8a	**Taxable** interest. Attach Schedule B if required		8a	2,069.
b	**Tax-exempt** interest. **Do not** include on line 8a	8b		
9a	Ordinary dividends. Attach Schedule B if required		9a	
b	Qualified dividends	9b		
10	Taxable refunds, credits, or offsets of state and local income taxes STMT 2 STMT 3		10	1,431.
11	Alimony received		11	
12	Business income or (loss). Attach Schedule C or C-EZ		12	
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ [ ]		13	
14	Other gains or (losses). Attach Form 4797		14	
15a	IRA distributions 15a	b Taxable amount	15b	
16a	Pensions and annuities 16a	b Taxable amount	16b	23,482.
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E		17	
18	Farm income or (loss). Attach Schedule F		18	-13,315.
19	Unemployment compensation		19	
20a	Social security benefits 20a	b Taxable amount	20b	
21	Other income. List type and amount		21	
22	Combine the amounts in the far right column for lines 7 through 21. This is your **total income** ▶		22	105,920.

Adjusted Gross Income

23	Educator expenses	23		
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24		
25	Health savings account deduction. Attach Form 8889	25		
26	Moving expenses. Attach Form 3903	26		
27	One-half of self-employment tax. Attach Schedule SE	27		
28	Self-employed SEP, SIMPLE, and qualified plans	28		
29	Self-employed health insurance deduction	29		
30	Penalty on early withdrawal of savings	30		
31a	Alimony paid b Recipient's SSN ▶	31a		
32	IRA deduction	32		
33	Student loan interest deduction	33		
34	Tuition and fees. Attach Form 8917	34		
35	Domestic production activities deduction. Attach Form 8903	35		
36	Add lines 23 through 31a and 32 through 35		36	
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶		37	105,920.

D1000/1 01-27-11

LHA **For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.** Form 1040 (2010)

Form **1040** U.S. Individual Income Tax Return (99) **2011** OMB No. 1545-0074 IRS Use Only - Do not write or staple in this space.

For the year Jan. 1-Dec. 31, 2011, or other tax year beginning , 2011, ending , 20 See separate instructions.

Your first name and initial: [redacted] Last name: [redacted] Your social security number: [redacted]

If a joint return, spouse's first name and initial: [redacted] Last name: [redacted] Spouse's social security number: [redacted]

Home address (number and street). If you have a P.O. box, see instructions.: [redacted] Apt. no.: [redacted]

▲ Make sure the SSN(s) above and on line 6c are correct.

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below.

BIRMINGHAM, AL 35216

Foreign country name | Foreign province/county | Foreign postal code

Presidential Election Campaign: Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

Filing Status Check only one box.

1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) with dependent child

Exemptions

6a ☒ **Yourself.** If someone can claim you as a dependent, **do not** check box 6a
b ☒ **Spouse**
Boxes checked on 6a and 6b: 2

c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if child qualifying for child tax credit

If more than four dependents, see instructions and check here ▶ ☐

No. of children on 6c who: ■ lived with you ____ ■ did not live with you due to divorce or separation (see instructions) ____ Dependents on 6c not entered above ____ Add numbers on lines above ▶ 2

d Total number of exemptions claimed 2

Income

Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.

If you did not get a W-2, see instructions.

Enclose, but do not attach, any payment. Also, please use Form 1040-V.

7	Wages, salaries, tips, etc. Attach Form(s) W-2		7	91,456.
8a	**Taxable** interest. Attach Schedule B if required		8a	1,281.
b	**Tax-exempt** interest. **Do not** include on line 8a	8b		
9a	Ordinary dividends. Attach Schedule B if required		9a	
b	Qualified dividends	9b		
10	Taxable refunds, credits, or offsets of state and local income taxes	STMT 2 STMT 3	10	1,652.
11	Alimony received		11	
12	Business income or (loss). Attach Schedule C or C-EZ		12	
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐		13	
14	Other gains or (losses). Attach Form 4797		14	
15a	IRA distributions	15a	b Taxable amount 15b	
16a	Pensions and annuities	16a	b Taxable amount 16b	23,483.
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E		17	-16,162.
18	Farm income or (loss). Attach Schedule F		18	-21,522.
19	Unemployment compensation		19	
20a	Social security benefits	20a	b Taxable amount 20b	
21	Other income. List type and amount		21	
22	Combine the amounts in the far right column for lines 7 through 21. This is your **total income** ▶		22	80,188.

Adjusted Gross Income

23	Educator expenses	23		
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24		
25	Health savings account deduction. Attach Form 8889	25		
26	Moving expenses. Attach Form 3903	26		
27	Deductible part of self-employment tax. Attach Schedule SE	27		
28	Self-employed SEP, SIMPLE, and qualified plans	28		
29	Self-employed health insurance deduction	29		
30	Penalty on early withdrawal of savings	30		
31a	Alimony paid b Recipient's SSN ▶	31a		
32	IRA deduction	32		
33	Student loan interest deduction	33		
34	Tuition and fees. Attach Form 8917	34		
35	Domestic production activities deduction. Attach Form 8903	35		
36	Add lines 23 through 35		36	
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶		37	80,188.

110001 11-07-11 LHA For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions. Form 1040 (2011)

Form **1040** U.S. Individual Income Tax Return 2012 OMB No. 1545-0074 IRS Use Only—Do not write or staple in this space.

For the year Jan. 1-Dec. 31, 2012, or other tax year beginning , 2012, ending , 20 See separate instructions.

Your first name and initial	Last name	Your social security number
[redacted]	[redacted]	[redacted]
If a joint return, spouse's first name and initial	Last name	Spouse's social security number
[redacted]	[redacted]	[redacted]
Home address (number and street). If you have a P.O. box, see instructions.	Apt. no.	▲ Make sure the SSN(s) above and on line 6c are correct.
[redacted]	[redacted]	
City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below.		Presidential Election Campaign: Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund.
BIRMINGHAM, AL 35216		
Foreign country name / Foreign province/state/county / Foreign postal code		☐ You ☐ Spouse

Filing Status Check only one box.

1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) with dependent child

Exemptions

6a ☒ **Yourself.** If someone can claim you as a dependent, **do not** check box 6a
b ☒ **Spouse**
Boxes checked on 6a and 6b: 2

c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if child qualifying for child tax credit (see page 17)

No. of children on 6c who:
- lived with you
- did not live with you due to divorce or separation (see instructions)

Dependents on 6c not listed above

If more than four dependents, see instructions and check here ▶ ☐

Add numbers on lines above ▶ 2

d Total number of exemptions claimed 2

Income

Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.

If you did not get a W-2, see instructions.

Enclose, but do not attach, any payment. Also, please use Form 1040-V.

7	Wages, salaries, tips, etc. Attach Form(s) W-2	7	89,265.
8a	**Taxable** interest. Attach Schedule B if required	8a	960.
b	**Tax-exempt** interest. **Do not** include on line 8a — 8b		
9a	Ordinary dividends. Attach Schedule B if required	9a	
b	Qualified dividends — 9b		
10	Taxable refunds, credits, or offsets of state and local income taxes	10	
11	Alimony received	11	
12	Business income or (loss). Attach Schedule C or C-EZ	12	
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐	13	
14	Other gains or (losses). Attach Form 4797	14	
15a	IRA distributions — 15a — b Taxable amount	15b	
16a	Pensions and annuities — 16a — b Taxable amount	16b	23,483.
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E	17	-12,096.
18	Farm income or (loss). Attach Schedule F	18	-19,877.
19	Unemployment compensation	19	
20a	Social security benefits — 20a — b Taxable amount	20b	
21	Other income. List type and amount	21	
22	Combine the amounts in the far right column for lines 7 through 21. This is your **total income** ▶	22	81,735.

Adjusted Gross Income

23	Educator expenses	23	
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24	
25	Health savings account deduction. Attach Form 8889	25	
26	Moving expenses. Attach Form 3903	26	
27	Deductible part of self-employment tax. Attach Schedule SE	27	
28	Self-employed SEP, SIMPLE, and qualified plans	28	
29	Self-employed health insurance deduction	29	
30	Penalty on early withdrawal of savings	30	
31a	Alimony paid b Recipient's SSN ▶	31a	
32	IRA deduction	32	
33	Student loan interest deduction	33	
34	Tuition and fees. Attach Form 8917	34	
35	Domestic production activities deduction. Attach Form 8903	35	
36	Add lines 23 through 35	36	
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶	37	81,735.

210901 01-11-13

LHA **For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.** Form **1040** (2012)

Form **1040** U.S. Individual Income Tax Return **2013**

For the year Jan. 1–Dec. 31, 2013, or other tax year beginning , 2013, ending , 20 See separate instructions.

Your first name and initial: [redacted] Last name: [redacted] Your social security number: [redacted]

If a joint return, spouse's first name and initial: [redacted] Last name: [redacted] Spouse's social security number: [redacted]

Home address (number and street). If you have a P.O. box, see instructions. [redacted] Apt. no.

Make sure the SSN(s) above and on line 6c are correct.

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below.
MUSCLE SHOALS, AL 35661

Foreign country name | Foreign province/state/county | Foreign postal code

Presidential Election Campaign: Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

Filing Status (Check only one box.)
1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) with dependent child

Exemptions
6a ☒ **Yourself.** If someone can claim you as a dependent, **do not** check box 6a
b ☒ **Spouse**
Boxes checked on 6a and 6b: 2

c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if child qualifying for child tax credit

If more than four dependents, see instructions and check here ▶ ☐

No. of children on 6c who: ● lived with you ● did not live with you due to divorce or separation (see instructions)

Dependents on 6c not entered above

Add numbers on lines above ▶ 2

d Total number of exemptions claimed 2

Income

Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.

If you did not get a W-2, see instructions.

7	Wages, salaries, tips, etc. Attach Form(s) W-2		7	61,975.
8a	**Taxable** interest. Attach Schedule B if required		8a	544.
b	**Tax-exempt** interest. **Do not** include on line 8a	8b		
9a	Ordinary dividends. Attach Schedule B if required		9a	20.
b	Qualified dividends	9b 20.		
10	Taxable refunds, credits, or offsets of state and local income taxes		10	1,961.
11	Alimony received		11	
12	Business income or (loss). Attach Schedule C or C-EZ		12	
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐		13	
14	Other gains or (losses). Attach Form 4797		14	
15a	IRA distributions	15a	b Taxable amount	15b
16a	Pensions and annuities	16a 362,382.	b Taxable amount	16b 39,268.
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E		17	-7,718.
18	Farm income or (loss). Attach Schedule F		18	-9,720.
19	Unemployment compensation		19	
20a	Social security benefits	20a 15,048.	b Taxable amount	20b 12,791.
21	Other income. List type and amount		21	
22	Combine the amounts in the far right column for lines 7 through 21. This is your **total income** ▶		22	99,121.

Adjusted Gross Income

23	Educator expenses	23	
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24	
25	Health savings account deduction. Attach Form 8889	25	
26	Moving expenses. Attach Form 3903	26	
27	Deductible part of self-employment tax. Attach Schedule SE	27	
28	Self-employed SEP, SIMPLE, and qualified plans	28	
29	Self-employed health insurance deduction	29	
30	Penalty on early withdrawal of savings	30	
31a	Alimony paid b Recipient's SSN ▶	31a	
32	IRA deduction	32	
33	Student loan interest deduction	33	
34	Tuition and fees. Attach Form 8917	34	
35	Domestic production activities deduction. Attach Form 8903	35	
36	Add lines 23 through 35		36
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶		37 99,121.

LHA For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions. Form 1040 (2013)

Form **1040** U.S. Individual Income Tax Return (99) **2014** OMB No. 1545-0074 IRS Use Only - Do not write or staple in this space.

For the year Jan. 1-Dec. 31, 2014, or other tax year beginning , 2014, ending , 20 See separate instructions.

Your first name and initial [redacted] Last name [redacted] Your social security number [redacted]

If a joint return, spouse's first name and initial [redacted] Last name [redacted] Spouse's social security number [redacted]

Home address (number and street). If you have a P.O. box, see instructions. [redacted] Apt. no.

▲ Make sure the SSN(s) above and on line 6c are correct.

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below.
MUSCLE SHOALS, AL 35661

Foreign country name | Foreign province/state/county | Foreign postal code

Presidential Election Campaign: Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

Filing Status Check only one box.

1 ☐ Single
2 [X] Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) with dependent child

Exemptions

6a [X] **Yourself.** If someone can claim you as a dependent, **do not** check box 6a
b [X] **Spouse**
Boxes checked on 6a and 6b: 2

c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) if child under age 17 qualifying for child tax credit

If more than four dependents, see instructions and check here ▶ ☐

No. of children on 6c who: ■ lived with you ■ did not live with you due to divorce or separation (see instructions)
Dependents on 6c not entered above
Add numbers on lines above ▶ 2

d Total number of exemptions claimed 2

Income

Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.

If you did not get a W-2, see instructions.

7	Wages, salaries, tips, etc. Attach Form(s) W-2		7	
8a	**Taxable** interest. Attach Schedule B if required		8a	201.
b	**Tax-exempt** interest. **Do not** include on line 8a	8b		
9a	Ordinary dividends. Attach Schedule B if required		9a	
b	Qualified dividends	9b		
10	Taxable refunds, credits, or offsets of state and local income taxes		10	1,929.
11	Alimony received		11	
12	Business income or (loss). Attach Schedule C or C-EZ		12	
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐		13	69,565.
14	Other gains or (losses). Attach Form 4797		14	
15a	IRA distributions	15a	b Taxable amount	15b 40,000.
16a	Pensions and annuities	16a 72,222.	b Taxable amount	16b 70,798.
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E		17	0.
18	Farm income or (loss). Attach Schedule F		18	-26,289.
19	Unemployment compensation		19	
20a	Social security benefits	20a 15,276.	b Taxable amount	20b 12,985.
21	Other income. List type and amount		21	
22	Combine the amounts in the far right column for lines 7 through 21. This is your **total income** ▶		22	169,189.

Adjusted Gross Income

23	Educator expenses	23	
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24	
25	Health savings account deduction. Attach Form 8889	25	
26	Moving expenses. Attach Form 3903	26	
27	Deductible part of self-employment tax. Attach Schedule SE	27	
28	Self-employed SEP, SIMPLE, and qualified plans	28	
29	Self-employed health insurance deduction	29	
30	Penalty on early withdrawal of savings	30	
31a	Alimony paid b Recipient's SSN ▶	31a	
32	IRA deduction	32	
33	Student loan interest deduction	33	
34	Tuition and fees. Attach Form 8917	34	
35	Domestic production activities deduction. Attach Form 8903	35	
36	Add lines 23 through 35	36	
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶	37	169,189.

Form **1040** U.S. Individual Income Tax Return **2015**

See separate instructions.

Your first name and initial: [redacted] Last name: [redacted] Your social security number: [redacted]

If a joint return, spouse's first name and initial: [redacted] Last name: [redacted] Spouse's social security number: [redacted]

Home address (number and street). If you have a P.O. box, see instructions. [redacted] Apt. no.

Make sure the SSN(s) above and on line 6c are correct.

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below.
MUSCLE SHOALS, AL 35661

Foreign country name | Foreign province/state/county | Foreign postal code

Presidential Election Campaign: Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

Filing Status (Check only one box.)
1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) with dependent child

Exemptions
6a ☒ **Yourself.** If someone can claim you as a dependent, **do not** check box 6a
b ☒ **Spouse**
Boxes checked on 6a and 6b: 2
c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if child qualifying for child tax credit (see pg. 17)

If more than four dependents, see instructions and check here ▶ ☐

No. of children on 6c who: ■ lived with you ■ did not live with you due to divorce or separation (see instructions)
Dependents on 6c not entered above
Add numbers on lines above ▶ 2

d Total number of exemptions claimed ▶ 2

Income

Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.

If you did not get a W-2, see instructions.

7	Wages, salaries, tips, etc. Attach Form(s) W-2			7	
8a	**Taxable** interest. Attach Schedule B if required			8a	44.
b	**Tax-exempt** interest. **Do not** include on line 8a	8b			
9a	Ordinary dividends. Attach Schedule B if required			9a	30.
b	Qualified dividends	9b	30.		
10	Taxable refunds, credits, or offsets of state and local income taxes			10	
11	Alimony received			11	
12	Business income or (loss). Attach Schedule C or C-EZ			12	
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐			13	
14	Other gains or (losses). Attach Form 4797			14	
15a	IRA distributions	15a	b Taxable amount	15b	
16a	Pensions and annuities	16a	71,862. b Taxable amount	16b	70,798.
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E			17	
18	Farm income or (loss). Attach Schedule F			18	-16,266.
19	Unemployment compensation			19	
20a	Social security benefits	20a	20,766. b Taxable amount	20b	17,651.
21	Other income. List type and amount			21	
22	Combine the amounts in the far right column for lines 7 through 21. This is your **total income** ▶			22	72,257.

Adjusted Gross Income

23	Educator expenses	23	
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24	
25	Health savings account deduction. Attach Form 8889	25	
26	Moving expenses. Attach Form 3903	26	
27	Deductible part of self-employment tax. Attach Schedule SE	27	
28	Self-employed SEP, SIMPLE, and qualified plans	28	
29	Self-employed health insurance deduction	29	
30	Penalty on early withdrawal of savings	30	
31a	Alimony paid b Recipient's SSN ▶	31a	
32	IRA deduction	32	
33	Student loan interest deduction	33	
34	Tuition and fees. Attach Form 8917	34	
35	Domestic production activities deduction. Attach Form 8903	35	
36	Add lines 23 through 35		36
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶		37 72,257.

LHA For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions. Form 1040 (2015)

Form **1040** U.S. Individual Income Tax Return **2016**

OMB No. 1545-0074 | IRS Use Only - Do not write or staple in this space.

For the year Jan. 1-Dec. 31, 2016, or other tax year beginning , 2016, ending , 20 | See separate instructions.

Your first name and initial: [redacted] | Last name: [redacted] | Your social security number: [redacted]

If a joint return, spouse's first name and initial: [redacted] | Last name: [redacted] | Spouse's social security number: [redacted]

Home address (number and street). If you have a P.O. box, see instructions.: [redacted] | Apt. no.

Make sure the SSN(s) above and on line 6b are correct.

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below.: MUSCLE SHOALS, AL 35661

Foreign country name | Foreign province/state/county | Foreign postal code

Presidential Election Campaign: Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

Filing Status (Check only one box.)

1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) with dependent child

Exemptions

6a ☒ **Yourself.** If someone can claim you as a dependent, **do not** check box 6a
b ☒ **Spouse**
Boxes checked on 6a and 6b: 2

c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if child qualifying for child tax credit

No. of children on 6c who: ■ lived with you ■ did not live with you due to divorce or separation (see instructions)

Dependents on 6c not listed above

If more than four dependents, see instructions and check here ▶ ☐

d Total number of exemptions claimed: Add numbers on lines above ▶ 2

Income

Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.

If you did not get a W-2, see instructions.

7	Wages, salaries, tips, etc. Attach Form(s) W-2			7	
8a	**Taxable** interest. Attach Schedule B if required			8a	49.
b	**Tax-exempt** interest. **Do not** include on line 8a	8b			
9a	Ordinary dividends. Attach Schedule B if required			9a	30.
b	Qualified dividends	9b	30.		
10	Taxable refunds, credits, or offsets of state and local income taxes			10	
11	Alimony received			11	
12	Business income or (loss). Attach Schedule C or C-EZ			12	
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐			13	
14	Other gains or (losses). Attach Form 4797			14	
15a	IRA distributions	15a	b Taxable amount	15b	
16a	Pensions and annuities	16a	71,862. b Taxable amount	16b	70,798.
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E			17	
18	Farm income or (loss). Attach Schedule F			18	-16,548.
19	Unemployment compensation			19	
20a	Social security benefits	20a	36,443. b Taxable amount	20b	30,268.
21	Other income. List type and amount			21	
22	Combine the amounts in the far right column for lines 7 through 21. This is your **total income** ▶			22	84,597.

Adjusted Gross Income

23	Educator expenses	23			
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24			
25	Health savings account deduction. Attach Form 8889	25			
26	Moving expenses. Attach Form 3903	26			
27	Deductible part of self-employment tax. Attach Schedule SE	27			
28	Self-employed SEP, SIMPLE, and qualified plans	28			
29	Self-employed health insurance deduction	29			
30	Penalty on early withdrawal of savings	30			
31a	Alimony paid b Recipient's SSN ▶	31a			
32	IRA deduction	32			
33	Student loan interest deduction	33			
34	Tuition and fees. Attach Form 8917	34			
35	Domestic production activities deduction. Attach Form 8903	35			
36	Add lines 23 through 35			36	
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶			37	84,597.

LHA For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions. Form 1040 (2016)

Form **1040** U.S. Individual Income Tax Return (99) **2017** OMB No. 1545-0074 IRS Use Only - Do not write or staple in this space.

For the year Jan. 1-Dec. 31, 2017, or other tax year beginning , 2017, ending , 20 See separate instructions.

Your first name and initial [redacted] Last name [redacted] Your social security number [redacted]

If a joint return, spouse's first name and initial [redacted] Last name [redacted] Spouse's social security number [redacted]

Home address (number and street). If you have a P.O. box, see instructions. [redacted] Apt. no. ▲ Make sure the SSN(s) above and on line 6c are correct.

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below.
MUSCLE SHOALS, AL 35661

Foreign country name | Foreign province/state/county | Foreign postal code

Presidential Election Campaign: Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

Filing Status Check only one box.
1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) (see instructions)

Exemptions
6a ☒ **Yourself.** If someone can claim you as a dependent, **do not** check box 6a
b ☒ **Spouse**
Boxes checked on 6a and 6b: 2
c **Dependents:**

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) ✓ if child under age 17 qualifying for child tax credit

If more than four dependents, see instructions and check here ▶ ☐

No. of children on 6c who: • lived with you • did not live with you due to divorce or separation (see instructions)
Dependents on 6c not entered above
Add numbers on lines above ▶ 2

d Total number of exemptions claimed

Income

Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.

If you did not get a W-2, see instructions.

7	Wages, salaries, tips, etc. Attach Form(s) W-2			7	
8a	**Taxable** interest. Attach Schedule B if required			8a	63.
b	**Tax-exempt** interest. **Do not** include on line 8a	8b			
9a	Ordinary dividends. Attach Schedule B if required			9a	32.
b	Qualified dividends	9b	32.		
10	Taxable refunds, credits, or offsets of state and local income taxes			10	
11	Alimony received			11	
12	Business income or (loss). Attach Schedule C or C-EZ			12	
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐			13	106.
14	Other gains or (losses). Attach Form 4797			14	
15a	IRA distributions	15a	b Taxable amount	15b	
16a	Pensions and annuities	16a 71,862.	b Taxable amount	16b	70,798.
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E			17	
18	Farm income or (loss). Attach Schedule F			18	-24,508.
19	Unemployment compensation			19	
20a	Social security benefits	20a 88,556.	b Taxable amount	20b	45,754.
21	Other income. List type and amount			21	
22	Combine the amounts in the far right column for lines 7 through 21. This is your **total income** ▶			22	92,245.

Adjusted Gross Income

23	Educator expenses	23		
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24		
25	Health savings account deduction. Attach Form 8889	25		
26	Moving expenses. Attach Form 3903	26		
27	Deductible part of self-employment tax. Attach Schedule SE	27		
28	Self-employed SEP, SIMPLE, and qualified plans	28		
29	Self-employed health insurance deduction	29		
30	Penalty on early withdrawal of savings	30		
31a	Alimony paid b Recipient's SSN ▶	31a		
32	IRA deduction	32		
33	Student loan interest deduction	33		
34	Tuition and fees. Attach Form 8917	34		
35	Domestic production activities deduction. Attach Form 8903	35		
36	Add lines 23 through 35		36	
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶		37	92,245.

710001 02-22-18 LHA **For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.** Form **1040** (2017)

Form **1040** Department of the Treasury - Internal Revenue Service (99)

U.S. Individual Income Tax Return **2018**

OMB No. 1545-0074

IRS Use Only - Do not write or staple in this space.

Filing status: ☐ Single ☒ Married filing jointly ☐ Married filing separately ☐ Head of household ☐ Qualifying widow(er)

Your first name and initial: [redacted] Last name: [redacted] Your social security number: [redacted]

Your standard deduction: ☐ Someone can claim you as a dependent ☒ You were born before January 2, 1954 ☐ You are blind

If joint return, spouse's first name and initial: [redacted] Last name: [redacted] Spouse's social security number: [redacted]

Spouse standard deduction: ☐ Spouse is blind ☐ Someone can claim your spouse as a dependent ☐ Spouse itemizes on a separate return or you were dual-status alien ☒ Spouse was born before January 2, 1954 ☒ Full-year health care coverage or exempt (see inst.)

Home address (number and street). If you have a P.O. box, see instructions. [redacted] Apt. no.

Presidential Election Campaign (see inst.) ☐ You ☐ Spouse

City, town or post office, state, and ZIP code. If you have a foreign address, attach Schedule 6.
MUSCLE SHOALS, AL 35661

If more than four dependents, see inst. and ✓ here ▶ ☐

Dependents (see instructions):

(1) First name Last name	(2) Social security number	(3) Relationship to you	(4) ✓ if qualifies for (see inst.): Child tax credit	Credit for other dependents

Sign Here Joint return? See instructions. Keep a copy for your records.

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Your signature ▶ Date Your occupation: EDUCATOR RETIRED If the IRS sent you an Identity Protection PIN, enter it here

Spouse's signature. If a joint return, **both** must sign. Date Spouse's occupation: RETIRED TEACHER If the IRS sent you an Identity Protection PIN, enter it here

Paid Preparer Use Only

Preparer's name: [redacted] Preparer's signature: [redacted] PTIN: [redacted] Firm's EIN: [redacted] Check if: ☒ 3rd Party Designee ☐ Self-employed

Firm's name ▶PEARCE, BEVILL, LEESBURG, MOORE, P.C. [redacted]

Firm's address ▶BIRMINGHAM, AL 35223

LHA **For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.** Form **1040** (2018)

P13921 10-15-18

Form **1040** Department of the Treasury - Internal Revenue Service (99)

U.S. Individual Income Tax Return **2019** OMB No. 1545-0074

IRS Use Only - Do not write or staple in this space.

Filing Status Check only one box. ☐ Single ☒ Married filing jointly ☐ Married filing separately (MFS) ☐ Head of household (HDH) ☐ Qualifying widow(er) (QW)
If you checked the MFS box, enter the name of spouse. If you checked the HDH or QW box, enter the child's name if the qualifying person is a child but not your dependent. ▶

Your first name and middle initial	Last name	Your social security number
[redacted]	[redacted]	[redacted]
If joint return, spouse's first name and middle initial	Last name	Spouse's social security number
[redacted]	[redacted]	[redacted]
Home address (number and street). If you have a P.O. box, see instructions. [redacted]	Apt. no.	**Presidential Election Campaign** Check here if you or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse
City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below (see instructions). MUSCLE SHOALS, AL 35661		
Foreign country name	Foreign province/state/county / Foreign postal code	If more than four dependents, see instructions and ✓ here ▶ ☐

Standard Deduction **Someone can claim:** ☐ You as a dependent ☐ Your spouse as a dependent
☐ Spouse itemizes on a separate return or you were a dual status alien

Age/Blindness **You:** ☒ Were born before January 2, 1955 ☐ Are blind **Spouse:** ☒ Was born before January 2, 1955 ☐ Is blind

Dependents (see instructions):

(1) First name Last name	(2) Social security number	(3) Relationship to you	(4) ✓ if qualifies for child tax credit	(4) ✓ if qualifies for other dependents

Standard Deduction for - • Single or Married filing separately, $12,200 • Married filing jointly or Qualifying widow(er), $24,400 • Head of household, $18,350 • If you checked any box under Standard Deduction, see instructions.

1	Wages, salaries, tips, etc. Attach Form(s) W-2				1	
2a	Tax-exempt interest	2a		b Taxable interest. Attach Sch. B if required	2b	323.
3a	Qualified dividends	3a	34.	b Ordinary dividends. Attach Sch. B if required	3b	34.
4a	IRA distributions	4a		b Taxable amount	4b	
c	Pensions and annuities	4c	71,862.	d Taxable amount	4d	70,798.
5a	Social security benefits	5a	45,480.	b Taxable amount	5b	0.
6	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐				6	
7a	Other income from Schedule 1, line 9				7a	-98,431.
b	Add lines 1, 2b, 3b, 4b, 4d, 5b, 6, and 7a. This is your **total income** ▶				7b	-27,276.
8a	Adjustments to income from Schedule 1, line 22				8a	
b	Subtract line 8a from line 7b. This is your **adjusted gross income** ▶				8b	-27,276.
9	**Standard deduction or itemized deductions** (from Schedule A)			9	27,000.	
10	Qualified business income deduction. Attach Form 8995 or Form 8995-A			10		
11a	Add lines 9 and 10				11a	27,000.
b	**Taxable income.** Subtract line 11a from line 8b. If zero or less, enter -0-				11b	0.

LHA **For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.** Form 1040 (2019)

Form **1040** Department of the Treasury—Internal Revenue Service (99)

U.S. Individual Income Tax Return **2020**

OMB No. 1545-0074 IRS Use Only—Do not write or staple in this space.

Filing Status Check only one box. ☐ Single ☒ Married filing jointly ☐ Married filing separately (MFS) ☐ Head of household (HOH) ☐ Qualifying widow(er) (QW)

If you checked the MFS box, enter the name of your spouse. If you checked the HOH or QW box, enter the child's name if the qualifying person is a child but not your dependent. ▶

Your first name and middle initial	Last name	Your social security number
[redacted]	[redacted]	[redacted]
If joint return, spouse's first name and middle initial	Last name	Spouse's social security number
[redacted]	[redacted]	[redacted]

Home address (number and street). If you have a P.O. box, see instructions. [redacted] Apt. no.

City, town, or post office. If you have a foreign address, also complete spaces below. State: AL ZIP code: 35661

MUSCLE SHOALS

Foreign country name | Foreign province/state/county | Foreign postal code

Presidential Election Campaign Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

At any time during 2020, did you receive, sell, send, exchange, or otherwise acquire any financial interest in any virtual currency? ☐ Yes ☒ No

Standard Deduction Someone can claim: ☐ You as a dependent ☐ Your spouse as a dependent ☐ Spouse itemizes on a separate return or you were a dual-status alien

Age/Blindness You: ☒ Were born before January 2, 1956 ☐ Are blind **Spouse:** ☒ Was born before January 2, 1956 ☐ Is blind

Dependents (see instructions): If more than four dependents, see instr. and check here ▶ ☐

(1) First name Last name	(2) Social security number	(3) Relationship to you	(4) ✓ if qualifies for (see instructions): Child tax credit	Credit for other dependents

Attach Sch. B if required.

1	Wages, salaries, tips, etc. Attach Form(s) W-2				1	
2a	Tax-exempt interest	2a		b Taxable interest	2b	162.
3a	Qualified dividends	3a	36.	b Ordinary dividends	3b	36.
4a	IRA distributions	4a		b Taxable amount	4b	23,000.
5a	Pensions and annuities	5a	71,862.	b Taxable amount	5b	70,798.
6a	Social security benefits	6a	46,214.	b Taxable amount	6b	25,033.
7	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐				7	18,685.
8	Other income from Schedule 1, line 9				8	-69,396.
9	Add lines 1, 2b, 3b, 4b, 5b, 6b, 7, and 8. This is your **total income** ▶				9	68,318.
10	Adjustments to income:					
a	From Schedule 1, line 22			10a		
b	Charitable contributions if you take the standard deduction. See instr.			10b		
c	Add lines 10a and 10b. These are your **total adjustments to income** ▶				10c	
11	Subtract line 10c from line 9. This is your **adjusted gross income** ▶				11	68,318.
12	**Standard deduction or itemized deductions** (from Schedule A)				12	34,212.
13	Qualified business income deduction. Attach Form 8995 or Form 8995-A				13	54.
14	Add lines 12 and 13				14	34,266.
15	**Taxable income.** Subtract line 14 from line 11. If zero or less, enter -0-				15	34,052.

Standard Deduction for
- Single or Married filing separately, $12,400
- Married filing jointly or Qualifying widow(er), $24,800
- Head of household, $18,650
- If you checked any box under Standard Deduction, see instructions.

LHA **For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.** Form **1040** (2020)

State Return(s)

FORM
AL8453

ALABAMA DEPARTMENT OF REVENUE
Individual Income Tax Declaration for Electronic Filing

2021

For the year January 1 - December 31, 2021

Your first name and initial: [redacted]
Last name:
Your social security number: [redacted]
If a joint return, spouse's first name and initial: [redacted]
Last name:
Spouse's soc. sec. no. if joint return: [redacted]
Home address (number and street); if a P.O. Box, see instructions: [redacted]
Apt. no.:
Telephone number (optional):

MUSCLE SHOALS, AL 35661

Part I
Tax Return Information
(Whole dollars only.)

1 Alabama taxable income (Form 40, line 16 or Form 40NR, line 18)	1	-29,044
2 Total tax liability (Form 40, line 21) or Net tax due (Form 40NR, line 20)	2	
3 Total payments (Form 40, line 27 or Form 40NR, line 26)	3	
4 Refund (Form 40, line 35 or Form 40NR, line 33)	4	
5 Amount you owe (Form 40, line 30 or Form 40NR, line 29)	5	

Part II
Refund and Payment Information

1 Routing number: ☐☐☐☐☐☐☐☐☐
2 Account number: ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐
3 Type of account: ☐ Checking ☐ Savings
4 Type of transaction: ☐ Direct Deposit ☐ Direct Debit
5 ☐ Paper Check (Check the box to have your refund issued by a paper check.)

Part III
Declaration of Taxpayer
(Sign only after Part I is completed.)

Under penalties of perjury, I declare that I have compared the information contained on my return with the information I have provided to my electronic return originator and that the amounts described in Part 1 above agree with the amounts shown on the corresponding lines of my 2021 Alabama individual income tax return. To the best of my knowledge and belief, this return, including any accompanying schedules and statements, is true, correct, and complete. Also, I hereby authorize the Alabama Department of Revenue to disclose to my ERO described below, any information concerning the disbursement of the refund requested or any problems encountered in the processing of my return.

[X] I authorize a representative of the Department of Revenue to discuss my return and attachments with my preparer.

Sign Here ▶ [redacted] 4-5-22 Date ▶ [redacted] 4.5.2022

Part IV
Declaration of Electronic Return Originator (ERO) and Paid Preparer
(See instructions.)

I declare that I have reviewed the above taxpayer's Alabama individual income tax return and that the entries on this form are complete and correctly represented based on all information of which I have any knowledge. I also declare that I have followed all other requirements described in IRS PUB. 1345, Revenue Procedures for Electronic Filing of Individual Income Tax Returns (Tax Year 2021), and the Alabama Handbook for Electronic Filers of Individual Income Tax Returns (Tax Year 2021). By using a computer system and software to prepare and transmit my client's return electronically, I consent to the disclosure of all information pertaining to my use of the system and software to create my client's return and to the electronic transmission of my client's tax return to the **Alabama Department of Revenue**, as applicable by law. **If I am also the paid preparer, under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.**

ERO's Use Only

ERO's signature ▶ [redacted] Date 4-1-22 Check if also paid preparer [X] [redacted]
Firm's name (or yours if self-employed) and address ▶ PEARCE, BEVILL, LEESBURG, MOORE, BIRMINGHAM, AL
E.I. No. [redacted]
ZIP Code 35223

Paid Preparer's Use Only

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

Preparer's signature ▶ Date Check if self-employed [ ] Preparer's PTIN
Firm's name (or yours if self-employed) and address ▶ E.I. No. ZIP Code

Form AL8453 2021

DO NOT MAIL TO ALABAMA DEPT. OF REVENUE

1016

Form **8879** (Rev. January 2021) Department of the Treasury Internal Revenue Service

IRS e-file Signature Authorization

▶ ERO must obtain and retain completed Form 8879.
▶ Go to www.irs.gov/Form8879 for the latest information.

OMB No. 1545-0074

Submission Identification Number (SID) ▶

Taxpayer's name: [redacted] Social security number: [redacted]

Spouse's name: [redacted] Spouse's social security number: [redacted]

Part I **Tax Return Information - Tax Year Ending December 31, 2021** (Enter year you are authorizing.)

Enter whole dollars only on lines 1 through 5.

Note: Form 1040-SS filers use line 4 only. Leave lines 1, 2, 3, and 5 blank.

1	Adjusted gross income	1	105,081.
2	Total tax	2	8,797.
3	Federal income tax withheld from Form(s) W-2 and Form(s) 1099	3	3,998.
4	Amount you want refunded to you	4	
5	Amount you owe	5	4,733.

Part II **Taxpayer Declaration and Signature Authorization (Be sure you get and keep a copy of your return)**

Under penalties of perjury, I declare that I have examined a copy of the income tax return (original or amended) I am now authorizing, and to the best of my knowledge and belief, it is true, correct, and complete. I further declare that the amounts in Part I above are the amounts from the income tax return (original or amended) I am now authorizing. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send my return to the IRS and to receive from the IRS **(a)** an acknowledgement of receipt or reason for rejection of the transmission, **(b)** the reason for any delay in processing the return or refund, and **(c)** the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an ACH electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of my federal taxes owed on this return and/or a payment of estimated tax, and the financial institution to debit the entry to this account. This authorization is to remain in full force and effect until I notify the U.S. Treasury Financial Agent to terminate the authorization. To revoke (cancel) a payment, I must contact the U.S. Treasury Financial Agent at **1-888-353-4537**. Payment cancellation requests must be received no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I further acknowledge that the personal identification number (PIN) below is my signature for the income tax return (original or amended) I am now authorizing and, if applicable, my Electronic Funds Withdrawal Consent.

Taxpayer's PIN: check one box only

[X] I authorize PEARCE, BEVILL, LEESBURG, MOORE, P. (**ERO firm name**) to enter or generate my PIN [redacted] (**Enter five digits, but don't enter all zeros**) as my signature on the income tax return (original or amended) I am now authorizing.

[ ] I will enter my PIN as my signature on the income tax return (original or amended) I am now authorizing. Check this box **only** if you are entering your own PIN **and** your return is filed using the Practitioner PIN method. The ERO must complete Part III below.

Your signature ▶ [redacted] Date ▶ 03/31/2022

Spouse's PIN: check one box only

[X] I authorize PEARCE, BEVILL, LEESBURG, MOORE, P. (**ERO firm name**) to enter or generate my PIN [redacted] (**Enter five digits, but don't enter all zeros**) as my signature on the income tax return (original or amended) I am now authorizing.

[ ] I will enter my PIN as my signature on the income tax return (original or amended) I am now authorizing. Check this box **only** if you are entering your own PIN **and** your return is filed using the Practitioner PIN method. The ERO must complete Part III below.

Spouse's signature ▶ [redacted] Date ▶ 03/31/2022

Practitioner PIN Method Returns Only - continue below

Part III **Certification and Authentication - Practitioner PIN Method Only**

ERO's EFIN/PIN. Enter your six-digit EFIN followed by your five digit self-selected PIN. [redacted] (**Don't enter all zeros**)

I certify that the above numeric entry is my PIN, which is my signature for the electronic individual income tax return (original or amended) I am now authorized to file for tax year indicated above for the taxpayer(s) indicated above. I confirm that I am submitting this return in accordance with the requirements of the Practitioner PIN method and **Pub. 1345,** Handbook for Authorized IRS e-file Providers of Individual Income Tax Returns.

ERO's signature ▶ PEARCE, BEVILL, LEESBURG, MOORE, P. Date ▶ 4-1-22

11992L 04-01-21

ERO Must Retain This Form - See Instructions
Don't Submit This Form to the IRS Unless Requested To Do So

LHA **For Paperwork Reduction Act Notice, see your tax return instructions.** Form **8879** (Rev. 01-2021)

Proof of 15 Year Consecutive Residence – Emerald Standard Dispensary Facilities – Attachment to Exhibit 2, Section 2.1 Owner D

```
City of Tuscumbia      (Line: 47)(12/27/2022)(12:27) [redacted](Rev: 8.43)(UB1100)
Utility Billing                  Customer File                          Inquire: 01
*********************************************************************************
* Acct#: [redacted] [redacted]          ] [Terminated]  Cycle: ( 1) *
********************************** Master Info **********************************
* Stat:  (T) [Terminated     ]              *Transfer From:     <   -    -  > *
* Name:  [redacted]                         *Transfer To:       <   -    -  > *
*                                           *---------(Budget)------(Actual)--*
* Addr:  [redacted]                         *Total: (       0.00)(       0.00)*
*        (                              )   *Curr:  (       0.00)(       0.00)*
* City:  (TUSCUMBIA AL         )(35674-    ) *30-60: (           )(       0.00)*
*-------------------Mailing-----------------*60-90:              (       0.00)*
* Addr:  [redacted]                  )      *Over 90:            (       0.00)*
*        (                              )   *In-Process:         (       0.00)*
* City:  (MUSCLE SHOALS AL     )(35661-1075) *Total Budget Bill:  (           )*
* CART:  (R001 )        Delivery Point: (21) *Last Payment:      (     269.65)*
*-------------Credit History----------------*--------------Dates--------------*
*Rating: (4) (2-2-2-3-3-2-3-3-3-2-2-2-3-3-4) *Inst:  2/24/1995 Bill:  2/07/2017*
*------------------Services-----------------*On:   10/26/2001 Late:  2/22/2017*
*EL DM WA GA GB OL SW CC LS LN AF GM EM WM MC*Off:   1/19/2017 Pymt:  1/18/2017*
* T-  - T-  - T- T-  -  -  - T-  -  -  -  - T*DOB1: [redacted]DOB2:           *
*********************************************************************************
 Message:  [Screen 01 of 18 Displayed.....Enter Next Screen to Process: ] < 1>
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2772 0.2810 EX 0.000 13 2 11

12/11/17

[redacted]

[redacted]

MUSCLE SHOALS AL 35661

ENCLOSURES 24

CYCLE-004

*** CHECKING *** STUDENT CHECKING
ACCOUNT NUMBER [redacted]

PREVIOUS STATEMENT BALANCE AS OF 11/08/17		33,261.92
PLUS	1 DEPOSITS AND OTHER CREDITS	7,000.00
LESS	54 CHECKS AND OTHER DEBITS	36,682.41
CURRENT STATEMENT BALANCE AS OF 12/11/17		3,579.51

NUMBER OF DAYS IN THIS STATEMENT PERIOD 33

CHECK TRANSACTIONS

SERIAL	DATE	AMOUNT	SERIAL	DATE	AMOUNT
2916	11/13	200.00	2937	11/13	245.00
2918*	11/14	60.00	2938	11/14	450.00
2920*	11/09	247.67	2939	11/10	240.00
2921	11/09	830.29	2940	11/14	100.00
2925*	11/14	893.71	2941	11/15	50.00
2928*	11/09	4,649.02	2942	11/15	100.00
2929	11/09	1,846.29	2945*	12/07	83.60
2930	11/10	530.57	2948*	12/07	417.00
2931	11/09	11,763.85	2949	12/07	180.00
2932	11/09	87.00	2950	12/07	692.58
2935*	11/09	180.00	2952*	12/07	500.00
2936	11/10	25.00			

CHECKING ACCOUNT TRANSACTIONS

DATE	DESCRIPTION	DEBITS	CREDITS
11/10	AC-BMW Fin Svc-CHECK PYMT CHECK#-2926	721.13	
11/10	AC-American Express-ARC PYMT CHECK#-2922	2,988.21	
11/13	AC-FIRST METRO BANK-PAYMENT CHECK#-2923	457.10	
11/13	AC-VERIZON FINANCIA-PAYMENTS CHECK#-2924	797.34	
11/13	AC-FIRST METRO BANK-PAYMENT CHECK#-2933	1,239.23	
11/13	POS DEBIT 11/12 RIVERSIDE HT MUSCLE SH MUSCLE SHOALS AL	15.00	
11/13	CKCD DEBIT 11/12 SWEET PEPP ERS MUSCLE S MUSCLE SHOALS AL	40.66	
11/13	CKCD DEBIT 11/10 APPLE	107.91	

12/10/18

[redacted]

[redacted]

MUSCLE SHOALS AL 35661

ENCLOSURES 26 CYCLE-004

*** CHECKING *** STUDENT CHECKING
ACCOUNT NUMBER [redacted]

PREVIOUS STATEMENT BALANCE AS OF 11/07/18		23,316.66
PLUS	1 DEPOSITS AND OTHER CREDITS	10,000.00
LESS	48 CHECKS AND OTHER DEBITS	28,819.04
CURRENT STATEMENT BALANCE AS OF 12/10/18		4,497.62

NUMBER OF DAYS IN THIS STATEMENT PERIOD 33

CHECK TRANSACTIONS

SERIAL	DATE	AMOUNT	SERIAL	DATE	AMOUNT
3150	11/13	1,745.00	3171	11/14	125.00
3152*	11/13	88.83	3172	11/19	100.00
3153	11/09	15.60	3173	11/30	75.00
3154	11/09	89.00	3175*	11/29	100.00
3156*	11/09	69.00	3176	12/04	500.00
3158*	11/09	405.19	3177	12/06	887.39
3160*	11/08	30.00	3178	12/10	20.59
3161	11/08	2,557.10	3179	12/07	2,557.10
3163*	11/08	87.00	3180	12/07	30.00
3165*	11/09	480.00	3183*	12/10	520.99
3166	11/09	300.00	3184	12/06	194.69
3169*	11/13	140.00	3187*	12/07	48.00
3170	11/13	240.00	3188	12/06	555.37

CHECKING ACCOUNT TRANSACTIONS

DATE	DESCRIPTION	DEBITS	CREDITS
11/08	AC-GMALT 2017-2-CHECK PYMT CHECK#-3162	893.71	
11/09	AC-BMW Fin Svc-CHECK PYMT CHECK#-3151	721.13	
11/09	AC-VERIZON FINANCIA-PAYMENTS CHECK#-3159	773.87	
11/09	VISA PYMT -8427 [redacted]	2,459.18	
11/13	AC-FIRST METRO BANK-PAYMENT CHECK#-3157	1,388.79	
11/14	CKCD DEBIT 11/13 WELDON PHARMACY BESSEMER AL	49.77	
11/15	AC-AUTO-OWNERS -INS. PREM	647.43	
11/16	POS DEBIT 11/16 Florence Utilities Florence AL	574.44	

12/09/19

[redacted]

[redacted]
MUSCLE SHOALS AL 35661

ENCLOSURES 21 CYCLE-004

*** CHECKING *** STUDENT CHECKING
ACCOUNT NUMBER [redacted]

PREVIOUS STATEMENT BALANCE AS OF 11/11/19		3,794.22
PLUS	3 DEPOSITS AND OTHER CREDITS	15,165.00
LESS	33 CHECKS AND OTHER DEBITS	16,066.56
CURRENT STATEMENT BALANCE AS OF 12/09/19		2,892.66

NUMBER OF DAYS IN THIS STATEMENT PERIOD 28

CHECK TRANSACTIONS

SERIAL	DATE	AMOUNT	SERIAL	DATE	AMOUNT
3348	11/19	500.00	3363	12/09	1,360.00
3349	11/26	46.79	3364	11/29	515.95
3352*	11/12	3,236.92	3365	11/13	31.00
3354*	11/13	126.37	3366	11/13	275.46
3355	11/12	21.36	3369*	12/09	160.34
3356	11/12	89.00	3377*	12/09	30.00
3359*	11/12	30.00	3380*	12/09	608.37
3360	11/18	200.00	3383*	12/09	284.17
3361	11/25	350.00	3384	12/09	2,850.00
3362	11/21	400.00	3385	12/05	720.00

CHECKING ACCOUNT TRANSACTIONS

DATE	DESCRIPTION	DEBITS	CREDITS
11/12	AC-BMW Fin Svc-CHECK PYMT CHECK#-3353	721.13	
11/13	AC-VERIZON FINANCIA-PAYMENTS CHECK#-3351	783.12	
11/13	OVERDRAFT FEE	33.00	
11/14	OVERDRAFT FEE	132.00	
11/15	AC-AUTO-OWNERS -INS. PREM	754.65	
11/18	Transfer from 7180 to 2142		5,000.00
11/18	OVERDRAFT FEE	33.00	
11/18	AC-AMEX EPAYMENT -ACH PMT	86.98	
11/21	CREDIT MEMO		165.00
11/25	AC-American Express-ARC PYMT CHECK#-3350	70.84	
12/02	AC-HEALTH & FITNESS-CLUB FEES	75.00	
12/04	Transfer from 7180 to 2142		10,000.00
12/04	AC-PROTECTIVE LIFE -INS. PREM.	122.70	
12/04	AC-PROTECTIVE LIFE -INS. PREM.	1,163.83	

12/07/20

[redacted]

[redacted]
MUSCLE SHOALS AL 35661

ENCLOSURES 6 CYCLE-004

*** CHECKING *** STUDENT CHECKING
ACCOUNT NUMBER [redacted]

PREVIOUS STATEMENT BALANCE AS OF 11/09/20		598.09
PLUS	3 DEPOSITS AND OTHER CREDITS	9,000.00
LESS	16 CHECKS AND OTHER DEBITS	8,961.83
CURRENT STATEMENT BALANCE AS OF 12/07/20		636.26

NUMBER OF DAYS IN THIS STATEMENT PERIOD 28

CHECK TRANSACTIONS

SERIAL	DATE	AMOUNT	SERIAL	DATE	AMOUNT
3550*	11/09	2,000.00	3555	11/16	334.24
3553*	11/10	500.00	3556	12/02	380.00
3554	11/12	175.00	3557	11/30	1,150.00

CHECKING ACCOUNT TRANSACTIONS

DATE	DESCRIPTION	DEBITS	CREDITS
11/10	Transfer from 7180 to 2142		3,500.00
11/10	OVERDRAFT FEE	33.00	
11/10	AC-VERIZON FINANCIA-PAYMENTS CHECK#-3525	898.27	
11/13	Transfer from 7180 to 2142		2,500.00
11/13	AC-NATIONWIDE -EDI PYMNTS	511.05	
11/16	AC-NATIONWIDE -EDI PYMNTS	702.26	
11/18	AC-TRANSAMERICA INS-INSPAYMENT	77.74	
11/18	AC-TRANSAMERICA INS-INSPAYMENT	173.25	
11/24	AC-TOYOTA FINANCIAL-RETAIL_PAY	677.37	
11/27	Transfer from 7180 to 2142		3,000.00
12/03	AC-PROTECTIVE LIFE -INS. PREM.	122.70	
12/03	AC-PROTECTIVE LIFE -INS. PREM.	1,163.83	
12/07	AC-PROG SPECIALTY -INS PREM	63.12	

FIRST METRO BANK
406 West Avalon Avenue
Muscle Shoals, AL 35661

07/12/21

[redacted]

MUSCLE SHOALS AL 35661

[redacted]

ENCLOSURES 9 CYCLE-004

*** CHECKING *** STUDENT CHECKING
ACCOUNT NUMBER [redacted]

PREVIOUS STATEMENT BALANCE AS OF 06/07/21		12,348.86
PLUS	1 DEPOSITS AND OTHER CREDITS	28,430.82
LESS	31 CHECKS AND OTHER DEBITS	39,079.95
CURRENT STATEMENT BALANCE AS OF 07/12/21		1,699.73

NUMBER OF DAYS IN THIS STATEMENT PERIOD 35

CHECK TRANSACTIONS

SERIAL	DATE	AMOUNT	SERIAL	DATE	AMOUNT
3630	07/09	300.00	3691	07/12	30.00
3650*	06/10	3,621.84	3696*	07/12	180.00
3651	06/10	87.00	3698*	07/09	705.64
3689*	06/11	720.00	3701*	07/12	94.00
3690	06/15	410.00			

CHECKING ACCOUNT TRANSACTIONS

DATE	DESCRIPTION	DEBITS	CREDITS
06/08	AC-BANK OF AMERICA - MORTGAGE54e7vekj8	4,649.02	
06/09	AC-CITY OF FLORENCE-UTL	162.52	
06/11	AC-American Express-ARC PYMT CHECK#-3652	77.91	
06/14	AC-NATIONWIDE -EDI PYMNTS	539.61	
06/15	AC-ROBINHOOD -Funds	100.00	
06/15	AC-NATIONWIDE -EDI PYMNTS	635.67	
06/17	AC-TRANSAMERICA INS-INSPAYMENT	77.74	
06/17	AC-TRANSAMERICA INS-INSPAYMENT	173.25	
06/21	AC-AUTO-OWNERS -INS. PREM	965.22	
06/24	AC-TOYOTA FINANCIAL-RETAIL_PAY	677.37	
06/25	Incoming Domestic Wire		28,430.82
06/25	OVERDRAFT FEE	33.00	
06/25	Incoming Wire Fee	15.00	
06/30	AC-ROBINHOOD -Funds	1,000.00	
07/01	Transfer from 2142 to 7180	7,000.00	
07/01	Transfer from 2142 to 7180	10,000.00	
07/06	AC-PROTECTIVE LIFE -INS. PREM.	122.70	
07/06	AC-PROTECTIVE LIFE -INS. PREM.	1,163.83	
07/07	AC-PROG SPECIALTY -INS PREM	98.02	
07/08	AC-CITY OF FLORENCE-UTL	188.37	

FIRST METRO BANK
406 West Avalon Avenue
Muscle Shoals, AL 35661

Page 1 of 1

12/05/22

[redacted]

[redacted]
FLORENCE AL 35630

CYCLE-004

*** CHECKING *** METRO MAIN CHECKING
ACCOUNT NUMBER [redacted]

PREVIOUS STATEMENT BALANCE AS OF 11/07/22		648.25
PLUS	2 DEPOSITS AND OTHER CREDITS	1,600.00
LESS	7 CHECKS AND OTHER DEBITS	2,169.64
CURRENT STATEMENT BALANCE AS OF 12/05/22		78.61

NUMBER OF DAYS IN THIS STATEMENT PERIOD 28

CHECKING ACCOUNT TRANSACTIONS

DATE	DESCRIPTION	DEBITS	CREDITS
11/14	AC-HUNTINGTON BANKS-IL PAYMENT	947.77	
11/14	AC-NATIONWIDE -EDI PYMNTS	616.88	
11/15	OVERDRAFT FEE	33.00	
11/17	AC-TRANSAMERICA INS-INSPAYMENT	77.74	
11/17	AC-TRANSAMERICA INS-INSPAYMENT	173.25	
11/18	OVERDRAFT FEE	66.00	
11/25	Transfer from 3584 to 2142		800.00
11/25	Transfer from 7228 to 2142		800.00
11/25	AC-Cincinnati Insur-INS.PREM	255.00	

	TOTAL FOR THIS PERIOD	TOTAL YEAR-TO-DATE
TOTAL OVERDRAFT FEES	99.00	297.00
TOTAL RETURNED ITEM FEES	.00	.00

BALANCE BY DATE

DATE	BALANCE	DATE	BALANCE	DATE	BALANCE	DATE	BALANCE
11/07	648.25	11/14	916.40-	11/15	949.40-	11/17	1,200.39-
11/18	1,266.39-	11/25	78.61				

Proof of 15 Year Consecutive Residence – Emerald Standard Dispensary Facilities – Attachment to Exhibit 2, Section 2.1 Owner E

```
City of Tuscumbia      (Line: 47)(12/27/2022)(12:27) [redacted](Rev: 8.43)(UB1100)
Utility Billing                    Customer File                        Inquire: 01
*********************************************************************************
* Acct#: [redacted]  [redacted]                  ] [Terminated]  Cycle: ( 1) *
********************************** Master Info **********************************
* Stat:  (T) [Terminated     ]                 *Transfer From:     <   -    -  > *
* Name:  [redacted]                            *Transfer To:       <   -    -  > *
*                                              *---------(Budget)------(Actual)--*
* Addr:  [redacted]                            *Total: (       0.00)(       0.00)*
*        (                              )      *Curr:  (       0.00)(       0.00)*
* City:  (TUSCUMBIA AL          )(35674-    ) *30-60: (            )(       0.00)*
*--------------------Mailing-------------------*60-90:                (       0.00)*
* Addr:  [redacted]                            *Over 90:             (       0.00)*
*        (                              )      *In-Process:          (       0.00)*
* City:  (MUSCLE SHOALS AL      )(35661-1075) *Total Budget Bill:   (            )*
* CART:  (R001 )         Delivery Point: (21) *Last Payment:        (     269.65)*
*-------------Credit History-----------------*--------------Dates--------------*
*Rating: (4) (2-2-2-3-3-2-3-3-3-2-2-2-3-3-4) *Inst:  2/24/1995 Bill:  2/07/2017*
*------------------Services-----------------*On:   10/26/2001 Late:  2/22/2017*
*EL DM WA GA GB OL SW CC LS LN AF GM EM WM MC*Off:   1/19/2017 Pymt:  1/18/2017*
* T-  - T-  - T- T-  -  -  - T-  -  -  -  - T*DOB1: [redacted]DOB2:            *
*********************************************************************************
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12/11/17

[redacted]

[redacted]

MUSCLE SHOALS AL 35661

ENCLOSURES 24

CYCLE-004

*** CHECKING *** STUDENT CHECKING
ACCOUNT NUMBER [redacted]

PREVIOUS STATEMENT BALANCE AS OF 11/08/17		33,261.92
PLUS	1 DEPOSITS AND OTHER CREDITS	7,000.00
LESS	54 CHECKS AND OTHER DEBITS	36,682.41
CURRENT STATEMENT BALANCE AS OF 12/11/17		3,579.51

NUMBER OF DAYS IN THIS STATEMENT PERIOD 33

CHECK TRANSACTIONS

SERIAL	DATE	AMOUNT	SERIAL	DATE	AMOUNT
2916	11/13	200.00	2937	11/13	245.00
2918*	11/14	60.00	2938	11/14	450.00
2920*	11/09	247.67	2939	11/10	240.00
2921	11/09	830.29	2940	11/14	100.00
2925*	11/14	893.71	2941	11/15	50.00
2928*	11/09	4,649.02	2942	11/15	100.00
2929	11/09	1,846.29	2945*	12/07	83.60
2930	11/10	530.57	2948*	12/07	417.00
2931	11/09	11,763.85	2949	12/07	180.00
2932	11/09	87.00	2950	12/07	692.58
2935*	11/09	180.00	2952*	12/07	500.00
2936	11/10	25.00			

CHECKING ACCOUNT TRANSACTIONS

DATE	DESCRIPTION	DEBITS	CREDITS
11/10	AC-BMW Fin Svc-CHECK PYMT CHECK#-2926	721.13	
11/10	AC-American Express-ARC PYMT CHECK#-2922	2,988.21	
11/13	AC-FIRST METRO BANK-PAYMENT CHECK#-2923	457.10	
11/13	AC-VERIZON FINANCIA-PAYMENTS CHECK#-2924	797.34	
11/13	AC-FIRST METRO BANK-PAYMENT CHECK#-2933	1,239.23	
11/13	POS DEBIT 11/12 RIVERSIDE HT MUSCLE SH MUSCLE SHOALS AL	15.00	
11/13	CKCD DEBIT 11/12 SWEET PEPP ERS MUSCLE S MUSCLE SHOALS AL	40.66	
11/13	CKCD DEBIT 11/10 APPLE	107.91	

12/10/18

[redacted]

MUSCLE SHOALS AL 35661

ENCLOSURES 26

CYCLE-004

*** CHECKING *** STUDENT CHECKING
ACCOUNT NUMBER [redacted]

PREVIOUS STATEMENT BALANCE AS OF 11/07/18		23,316.66
PLUS	1 DEPOSITS AND OTHER CREDITS	10,000.00
LESS	48 CHECKS AND OTHER DEBITS	28,819.04
CURRENT STATEMENT BALANCE AS OF 12/10/18		4,497.62

NUMBER OF DAYS IN THIS STATEMENT PERIOD 33

CHECK TRANSACTIONS

SERIAL	DATE	AMOUNT	SERIAL	DATE	AMOUNT
3150	11/13	1,745.00	3171	11/14	125.00
3152*	11/13	88.83	3172	11/19	100.00
3153	11/09	15.60	3173	11/30	75.00
3154	11/09	89.00	3175*	11/29	100.00
3156*	11/09	69.00	3176	12/04	500.00
3158*	11/09	405.19	3177	12/06	887.39
3160*	11/08	30.00	3178	12/10	20.59
3161	11/08	2,557.10	3179	12/07	2,557.10
3163*	11/08	87.00	3180	12/07	30.00
3165*	11/09	480.00	3183*	12/10	520.99
3166	11/09	300.00	3184	12/06	194.69
3169*	11/13	140.00	3187*	12/07	48.00
3170	11/13	240.00	3188	12/06	555.37

CHECKING ACCOUNT TRANSACTIONS

DATE	DESCRIPTION	DEBITS	CREDITS
11/08	AC-GMALT 2017-2-CHECK PYMT CHECK#-3162	893.71	
11/09	AC-BMW Fin Svc-CHECK PYMT CHECK#-3151	721.13	
11/09	AC-VERIZON FINANCIA-PAYMENTS CHECK#-3159	773.87	
11/09	VISA PYMT -8427 [redacted]	2,459.18	
11/13	AC-FIRST METRO BANK-PAYMENT CHECK#-3157	1,388.79	
11/14	CKCD DEBIT 11/13 WELDON PHARMACY BESSEMER AL	49.77	
11/15	AC-AUTO-OWNERS -INS. PREM	647.43	
11/16	POS DEBIT 11/16 Florence Utilities Florence AL	574.44	

12/09/19

[redacted]

[redacted]
MUSCLE SHOALS AL 35661

ENCLOSURES 21 CYCLE-004

*** CHECKING *** STUDENT CHECKING
ACCOUNT NUMBER [redacted]

PREVIOUS STATEMENT BALANCE AS OF 11/11/19		3,794.22
PLUS	3 DEPOSITS AND OTHER CREDITS	15,165.00
LESS	33 CHECKS AND OTHER DEBITS	16,066.56
CURRENT STATEMENT BALANCE AS OF 12/09/19		2,892.66

NUMBER OF DAYS IN THIS STATEMENT PERIOD 28

CHECK TRANSACTIONS

SERIAL	DATE	AMOUNT	SERIAL	DATE	AMOUNT
3348	11/19	500.00	3363	12/09	1,360.00
3349	11/26	46.79	3364	11/29	515.95
3352*	11/12	3,236.92	3365	11/13	31.00
3354*	11/13	126.37	3366	11/13	275.46
3355	11/12	21.36	3369*	12/09	160.34
3356	11/12	89.00	3377*	12/09	30.00
3359*	11/12	30.00	3380*	12/09	608.37
3360	11/18	200.00	3383*	12/09	284.17
3361	11/25	350.00	3384	12/09	2,850.00
3362	11/21	400.00	3385	12/05	720.00

CHECKING ACCOUNT TRANSACTIONS

DATE	DESCRIPTION	DEBITS	CREDITS
11/12	AC-BMW Fin Svc-CHECK PYMT CHECK#-3353	721.13	
11/13	AC-VERIZON FINANCIA-PAYMENTS CHECK#-3351	783.12	
11/13	OVERDRAFT FEE	33.00	
11/14	OVERDRAFT FEE	132.00	
11/15	AC-AUTO-OWNERS -INS. PREM	754.65	
11/18	Transfer from 7180 to 2142		5,000.00
11/18	OVERDRAFT FEE	33.00	
11/18	AC-AMEX EPAYMENT -ACH PMT	86.98	
11/21	CREDIT MEMO		165.00
11/25	AC-American Express-ARC PYMT CHECK#-3350	70.84	
12/02	AC-HEALTH & FITNESS-CLUB FEES	75.00	
12/04	Transfer from 7180 to 2142		10,000.00
12/04	AC-PROTECTIVE LIFE -INS. PREM.	122.70	
12/04	AC-PROTECTIVE LIFE -INS. PREM.	1,163.83	

12/07/20

[redacted]

[redacted]
MUSCLE SHOALS AL 35661

ENCLOSURES 6 CYCLE-004

*** CHECKING *** STUDENT CHECKING
ACCOUNT NUMBER [redacted]

PREVIOUS STATEMENT BALANCE AS OF 11/09/20		598.09
PLUS	3 DEPOSITS AND OTHER CREDITS	9,000.00
LESS	16 CHECKS AND OTHER DEBITS	8,961.83
CURRENT STATEMENT BALANCE AS OF 12/07/20		636.26

NUMBER OF DAYS IN THIS STATEMENT PERIOD 28

CHECK TRANSACTIONS

SERIAL	DATE	AMOUNT	SERIAL	DATE	AMOUNT
3550*	11/09	2,000.00	3555	11/16	334.24
3553*	11/10	500.00	3556	12/02	380.00
3554	11/12	175.00	3557	11/30	1,150.00

CHECKING ACCOUNT TRANSACTIONS

DATE	DESCRIPTION	DEBITS	CREDITS
11/10	Transfer from 7180 to 2142		3,500.00
11/10	OVERDRAFT FEE	33.00	
11/10	AC-VERIZON FINANCIA-PAYMENTS CHECK#-3525	898.27	
11/13	Transfer from 7180 to 2142		2,500.00
11/13	AC-NATIONWIDE -EDI PYMNTS	511.05	
11/16	AC-NATIONWIDE -EDI PYMNTS	702.26	
11/18	AC-TRANSAMERICA INS-INSPAYMENT	77.74	
11/18	AC-TRANSAMERICA INS-INSPAYMENT	173.25	
11/24	AC-TOYOTA FINANCIAL-RETAIL_PAY	677.37	
11/27	Transfer from 7180 to 2142		3,000.00
12/03	AC-PROTECTIVE LIFE -INS. PREM.	122.70	
12/03	AC-PROTECTIVE LIFE -INS. PREM.	1,163.83	
12/07	AC-PROG SPECIALTY -INS PREM	63.12	

FIRST METRO BANK
406 West Avalon Avenue
Muscle Shoals, AL 35661

07/12/21

[redacted]

MUSCLE SHOALS AL 35661

[redacted]

ENCLOSURES 9 CYCLE-004

*** CHECKING *** STUDENT CHECKING
ACCOUNT NUMBER [redacted]

PREVIOUS STATEMENT BALANCE AS OF 06/07/21		12,348.86
PLUS	1 DEPOSITS AND OTHER CREDITS	28,430.82
LESS	31 CHECKS AND OTHER DEBITS	39,079.95
CURRENT STATEMENT BALANCE AS OF 07/12/21		1,699.73
NUMBER OF DAYS IN THIS STATEMENT PERIOD	35	

CHECK TRANSACTIONS

SERIAL	DATE	AMOUNT	SERIAL	DATE	AMOUNT
3630	07/09	300.00	3691	07/12	30.00
3650*	06/10	3,621.84	3696*	07/12	180.00
3651	06/10	87.00	3698*	07/09	705.64
3689*	06/11	720.00	3701*	07/12	94.00
3690	06/15	410.00			

CHECKING ACCOUNT TRANSACTIONS

DATE	DESCRIPTION	DEBITS	CREDITS
06/08	AC-BANK OF AMERICA - MORTGAGE54e7vekj8	4,649.02	
06/09	AC-CITY OF FLORENCE-UTL	162.52	
06/11	AC-American Express-ARC PYMT CHECK#-3652	77.91	
06/14	AC-NATIONWIDE -EDI PYMNTS	539.61	
06/15	AC-ROBINHOOD -Funds	100.00	
06/15	AC-NATIONWIDE -EDI PYMNTS	635.67	
06/17	AC-TRANSAMERICA INS-INSPAYMENT	77.74	
06/17	AC-TRANSAMERICA INS-INSPAYMENT	173.25	
06/21	AC-AUTO-OWNERS -INS. PREM	965.22	
06/24	AC-TOYOTA FINANCIAL-RETAIL_PAY	677.37	
06/25	Incoming Domestic Wire		28,430.82
06/25	OVERDRAFT FEE	33.00	
06/25	Incoming Wire Fee	15.00	
06/30	AC-ROBINHOOD -Funds	1,000.00	
07/01	Transfer from 2142 to 7180	7,000.00	
07/01	Transfer from 2142 to 7180	10,000.00	
07/06	AC-PROTECTIVE LIFE -INS. PREM.	122.70	
07/06	AC-PROTECTIVE LIFE -INS. PREM.	1,163.83	
07/07	AC-PROG SPECIALTY -INS PREM	98.02	
07/08	AC-CITY OF FLORENCE-UTL	188.37	

FIRST METRO BANK
406 West Avalon Avenue
Muscle Shoals, AL 35661

Page 1 of 1

12/05/22

[redacted]

[redacted]
FLORENCE AL 35630

CYCLE-004

*** CHECKING *** METRO MAIN CHECKING
ACCOUNT NUMBER [redacted]

PREVIOUS STATEMENT BALANCE AS OF 11/07/22		648.25
PLUS	2 DEPOSITS AND OTHER CREDITS	1,600.00
LESS	7 CHECKS AND OTHER DEBITS	2,169.64
CURRENT STATEMENT BALANCE AS OF 12/05/22		78.61

NUMBER OF DAYS IN THIS STATEMENT PERIOD 28

CHECKING ACCOUNT TRANSACTIONS

DATE	DESCRIPTION	DEBITS	CREDITS
11/14	AC-HUNTINGTON BANKS-IL PAYMENT	947.77	
11/14	AC-NATIONWIDE -EDI PYMNTS	616.88	
11/15	OVERDRAFT FEE	33.00	
11/17	AC-TRANSAMERICA INS-INSPAYMENT	77.74	
11/17	AC-TRANSAMERICA INS-INSPAYMENT	173.25	
11/18	OVERDRAFT FEE	66.00	
11/25	Transfer from 3584 to 2142		800.00
11/25	Transfer from 7228 to 2142		800.00
11/25	AC-Cincinnati Insur-INS.PREM	255.00	

	TOTAL FOR THIS PERIOD	TOTAL YEAR-TO-DATE
TOTAL OVERDRAFT FEES	99.00	297.00
TOTAL RETURNED ITEM FEES	.00	.00

BALANCE BY DATE

DATE	BALANCE	DATE	BALANCE	DATE	BALANCE	DATE	BALANCE
11/07	648.25	11/14	916.40-	11/15	949.40-	11/17	1,200.39-
11/18	1,266.39-	11/25	78.61				

Proof of 15 Year Consecutive Residence – Emerald Standard Dispensary Facilities – Attachment to Exhibit 2, Section 2.1 Owner F

Registrant Information Card

Registrant # 470010210

Status ACTIVE
Status Reason ACTIVE REGISTRANT
Registration Date 02/04/1980
How Reg

[redacted]

[redacted]
HUNTSVILLE, AL 35802

MAILING ADDRESS

Precinct 14.02 SOUTHWOOD PRESBYTERIAN
Birth Date [redacted]
Party PARTY NOT DESIGNATED
Gender MALE
Race WHITE
Reg Source MAIL
Voter Needs Assistance
1
2
NVRA UPDATE
MOVED TO
ORIGINAL NAME
FED. CERT NO.
DHR
MEDICAID
OPTIONAL FIELD 9

Registrant Receipt

Registrant # 470010210

Status ACTIVE
Status Reason ACTIVE REGISTRANT
Registration Date 2/4/1980
How Reg

[redacted]

[redacted]
HUNTSVILLE, AL 35802

MAILING ADDRESS

Precinct 14.02 SOUTHWOOD PRESBYTERIAN
Birth Date [redacted]
Party PARTY NOT DESIGNATED
Gender MALE
Race WHITE
Reg Source MAIL
Voter Needs Assistance
1
2
NVRA UPDATE
MOVED TO
ORIGINAL NAME
FED. CERT NO.
DHR
MEDICAID
OPTIONAL FIELD 9

Proof of 15 Year Consecutive Residence – Emerald Standard Dispensary Facilities – Attachment to Exhibit 2, Section 2.1 Owner G

Madison County 2004 Property Tax Receipt

[redacted] Tax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]
PIN: [redacted] Parcel # [redacted]

Tax Year: 2004 Exemptions: H1
Decals:

Total Tax & Fees: 2,086.40
Appraised Value:
Assessed Value:36,800

Owners:
Location: [redacted]

	Gross	Exempt	Net Tax
State Tax:	$239.20	($26.00)	$213.20
County Tax:	$404.80	($22.00)	$382.80
City Tax:	$478.40	$0.00	$478.40
School Tax:	$1,012.00	$0.00	$1,012.00
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$2,134.40*	*($48.00)*	*$2,086.40*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$0.00
Delinquent Fees:	$0.00
Other Fees:	$0.00

Paid: $2,086.40
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Check	0	[redacted]	$2086.40

TOTAL REAL & PERSONAL PROPERTY PAID: $2,086.40
PAID BY [redacted] **WITH CHECK**
PAID ON December 30, 2004 12:00 am

12/21/2022 2:53:12PM Madison County Page 1 of 1

Madison County 2005 Property Tax Receipt

[redacted] Tax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]
PIN: [redacted] Parcel # [redacted]

Tax Year: 2005 Exemptions: H1
Decals:

Total Tax & Fees: 2,484.99
Appraised Value:
Assessed Value:42,240

Owners:
Location: [redacted]

	Gross	Exempt	Net Tax
State Tax:	$274.56	($26.00)	$248.56
County Tax:	$464.64	($22.00)	$442.64
City Tax:	$549.12	$0.00	$549.12
School Tax:	$1,161.60	$0.00	$1,161.60
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$2,449.92*	*($48.00)*	*$2,401.92*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$71.07
Delinquent Fees:	$12.00
Other Fees:	$0.00

Paid: $2,484.99
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Check	1079	[redacted]	$2484.99

TOTAL REAL & PERSONAL PROPERTY PAID: $2,484.99
PAID BY [redacted] WITH CHECK
PAID ON March 1, 2006 12:00 am

12/21/2022 2:53:15PM Madison County Page 1 of 1

Madison County 2006 Property Tax Receipt

[redacted] Tax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account # [redacted]
PIN [redacted] Parcel # [redacted]

Tax Year: 2006 Exemptions: H1
Decals:

Total Tax & Fees: 2,552.72
Appraised Value:
Assessed Value:44,840

Owners:
Location [redacted]

	Gross	Exempt	Net Tax
State Tax:	$291.46	($26.00)	$265.46
County Tax:	$493.24	($22.00)	$471.24
City Tax:	$582.92	$0.00	$582.92
School Tax:	$1,233.10	$0.00	$1,233.10
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$2,600.72*	*($48.00)*	*$2,552.72*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$0.00
Delinquent Fees:	$0.00
Other Fees:	$0.00

Paid: $2,552.72
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Check	4898	[redacted]	$2552.72

TOTAL REAL & PERSONAL PROPERTY PAID: $2,552.72
PAID BY [redacted] **WITH CHECK**
PAID ON January 2, 2007 12:00 am

12/21/2022 2:53:20PM Madison County Page 1 of 1

Madison County 2007 Property Tax Receipt

[redacted] Tax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]
PIN: [redacted] Parcel # [redacted]

Tax Year: 2007 Exemptions: H1
Decals:

Total Tax & Fees: 2,802.87
Appraised Value:
Assessed Value:48,580

Owners:
Location: [redacted]

	Gross	Exempt	Net Tax
State Tax:	$315.77	($26.00)	$289.77
County Tax:	$534.38	($22.00)	$512.38
City Tax:	$631.54	$0.00	$631.54
School Tax:	$1,335.95	$0.00	$1,335.95
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$2,817.64*	*($48.00)*	*$2,769.64*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$28.23
Delinquent Fees:	$5.00
Other Fees:	$0.00

Paid: $2,802.87
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Check	1767	[redacted]	$2802.87

TOTAL REAL & PERSONAL PROPERTY PAID: $2,802.87
PAID BY [redacted] WITH CHECK
PAID ON January 2, 2008 12:00 am

12/21/2022 2:53:24PM Madison County Page 1 of 1

Madison County 2008 Property Tax Receipt

[redacted] Tax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]

PIN: [redacted] Parcel: [redacted] Tax Year: 2008 Exemptions: H1 Total Tax & Fees: 2,802.87

Decals: Appraised Value:

Owners: Assessed Value:48,580

Location: [redacted]

	Gross	Exempt	Net Tax
State Tax:	$315.77	($26.00)	$289.77
County Tax:	$534.38	($22.00)	$512.38
City Tax:	$631.54	$0.00	$631.54
School Tax:	$1,335.95	$0.00	$1,335.95
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$2,817.64*	*($48.00)*	*$2,769.64*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$28.23
Delinquent Fees:	$5.00
Other Fees:	$0.00

Paid: $2,802.87
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Check	2101	[redacted]	$2802.87

TOTAL REAL & PERSONAL PROPERTY PAID: $2,802.87
PAID BY [redacted] WITH CHECK
PAID ON January 2, 2008 12:00 am

12/21/2022 2:53:31PM Madison County Page 1 of 1

Madison County 2009 Property Tax Receipt

[redacted] Tax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]
PIN: [redacted] Parcel # [redacted]

Tax Year: 2009 Exemptions: H1
Decals:

Total Tax & Fees: 2,804.87
Appraised Value:
Assessed Value:48,580

Owners:
Location: [redacted]

	Gross	Exempt	Net Tax
State Tax:	$315.77	($26.00)	$289.77
County Tax:	$534.38	($22.00)	$512.38
City Tax:	$631.54	$0.00	$631.54
School Tax:	$1,335.95	$0.00	$1,335.95
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$2,817.64*	*($48.00)*	*$2,769.64*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$28.23
Delinquent Fees:	$7.00
Other Fees:	$0.00

Paid: $2,804.87
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Cash		[redacted]	$2.00
Check	2439	[redacted]	$2802.87

TOTAL REAL & PERSONAL PROPERTY PAID: $2,804.87
PAID BY [redacted] **WITH CASH, CHECK**
PAID ON January 2, 2010 12:00 am

12/21/2022 2:53:35PM Madison County Page 1 of 1

Madison County 2010 Property Tax Receipt

[redacted] Tax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]

PIN: [redacted] Parcel # [redacted]

Tax Year: 2010 Exemptions: H1
Decals:

Total Tax & Fees: 2,769.64
Appraised Value:
Assessed Value:48,580

Owners:
Location [redacted]

	Gross	Exempt	Net Tax
State Tax:	$315.77	($26.00)	$289.77
County Tax:	$534.38	($22.00)	$512.38
City Tax:	$631.54	$0.00	$631.54
School Tax:	$1,335.95	$0.00	$1,335.95
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$2,817.64*	*($48.00)*	*$2,769.64*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$0.00
Delinquent Fees:	$0.00
Other Fees:	$0.00

Paid: $2,769.64
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Check	2760	[redacted]	$2769.64

TOTAL REAL & PERSONAL PROPERTY PAID: $2,769.64
PAID BY [redacted] WITH CHECK
PAID ON December 30, 2010 12:00 am

12/21/2022 2:53:39PM Madison County Page 1 of 1

Madison County 2011 Property Tax Receipt

[redacted]Tax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]

PIN: [redacted] Parcel # [redacted]

Tax Year: 2011 Exemptions: H1

Decals:

Total Tax & Fees: 2,943.01

Appraised Value:
Assessed Value:49,300

Owners:
Location: [redacted]

	Gross	Exempt	Net Tax
State Tax:	$320.45	($26.00)	$294.45
County Tax:	$542.30	($22.00)	$520.30
City Tax:	$640.90	$0.00	$640.90
School Tax:	$1,355.75	$0.00	$1,355.75
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$2,859.40*	*($48.00)*	*$2,811.40*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$84.11
Delinquent Fees:	$47.50
Other Fees:	$0.00

Paid: $2,943.01
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Check	8243	[redacted]	$2943.01

TOTAL REAL & PERSONAL PROPERTY PAID: $2,943.01
PAID BY [redacted] WITH CHECK
PAID ON March 23, 2012 12:00 am

12/21/2022 2:53:42PM Madison County Page 1 of 1

Madison County 2012 Property Tax Receipt

[redacted] Tax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]

PIN: [redacted] Parcel # [redacted]

Tax Year: 2012 Exemptions: H1

Decals:

Total Tax & Fees: 2,930.83

Appraised Value:
Assessed Value:49,300

Owners:
Location [redacted]

	Gross	Exempt	Net Tax
State Tax:	$320.45	($26.00)	$294.45
County Tax:	$542.30	($22.00)	$520.30
City Tax:	$640.90	$0.00	$640.90
School Tax:	$1,355.75	$0.00	$1,355.75
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$2,859.40*	*($48.00)*	*$2,811.40*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$83.18
Delinquent Fees:	$36.25
Other Fees:	$0.00

Paid: $2,930.83
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Check	719525	[redacted]	$2930.83

TOTAL REAL & PERSONAL PROPERTY PAID: $2,930.83
PAID BY [redacted] WITH CHECK
PAID ON March 28, 2013 12:00 am

12/21/2022 2:53:46PM Madison County Page 1 of 1

Madison County 2013 Property Tax Receipt

[redacted]ax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]

PIN [redacted] Parcel # [redacted]

Tax Year: 2013 Exemptions: H1

Decals:

Total Tax & Fees: 2,934.58

Appraised Value:
Assessed Value:49,300

Owners: [redacted]
Location:

	Gross	Exempt	Net Tax
State Tax:	$320.45	($26.00)	$294.45
County Tax:	$542.30	($22.00)	$520.30
City Tax:	$640.90	$0.00	$640.90
School Tax:	$1,355.75	$0.00	$1,355.75
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$2,859.40*	*($48.00)*	*$2,811.40*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$83.18
Delinquent Fees:	$40.00
Other Fees:	$0.00

Paid: $2,934.58
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Cash		[redacted]	$2934.58

TOTAL REAL & PERSONAL PROPERTY PAID: $2,934.58
PAID BY [redacted] WITH CASH
PAID ON March 26, 2014 12:00 am

12/21/2022 2:53:50PM Madison County Page 1 of 1

Madison County 2014 Property Tax Receipt

[redacted]ax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]

PIN: 77508 Parcel # [redacted]

Tax Year: 2014 Exemptions: H1

Decals:

Total Tax & Fees: 2,906.58

Appraised Value:
Assessed Value:49,300

Owners:
Location: [redacted]

	Gross	Exempt	Net Tax
State Tax:	$320.45	($26.00)	$294.45
County Tax:	$542.30	($22.00)	$520.30
City Tax:	$640.90	$0.00	$640.90
School Tax:	$1,355.75	$0.00	$1,355.75
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$2,859.40*	*($48.00)*	*$2,811.40*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$83.18
Delinquent Fees:	$12.00
Other Fees:	$0.00

Paid: $2,906.58
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Cash		[redacted]	$6.58
Check	11547	[redacted]	$2900.00

TOTAL REAL & PERSONAL PROPERTY PAID: $2,906.58
PAID BY [redacted] WITH CASH, CHECK
PAID ON March 16, 2015 12:00 am

12/21/2022 2:53:54PM Madison County Page 1 of 1

Madison County 2015 Property Tax Receipt

[redacted] Tax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]

PIN [redacted] Parcel [redacted]

Tax Year: 2015 Exemptions: H1

Decals:

Total Tax & Fees: 3,015.10
Appraised Value: 516,200
Assessed Value:51,620

Owners: [redacted]
Location

	Gross	Exempt	Net Tax
State Tax:	$335.53	($26.00)	$309.53
County Tax:	$567.82	($22.00)	$545.82
City Tax:	$671.06	$0.00	$671.06
School Tax:	$1,419.55	$0.00	$1,419.55
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$2,993.96*	*($48.00)*	*$2,945.96*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$57.14
Delinquent Fees:	$12.00
Other Fees:	$0.00

Paid: $3,015.10
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Cash		[redacted]	$15.10
Check	12171		$3000.00

TOTAL REAL & PERSONAL PROPERTY PAID: $3,015.10
PAID BY [redacted] **WITH CASH, CHECK**
PAID ON February 29, 2016 12:00 am

12/21/2022 2:53:07PM Madison County Page 1 of 1

Madison County 2016 Property Tax Receipt

[redacted]ax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]

PIN [redacted] Parcel [redacted]

Tax Year: 2016 Exemptions: H4

Decals:

Total Tax & Fees: 2,778.94
Appraised Value: 516,200
Assessed Value:51,620

Owners: [redacted]
Location:

	Gross	Exempt	Net Tax
State Tax:	$335.53	($335.53)	$0.00
County Tax:	$567.82	($22.00)	$545.82
City Tax:	$671.06	$0.00	$671.06
School Tax:	$1,419.55	$0.00	$1,419.55
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$2,993.96*	*($357.53)*	*$2,636.43*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$78.01
Delinquent Fees:	$64.50
Other Fees:	$0.00

Paid: $2,778.94
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Check	13101	[redacted]	$2778.94

TOTAL REAL & PERSONAL PROPERTY PAID: $2,778.94
PAID BY [redacted] WITH CHECK
PAID ON March 28, 2017 12:00 am

12/21/2022 2:53:57PM Madison County Page 1 of 1

Madison County 2017 Property Tax Receipt

[redacted]Tax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]

PIN: [redacted] Parcel # [redacted]

Tax Year: 2017 Exemptions: H4
Decals:

Total Tax & Fees: 2,834.61
Appraised Value: 542,000
Assessed Value:54,200

Owners: [redacted]
Location

	Gross	Exempt	Net Tax
State Tax:	$352.30	($352.30)	$0.00
County Tax:	$596.20	($22.00)	$574.20
City Tax:	$704.60	$0.00	$704.60
School Tax:	$1,490.50	$0.00	$1,490.50
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$3,143.60*	*($374.30)*	*$2,769.30*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$53.31
Delinquent Fees:	$12.00
Other Fees:	$0.00

Paid: $21.06
Balance: $2,813.55

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Check	0	[redacted]	$21.06

TOTAL REAL & PERSONAL PROPERTY PAID: $21.06
PAID BY [redacted] **WITH CHECK**
PAID ON September 5, 2017 12:00 am

12/21/2022 2:54:06PM Madison County Page 1 of 1

Madison County 2018 Property Tax Receipt

[redacted] Tax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]

PIN: [redacted] Parcel # [redacted]

Tax Year: 2018 Exemptions: H4
Decals:

Total Tax & Fees: 2,781.47
Appraised Value: 531,800
Assessed Value:53,180

Owners: [redacted]
Location

	Gross	Exempt	Net Tax
State Tax:	$345.67	($345.67)	$0.00
County Tax:	$584.98	($22.00)	$562.98
City Tax:	$691.34	$0.00	$691.34
School Tax:	$1,462.45	$0.00	$1,462.45
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$3,084.44*	*($367.67)*	*$2,716.77*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$52.70
Delinquent Fees:	$12.00
Other Fees:	$0.00

Paid: $2,781.47
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Check	3851	[redacted]	$2781.47

TOTAL REAL & PERSONAL PROPERTY PAID: $2,781.47
PAID BY [redacted] WITH CHECK
PAID ON February 28, 2019 12:00 am

12/21/2022 2:54:16PM Madison County Page 1 of 1

Madison County 2019 Property Tax Receipt

[redacted]ax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]

PIN [redacted] Parcel # [redacted]

Tax Year: 2019 Exemptions: H4
Decals:

Total Tax & Fees: 2,866.12
Appraised Value: 560,700
Assessed Value:56,080

Owners: [redacted]
Location

	Gross	Exempt	Net Tax
State Tax:	$364.52	($364.52)	$0.00
County Tax:	$616.88	($22.00)	$594.88
City Tax:	$729.04	$0.00	$729.04
School Tax:	$1,542.20	$0.00	$1,542.20
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$3,252.64*	*($386.52)*	*$2,866.12*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$0.00
Delinquent Fees:	$0.00
Other Fees:	$0.00

Paid: $2,866.12
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Check	6772	[redacted]	$2866.12

TOTAL R[redacted]AID: $2,866.12
PAID BY [redacted]WITH CHECK
PAID ON December 30, 2019 12:00 am

12/21/2022 2:54:20PM Madison County Page 1 of 1

Madison County 2020 Property Tax Receipt

[redacted]ax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]

PIN: [redacted] Parcel # [redacted]

Tax Year: 2020 Exemptions: H4
Decals:

Total Tax & Fees: 2,953.67
Appraised Value: 577,700
Assessed Value:57,780

Owners: [redacted]
Location

	Gross	Exempt	Net Tax
State Tax:	$375.57	($375.57)	$0.00
County Tax:	$635.58	($22.00)	$613.58
City Tax:	$751.14	$0.00	$751.14
School Tax:	$1,588.95	$0.00	$1,588.95
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$3,351.24*	*($397.57)*	*$2,953.67*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$0.00
Delinquent Fees:	$0.00
Other Fees:	$0.00

Paid: $2,953.67
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Check	96337	[redacted]	$2953.67

TOTAL REAL & PERSONAL PROPERTY PAID: $2,953.67
PAID BY [redacted] WITH CHECK
PAID ON October 20, 2020 3:57 pm

12/21/2022 2:54:23PM Madison County Page 1 of 1

Madison County 2021 Property Tax Receipt

[redacted] Tax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]

PIN: [redacted] Parcel # [redacted]

Tax Year: 2021 Exemptions: H4
Decals:

Total Tax & Fees: 2,997.96
Appraised Value: 586,200
Assessed Value:58,640

Owners: [redacted]
Location

	Gross	Exempt	Net Tax
State Tax:	$381.16	($381.16)	$0.00
County Tax:	$645.04	($22.00)	$623.04
City Tax:	$762.32	$0.00	$762.32
School Tax:	$1,612.60	$0.00	$1,612.60
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$3,401.12*	*($403.16)*	*$2,997.96*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$0.00
Delinquent Fees:	$0.00
Other Fees:	$0.00

Paid: $2,997.96
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Check	7395	[redacted]	$2997.96

TOTAL REAL & PERSONAL PROPERTY PAID: $2,997.96
PAID BY [redacted] WITH CHECK
PAID ON December 29, 2021 3:38 pm

12/21/2022 2:54:27PM Madison County Page 1 of 1

Madison County 2022 Property Tax Receipt

[redacted] Tax Collector
[redacted]
Huntsville AL 35801

[redacted]
HUNTSVILLE AL 35801

Account #: [redacted]

PIN: [redacted] Parcel # [redacted]

Tax Year: 2022 Exemptions: H4

Decals:

Total Tax & Fees: 3,369.79
Appraised Value: 658,500
Assessed Value: 65,860

Owners: [redacted]
Location

	Gross	Exempt	Net Tax
State Tax:	$428.09	($428.09)	$0.00
County Tax:	$724.46	($22.00)	$702.46
City Tax:	$856.18	$0.00	$856.18
School Tax:	$1,811.15	$0.00	$1,811.15
Timber Tax:	$0.00	$0.00	$0.00
Other Tax:	$0.00	$0.00	$0.00
Totals	*$3,819.88*	*($450.09)*	*$3,369.79*

Rescue Squad:	$0.00
Firefighter:	$0.00
Interest:	$0.00
Delinquent Fees:	$0.00
Other Fees:	$0.00

Paid: $3,369.79
Balance: $0.00

Payments Tendered

Payment Type	Reference#	Paid By	Amount
Check	7656	[redacted]	$3369.79

TOTAL REAL & PERSONAL PROPERTY PAID: $3,369.79
PAID BY [redacted] WITH CHECK
PAID ON December 21, 2022 2:39 pm

12/21/2022 2:52:39PM Madison County Page 1 of 1

Exhibit 3 – Criminal Background Check

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	12/29/2022
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

3.1 Background Check Applicant Verification

The Background Check Applicant Verification, "FORM B", is attached hereto and identified as "FORM B: Background Check Applicant Verification – Emerald Standard Dispensary Facilities – Attachment to Exhibit 3, Section 3.1"

3.2 State Background Check (ALEA)

Each owner, shareholder, director, board member, and individual with an economic interest in the Applicant has consented to a state background check by ALEA. These background check forms and fingerprint cards were all returned to ALEA at the time of this application.

3.3 National Background Check (FBI)

Each owner, shareholder, director, board member, and individual with an economic interest in the Applicant has consented to a national criminal background check by the F.B.I. These background check forms and fingerprint cards were all returned to the F.B.I. at the time of this application.

3.4 Background Check Individual Verification

The Background Check Individual Verification, "FORM E", for each individual with an economic interest in the Applicant is attached hereto and identified as "FORM E: Background Check ALL Individual Verifications – Emerald Standard Dispensary Facilities – Attachment to Exhibit 3, Section 3.4"

Attachment Table of Contents

FORM B: Background Check Applicant Verification – Emerald Standard Dispensary Facilities – Attachment to Exhibit 3, Section 3.1 **3**

FORM E: Background Check ALL Individual Verifications – Emerald Standard Dispensary Facilities – Attachment to Exhibit 3, Section 3.4 **4**

FORM B: Background Check Applicant Verification – Emerald Standard Dispensary Facilities – Attachment to Exhibit 3, Section 3.1

FORM B: BACKGROUND CHECK APPLICANT VERIFICATION

EMERALD STANDARD, LLC — Business License Applicant Name

DISPENSARY — License Type

Provide the name and title of each individual identified by § 20-2A-55(b), Code of Alabama 1975 (as amended) (i.e., each owner, shareholder, director, board member, and individual with an economic interest in the Applicant). Attach additional forms if necessary.

NAME	ROLE (select all that apply)
[redacted]	☑ Owner ☑ Shareholder ☑ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant
[redacted]	☐ Owner ☑ Shareholder ☐ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant
[redacted]	☐ Owner ☑ Shareholder ☐ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant
[redacted]	☐ Owner ☑ Shareholder ☐ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant
[redacted]	☐ Owner ☑ Shareholder ☑ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant
[redacted]	☐ Owner ☑ Shareholder ☐ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant
[redacted]	☐ Owner ☑ Shareholder ☐ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant
[redacted]	☐ Owner ☑ Shareholder ☑ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant
[redacted]	☐ Owner ☑ Shareholder ☐ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant
[redacted]	☑ Owner ☑ Shareholder ☑ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant

Applicant Verification: The undersigned hereby verifies that the individuals listed hereinabove (and attached, as necessary) are all of the individuals identified by § 20-2A-55(b), Code of Alabama 1975 (as amended) with respect to the Applicant. The undersigned further verifies that each individual listed hereinabove (and attached, as necessary) has requested a state criminal background check from the Alabama Law Enforcement Agency (ALEA) and a national criminal background check from the FBI.

[redacted]
Printed Name of Verifying Individual

Founder / Shareholder
Title of Verifying Individual

[redacted]
Signature of Verifying Individual

12/26/2022
Verification Date

FORM E: Background Check ALL Individual Verifications – Emerald Standard Dispensary Facilities – Attachment to Exhibit 3, Section 3.4

FORM E: BACKGROUND CHECK INDIVIDUAL VERIFICATION

Each individual identified by § 20-2A-55(b), Code of Alabama 1975 (as amended) (i.e., each owner, shareholder, director, board member, and individual with an economic interest in the Applicant) must complete a separate form.

Emerald Standard, LLC
Business License Applicant Name

Dispensary
License Type

[redacted]
Individual's Name

Individual's Role (select all that apply): ☑ Owner ☑ Shareholder ☑ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant

Verification

The undersigned, as identified above, hereby verifies all of the following:

- That the individual's role(s) in the Applicant's business is one or more of the roles identified by § 20-2A-55(b), Code of Alabama 1975 (as amended).
- That the individual shall, as required by § 20-2A-55(b), Code of Alabama 1975 (as amended), submit to a state and national criminal background check, to be conducted and/or coordinated by the Alabama Law Enforcement Agency.
- That the individual has submitted its completed state criminal background check application form (ALEA SBI Form 46), and all other items required therewith, to ALEA
- That the individual has submitted its national criminal background check form (FBI Identity History Summary Request Form), and all other items required therewith, to the FBI.
- That the individual, on his/her state and national background check forms, has authorized ALEA and the FBI, as applicable, to release any and all criminal history information of the individual to the Alabama Medical Cannabis Commission.
- That the individual will promptly respond to any request from ALEA, the FBI, and/or the Alabama Medical Cannabis Commission regarding the processing of the individual's state and national criminal background checks.
- That the individual has confirmed that his/her name and role(s) have been included, by the Applicant, on the Background Check Applicant Verification Form.

[redacted]
Signature of Verifying Individual

11-4-2022
Verification Date

FORM E: BACKGROUND CHECK INDIVIDUAL VERIFICATION

Each individual identified by § 20-2A-55(b), Code of Alabama 1975 (as amended) (i.e., each owner, shareholder, director, board member, and individual with an economic interest in the Applicant) must complete a separate form.

Emerald Standard, LLC
Business License Applicant Name

Dispensary
License Type

[redacted]
Individual's Name

Individual's Role (select all that apply): ☐ Owner ☑ Shareholder ☐ Director ☑ Board Member
☑ Individual with Economic Interest in Applicant

Verification

The undersigned, as identified above, hereby verifies all of the following:

- That the individual's role(s) in the Applicant's business is one or more of the roles identified by § 20-2A-55(b), Code of Alabama 1975 (as amended).
- That the individual shall, as required by § 20-2A-55(b), Code of Alabama 1975 (as amended), submit to a state and national criminal background check, to be conducted and/or coordinated by the Alabama Law Enforcement Agency.
- That the individual has submitted its completed state criminal background check application form (ALEA SBI Form 46), and all other items required therewith, to ALEA
- That the individual has submitted its national criminal background check form (FBI Identity History Summary Request Form), and all other items required therewith, to the FBI.
- That the individual, on his/her state and national background check forms, has authorized ALEA and the FBI, as applicable, to release any and all criminal history information of the individual to the Alabama Medical Cannabis Commission.
- That the individual will promptly respond to any request from ALEA, the FBI, and/or the Alabama Medical Cannabis Commission regarding the processing of the individual's state and national criminal background checks.
- That the individual has confirmed that his/her name and role(s) have been included, by the Applicant, on the Background Check Applicant Verification Form.

[redacted]
Signature of Verifying Individual

12/5/2022
Verification Date

FORM E: BACKGROUND CHECK INDIVIDUAL VERIFICATION

Each individual identified by § 20-2A-55(b), Code of Alabama 1975 (as amended) (i.e., each owner, shareholder, director, board member, and individual with an economic interest in the Applicant) must complete a separate form.

Emerald Standard, LLC
Business License Applicant Name

Dispensary
License Type

[redacted]
Individual's Name

Individual's Role (select all that apply): ☐ Owner ☑ Shareholder ☐ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant

Verification

The undersigned, as identified above, hereby verifies all of the following:

- That the individual's role(s) in the Applicant's business is one or more of the roles identified by § 20-2A-55(b), Code of Alabama 1975 (as amended).
- That the individual shall, as required by § 20-2A-55(b), Code of Alabama 1975 (as amended), submit to a state and national criminal background check, to be conducted and/or coordinated by the Alabama Law Enforcement Agency.
- That the individual has submitted its completed state criminal background check application form (ALEA SBI Form 46), and all other items required therewith, to ALEA
- That the individual has submitted its national criminal background check form (FBI Identity History Summary Request Form), and all other items required therewith, to the FBI.
- That the individual, on his/her state and national background check forms, has authorized ALEA and the FBI, as applicable, to release any and all criminal history information of the individual to the Alabama Medical Cannabis Commission.
- That the individual will promptly respond to any request from ALEA, the FBI, and/or the Alabama Medical Cannabis Commission regarding the processing of the individual's state and national criminal background checks.
- That the individual has confirmed that his/her name and role(s) have been included, by the Applicant, on the Background Check Applicant Verification Form.

[redacted]
Signature of Verifying Individual

11.16.2022
Verification Date

FORM E: BACKGROUND CHECK INDIVIDUAL VERIFICATION

Each individual identified by § 20-2A-55(b), Code of Alabama 1975 (as amended) (i.e., each owner, shareholder, director, board member, and individual with an economic interest in the Applicant) must complete a separate form.

Emerald Standard, LLC
Business License Applicant Name

Dispensary
License Type

[redacted]
Individual's Name

Individual's Role (select all that apply): ☐ Owner ☒ Shareholder ☐ Director ☑ Board Member
☑ Individual with Economic Interest in Applicant

Verification

The undersigned, as identified above, hereby verifies all of the following:

- That the individual's role(s) in the Applicant's business is one or more of the roles identified by § 20-2A-55(b), Code of Alabama 1975 (as amended).
- That the individual shall, as required by § 20-2A-55(b), Code of Alabama 1975 (as amended), submit to a state and national criminal background check, to be conducted and/or coordinated by the Alabama Law Enforcement Agency.
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- That the individual has confirmed that his/her name and role(s) have been included, by the Applicant, on the Background Check Applicant Verification Form.

[redacted]
Signature of Verifying Individual

11-4-2022
Verification Date

FORM E: BACKGROUND CHECK INDIVIDUAL VERIFICATION

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Emerald Standard, LLC
Business License Applicant Name

Dispensary
License Type

[redacted]
Individual's Name

Individual's Role (select all that apply): ☐ Owner ☑ Shareholder ☑ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant

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[redacted]
Signature of Verifying Individual

12/19/2022
Verification Date

FORM E: BACKGROUND CHECK INDIVIDUAL VERIFICATION

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Emerald Standard, LLC — Business License Applicant Name

Dispensary — License Type

[redacted]

Individual's Name

Individual's Role (select all that apply): ☐ Owner ☑ Shareholder ☐ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant

Verification

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[redacted]

Signature of Verifying Individual

12/10/22

Verification Date

FORM E: BACKGROUND CHECK INDIVIDUAL VERIFICATION

Each individual identified by § 20-2A-55(b), Code of Alabama 1975 (as amended) (i.e., each owner, shareholder, director, board member, and individual with an economic interest in the Applicant) must complete a separate form.

Emerald Standard, LLC — Dispensary

Business License Applicant Name — License Type

[redacted]

Individual's Name

Individual's Role (select all that apply): ☐ Owner ☑ Shareholder ☐ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant

Verification

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[redacted]

Signature of Verifying Individual — 12/10/22 Verification Date

FORM E: BACKGROUND CHECK INDIVIDUAL VERIFICATION

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Emerald Standard, LLC
Business License Applicant Name

Dispensary
License Type

[redacted]
Individual's Name

Individual's Role (select all that apply): ☐ Owner ☒ Shareholder ☑ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant

Verification

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- That the individual has confirmed that his/her name and role(s) have been included, by the Applicant, on the Background Check Applicant Verification Form.

[redacted]
Signature of Verifying Individual

11/17/22
Verification Date

FORM E: BACKGROUND CHECK INDIVIDUAL VERIFICATION

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Emerald Standard LLC
Business License Applicant Name

Dispensary
License Type

[redacted]
Individual's Name

Individual's Role (select all that apply): ☐ Owner ☑ Shareholder ☐ Director ☑ Board Member
☑ Individual with Economic Interest in Applicant

Verification

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- That the individual has confirmed that his/her name and role(s) have been included, by the Applicant, on the Background Check Applicant Verification Form.

[redacted]
Signature of Verifying Individual

11/30/22
Verification Date

FORM E: BACKGROUND CHECK INDIVIDUAL VERIFICATION

Each individual identified by § 20-2A-55(b), Code of Alabama 1975 (as amended) (i.e., each owner, shareholder, director, board member, and individual with an economic interest in the Applicant) must complete a separate form.

EMERALD STANDARD, LLC
Business License Applicant Name

DISPENSARY
License Type

[redacted]
Individual's Name

Individual's Role (select all that apply): ☑ Owner ☑ Shareholder ☑ Director ☑ Board Member ☑ Individual with Economic Interest in Applicant

Verification

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- That the individual's role(s) in the Applicant's business is one or more of the roles identified by § 20-2A-55(b), Code of Alabama 1975 (as amended).
- That the individual shall, as required by § 20-2A-55(b), Code of Alabama 1975 (as amended), submit to a state and national criminal background check, to be conducted and/or coordinated by the Alabama Law Enforcement Agency.
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- That the individual has confirmed that his/her name and role(s) have been included, by the Applicant, on the Background Check Applicant Verification Form.

[redacted]
Signature of Verifying Individual

12-21-2022
Verification Date

Exhibit 4 – Demonstration of Sufficient Capital

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	12/30/2022
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

4.1 Demonstration of sufficient capital available to the Applicant

This section provides demonstration of sufficient capital available to the Applicant, as well as the source thereof, and verification of the same by a responsible person designated by the Applicant, the Applicant's contact person, and an independent Certified Public Accountant. (Note: Unless otherwise ordered by the Commission, "sufficient capital" is defined as the total of the Applicant's annual budgets or projected annual budgets during the first three full years after a license is issued to the Applicant.)

4.1.1

The applicant has sufficient capital available to realize its business plan. The business requires up to $13,111,429 to operate for three years past commencement, in a worst-case scenario of no revenue. Per the included documentation the Applicant (ownership) has total amount of $21,050,561 in funds available (will utilize as needed), as provided by the owners. The excess funds available then is at least $7,939,132. If needed the company will also have the capability to raise additional funds to accelerate or expand upon its business plan.

4.1.2

Verification of sufficient capital by a responsible person designated by the Applicant. The Company designates [redacted], the company's legal counsel, as the responsible person to verify funds. I, [redacted], have reviewed the letters and other documentation provided by the members of the Applicant, showing their sources of available cash. Based on my review of those documents, I affirm that the Applicant has sufficient capital available to it within the meaning of the Commission's regulations.

/s/ [redacted]

4.1.3

Verification of sufficient capital by the Applicant's Contact person, [redacted]. as by signature to this exhibit.

4.1.4

Verification of sufficient capital by the company's CPA, as per the attached exhibit.
The Applicant, and its sources thereof, have provided: name, verification of funds, and letter from a Certified Public Accountant. The documents proving this total amount of "sufficient capital" defined by the Applicant's projected annual budgets during the first three full years after license(s) are issued, totaled to over 5 pages, so the Certified Affidavit as to Sufficient Capital signed and notarized, is attached hereto and identified as "Affidavit as to Sufficient Capital – Emerald Standard Dispensary Facilities – Attachment to Exhibit 4, Section 4.1"

Affidavit as to Sufficient Capital – Emerald Standard Dispensary Facilities – Attachment to Exhibit 4, Section 4.1

STATE OF OREGON

DESCHUTES COUNTY

AFFIDAVIT AS TO SUFFICIENT CAPITAL

The Affiant, [redacted] personally appeared and, being duly sworn, stated as follows:

1. My name is [redacted] I am over the age of nineteen years and a resident of Deschutes County, Oregon .

2. I am a Certified Public Accountant licensed in the State of Oregon, and I have personal knowledge of the facts stated in this Affidavit.

3. I am giving this Affidavit in support of the application of Emerald Standard, LLC, an Alabama limited liability company (the "Applicant"), to the Alabama Medical Cannabis Commission (the "Commission") to demonstrate the Applicant's sufficient capital pursuant to Section 20-2A-22 of the Code of Alabama 1975 (as amended) and the regulations thereunder.

4. My firm, Treestar Solutions, provides tax and accounting services to businesses in the cannabis industry, and my firm has been engaged to assist the Company with its application to the Commission.

5. I have reviewed funding letters provided by the members of the Company. Those funding letters in Exhibit A, which is attached hereto and incorporated by reference herein.

6. Based on the information contained in Exhibit A, the following members have invested in the Company the amounts listed beside their names:

Member	Capital Commitment
[redacted]	$250,000.00
	$83,333.33
	$166,667.00
	$1,100,000.00

[redacted]	$250,000.00
	$250,000.00
	$125,000.00

7. In addition to the capital commitments shown above, the Company has at its disposal available capital from its members in the following amounts:

Member	Additional Capital Available
[redacted]	$5,000,000.00
	$400,000.00
	$6,000,000.00
	$5,802,019.21
	$389,161.04
	$1,000,000.00
	$234,381.98

Further this affiant sayeth not.

[redacted]

Sworn to and subscribed before me this 27 day of December, 2022.

OFFICIAL STAMP
[redacted]
NOTARY PUBLIC-OREGON
COMMISSION NO. 996182A
MY COMMISSION EXPIRES JANUARY 20, 2024

[redacted]
Notary Public
My Commission Expires: 01/20/2024

This instrument prepared by:
[redacted]
Spry Law Firm, P.C.
[redacted]
Florence, Alabama 35630
[redacted]

[redacted]
treestarsolutions.com
[redacted]

To :

Whom it May Concern

December 29,2022

Greetings!

This letter is to confirm that we have reviewed the budget submitted by Emerald Standard LLC, and can further confirm that the committed capital of $2,225,000.33 is sufficient to cover the budgeted pre operation cash requirement total of $2,127,396.00 for the Killen, Birmingham, and Russellville planned dispensary locations as budgeted by Emerald Standard, LLC.

Investors of Emerald Standard have funds in addition to what is committed of $19,825,562.23. Which, if/when committed, will be sufficient to cover the construction of all three dispensary locations, as well as inventory of $1,984,769.00, and the first 4 years of operating capital totaling approximately $13,111,429.00.

If you have further questions, please do not hesitate to reach out directly.

Regards,

[redacted]

ACCOUNTING@TREESTARSOLUTIONS.COM
OFFICE: [redacted]

Exhibit 5 – Financial Statements

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	12/30/2022
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

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With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

Financial Statements

Applicant has assembled Capital Expenses (Startup Budget), Balance Sheet, Profile and Loss, Cash flow in the respective, *Table 5: Startup Budget* and *Table 5.1: Balance Sheet, Table 5.2a Profit & Loss, Table 5.2b Profit & Loss Continued, Table 5.2c Profit & Loss Continued and Table 5.3 Cash Flow* as well as a set of revenue assumptions to determine the anticipated financial performance and financial viability of the business model. To better understand initial capital requirements and demonstrate adequate funding, Applicant has estimated initial startup expenses in the Startup Budget and ongoing operating expenses in the Operational Budget. Together these budgets detail estimated construction, operation, maintenance, employee compensation, equipment, utility, and other startup and operational costs over the five-year forecast period.

Revenue Assumptions

Applicant has developed the following financial assumptions using conservative revenue projections based on best practices for estimating customer counts, average consumption rates, product category breakdown, and growth metrics. Projected expenses are meant to be comprehensive of all foreseen and unforeseen costs, but Applicant has earmarked an additional cash reserve for all cost overruns. The Financial Oversight Team (FOT) will review actual performance against projections weekly in order to maintain strict fiscal controls over the Applicant's expenditures.

ASSUMPTION	VALUE
Medical Patient Population:	73,500
End of First Year:	
Ounces per Capita per Month:	0.7000 oz
Total State Monthly Demand:	51,450 oz
Number of Licenses/Retailers in Market:	30
Target Market Share:	12.00%
Projected Monthly Demand, Uniformly distributed:	1,715 oz
Projected Monthly Demand, Target market share:	6,174 oz
Model Output, based on Assumptions, Below:	
Total Ounces equivalent, Month 12:	373 oz
	15.0%
Medical Patient Population:	98,000
End of Third Year:	
Ounces per Capita per Month:	0.9000 oz
Total State Monthly Demand:	88,200 oz
Number of Licenses/Retailers:	37
Target Market Share:	4.00%

Projected Monthly Demand, Uniformly distributed:	2,384 oz
Projected Monthly Demand, Target market share:	3,528 oz
Model Output, based on Assumptions, Below:	
Total Ounces equivalent, Month 36:	508 oz

Startup Budget

Applicant has estimated startup expenses in *Table 5: Capital Expenses*, covering construction, equipment, and other startup costs, beginning with the Applicant formation and ending with the facility becoming operational in the beginning of 2024.

Applicant has budgeted $1,549,075 for Facility Improvement (construction, renovation and building) of the three dispensing sites. An additional $ 440,934 has been budgeted for Equipment and Furniture and Fixtures (fixtures, furnishings, and equipment, such as security equipment; administrative offices; television monitors to be used as display monitors; odor control and HVAC equipment; and point of sale (POS) terminals). This budget includes the interior furniture, fixtures, equipment and full renovation and construction for the space, allowing for high-quality expenditures in line with the caliber of neighborhood restaurants and retail stores.

Table 5 - Capital Expenses

Capital Expense Item Detail	Total - KILLEN	Total - BIRMINGHAM	Total - RUSSELLVILLE
Professional Fees (Engineering, Architecture)	$ 27,106	$ 15,505	$ 18,036
Land Purchase	$ 200,000	$ -	$ -
GC Fees	$ 8,310	$ 6,310	$ 6,310
Site Work	$ 22,670	$ -	$ -
Soil Testing	$ 6,000	$ -	$ -
Surveying	$ 2,500	$ -	$ -
Driveway & Parking	$ 59,400	$ 5,500	$ 5,500
Utilities	$ 25,150	$ -	$ -
Landscaping	$ 2,500	$ 2,500	$ 2,500
Building Detail	$ 180,000	$ 180,000	$ 180,000
Permits	$ 5,000	$ 5,000	$ 5,000
Building Shell	$ 36,840	$ 17,800	$ 25,200
Mechanical, Electrical, Plumbing	$ 29,594	$ 29,594	$ 33,320
Walls and Finishes	$ 20,110	$ 20,110	$ 20,100
Floor Finishes	$ 15,195	$ 15,195	$ 50,195
Roof & Ceiling	$ 86,169	$ 12,500	$ 19,500
Doors and Frames	$ 13,800	$ 13,800	$ 13,800
Demolition	$ -	$ 8,100	$ 5,600
Foundation	$ 37,200	$ -	$ -
Display Cases, Lighting	$ 30,290	$ 30,290	$ 30,290
Office Furniture, Equipment	$ 5,280	$ 5,280	$ 5,280
Point of Sale System	$ 9,820	$ 9,820	$ 9,820
Security Elements: Vault, Security System, etc.	$ 101,588	$ 101,588	$ 101,588
Contingency, 12%	$ 17,637	$ 18,609	$ 18,309
Total Capital Expenditure	**$ 942,160**	**$ 497,501**	**$ 550,348**

5.1- Balance sheet

Applicant has prepared 6 years of balance sheet starting with $10,000,000 in cash from the ownership of Emerald Standard LLC to bring the project to fruition. The applicant's Ownership group has an additional $11,050,561 to utilize for the proposed medical marijuana project if necessary.

Table 5.1 – Balance Sheet Report

Projected Balance Sheet Dec 31								
(in $)	Base Period	End of Pre-Operation Period 2023	End of Year One 2024	End of Year Two 2025	End of Year Three 2026	End of Year Four 2027	End of Year Five 2028	End of Year Six 2029
Assets								
Current Assets								
Cash	$ 7,114,204	$ 6,144,411	$ 6,430,211	$ 7,005,370	$ 7,898,660	$ 9,147,822	$ 10,794,549	$ -
Accounts Receivable	-	-	-	-	-			
Inventory	-	-	$ 360,000	$ 360,000	$ 360,000	$ 360,000	$ 360,000	$ 360,000
Prepaid Expenses	-		-	-	-			
Other Current	-	$ 717,487	$ 958,145	$ 571,513	$ (114,422)	$ (1,129,566)	$ (2,512,766)	$ 7,649,992
Total Current Assets	$ 7,114,204	$ 6,861,898	$ 7,748,356	$ 7,936,883	$ 8,144,238	$ 8,378,256	$ 8,641,782	$ 8,009,992
Fixed Assets								
Land	$ 200,000	$ 200,000	$ 200,000	$ 200,000	$ 200,000	$ 200,000	$ 200,000	$ 200,000
Buildings	$ 595,181	$ 595,181	$ 595,181	$ 595,181	$ 595,181	$ 595,181	$ 595,181	$ 595,181
Improvements	$ 753,893	$ 753,893	$ 753,893	$ 753,893	$ 753,893	$ 753,893	$ 753,893	$ 753,893
Furniture, Fixtures and Equipment	$ 440,934	$ 440,934	$ 440,934	$ 440,934	$ 440,934	$ 440,934	$ 440,934	$ 440,934
Deposits	-	-	-	-	-			
Other Fixed Assets	-	-	-	-	-	-	-	-
Total Fixed Assets	$ 1,990,008	$ 1,990,008	$ 1,990,008	$ 1,990,008	$ 1,990,008	$ 1,990,008	$ 1,990,008	$ 1,990,008
Less: Accumulated Depreciation	$ -	$ 99,495	$ 99,495	$ 99,495	$ 99,495	$ 99,495	$ 99,495	$ -
Total Assets	$ 9,104,212	$ 8,752,412	$ 9,638,869	$ 9,827,397	$ 10,034,751	$ 10,268,769	$ 10,532,296	$ 10,000,000
Liabilities and Owner's Equity								
Liabilities								
Accounts Payable	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Sales Tax Liability	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Line of Credit Balance	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Total Liabilities	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Owner's Equity								
Common Stock	$ 10,000,000	$ 10,000,000	$ 10,000,000	$ 10,000,000	$ 10,000,000	$ 10,000,000	$ 10,000,000	$ 10,000,000
Retained Earnings	$ (895,788)	$ (1,247,588)	$ (361,131)	$ (172,603)	$ 34,751	$ 268,769	$ 532,296	$ -
Dividends Dispersed	-	-	-	-	-	-	-	-
Total Owner's Equity	$ 9,104,212	$ 8,752,412	$ 9,638,869	$ 9,827,397	$ 10,034,751	$ 10,268,769	$ 10,532,296	$ 10,000,000
Total Liabilities and Owner's Equity	$ 9,104,212	$ 8,752,412	$ 9,638,869	$ 9,827,397	$ 10,034,751	$ 10,268,769	$ 10,532,296	$ 10,000,000

5.2- Profit and Loss Report

Applicant has projected the total operating budget for 4 years to be$ 13,111,429, outlined in *Table 5.1a&b&c: Operating Expenses*. Operational costs include but are not limited to community benefits; insurance; licensing; maintenance; marketing and advertising; memberships and certifications; miscellaneous expenses; office supplies; personnel;

professional services; software; phone; internet; rent; utilities; vehicles; waste management; and an ongoing contingency budget.

Projected growth is based on comparable markets, and the COO's experience in scaling revenue and expenses, and managing optimal cost savings for long-term sustainability.

Employees: Entry-level employees will earn a minimum living wage of $15 per hour, increasing with skill level and tenure. The payroll cost (Personnel costs) is estimated to be roughly 55% of the total proposed Operational Budget per month. These anticipated fixed operating expenses will total an estimated $273,154 per month, or $3.28 million annually. In order to ensure long-term financial sustainability, the CFO will engage in rigorous financial controls. Key operational metrics will be reviewed weekly to guide the Applicant toward positive free cash flow.

The average cost of goods (COGS) sold will be an estimated 45% and will require purchase orders (POs) from suppliers to ensure Applicant maintains consistent pricing around an optimal target gross margin of 55%. Cost of goods includes the wholesale cost of goods, and any other tax-deductible direct costs that can be included in this calculation. Applicant has also calculated a 27.5% net income tax on gross profits, which is derived from subtracting the cost of goods from sales revenue. This calculation is extremely important in maintaining consistent and predictable margins for long-term operations.

Pro Forma Analysis

Applicant expects to incur an estimated $895,788 in losses during the licensing application period while the business is not yet operational. Once the business is operational starting in January 2024, total revenue at year-end is expected to reach $4.4M.

Exhibit 5 – Financial Statements

As Applicant's business grows in awareness and loyalty, the Applicant's revenue will increase gradually over time to reach $10.2M in Year 6.

Table 5.2 – Profit and Loss

Projected Income Statement							
(in $)	Pre Commencement (12-31-2023)	YEAR 1	YEAR 2	YEAR 3	YEAR 4	YEAR 5	YEAR 6
Gross Sales							
Liquid or Oil (for administration using an inhaler)		$ 183,422	$ 289,581	$ 318,539	$ 350,392	$ 385,432	$ 423,975
Suppository		$ 156,104	$ 246,452	$ 271,097	$ 298,206	$ 328,027	$ 360,830
Capsule / Tablet		$ 273,182	$ 431,290	$ 474,419	$ 521,861	$ 574,047	$ 631,452
Topicals		$ 136,591	$ 215,645	$ 237,210	$ 260,931	$ 287,024	$ 315,726
Tinctures		$ 390,260	$ 616,129	$ 677,742	$ 745,516	$ 820,068	$ 902,074
Non-sugarcoated Gummies		$ 312,208	$ 492,903	$ 542,193	$ 596,413	$ 656,054	$ 721,659
Transdermal Patches		$ 78,052	$ 123,226	$ 135,548	$ 149,103	$ 164,014	$ 180,415
Accessories		$ 31,221	$ 49,290	$ 54,219	$ 59,641	$ 65,605	$ 72,166
Total Gross Sales		**$ 1,561,038**	**$ 2,464,516**	**$ 2,710,967**	**$ 2,982,064**	**$ 3,280,270**	**$ 3,608,297**
Sales Discounts							
Sales Discounts		$ 78,052	$ 123,226	$ 135,548	$ 149,103	$ 164,014	$ 180,415
Total Sales Discounts		**$ 78,052**	**$ 123,226**	**$ 135,548**	**$ 149,103**	**$ 164,014**	**$ 180,415**
Total Net Sales		**$ 1,482,986**	**$ 2,341,290**	**$ 2,575,419**	**$ 2,832,961**	**$ 3,116,257**	**$ 3,427,882**
Total Net Sales for 3 Disp		**$ 4,448,959**	**$ 7,023,869**	**$ 7,726,256**	**$ 8,498,882**	**$ 9,348,770**	**$ 10,283,647**
Collected Alabama Taxe (9%)		**$ 133,469**	**$ 210,716**	**$ 231,788**	**$ 254,966**	**$ 280,463**	**$ 308,509**
Total Alabama Tax for 3 Dispo (Excluded in the Sales $)	$ -	**$ 400,406**	**$ 632,148**	**$ 695,363**	**$ 764,899**	**$ 841,389**	**$ 925,528**
COST OF GOODS							
Liquid or Oil (for administration using an inhaler)	$ -	$ 82,540	$ 130,311	$ 143,342	$ 157,677	$ 173,444	$ 190,789
Suppository	$ -	$ 70,247	$ 110,903	$ 121,994	$ 134,193	$ 147,612	$ 162,373
Capsule / Tablet	$ -	$ 122,932	$ 194,081	$ 213,489	$ 234,838	$ 258,321	$ 284,153
Topicals	$ -	$ 61,466	$ 97,040	$ 106,744	$ 117,419	$ 129,161	$ 142,077
Tinctures	$ -	$ 175,617	$ 277,258	$ 304,984	$ 335,482	$ 369,030	$ 405,933
Non-sugarcoated Gummies	$ -	$ 140,493	$ 221,806	$ 243,987	$ 268,386	$ 295,224	$ 324,747
Transdermal Patches	$ -	$ 35,123	$ 55,452	$ 60,997	$ 67,096	$ 73,806	$ 81,187
Accessories	$ -	$ 14,049	$ 22,181	$ 24,399	$ 26,839	$ 29,522	$ 32,475
Excise or Gross Receipts Tax	$ -	$ -	$ -				
Inventory Spoilage	$ -	$ 7,434	$ 11,736	$ 12,742	$ 14,016	$ 15,417	$ 16,959
Adjustment for COGS listed as Salaries & OpEx, below	$ -	$ -	$ -	$ -			
Total Cost of Sales	**$ -**	**$ 709,901**	**$ 1,120,768**	**$ 1,232,677**	**$ 1,355,944**	**$ 1,491,539**	**$ 1,640,693**
Tota Cost of Sales for 3 Disp		**$ 2,129,702**	**$ 3,362,303**	**$ 3,698,030**	**$ 4,067,833**	**$ 4,474,616**	**$ 4,922,078**
GP Killen Disp 1		**$773,085.54**	**$1,220,521.96**	**$1,342,741.98**	**$1,477,016.18**	**$1,624,717.80**	**$1,787,189.58**
GP Birmingham Disp 2		**$773,085.54**	**$1,220,521.96**	**$1,342,741.98**	**$1,477,016.18**	**$1,624,717.80**	**$1,787,189.58**
GP Russellville Disp 3		**$773,085.54**	**$1,220,521.96**	**$1,342,741.98**	**$1,477,016.18**	**$1,624,717.80**	**$1,787,189.58**
Gross Profit	$ -	**$ 2,319,257**	**$ 3,661,566**	**$ 4,028,226**	**$ 4,431,049**	**$ 4,874,153**	**$ 5,361,569**

Table 5.2 – Profit and Loss continued

Salaries and Wages							
Salaries and Wages	$ 34,972	$ 419,267	$ 435,553	$ 448,619	$ 462,078	$ 475,940	$ 490,218
Payroll Taxes & Benefits	$ 10,824	$ 129,763	$ 134,804	$ 138,848	$ 143,013	$ 147,303	$ 151,723
Total Salary and Wages	**$ 45,796**	**$ 549,030**	**$ 570,356**	**$ 587,467**	**$ 605,091**	**$ 623,244**	**$ 641,941**
Total Salary and Wages for 3 Dispo	**$ 137,388**	**$ 1,647,089**	**$ 1,711,069**	**$ 1,762,401**	**$ 1,815,273**	**$ 1,869,731**	**$ 1,925,823**
Other Operating Expenses							
Advertising / Marketing	$ 15,000	$ 48,000	$ 48,426	$ 49,746	$ 51,239	$ 52,776	$ 54,359
Auto Expense	$ -	$ 2,400	$ 2,448	$ 2,497	$ 2,572	$ 2,649	$ 2,728
Bank & Merchant Fees	$ -	$ 9,000	$ 9,180	$ 9,364	$ 9,645	$ 9,934	$ 10,232
Business Manuals, SOPs	$ 25,000	$ -	$ -	$ -			
Dues and Subscriptions	$ -	$ 1,800	$ 1,836	$ 1,873	$ 1,929	$ 1,987	$ 2,046
Employee Recruiting, Training & Continuing Ed	$ 10,000	$ 30,000	$ 30,600	$ 31,212	$ 32,148	$ 33,113	$ 34,106
Facilities Exp & Monitoring	$ -	$ 28,320	$ 29,170	$ 30,045	$ 30,946	$ 31,874	$ 32,831
Insurance	$ -	$ 18,000	$ 18,360	$ 18,727	$ 19,289	$ 19,868	$ 20,464
Legal and Professional Fees	$ 144,300	$ 24,600	$ 25,092	$ 25,594	$ 26,362	$ 27,153	$ 27,967
Licenses / Fees / Permits	$ 42,500	$ 40,000	$ 40,000	$ 40,000	$ 40,000	$ 40,000	$ 40,000
Miscellaneous	$ 15,000	$ 12,000	$ 12,240	$ 12,485	$ 12,859	$ 13,245	$ 13,642
Office Expenses & Supplies	$ -	$ 6,900	$ 7,038	$ 7,179	$ 7,394	$ 7,616	$ 7,844
Postage and Delivery	$ -	$ 1,800	$ 1,836	$ 1,873	$ 1,929	$ 1,987	$ 2,046
Product Testing, Third Party	$ -	$ 50,000	$ 50,000	$ 50,000	$ 50,000	$ 50,000	$ 50,000
Security	$ -	$ 80,300	$ 82,709	$ 85,190	$ 87,746	$ 90,378	$ 93,090
Software Licensing	$ 1,000	$ 18,000	$ 18,360	$ 18,727	$ 19,289	$ 19,868	$ 20,464
Telephone and Internet	$ -	$ 4,200	$ 4,284	$ 4,370	$ 4,501	$ 4,636	$ 4,775
Community Engagement, Youth Education, Substance Abuse	$ -	$ 6,000	$ 6,120	$ 6,242	$ 6,430	$ 6,623	$ 6,821
Utilities	$ -	$ 18,000	$ 18,360	$ 18,727	$ 19,289	$ 19,868	$ 20,464
Own Disp 1- Killen	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Rent Disp 2- Bermingham (1500SQFT)		$ 36,000	$ 37,080	$ 38,192	$ 39,338	$ 40,518	$ 41,734
Rent Disp 3- Russellville (5000SQFT)		$ 48,000	$ 49,440	$ 50,923	$ 52,451	$ 54,024	$ 55,645
Total Other Operating Expenses (Killen Disp 1)	**$ 252,800**	**$ 399,320**	**$ 406,059**	**$ 413,850**	**$ 423,566**	**$ 433,573**	**$ 443,880**
Total Other Operating Expenses (Bermingham Disp 2)	**$ 252,800**	**$ 435,320**	**$ 443,139**	**$ 452,043**	**$ 462,904**	**$ 474,091**	**$ 485,614**
Total Other Operating (Russellville Disp 3)	**$ 252,800**	**$ 447,320**	**$ 455,499**	**$ 464,773**	**$ 476,017**	**$ 487,597**	**$ 499,525**
Total Other Op EX for 3 Disp	**$ 758,400**	**$ 1,281,960**	**$ 1,304,697**	**$ 1,330,666**	**$ 1,362,486**	**$ 1,395,261**	**$ 1,429,019**
Total Operating Expenses (Killen Disp 1)	**$ 298,596**	**$ 948,350**	**$ 976,415**	**$ 1,001,317**	**$ 1,028,657**	**$ 1,056,816**	**$ 1,085,821**
Total Operating Expenses (Bermingham Disp 2)	**$ 298,596**	**$ 984,350**	**$ 1,013,495**	**$ 1,039,510**	**$ 1,067,995**	**$ 1,097,335**	**$ 1,127,555**
Total Operating Expenses (Russellville Disp 3)	**$ 298,596**	**$ 996,350**	**$ 1,025,855**	**$ 1,052,240**	**$ 1,081,108**	**$ 1,110,841**	**$ 1,141,466**
Total Op EX for 3 Disp	**$ 895,788**	**$ 2,929,049**	**$ 3,015,766**	**$ 3,093,067**	**$ 3,177,759**	**$ 3,264,992**	**$ 3,354,841**

Table 5.2 – Profit and Loss continued

Amortized Start-up Expenses	$ -	$ -	$ -	$ -			
Depreciation	$ -	$ 99,495	$ 99,495	$ 99,495	$ 99,495	$ 99,495	$ 99,495
Total Interest, Income Taxes, Deprec., Amort.	$ -	$ 99,495	$ 99,495	$ 99,495	$ 99,495	$ 99,495	$ 99,495
Net Income, before IRC § 280E (Killen Disp 1)	$ (298,596)	$ (175,264)	$ 244,107	$ 341,425	$ 448,360	$ 567,901	$ 701,369
Net Income, before IRC § 280E (Birmingham Disp 1)	$ (298,596)	$ (211,264)	$ 207,027	$ 303,232	$ 409,021	$ 527,383	$ 659,635
Net Income, before IRC § 280E (Russellville Disp 1)	$ (298,596)	$ (223,264)	$ 194,667	$ 290,502	$ 395,909	$ 513,877	$ 645,724
Net Income, before IRC § 280E	**$ (895,788)**	**$ (609,792)**	**$ 645,800**	**$ 935,159**	**$ 1,253,290**	**$ 1,609,162**	**$ 2,006,727**
	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Provision for Additional Income Tax under IRC § 280E	**$ -**	**$ 212,599**	**$ 335,644**	**$ 369,254**	**$ 406,179**	**$ 446,797**	**$ 491,477**
Total IRC & 280E Tax for 3 Disp		**$ 637,796**	**$ 1,006,931**	**$ 1,107,762**	**$ 1,218,538**	**$ 1,340,392**	**$ 1,474,431**
Net Income after Additional Tax under IRC § 280E (Killen Disp 1)	$ (298,596)	$ (387,863)	$ (91,537)	$ (27,829)	$ 42,180	$ 121,104	$ 209,892
Net Income after Additional Tax under IRC § 280E (Bermingham disp 2)	$ (298,596)	$ (423,863)	$ (128,617)	$ (66,022)	$ 2,842	$ 80,586	$ 168,158
Net Income after Additional Tax under IRC § 280E (Russellville Disp 3)	$ (298,596)	$ (435,863)	$ (140,977)	$ (78,752)	$ (10,271)	$ 67,080	$ 154,246
Net Income after Additional Tax under IRC § 280E (All 3 locations)	$ (895,788)	$ (1,247,588)	$ (361,131)	$ (172,603)	$ 34,751	$ 268,769	$ 532,296

5.3- Statement of Cash Flow

The applicant has demonstrated the cash flowing into and out of the business from the application phase to year 6 in the table below:

Projected Cash Flow Statement							
(in $)	Pre-Operation Totals	Year 1 Totals	Year 2 Totals	Year 3 Totals	Year 4 Totals	Year 5 Totals	Year 6 Totals
Beginning Cash Balance	10,000,000	7,114,204	6,144,411	6,430,211	7,005,370	7,898,660	9,147,822
Cash Inflows							
Sale of Products	-	4,448,959	7,023,869	7,726,256	8,498,882	9,348,770	10,283,647
Accounts Receivable	-	-	-	-	-	-	-
Financing	-	-	-	-	-	-	-
Investments	-	-	-	-	-	-	-
Total Cash Inflows	-	4,448,958.61	7,023,869.19	7,726,256.11	8,498,881.72	9,348,769.89	10,283,646.88
Land (Killen Disp 1)	200,000	-	-	-	-	-	-
Buildings (Killen Disp 1)	595,181	-	-	-	-	-	-
Leasehold Improvements (Bermingham Disp 2)	350,523	-	-	-	-	-	-
Leasehold Improvements (Russellville Disp 3)	403,370	-	-	-	-	-	-
Furniture, Fixtures & Equipment(3 Disp total)	440,934	-	-	-	-	-	-
	-	-	-	-	-	-	-
Inventory	-	360,000	360,000	360,000	360,000	360,000	360,000
Cost of Goods	-	2,129,701.99	3,362,303.30	3,698,030.16	4,067,833.18	4,474,616.50	4,922,078.15
Operating Activities							
Prepaid Expenses	-	-	-	-	-	-	-
Deposits		-	-	-			
Salaries and Wages	137,388	1,647,089	1,711,069	1,762,401	1,815,273	1,869,731	1,925,823
Other Operating Expenses	758,400.00	1,281,960.00	1,304,697.15	1,330,666.23	1,362,486.21	1,395,260.80	1,429,018.62
(less Adjust. to classify OpEx as COGS)	-	-	-	-	-	-	-
Distribution for Income Taxes	-	-	-	-	-	-	-
Distribution for Added Tax, IRC § 280E	-	-	-	-	-	-	-
Financing Activities	-	-	-	-	-	-	-
Loan Payments	-	-	-	-	-	-	-
Line of Credit Interest	-	-	-	-	-	-	-
Line of Credit Repayments	-	-	-	-	-	-	-
Dividends Paid	-	-	-	-	-	-	-
Total Cash Outflows	2,885,796	5,418,751	6,738,069	7,151,097	7,605,592	8,099,608	8,636,920
Cash Flow	-2,885,796	-969,792	285,800	575,159	893,290	1,249,162	1,646,727
Operating Cash Balance	(758,400.00)	1,037,296.62	2,356,868.75	2,697,559.72	3,068,562.33	3,478,892.60	3,932,550.11
Line of Credit Drawdowns	-	-	-	-	-	-	-
Ending Cash Balance	7,114,204	6,144,411	6,430,211	7,005,370	7,898,660	9,147,822	10,794,549
Line of Credit Balance	-	-	-	-	-	-	-

Exhibit 6 – Tax Plan

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	3/20/2023
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

Introduction

Our comprehensive tax and financial plan are written in accordance with Generally Accepted Accounting Principles ("GAAP"). This includes accounting and tax reporting practices that comply with all applicable laws. Emerald Standard, LLC is structured as a Limited Liability Company "LLC" to maintain distinction between business and owner personal liability. We have a business tax identification number, provided to us by the Alabama State Treasury. The net worth of our limited liability entity will be calculated by the capital accounts of our owners. Ala. Code § 40-14A-23(b). We will prepare annually for the Department of Revenue a report of our income and deductions with the names and addresses of each partner and their percentage of share. Ala. Code § 40-18-28.

Our Chief Financial Officer D.S ("CFO") will oversee this plan for efficiency and continual compliance. We will disclose to the Alabama Medical Cannabis Commission ("AMCC") and the Department of Revenue of the State of Alabama ("the Department") all relevant records, including tax information. Ala. Code § 20-2A-55(d). We will maintain good standing with the IRS and secure all financing without backing from federally insured financial institutions. 31 US Code § 5311-118.3745(a)(4); IRC 280(e).

Financial Practices

We will maintain our business' financial accounts in the United States. 31 U.S.C. § 5311 118.3745(a)(4). We will fully disclose all credit practices. F.D.I.C. C.2 § 121. Our financial records will be physically and digitally secured, and all staff will be trained on proper recordkeeping. Our SOPs include details on our electronic financial recordkeeping systems, and which personnel are allowed in restricted access cash storage areas. 31 U.S.C. § 5311(b)(3-4). From these records, we will supply any documentation requested for law enforcement purposes. 31 U.S.C. § 5311 (b)(1-2). We will comply with guidance issued by the Financial Crimes Enforcement Network ("FinCEN"), and we will only work with vendors or financial institutions who also comply with the Bank Secrecy Act. 31 USC § 5311-BSA 34.

We will contract with vendors for digital payment processing, accounting, and armored-car services. Access to banking for cannabis businesses regularly comes with high monthly fees and extra expenses associated with cash handling. We have proactively created a

positive relationship with Abaca bank to support our business in this matter. Abaca specializes in compliant cannabis banking and has more than $2 billion directly tied to the cannabis industry. We will maintain honest candor with financial entities about our cannabis transactions by providing details on our license, and all necessary information for compliance with banking customer identification programs. 31 CFR § 1020.220(a)(2)(i)(A).

Accounting

Our double entry accounting system (local accounting firm and cannabis accounting firm) will record, analyze, and classify our transactions, and will provide accountability of our assets and liabilities. Our CFO (D.S) will oversee monthly and year-end financial reconciliation of accounts payable and receivable. We will also work with a certified accountant (Tree Star Solution) familiar with the cannabis industry for tax filing. Our dual CPA firms will also aid the CFO D.S in proactive tax planning strategies for 26 U.S.C.s. 280E including any monthly or quarterly tax deposit payments.

CFO has specific expertise in ensuring compliance with Section 280E of the IRS Tax Code. IRS tax code 280E defines the deductions allowed for certain businesses including cannabis and restricts deductions solely to Cost of Goods Sold (COGS) and state and local taxes.

CFO D.S will conduct regularly scheduled (Monthly, quarterly, and yearly) and random bookkeeping reconciliations and review to ensure financial accuracy and compliance with regulatory agencies. CFO D.S is aware of the multiple levels of required tax collection, reporting and payments that must be made in various time intervals, is prepared to pay and report them,

Gain, loss, income, basis earning, and profit statements of our business will be determined in accordance with Alabama state tax law, and federal income tax regulations. Ala. Code § 40-18-1.1(a); USC Title 26. Taxable income will include gross income less allowable deductions. Ala. Code § 40-18-15.1; Ala. Code § 40-18-15.3(a)(2),(c). We will file a net operational loss only if, prior to any deductions or modifications, our entire net operating finances are a loss for the entire taxable year. Ala. Code § 40-18-15.2.

With this system we will fully disclose our financial results and maintain GAAP conformity, including recognizing revenues and expenses on the accrual basis and

reconciliation of all accounts in a timely manner. All financial records will be maintained securely at our facility and made available to the AMCC. Ala. Code § 20-2A-52(a)(5). Our records will include gross sales, gross proceeds, gross receipts, and other books to determine our tax liability. Ala. Code § 40-23-9.

Point of Sale Setup

Our accountant will assist the team with setting up the Dutchie point of sale system to set up tax rates and develop tax reports.

Employment Taxes

Our local accounting firm (Novell & Associates) will process the payroll for all of our employees and work with our CFO D.S to ensure all the employer taxes (Alabama withholding, futa, unemployment, etc.) are paid accurately to correct authorities on time.

Insurance and Affiliates

We will maintain adequate levels of liability and casualty insurance, at least $2 million. Ala. Code § 20-2A-53(a)(2). We have paid all taxes and do not have any pending tax payments. We will pay all appropriate taxes on our insurance plans and premiums. We will acquire additional surety bonds if required by the Department. Ala. Code § 40-23-6. Neither we nor any of our affiliates have outstanding tax debt or tax delinquency. Ala. Admin Code. r. 538-x-4-.07.05; Ala. Code § 20-2A-55(a)(6). We and our affiliates will fully disclose tax history. Ala. Admin Code r. 538-x-3-.05.03.d-f.

Taxes Levied by the State and Payment of the Same

We will pay all taxes in a prompt manner. Ala. Code § 40-11-4; Ala. Code § 40-23-7. All taxes that are payable to the Department will include the name of our business and our Chief Executive Officer; location and legal description of our business; total amount of gross sales, receipts, and loans, on a daily, monthly, and quarterly basis; and any other information required or requested. Ala. Code § 40-1-5(a)(1-8). We will pay all applicable privilege taxes levied against our net worth each year. Ala. Code § 20-2A-80(b)(1); Ala. Code § 40-14A-23. We will utilize charts provided by the State in Article 2 of the Alabama Business Privilege

and Corporation Shares Tax regulations, and any related amendments, to calculate the amount of tax owed. Ala. Code § 40-14A-22(b); Ala. Code § 20-2A-80(b)(2).

Our certified accountant will appropriately file all taxes related to our business. All other related taxes will be filed concurrently with federal income returns, no later than April 15th of each year. Ala. Code § 20-2A-80(b)(3); Treasury Regulation § 26.6072(b). Our first tax return will be filed two and a half months after our license approval. Ala. Code § 20-2A-80(b)(3). Tax payments due to the Commissioner of Revenue will be completed with a designated form. Ala. Code § 40-17A-2; Ala. Code § 20-2A-80(b)(5). Any circumstances resulting in a lack of form will not prevent us from promptly paying our due taxes. Our tax payment will be considered complete once the money is received by the state. Ala. Code § 40-1-5(b). Tax proceeds will, in part, support the Medical Cannabis Commission Fund set forth by the Alabama State Treasury. Ala. Code § 20-2A-10(a)(1).

We will submit to a 9% tax rate for gross proceeds of sales. Ala. Code § 20-2A-80(a); Ala. Admin Code. r. 538-x-8-.03.08; Ala. Code § 40-23-1. We will only pay municipal or county tax once per sale. Ala. Code § 40-23-2.1. Medicinal cannabis prescribed by a physician will be exempt from gross sales tax. Ala. Code § 40-23-4.1. A direct-to-consumer state privilege tax will be added at a rate of 4% to each sale. Ala. Code § 40-23-26(a), (c). All money collected from this taxation will be paid to the Department. Ala. Code § 40-23-26(d).

Our property will be assessed for ad valorem tax purposes at 20% as a Class II property. Ala. Code § 40-8-1(a). We will pay annually a tax of .065% based on our assessed property value. Ala. Code § 40-8-2. For any vehicles in our fleet purchased outside this state, we will pay a 2% excise tax. Ala. Code § 40-23-102(a). We will submit to a 6.5% income tax levied by the State and any further income taxes. Ala. Code § 40-18-2; Ala. Code § 40-18-31(a). At the request of the Department, we will provide an inventory as proof of income. Ala. Code § 40-18-11.

We understand that refusal or neglect to pay appropriate taxes can result in a lien in favor of the State of Alabama upon all business properties and rights therein. Ala. Code § 40-1-2(a); § 40-1-3. We may motion to dismiss the lien, with a bond in double the amount of the lien filed with the Department. Ala. Code § 40-1-2(c). We will communicate openly with the AMCC, the Department, and the Internal Revenue Service about our financial obligations.

Conclusion

We understand and will comply with all applicable tax laws. Ala. Admin Code. r. 538-x-3-.05.03.m.09. We will accept any fairly regulated additional tax, penalty, or interest assessed upon us by the Department. Ala. Code § 20-2A-80(b)(5). We will always welcome and accommodate the AMCC and their officials for an inspection. Ala. Code § 20-2A-55(d). We understand failure to cooperate could result in the seizure and impound of our books, ledgers, documents, writings, money receptacles, and all other records. Ala. Code § 20-2A-52(a)(3)(b). We will provide qualified patients with the maximum benefit of medical cannabis through protection of our products, compliant recordkeeping, and appropriate taxation. Ala. Admin Code. r. 538-x-1-.02. We will pay the required tax payment to the appropriate taxing authorities via their preferred method of payment such as check, wire, ACH or online payment using Abaca Bank (cannabis banking). Any and all tax filing, auditing, recordkeeping, tax payment recipes, tax returns, and financial documents will be kept on-site and accessible to the department for 5 years.

Sourced vendors for accounting and tax

Norvell & Associates 2009 Darby Dr, Florence, AL 3563 Accounting firm for business and payroll taxes

Tree Star Solution 213 West 8th Street, Chico, CA 65528→ Cannabis accounting firm

Quickbooks online, Daily accounting entry software

Abaca Bank, Checking account, wires, ACH and manual checks

Paybotic, Cashless payment solution

Dutchie Point of Sale Solution

Tax payment resources

Privilege tax information: https://www.alabamainteractive.org/business_privilege/

Alabama tax payments and returns: https://myalabamataxes.alabama.gov/_/

Federal Tax payments: https://www.eftps.gov/eftps/

Exhibit 7– Business Formation Documents

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholders
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	12/29/2022
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

7.1 Certified Copy of Employer Identification Number (EIN) Record

The Certified Copy of the EIN Record from the IRS is attached hereto and identified as "EIN Record – Emerald Standard Dispensary Facilities – Attachment to Exhibit 7, Section 7.1"

7.2 Certified Copy of Business Formation Documents

The Certified Copy of the Business Formation Documents from the State of Alabama is attached hereto and identified as "Business Formation Documents – Emerald Standard Dispensary Facilities – Attachment to Exhibit 7, Section 7.2"

7.3 Certified Copy of Beneficial Owners of Legal Entity Record

The Certified Copy of the Beneficial Owners of Legal Entity is attached hereto and identified as "Beneficial Owners of Entity Record – Emerald Standard Dispensary Facilities – Attachment to Exhibit 7, Section 7.3"

Attachment Table of Contents

EIN Record – Emerald Standard Dispensary Facilities – Attachment to Exhibit 7, Section 7.1 **3**

Business Formation Documents – Emerald Standard Dispensary Facilities – Attachment to Exhibit 7, Section 7.2 **5**

Beneficial Owners of Entity Record – Emerald Standard Dispensary Facilities – Attachment to Exhibit 7, Section 7.3 **10**

EIN Record – Emerald Standard Dispensary Facilities – Attachment to Exhibit 7, Section 7.1

IRS DEPARTMENT OF THE TREASURY
INTERNAL REVENUE SERVICE
CINCINNATI OH 45999-0023

Date of this notice: 08-01-2022

Employer Identification Number:
88-3508997

Form: SS-4

Number of this notice: CP 575 A

EMERALD STANDARD LLC
[redacted]
FLORENCE, AL 35630

For assistance you may call us at:
1-800-829-4933

IF YOU WRITE, ATTACH THE
STUB AT THE END OF THIS NOTICE.

WE ASSIGNED YOU AN EMPLOYER IDENTIFICATION NUMBER

Thank you for applying for an Employer Identification Number (EIN). We assigned you EIN 88-3508997. This EIN will identify you, your business accounts, tax returns, and documents, even if you have no employees. Please keep this notice in your permanent records.

Taxpayers request an EIN for their business. Some taxpayers receive CP575 notices when another person has stolen their identity and are opening a business using their information. If you did **not** apply for this EIN, please contact us at the phone number or address listed on the top of this notice.

When filing tax documents, making payments, or replying to any related correspondence, it is very important that you use your EIN and complete name and address exactly as shown above. Any variation may cause a delay in processing, result in incorrect information in your account, or even cause you to be assigned more than one EIN. If the information is not correct as shown above, please make the correction using the attached tear-off stub and return it to us.

Based on the information received from you or your representative, you must file the following forms by the dates shown.

Form 941	07/31/2023
Form 940	01/31/2024

If you have questions about the forms or the due dates shown, you can call us at the phone number or write to us at the address shown at the top of this notice. If you need help in determining your annual accounting period (tax year), see Publication 538, *Accounting Periods and Methods*.

We assigned you a tax classification (corporation, partnership, etc.) based on information obtained from you or your representative. It is not a legal determination of your tax classification, and is not binding on the IRS. If you want a legal determination of your tax classification, you may request a private letter ruling from the IRS under the guidelines in Revenue Procedure 2020-1, 2020-1 I.R.B. 1 (or superseding Revenue Procedure for the year at issue). Note: Certain tax classification elections can be requested by filing Form 8832, *Entity Classification Election*. See Form 8832 and its instructions for additional information.

IMPORTANT INFORMATION FOR S CORPORATION ELECTION:
If you intend to elect to file your return as a small business corporation, an election to file a Form 1120-S, U.S. Income Tax Return for an S Corporation, must be made within certain timeframes and the corporation must meet certain tests. All of this information is included in the instructions for Form 2553, Election by a Small Business Corporation.

(IRS USE ONLY) 575A 08-01-2022 EMER B 9999999999 SS-4

Keep this part for your records. CP 575 A (Rev. 7-2007)

Return this part with any correspondence
so we may identify your account. Please
correct any errors in your name or address.

CP 575 A

9999999999

Your Telephone Number Best Time to Call
( ) -

DATE OF THIS NOTICE: 08-01-2022
EMPLOYER IDENTIFICATION NUMBER: 88-3508997
FORM: SS-4 NOBOD

INTERNAL REVENUE SERVICE
CINCINNATI OH 45999-0023

EMERALD STANDARD LLC
[redacted]
FLORENCE, AL 35630

Business Formation Documents – Emerald Standard Dispensary Facilities – Attachment to Exhibit 7, Section 7.2

STATE OF ALABAMA

DOMESTIC LIMITED LIABILITY COMPANY (LLC)
CERTIFICATE OF FORMATION

PURPOSE: In order to form a Limited Liability Company (LLC) under Section 10A-5A-2.01 of the *Code of Alabama 1975*, this Certificate of Formation and the appropriate filing fees must be filed with the Office of the Secretary of State. **The information required in this form is required by Title 10A.**

1. The name of the limited liability company (must contain the words "Limited Liability Company" or the abbreviation "L.L.C." or "LLC," and comply with *Code of Alabama*, Section 10A-1-5.06. You may use Professional or Series before Limited Liability Company or LLC (or PLLC or SLLC) if they apply:

   Emerald Standard, LLC

2. **A copy of the Name Reservation Certificate from the Office of the Secretary of State must be attached.**

3. The name of the registered agent (only one agent): [redacted]

   Street (**no PO Boxes**) address of registered office (must be located in Alabama): [redacted]

   *COUNTY of above address: LAUDERDALE

   Mailing address in Alabama of registered office (if different from street address):

4. The undersigned certify that there is at least one member of the limited liability company.

(For SOS Office Use Only)

Alabama
Sec. Of State

001-028-926 DLL

Date	07/08/2022
Time	16:28:00
File	$100.00
County	$100.00
Total	$200.00

LLC Cert of Formation - 11/2021 Page 1 of 2

DOMESTIC LIMITED LIABILITY COMPANY (LLC) CERTIFICATE OF FORMATION

5. Check **only** if the type applies to the Limited Liability Company being formed:

   ○ Series LLC complying with Title 10A, Chapter 5A, Article 11

   ○ Professional LLC complying with Title 10A, Chapter 5A, Article 8

   ○ Non-Profit LLC complying with Section 10A-5A-1.04(c)

6. The filing of the limited liability company is effective immediately on the date received by the office of the Secretary of State, Business Services Division or at the delayed filing date (cannot be prior to the filing date) specified in this filing complying with Section 10A-1-4.12
   The undersigned specify 7 / 8 / 2022 as the effective date (must be on or after the date filed in the office of the Secretary of State, but no later than the 90th day after the date this instrument was signed) and the time of filing to be 4 : 28 ○ AM or ⦿ PM. (cannot be noon or midnight – 12:00)

☒ Attached are any other matters the members determine to include herein (if this item is checked there must be attachments with the filing).

7 / 8 / 2022
Date (MM/DD/YYYY)

[redacted]
Signature as required by 10A-5A-2.04

Attorney
Typed title (organizer or attorney-in-fact)

*County of Registered Agent is requested in order to determine distribution of County filing fees.

[redacted]
Secretary of State

[redacted]
Montgomery, AL 36103-5616

STATE OF ALABAMA

I, John H. Merrill, Secretary of State of Alabama, having custody of the Great and Principal Seal of said State, do hereby certify that

pursuant to the provisions of Title 10A, Chapter 1, Article 5, Code of Alabama 1975, and upon an examination of the entity records on file in this office, the following entity name is reserved as available:

Emerald Standard, LLC

This name reservation is for the exclusive use of [redacted] Florence, AL 35630 for a period of one year beginning July 07, 2022 and expiring July 07, 2023

In Testimony Whereof, I have hereunto set my hand and affixed the Great Seal of the State, at the Capitol, in the city of Montgomery, on this day.

July 07, 2022

Date

[redacted]

RES032941

[redacted] **Secretary of State**

CERTIFICATE OF FORMATION
OF
EMERALD STANDARD, LLC

THIS CERTIFICATE OF FORMATION is executed as of the 7th day of July, 2022, by the undersigned organizer for filing with the Alabama Secretary of State to form a limited liability company in accordance with the provisions of the Alabama Limited Liability Company Law, Alabama Code (1975) § 10A-5A-1.01 *et seq*, as amended (the "Law").

1. **NAME OF ENTITY**. The name of the limited liability company is **EMERALD STANDARD, LLC** (the "Company").

2. **REGISTERED OFFICE**. The physical and mailing address of the Company's initial registered office is [redacted] **Florence, Alabama 35630.**

3. **REGISTERED AGENT**. The name of the Company's initial Registered Agent at that address is [redacted]

4. **PURPOSES**. The Company is formed to engage in any and all lawful activities in which limited liability companies may engage under the Law. Subject to the limitations set forth in the Company's Limited Liability Company Agreement, in order to carry out the purpose of the Company, the Company shall have all of the powers conferred on limited liability companies by the laws of the State of Alabama.

5. **MEMBERS**. At the time of filing of this Certificate of Formation, the Company has at least one (1) member, and additional members may be admitted to the Company from time to time.

6. **COMPANY AGREEMENT**. The regulation of the internal affairs of the Company, to the extent not set forth in this Certificate of Formation, are governed by the Company Agreement of the Company in effect and as may be amended from time to time.

7. **EFFECTIVE DATE**. The filing and formation of the limited liability company is effective as of the date on which the Certificate of Formation is filed for record with the Alabama Secretary of State.

The undersigned, acting as organizer of the Company, executes this Certificate of Formation as of the 7th day of July, 2022.

ORGANIZER

[redacted]

THIS INSTRUMENT PREPARED BY:
[redacted]
Spry Law Firm, P.C.
[redacted]
Florence, Alabama 35630
[redacted]

22-00182
LLC/Emerald Standard, LLC/Certificate of Formation

Beneficial Owners of Entity Record – Emerald Standard Dispensary Facilities – Attachment to Exhibit 7, Section 7.3

CERTIFICATION OF BENEFICIAL OWNERS OF LEGAL ENTITY CUSTOMERS

ProgressBank

www.myprogressbank.com

EFFECTIVE DATE	LEGAL ENTITY NAME	REASON FOR CHANGE
August 5, 2022	Emerald Standard, LLC	Change Beneficial Owners with 25% interest

I. GENERAL INSTRUCTIONS

What is this form?

To help the government fight financial crime, Federal regulation requires certain financial institutions to obtain, verify, and record information about the beneficial owners of legal entity customers. Legal entities can be abused to disguise involvement in terrorist financing, money laundering, tax evasion, corruption, fraud, and other financial crimes. Requiring the disclosure of key individuals who own or control a legal entity (*i.e.*, the beneficial owners) helps law enforcement investigate and prosecute these crimes.

Who has to complete this form?

This form must be completed by the person opening a new account on behalf of a legal entity with any of the following U.S. financial institutions: (i) a bank or credit union; (ii) a broker or dealer in securities; (iii) a mutual fund; (iv) a futures commission merchant; or (v) an introducing broker in commodities.

For the purposes of this form, a **legal entity** includes a corporation, limited liability company, or other entity that is created by a filing of a public document with a Secretary of State or similar office, a general partnership, and any similar business entity formed in the United States or a foreign country. **Legal entity** does not include sole proprietorships, unincorporated associations, or natural persons opening accounts on their own behalf.

What information do I have to provide?

This form requires you to provide the name, address, date of birth and Social Security number (or passport number or other similar information, in the case of Non-U.S. Persons) for the following individuals (*i.e.*, the **beneficial owners**):

(i) Each individual, if any, who owns, directly or indirectly, 25 percent or more of the equity interests of the legal entity customer (*e.g.*, each natural person that owns 25 percent or more of the shares of a corporation); **and**

(ii) An individual with significant responsibility for managing the legal entity customer (*e.g.*, a Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, or Treasurer).

The number of individuals that satisfy this definition of "beneficial owner" may vary. Under section (i), depending on the factual circumstances, up to four individuals (but as few as zero) may need to be identified. Regardless of the number of individuals identified under section (i), you must provide the identifying information of one individual under section (ii). It is possible that in some circumstances the same individual might be identified under both sections (*e.g.*, the President of Acme, Inc. who also holds a 30% equity interest). Thus, a completed form will contain the identifying information of at least one individual (under section (ii)), and up to five individuals (*i.e.*, one individual under section (ii) and four 25 percent equity holders under section (i)).

The financial institution may also ask to see a copy of a driver's license or other identifying document for each beneficial owner listed on this form.

II. CERTIFICATION OF BENEFICIAL OWNER(S)

Persons opening an account on behalf of a legal entity must provide the following information:

a. Name and Title of Natural Person Opening Account:

[redacted]

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b. *Name, Type, and Address of Legal Entity for Which the Account is Being Opened:*
Emerald Standard, LLC
Limited Liability Company
[redacted]
Florence, AL 35633

c. *The following information for each individual, if any, who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns 25 percent or more of the equity interests of the legal entity listed above:*

Name	Date of Birth	Address (Residential or Business Street Address)	*For U.S. Persons:* Social Security Number	*For Non-U.S. Persons:* Social Security Number, Passport Number and Country of Issuance, or other similar identification number[1]
[redacted]	[redacted]	[redacted] Florence, AL 35633	[redacted]	
[redacted]	[redacted]	[redacted] Florence, AL 35634	[redacted]	

d. *The following information for one individual with significant responsibility for managing the legal entity listed above, such as:*

- *An executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or*
- *Any other individual who regularly performs similar functions.*

Name/Title	Date of Birth	Address (Residential or Business Street Address)	*For U.S. Persons:* Social Security Number	*For Non-U.S. Persons:* Social Security Number, Passport Number and Country of Issuance, or other similar identification number[1]
[redacted] General Partner	[redacted]	[redacted] Florence, AL 35633	[redacted]	

I, Cara Moody Dawson, General Partner, hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

Signature: [redacted] _____ Date

[1]In lieu of a passport number, Non-U.S. Persons may also provide a Social Security Number, an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.

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Exhibit 8 – Business License and Authorization of Local Authorities

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	12/29/2022
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

8.1 Certified copies of Applicant's business license

A. **BIRMINGHAM**: Not Started, but completion expected 14 days *after* award of license. The Applicant contacted local Birmingham government authorities to obtain a Business License and the authoritative figure(s) advised that they will not issue Business Licenses until after the licenses are awarded by the State.
B. **RUSSELLVILLE**: Not Started, but completion expected 14 days *after* award of license. The Applicant contacted local Russellville government authorities to obtain a Business License and the authoritative figure(s) advised that they will not issue Business Licenses until after the licenses are awarded by the State.
C. **KILLEN**: Not Started, but completion expected 14 days *after* award of license. The Applicant contacted local Lauderdale government authorities to obtain a Business License and the authoritative figure(s) advised that they will not issue Business Licenses until after the licenses are awarded by the State.

8.2 Resolution or Ordinance by local jurisdiction approving the Applicant's business presence

A. **BIRMINGHAM**:
    a. Local Ordinance: The City of Birmingham has provided the ordinance of the allowance to operate a medical cannabis dispensing site. This ordinance is attached hereto and identified as "Birmingham Ordinance – Emerald Standard Dispensary Facilities – Attachment to Exhibit 8, Section 8.2.A.a"
    b. Local Zoning Approval: The City of Birmingham has provided a zoning approval letter for the allowance to operate a medical cannabis dispensing site on the location provided for the Applicant's Birmingham facility (zone M-1). This letter is attached hereto and identified as "Birmingham Zoning Approval Letter – Emerald Standard Dispensary Facilities – Attachment to Exhibit 8, Section 8.2.A.b"
B. **RUSSELLVILLE**:
    a. Local Ordinance: The City of Russellville has provided the ordinance of the allowance to operate a medical cannabis dispensing site. This ordinance is

attached hereto and identified as "Russellville Ordinance – Emerald Standard Dispensary Facilities – Attachment to Exhibit 8, Section 8.2.B.a"

b. Local Zoning Approval: The City of Russellville has provided a zoning approval letter for the allowance to operate a medical cannabis dispensing site on the location provided for the Applicant's Russellville facility (zone B-2). This letter is attached hereto and identified as "Russellville Zoning Approval Letter – Emerald Standard Dispensary Facilities – Attachment to Exhibit 8, Section 8.2.C.b"

C. **KILLEN**:

a. Local Ordinance: The County of Lauderdale has provided the ordinance of the allowance to operate a medical cannabis dispensing site. This ordinance is attached hereto and identified as "Lauderdale Ordinance – Emerald Standard Dispensary Facilities – Attachment to Exhibit 8, Section 8.2.C.a"

b. Local Zoning Approval: The Applicant emailed and had phone calls with the Clerk of Lauderdale County Commission who refused to provide any further documentation (other than the Ordinance listed in 8.2.C.a) regarding the approval of the Applicant's business presence in the county.

Attachment Table of Contents

Birmingham Ordinance – Emerald Standard Dispensary Facilities – Attachment to Exhibit 8, Section 8.2.A.a **4**

Birmingham Zoning Approval Letter – Emerald Standard Dispensary Facilities – Attachment to Exhibit 8, Section 8.2.A.b **7**

Russellville Ordinance – Emerald Standard Dispensary Facilities – Attachment to Exhibit 8, Section 8.2.B.a **9**

Russellville Zoning Approval Letter – Emerald Standard Dispensary Facilities – Attachment to Exhibit 8, Section 8.2.B.b **10**

Lauderdale Ordinance – Emerald Standard Dispensary Facilities – Attachment to Exhibit 8, Section 8.2.C.a **11**

Birmingham Ordinance – Emerald Standard Dispensary Facilities – Attachment to Exhibit 8, Section 8.2.A.a

Oc04oca28jb.o1

RECOMMENDED BY: THE MAYOR AND THE PUBLIC SAFETY COMMITTEE

SUBMITTED BY: THE CITY ATTORNEY

ORDINANCE NO. 22-142

AN ORDINANCE TO AUTHORIZE THE OPERATION OF MEDICAL CANNABIS DISPENSARIES WITHIN THE CORPORATE LIMITS OF THE CITY OF BIRMINGHAM, PURSUANT TO ALA. CODE, 1975, § 20-2A-51(c).

WHEREAS, the Darren Wesley "Ato" Hall Compassion Act, codified at Ala. Code, 1975, § 20-2A-1, et seq. (the Act), authorizes the cultivation, processing, and sales of cannabis products for medical use by patients with a qualifying medical condition and a valid medical cannabis card; and

WHEREAS, the Act requires the Alabama Medical Cannabis Commission to adopt rules that ensure safety, security, and integrity of the operation of medical cannabis facilities and protect the health, safety, and security of the public, thus heavily regulating all aspects of the medical cannabis industry, including dispensary operations, (See §§ 20-2A-50 – 20-2A-68, Code of Alabama); and,

WHEREAS, the Alabama Medical Cannabis Commission published its rules adopted pursuant to Ala. Code, 1975, § 20-2A-53 on August 31, 2022, and will accept applications from October 31 through December 30, 2022; and,

WHEREAS, the Commission will deem applications complete and submitted on or about April, 13, 2023, and will open a public comment period on or about April 14, 2023 to accept comments from the public on all pending applications and may set a public hearing, at the Commission's discretion, before the issuance of licenses on or after July 10, 2023; and,

WHEREAS, the number of licenses for dispensary facilities to be issued by the Commission is limited as follows:

Integrated Facilities – up to 5 licenses; each licensee authorized up to 5 dispensing sites located in separate counties

Dispensary – up to 4 licenses; each licensee authorized up to 3 dispensing sites located in separate counties

1

WHEREAS, a dispensary, including a dispensary under an Integrated Facility License may only operate in a municipality if the municipality has passed an ordinance authorizing the operation of dispensaries within the municipality's corporate limits; and

WHEREAS, the health care industry is a highly valued segment of the City of Birmingham's economy and includes world-renown health care resources, including hospitals, clinics, education, and research facilities and the valued professionals and support staff who care for patients from within the state, the nation, and from abroad; and,

WHEREAS, the operation of a medical cannabis dispensary within the corporate limits of the City of Birmingham will further expand opportunities for the City's flourishing health care industry and provide new options to care for patients with qualifying medical conditions; and,

WHEREAS, the operation of a medial cannabis dispensary will provide new economic and employment opportunities and new revenue through business licensing and other taxes; and,

WHEREAS, the Council of the City of Birmingham finds that it is in the best interest of the public health, safety, and welfare to authorize the operation of medical cannabis dispensing facilities within the City of Birmingham, subject to the strict regulation and oversight of the Alabama Medical Cannabis Commission.

NOW THEREFORE, BE IT ORDAINED by the Council of the City of Birmingham as follows:

SECTION 1.

(a) The operation of medical cannabis dispensaries within the corporate limits of the City of Birmingham is authorized, subject to any applicable zoning restrictions the City may adopt pursuant to Ala. Code, 1975, § 20-2A-51(c)(3).

(b) The operation of any facility, regardless of type, licensed by the Alabama Medical Cannabis Commission within the City of Birmingham shall comply with the Commission's strict regulation and oversight and shall comply with all laws and ordinances for the operation of a business within the City, including, but not limited to business licensing and other required taxes, and with all applicable ordinances and codes for location, construction, and sanitation of business premises within the City of Birmingham.

(c) This ordinance shall be interpreted with respect to the Darren Wesley "Ato" Hall Compassion Act, Ala. Code, 1975, § 20-2A-1, et seq. and the rules of the Alabama Medical Cannabis Commission, as either is now or may hereafter be amended.

SECTION 2.

A certified copy of this ordinance shall be submitted within seven days of its adoption to the Alabama Medical Cannabis Commission by the City Clerk, as provided in Ala. Code, 1975, § 20-2A-51(c)(2). The City Clerk and the Director of the Department of Innovation and Economic Opportunity may cooperate to ensure and record the submittal of the ordinance.

SECTION 3. SEVERABILITY. The provisions of this ordinance are severable. If any part of this ordinance is determined by a court of competent jurisdiction to be invalid, unenforceable or unconstitutional, such determination shall not affect any other part of this ordinance.

SECTION 4. EFFECTIVE DATE. This ordinance shall be effective when published as required by law.

Adopted by the Council October 4, 2022 and Approved by the Mayor October 6, 2022

A CERTIFIED COPY
Lee Frazier, City Clerk
Birmingham, Al

3

Birmingham Zoning Approval Letter – Emerald Standard Dispensary Facilities – Attachment to Exhibit 8, Section 8.2.A.b

CITY OF BIRMINGHAM

Department Of Planning, Engineering & Permits
710 North 20th Street
City Hall | Room 210
Birmingham, Alabama 35203

PUTTING PEOPLE FIRST

RANDALL L. WOODFIN
MAYOR

KATRINA THOMAS
DIRECTOR

December 16, 2022

Emerald Standard, LLC
[redacted]
Florence, AL 35630

RE: [redacted] **PID#** [redacted]

ZCL#2022-00191

To Whom It May Concern:

The property located at [redacted] is zoned M-1, Light Manufacturing District. Properties to the north, south, east & west are also zoned M-1. The property is located in the Forest Park Neighborhood and Council District 3. According to the City of Birmingham's Geographic Information System (GIS), the property is not located in a regulated floodplain or Commercial Revitalization/Historic District.

A Medical Cannabis Dispensary is permitted with conditions in this zoning district. The conditions include:

1. The applicant submits a statement from the Alabama Medical Cannabis Commission, AMCC, that the proposed facility meets requirements for operation and that all applicable and/or appropriate license or licenses have been obtained. If no license or licenses are needed or required for operation from the above listed agency, a letter stating that fact from this agency must be provided.
2. Medical Cannabis Dispensaries authorized pursuant to Ala. Code, 1975, § 20-2A-64, as now or hereafter amended, shall operate with the provisions of Ala. Code, 1975, § 20-2A-1, et seq., as now or hereafter amended, and shall comply with all Rules and Regulations of the Alabama Medical Cannabis Commission, AMCC. Except as specifically provided in this Section, Dispensaries shall be governed by the Medical Cannabis Act and the AMCC Rules.

The City of Birmingham's Zoning Ordinance is available online at www.birminghamal.gov

Exhibit 8 – Business License and Authorization of Local Authorities

This letter is only to confirm zoning information for the location provided in your request but does not verify that the location meets the spacing required for a dispensary. This letter does not approve the use of the medical cannabis dispensary at the above-mentioned address. All medical cannabis related uses in the City of Birmingham must be approved by the Alabama Medical Cannabis Commission and shall comply with the Rules and Regulations of the Alabama Medical Cannabis Commission.

Sincerely,

Kimberly D. Speorl
Zoning Administrator

The City of Birmingham's Zoning Ordinance is available online at www.birminghamal.gov

Russellville Ordinance – Emerald Standard Dispensary Facilities – Attachment to Exhibit 8, Section 8.2.B.a

CITY OF RUSSELLVILLE
ORDINANCE NO. 2022-102

TO AUTHORIZE THE OPERATION OF MEDICAL CANNABIS DISPENSING SITES WITHIN THE CORPORATE LIMITS OF THE CITY OF RUSSELLVILLE

WHEREAS, during the 2021 Regular Session of the Alabama Legislature, Act 2021-450 was enacted and codified in Title 20, 2A, Code of Alabama 1975, to create within Alabama a wholly interstate system of the cultivation, processing, and distribution of medical cannabis; and

WHEREAS, Act 2021-450 defines a "dispensary" as an entity licensed by the Alabama Medical Cannabis Commission to dispense and sell medical cannabis at the dispensing sites to registered, qualified patients and registered caregivers; and

WHEREAS, Act 2021-450 defines an "integrated facility" as an entity licensed to perform the functions of a cultivator, processor, secure transporter, and dispensary; and

WHEREAS, Act 2021-450 defines a "dispensing site" as a site operated by a dispensary licensee or an integrated facility licensee; and

WHEREAS, Act 2021-450 states that a dispensary licensee or integrated facility licensee may not operate a dispensing site within a municipality unless the governing body of that municipality has authorized, by ordinance, the operation of dispensing sites within its jurisdictional boundary; and

WHEREAS, Act 2021-450 states that a program providing for the administration of cannabis derivatives for medical use in this state will not only benefit patients by providing relief from pain and other debilitating symptoms but will also provide opportunities for patients with debilitating conditions to function and have a better quality of life and provide employment and business opportunities for farmers; and

WHEREAS, the City Council believes it is in the public's interest to authorize the operation of dispensing sites within the corporate limits of the City of Russellville.

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF RUSSELLVILLE, ALABAMA, AS FOLLOWS:

1. The Russellville City Council does hereby authorize the operation of medical cannabis dispensing sites by dispensary licensees and integrated facility licensees within the corporate limits of the City of Russellville.

2. The City Clerk or designee is hereby directed to forward a copy of this ordinance to the Alabama Medical Cannabis Commission within seven calendar days after its adoption.

3. Each and every provision of this Ordinance is hereby declared to be an independent provision and the holding of any provision hereof to be void or invalid for any reason shall not affect any other provision hereof, and it is hereby declared that the other provisions of this Ordinance would have been enacted regardless of any provisions which might have been invalid.

4. This ordinance shall become effective upon its passage and publication as required by law.

ADOPTED BY THE CITY COUNCIL this the day of September 6, 2022.

David R. Grissom, Mayor

ATTEST:

Belinda Miller, City Clerk

Russellville Zoning Approval Letter – Emerald Standard Dispensary Facilities – Attachment to Exhibit 8, Section 8.2.B.b

Russellville Alabama

P.O. Box 1000
Russellville, Alabama
35653

Phone
(256) 332-6060

Fax
(256) 332-8777

David Reed Grissom
Mayor

Belinda Miller, CMC
City Clerk/Treasurer

City Council:

David Palmer
District 1

Darren Woodruff
District 2

Gary Cummings
District 3/Mayor Pro-Tempore

Dexter Hamilton
District 4

Jamie Harris
District 5

December 21, 2022

Emerald Standard, LLC
[redacted]
Florence, AL 35634

Dear [redacted]

The City of Russellville adopted ordinance number 2022-102 on September 6, 2022, which allows for Medical Cannabis Dispensaries to be located inside the city limits of the City of Russellville, Alabama.

The address at [redacted] is currently zoned as B-2, General Business; and, therefore, a Medical Cannabis Dispensary is permitted based on our City of Russellville zoning ordinance, subject to the State of Alabama restrictions and regulations regarding locations.

Sincerely,

Bill C. Fuller, Jr.
Building, License and Zoning Official

Exhibit 8 – Business License and Authorization of Local Authorities

Lauderdale Ordinance – Emerald Standard Dispensary Facilities – Attachment to Exhibit 8, Section 8.2.C.a

STATE OF ALABAMA §

LAUDERDALE COUNTY §

RESOLUTION

NOW THEREFORE BE IT RESOLVED by the Lauderdale County Commission that, pursuant to Section 20-2A-51 of the Code of Alabama 1975, as amended, a holder of a license granted by the State of Alabama pursuant to Chapter 2A of Title 20 of the Code of Alabama, 1975, as amended, is hereby authorized to operate a medical cannabis dispensing site or sites within the unincorporated areas of Lauderdale County, subject to the provisions of said Chapter 2A and any relevant provisions of the code of Alabama.

BE IT FURTHER RESOLVED that the Lauderdale County Commission authorizes the Commission Chairman to sign all related paperwork.

Done this the 12th day of December, 2022.

LAUDERDALE COUNTY COMMISSION

Danny Pettus, Chairman

Roger Garner, Commissioner

Brad Black, Commissioner

Fay Parker, Commissioner

Joe Hackworth, Commissioner

ATTEST:

Brenda Bryant, County Administrator

Exhibit 8 – Business License and Authorization of Local Authorities

Exhibit 9 – Business Plan

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	12/30/2022
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

Introduction

Our company is an applicant from a medical cannabis dispensary. We have a team of highly experienced business and community leaders who plan to open three dispensaries to sell a curated variety of medical cannabis products to Alabama's future medical cannabis patients.

Our medical cannabis dispensary will support medical cannabis patients and the mission of the Alabama Medical Cannabis Commission ("AMCC") with compliant and secure access to medical cannabis. The state of Alabama passed the [redacted] 'Ato' Hall Compassion Act, which legalized medical cannabis, in 2021. Rulemaking authority was given to the AMCC, who now have 13 commission members who represent the full spectrum of needs addressed in the [redacted] 'Ato' Hall Compassion Act and medical cannabis at large. The AMCC includes medical professionals to farmers to law enforcement agents, all of whom can and do offer invaluable insight into the process of establishing and regulating a medical cannabis marketplace. Thanks in large part to the contributions made by the AMCC, along with its level of communication with those interested in entering the medical market in the state, we feel confident in the future of medical cannabis in Alabama and wish to participate to the fullest extent allowable. We aim to obtain one dispensing license to enter the market at the onset of medical legalization and create one of Alabama's premier medical cannabis dispensary operations. The entire U.S. cannabis market is expected to reach $43 billion in sales by 2025[1], of which Alabama will contribute a valuable portion. A detailed market assessment of the state is described further in this plan. We recognize this will not be an easy endeavor. Our business has thoroughly prepared for the challenges inherent in operating a dispensary facility, and we will operate compliantly and with meticulous attention to detail to provide safe medical cannabis products for the patients of Alabama.

9.1 — Business Structure and Plan for Corporate Conventions

Due to the concerted efforts of our savvy business and legal team, our business structure is clearly defined, and we are well-situated to adhere to applicable corporate conventions.

[1] New Frontier Data, *U.S. Mid-Year Report: Legal Industry Projected to Top $6 Billion in Quarterly Sales for Q2-2021*, https://newfrontierdata.com/cannabis-insights/u-s-mid-year-report-legal-industry-projected-to-top-6-billion-in-quarterly-sales-for-q2-2021/

Ala. Admin. Code r. 538-x-3-.05-.3m.15.a. Our company is an Alabama business founded by an experienced, passionate, and capable team of Alabama residents and experts for the purpose of starting a dispensary built around providing Alabama medical cannabis patients with the highest-quality selection of products in an environment that is both professional and comfortable for patients. Our company is structured as an Alabama limited liability company ("LLC") to protect our owners from personal liability associated with the business and to provide certain tax benefits. The Name Reservation Certificate and Certification of Formation with the Alabama Secretary of State.

As a member managed LLC, our business is a pass-through entity, and we will file as a partnership for tax purposes. Though it is not required for LLC to hold annual ownership and board meetings, we will do so and record the minutes of our meetings to promote transparency in our business operations. We will maintain and update our articles of organization and operating agreement and related documentation as needed. Our Chief Compliance Officer L.G. and our outside legal counsel will verify our ongoing compliance with applicable corporate conventions. Managers of the LLC have a fiduciary duty to both the business and other members to assure compliant operations.

9.2 — Business Goals

Our owners and leaders distilled and clearly defined our business goals for now and into the future, including specific three-year and five-year plans, as well as our long-term strategy and exit plan. Ala. Admin. Code r. 538-x-3-.05-.3m.15.b. We have many business goals, and we understand that these must be evaluated and adjusted over the course of the first three and five years of operation and beyond as the market inevitably fluctuates and changes. We believe it is essential to the success of a business to have simultaneously ambitious yet realistic and achievable goals. We also know that our goals must be measurable and time-constrained to provide actionable metrics with which to track our progress. We will employ iterative improvement processes to set and achieve proactive goals for the good of the company and so we can continuously create better products for the medical cannabis patients of Alabama. These goals cover the full spectrum of our operations and touch on the over-arching aspects of our work, all under the influence of our guiding mission and vision statements (included in our executive summary section).

We have clearly defined detailed business goals. In our first year of business, we will create a strong community presence and develop our patient base. We will establish relationships with our patient base to create a superior level of satisfaction and retention through a tailored patient experience, focused on our patients' medical needs. By the third year of dispensary operations, we will be a personalized brand that is recognized as the medical cannabis dispensary offering the best standard of care within the state of Alabama. We are focused on providing educational opportunities to the community and our patient based. During the first three years we will also create jobs and give back to local philanthropies and non-profits. During our fourth year of operation, we will identify additional underserved markets and expand to accommodate our growing patient base, if allowed. In our fifth year, we will expand personnel and facilities within the guidelines of the AMCC and we will create an employee development program to help increase employee retention. Throughout business expansions with additional dispensary locations, we will continue to make medical cannabis products accessible to patients in need with fair prices for all. We will continue to be leaders in the medical cannabis industry through our use of innovative software; continual education opportunities for our employees; consistent clientele; and our positive community connections. While we have goals listed in different stages, the common, continuous, and primary goal is serving patient needs.

Long-Term Strategy and Exit Plan

Our long-term strategy involves discrete steps that will allow us to achieve our goals. We will always learn more about our industry and best practices. We will continuously study the medical cannabis regulations and any additional guidance provided by the AMCC. Thorough this application process, our team has familiarized themselves with regulations and begun the education process. We will survey our client base to address the medical needs of patients, and the community to maintain positive connections. We will adjust our workflows for improvement based on innovations.

At the three-year mark of operations, we will hold focused meetings of our executive leadership established to assess the first few years of operations and determine what we have learned, what remains to be addressed, and what steps have we taken to achieve our goals. This will be repeated at the five-year mark, though the scope will be significantly more expansive. At this point, we will also address any substantial business needs. These may

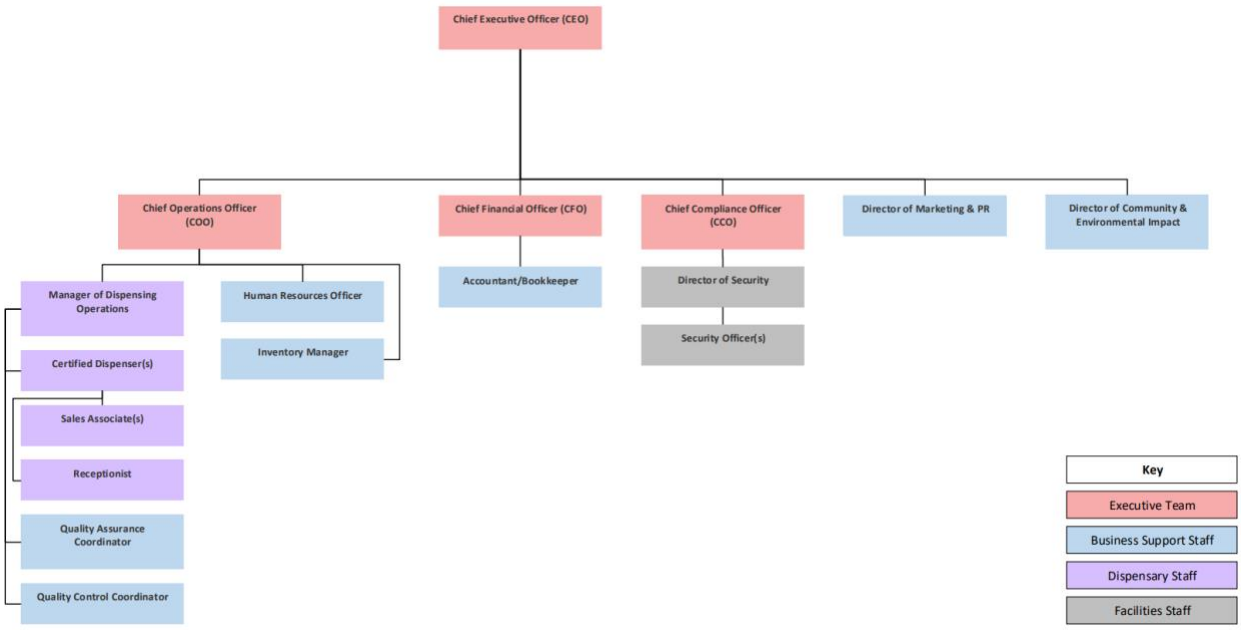
include adaptations employee hiring and retention plans; standard operating procedures ("SOPs"); the composition of our executive leadership team; our mission and vision statements; a reevaluation of our long-term strategy and potential exit plans; and large-scale community-focused projects. If issues of financial viability arise, we will address these in a timely manner. We will communicate to our stakeholders and the AMCC needs of the business, and necessary changes to improve our financial standing. Our goal in these communications and plans of action will be continual profitability, and to provide safe and consistent medical cannabis products.

Once we have reached a point of profitability, or as the market demands, we will expand our operations. We will only do this after approval from the necessary regulatory bodies and communication with our key business stakeholders. We will never fail to meet the needs of our current medical cannabis patients as we increase our dispensary locations. This would entail adding additional cannabis dispensary locations in Alabama.

Our owners and executive team have carefully and thoroughly considered a wide variety of potential exit strategies. These strategies will allow for our successful expansion. Future strategies could include merger or acquisition opportunities. Our current strategy is to continue growth to serve more patients and provide excellent quality, accessible medical cannabis products statewide.

9.3 — Organizational Chart

Here we have included our organizational chart which visually conveys our internal structure. Ala. Admin. Code r. 538-x-3-.05-.3.m.15.c. This details individuals' roles, responsibilities, and relationships between others within our business operation. This will be made available to employees at time of hiring and in our internal database for easy access for employees to review should they have questions or comments about the company structure and organization.



9.4 — Job Descriptions

We have a clear delineation of authority in our operations with distinct qualifications required based on job duties. Ala. Admin Code r. 538-x-3-.05-.3m.15.d. This is showcased here through our executive and managerial position job descriptions. A managerial position is an employee who possesses authority to formulate and carry out management decisions, or who otherwise represents the interests of management. Managers of all kinds will act with discretion. A "managerial position" need not act in a supervisory capacity in relation to other employees.

Chief Executive Officer ("CEO")

The CEO has authority over the senior planning and leadership teams. They will execute the strategic direction of the company and guides all staff efforts toward achieving company objectives and defined goals. The CEO will also assist with financial management and strategic business planning, provide oversight for the company, and work to develop a company culture in line with the company mission. It is the responsibility of the CEO to set the tone and establish precedent of decision making for the business, particularly as it relates to making our mission and vision actionable and achievable. The CEO promotes our company values and ethical business practices from the top; provides complete oversight of all

branches of the company; coordinates with the marketing and public relations officer to develop all branding and brand identity components, including product packaging and store design; builds, establishes, and strengthens the executive leadership team; evaluates and manages the fiscal and operational performance of the company; and, oversees each branch budget and departmental budgets.

Chief Operations Officer ("COO") — Reports to CEO

The Chief Operations Officer manages operations, including development of SOPs and staff training programs, which will always be adapted to comply with applicable regulations. The COO must analyze current and future market trends to help to achieve the company's profitability goals and other objectives. The COO works with branch executive teams to create and implement production plans; select equipment and materials; and, assist in selecting vendors and outsourced services. They will guarantee smooth day-to-day operations for patients and staff. The COO develops and implements compliant SOPs; implements preventative measures for uninterrupted production and efficiency improvements; assists with developing staff training programs; identifies areas of cost savings and sources affordable equipment and supply vendors; and, coordinates internal and external audits of physical inventory and data with the CCO

Chief Compliance Officer ("CCO") — Reports to CEO

The Chief Compliance Officer ensures daily operational compliance by developing, maintaining, continuously improving upon a comprehensive compliance program designed to ensure that every level of the operation acts within the federal, state, and municipal regulations. The CCO also acts as the communication link between our business and the regulators when implementing any changes of which the state or local jurisdiction needs to be made aware. The CCO manages license, bond, and permit application and renewal process; monitors regulatory updates to ensure all standard operating procedures are compliant with local, state, and applicable federal regulations; audits all company inventory, systems, and reports for accuracy and consistency; will create, manage, and deliver compliance-based education and training; maintains records as required by state and federal regulation; and, assists with development of staff training materials and compliance tools.

Marketing and Public Relations ("PR") Director — Reports to CEO

The PR Director works to maintain our public image, devise sales strategies, and create marketing materials in compliance with advertising restrictions and regulations. Brand management, content creation, and advertising on approved platforms are also responsibilities of the PR Director. They will also be responsible for any and all messages and communications going out to the general public about the business itself, any recalls which may occur, or statements to the press—be it locally, nationally, or internationally. The PR Director manages our brand and identifies intellectual property violations; manages social media presence and ensures integrity of online branding and prepares quarterly marketing plans and written reports; develops and approves marketing materials within the marketing budget and implements company public relations strategies; updates the general public on news related to the functions of the business as deemed necessary by the executive leadership team; writes content for website, press, and marketing materials; and, designs marketing materials or oversees design, if performed by other staff or a vendor.

Medical Director — Reports to CEO

The Medical Director supports the company with their medical expertise, aiding in the creation of customer educational materials, staff training programs, and operational best practices. The Medical Director will stay up to date on new research within the cannabis industry, and medicine in general, in order to inform the advisory board, executives, and company leadership of significant developments. The Medical Director will also support the revision of these materials as well. The Medical Director applies medical expertise and best practices to develop customer educational materials to be distributed in print, online, and via multimedia platforms; stays updated with medical developments regarding cannabis and communicates said updates to respective and necessary personnel; maintains the security and confidentiality of patient information, records, and any and all protected health information ("PHI"); works with the CCO, COO, and Manager of Dispensing Operations to develop and revise company SOPs; and, coordinates with the (C.D.G. - Community Outreach Coordinator) to participate in and develop community outreach initiatives.

Human Resources Officer ("HR Officer") — Reports to COO

The Human Resources Officer plans, directs, and coordinates the administrative functions of the organization. They oversee the recruiting, interviewing, and hiring of new staff; consult with top executives on strategic planning; and serve as a link between an

organization's management and its employees. The HR Officer is also responsible for supervising and providing consultation to management on staffing plans; compensation; benefits; training and development; budget; and labor relations. The HR Officer recruits and hires qualified staff to fill roles necessary for company growth and success; delivers new hire orientation and coordinates onboarding paperwork; promotes good workplace practices for employees during onboarding and throughout employee's tenure; manages records of employee evaluations, time off requests, pay periods, and other employee administrative work functions; coordinates with management and subject matter experts to deliver operational training programs; and, manages staff attendance, scheduling, time off requests, and payroll systems.

Manager of Dispensary Operations ("MDO") — Reports to COO

The Manager of Dispensary Operations will plan and manage all aspects of day-to-day operations including managing personnel; training staff in sales and customer satisfaction; inventory control; and, handling the daily procedures of all store related matters, all while adhering to strict state and local guidelines and regulations. The MDO will communicate with all levels of the organization to implement and maintain protocols, policies, and procedures in accordance with company standards. It is the MDO who will serve as the key point person between Executive Team members and non-managerial role personnel on dispensary related operations in the business. The MDO manages all daily dispensary operations, including retail company processes and staff; supports all retail management staff to achieve sales and service goals; offer at a minimum, quarterly updates to the executive team on changes, improvements, or setbacks seen in dispensary operations; executes changes to dispensary operations and see they are completed by necessary staff members; communicates executive directives to dispensary management and staff; and, regularly reports to executive team about retail trends, inventory needs, sales, and staff performance.

Manager of Security ("MOS") — Reports to CCO

The Manager of Security protects assets, ensures facility security, and oversees staff security training to create a safe working environment and protect the community. The MOS creates security SOPs and protocols with the goal to achieve zero losses from diversion or criminal activity and maintain compliance with the state and local regulations. The MOS protects the safety and security of all facilities, assets, and individuals including visitors and

employees; assists with the design and implementation of a comprehensive security plan, which includes both selection/placement of technological assets as well as personnel; drives privacy initiatives and strategic plans set by leadership; creates emergency procedures and implements security best practices to ensure compliance and safety of visitors and staff throughout operations; builds relationships with local law enforcement and emergency services to identify the company as a community partner and champion of safe facilities; and, trains non-security personnel in diversion prevention efforts as part of the company's anti-diversion plan.

Community Outreach Manager ("COM") — Reports to CEO

The Community Outreach Manager connects the company with community members, customers, advocacy organizations, industry groups, legislators, and regulators. The COM also works to educate the community about cannabis and sustainability through the development/distribution of educational materials, organization of community outreach activities, and representation of the company in a professional manner at community events. The COM assists with development and implementation of an effective community action plan and comprehensive environmental relations plan; identifies local community groups and charitable organizations for potential relationships and maintains those relationship once established; attends key events and meetings within the community to represent the company and share learnings from events to help further develop community engagement; orchestrates patient educational seminars and events; communicates community-focused events to employees and department heads; and, manages company participation in local community groups, including environmental initiatives, volunteering, and financial or in-kind donations.

Certified Dispenser — Reports to MDO

The Certified Dispenser has one of the most important roles at our company and that is overseeing and signing off on all sales of medical cannabis as the Certified Dispenser is ultimately responsible for each transaction. Ala. Admin. Code r. 538-x-8-.03.02. The Certified Dispenser will be responsible for educating patients and caregivers on products and services offered, monitoring visitors and their behavior to ensure compliance with our safe and healthy workplace policies and offering goods and products to patients and caregivers in a polite, warm, and friendly manner. Certified Dispensers will work with the MDO, who will be

responsible for maintaining adequate stock in products offered and relay any such discrepancies or issues with Certified Dispensers, the MDO, who may serve as a point of escalation in some patient interactions, and the Medical Director regarding the medicinal efficacy of cannabis and protecting patient health. Certified Dispensers will engage with patients and caregivers about products and services offered at the facility; serve as a resource of knowledge and insight not only into the daily operations of the facility but on cannabis and cannabis products and their legality to patients and caregivers; confirm that the patient or caregiver holds a valid, current, unexpired, and unrevoked medical cannabis card, and that the dispensing of medical cannabis conforms to the type and amount recommended in the physician certification, and that the amount recommended will not exceed the 60-day daily dosage purchasing limit; promote the company values, mission, and vision of the company with customers in a personable manner; to the best of their ability, answer any and all questions patients or caregivers may have and direct them to various resources such as pamphlets, websites, or other individuals within the facility; and, at a minimum, have two years of education or experience in the fields of biology, biochemistry, chemistry, physiology, pharmacology, medicine, medical cannabis, nursing, pharmaceuticals, or a similar field. Ala. Admin. Code r. 538-x-8-.03.03.

Inventory Manager — Reports to COO

The Inventory Manager is responsible for overseeing daily inventory operations under the supervision of the COO. The Inventory Manager creates and implements processes, protocols, and key performance indicators associated with inventory management to ensure inventory workflow meets daily sales and operational demands. The Inventory Manager is responsible for the development and management of existing licensee relationships to meet delivery, cost, and quality objectives as well as researching and identifying potential new partners; inputs arriving purchase orders into the state seed-to-sale inventory tracking system and other business management platforms; audits inventory for accuracy; investigates, corrects, and reports inventory discrepancies encountered throughout daily operations; manages bulk ordering for supplies; and, writes inventory and compliance reports and ensures data uniformity in all reports and records.

9.5 — Job Descriptions of All Non-Managerial Employee Positions

Within this section, we detail each of the non-managerial roles within our business operations showing the clear delineation of qualifications and duties. Ala. Admin Code. R. 538-x-3-.05.03.m.15.e.

Sales Associates — Reports to Certified Dispensers

Sales Associates will have some of the most patient facing responsibilities in the facility. To that end, they will be responsible for educating patients and caregivers on products and services offered, monitoring visitors and their behavior to ensure compliance with our safe and healthy workplace policies and offering goods and products to patients in an amicable manner. Sales Associates will work under and report to the Inventory Manager, who will be responsible for maintaining adequate stock in products offered and relay any such discrepancies or issues with Sales Associates, the Certified Dispenser who will oversee the proper dispensing of medical cannabis products, and the MDO, who may serve as a point of escalation in some patient interactions. Sales Associates will engage with patients and caregivers about products and services offered at the facility; serve as a resource of knowledge and insight not only into the daily operations of the facility but on cannabis and cannabis products and their legality to patients and caregivers; promote the company values, mission, and vision of the company with customers in a friendly and personable manner; under the supervision of the Certified Dispenser, confirm that the patient or caregiver holds a valid, current, unexpired, and unrevoked medical cannabis card, and that the dispensing of medical cannabis conforms to the type and amount recommended in the physician certification, and that the amount recommended will not exceed the 60-day daily dosage purchasing limit. Ala. Admin. Code r. 538-x-8-.03.05. Sales Associates will also maintain proper flow of customers throughout the facility, ensuring customers are only permitted to enter into designated areas of the facility which they are intended to be in.

Receptionist — Reports to Certified Dispensers

Receptionists will have a substantial role in patient facing responsibilities in the facility. They, alongside security officers, will serve as a front line of defense for individuals wishing to enter the premises. They will also serve as some of the first individuals who patients will interact with at the facility so will need to be well-versed in our values and missions to promote that in their interactions with patients. Receptionists will confirm patients have the proper government issued identification to enter the facility, making sure that they are of

the legal age for admittance; bar admittance to individuals of the general public who are not of legal age; communicate with the necessary employees about unruly or disruptive patients who may need to be escorted off the premises or who are in need of any potential medical attention; alongside security officers, keep and maintain a log of visitors coming in and out of the facility; answer any questions customers may have and direct them to the necessary personnel or resources available if they themselves do not have the answer for them; and, supporting internal operations, specifically with aiding Certified Dispensers, should additional help be made necessary.

Security Officers — Reports to MOS

Security officers are responsible for maintaining the security and compliance of the facility, protecting company assets, and creating a safe working environment for all staff and visitors by physically securing the facility, overseeing visitors, and preventing diversion and any other crimes or misconduct. Security officers work under the guidance and standard operating procedures created by the MOS . Security officers will monitor the interior and exterior of the store premises using video surveillance equipment to ensure the safety of visitors, products, and staff; log and escort visitors within restricted access areas, when necessary; coordinate with local law enforcement and the MOS should any laws be broken; adhere to and enforce access restrictions, including all limited access areas and restricted access areas; and, prepare and file incident reports for all suspicious, noncompliant, or criminal activity.

9.6 — Executive Summary

Our executive summary is a high-level overview of our detailed business plan, which includes our mission statement, business style, key personnel, their leadership background and qualifications, and identifies our facility locations and functions. Ala. Admin Code. r. 538-x-3-.05.03.m.15.f.

Mission and Vision

When it comes to creating mission and vision statements, we took a holistic approach, assessing not only our ambitions for the business itself, but identifying how we wish others to view and relate to us. While it's unlikely most will immediately grasp the deep meaning and personal connection of both our mission and vision statements, we believe the elements

of such will be exemplified and shine through in our work ethic, our products, and our commitment to patient health and wellness.

Mission:
Our mission as a medical dispensary is to provide quality and professionalism with the creation of a safe and comfortable atmosphere that exemplifies patient care, education, honesty, and transparency.

Vision:
We have a passion to legitimize the medical cannabis industry through the education of Alabama medical patients in a safe private dispensary experience. We envision our products will improve the wellness and quality of life of our patients.

Our brand was created from our passion to legitimize and educate Alabama medical patients about the medical cannabis industry and medical cannabis products. Our desire as a medical cannabis dispensary in Alabama is to provide quality and professionalism while creating a safe, comfortable atmosphere that exemplifies patient care, education, honesty, and transparency at the deepest level. Due to these factors, we are well-positioned and well-equipped to serve Alabama medical cannabis patients.

Leadership Background and Qualifications

We have assembled a team of business leaders, industry executives, and subject matter experts with ample experience and expertise to lead us to profitability in any scenario so that we can continue to serve the medical cannabis market. Our business is led by our executive team, consisting of:

Our CEO [redacted] has been an executive leader in retail businesses for 14 years. He has significant experience with corporate taxes, strategic financial planning, and regulatory compliance. [redacted] currently owns and operates several licensed cannabis businesses in Missouri, Illinois, and Ohio. These operations include one cultivation center, seven active dispensaries, and three additional dispensaries under construction. He has built strong and productive business relationships with industry partners and regulators to

achieve his strategic business objectives. Relationships within the industry will support the success of our own dispensary operations.

CCO [redacted] is a senior executive with over 30 years of leadership experience, including as a company founder, COO, a "Big Four" financial consultant, and a technology product manager. Since 2014, she has been a leader in the cannabis industry in the US, Canada, and Europe, working on the licensing, accreditation, buildout, development, purchase, operations, and sale of cannabis licenses. She has critical expertise with strategy, business planning, compliance, policy, project management, leadership of diverse and remote teams, corporate finance, startups, mergers, acquisitions, and business process definition and alignment. She has successfully grown companies from a mere idea to successful operations in multiple locations. In the cannabis industry she has extensive accreditation and licensing experience and published the "Medical Marijuana Desk Reference". She has led merger and acquisition efforts and integrated teams from acquired companies. She has also worked as a business process lead in the energy industry and developed software to present regulatory and financial data to clients. In addition, she has experience in developing and managing new energy technology product and program launches at multiple Fortune 500 companies.

Our CFO [redacted] is well versed in Accounting and Law, he received a BSBA and Master of Accounting from Auburn University College of Business and went on to receive his law degree from the University of Tennessee College of Law. He has worked with multiple Fortune 500 companies advising and counseling clients regarding labor and employment, employee benefits and executive compensation as well as general corporate and real estate matters. He is now the Principal and owner of Spry Law Firm where he is licensed in Alabama and Tennessee. He advises clients regarding all facets of commercial and residential real estate transactions, general corporate matters, estate planning, estate administration and elder law. He is Director of Shoals Habitat for Humanity, Member Lauderdale County, Alabama Bar Association, Member, Tennessee Bar Association, Member, American Bar Association, Member, Florence Rotary Club and a Trustee of Riverhill School Board of Trustees.

COO [redacted] is a passionate leader in the cannabis industry and has developed many high-performing leaders while maximizing business revenue. He has studied and become intimately familiar with cannabis regulations in Illinois, and those in Missouri set forth by the Department of Health and Senior Services ("DHSS"). He has worked with many cannabis inventory software systems, including LeafLogix, COVA, and Metrc. [redacted] has additional experience with key performance indicators within business systems and is responsible for operational reports on sale prices and customer experience in his current role at Green Releaf Dispensaries.

Our Marketing & PR Director, [redacted], is the owner/operator of the award-winning industrial design firm Carter McGuyer Design Group and she brings the experience of designing for the best companies and most talked-about brands, 20 years of building strong relationships with manufacturers, and winning such accolades as Good Design, Best in Show, Housewares Best of the Best and Best in Category, as well as the coveted Red Dot Award six times. [redacted] is not only a successful business owner, but a loving mother as well. Unfortunately, [redacted] was recently diagnosed with breast cancer and has been fighting her way through chemotherapy over the past several months. While an individual's experience with cancer treatment is a very personal matter, it has only strengthened the resolve of [redacted] to provide medical cannabis to patients as she understands firsthand the very painful and real challenges a cancer patient undergoes.

Our Medical Director Dr. [redacted] has spent the last five decades as a scientific researcher and has multiple industry awards and accolades. He has been a practicing surgeon in Alabama for 44 years and is well respected in the local medical community. His medical expertise and stoic leadership resulted in his appointment as the Medical Director for the Huntsville Hospital in Huntsville, Alabama. Dr. [redacted] will support our medical cannabis dispensary through scientific research and advisement. Dr. [redacted] will work closely with our CEO D.P. and the executive leadership team.

Our HR Officer [redacted] has spent nearly three decades perfecting administrative systems and operations and has spent the last nine years as the HR Manager at a licensed

cannabis cultivation and dispensary business in Missouri. She currently manages more than 150 employees across three locations. She has maximized team knowledge and productivity through effective training and logical updates of job responsibilities. [redacted] acts as a staff advocate and encourages each manager to identify conflicts early for resolve. She maintains company compliance with all relevant local, state, and federal laws, including payroll and labor standards.

Our Manager of Dispensing Operations, Dr. [redacted], is an effective leader with 14 years of pharmaceutical experience. She has carefully supervised employees and the proper dispersal of drugs to patients. She has created compliant internal procedures while overseeing a smooth transition to a new wholesale supplier. Dr. [redacted] has tracked and analyzed profitability and other key metrics to improve profitability. Her splendid supervision of multi-million-dollar pharmaceutical operations will serve our business well as she oversees our dispensary trainings and staff.

Our Manager of Security, [redacted], has a wealth of experience to contribute to our team having served as Lieutenant of the Alabama Alcoholic Beverage Control Board. He is accustomed to working in a highly regulated field that was tasked with licensing and regulating alcohol in the state. We will contract with Fast Guard Security Service to provide security officers for all of our facilities. These contracted security guards will report to and be under the supervision of our MOS. Fast Guard Service is a fully licensed and insured private security and protection agency, owned and operated by certified law enforcement officers. Both parties understand that the medical cannabis industry has a significantly higher than average risk for theft, robbery, and burglary. Their mission is to provide professional security and investigative solutions for each client while demonstrating responsiveness, diligence, and professionalism. All contracted guards and security staff are CPR, AED, and First Aid certified.

To maximize our positive impact on the environment and our local community, we will partner with Cannabis Doing Good. They will offer consulting and oversight of our community plans and act as our Community Outreach Management Company. Cannabis

Doing Good ("CDG") is a consulting community and educational platform that has contributed to a racially-just, planet-loving, people-centered cannabis industry over the last five years. They provide accessible platforms to cannabis industry professionals to enhance the racial, environmental, and community justice in our industry. They will create a clear strategy for our nonprofit partnerships and other local connections. CDG has experience with development and presentation of team trainings on anti-racism and social responsibility. CDG has formal strategic partnerships with the Minority Cannabis Business Association, Women Grow, Marijuana Business Magazine, Minorities for Medical Marijuana, and Drug Policy Alliance. They have been featured in industry trade magazines and larger publications, including Forbes, for their substantial positive impact on the cannabis industry.

Certified Dispenser(s)

We will have multiple Certified Dispensers to support our three dispensary locations. Our first Certified Dispenser is [redacted], a resident of Alabama, [redacted] has over 9 years of experience in the medical field and has a National Pharmacy Technician Certification. She has worked with filling prescriptions, medication dosages, compounded products, prescription insurance claims and billing information as well as excellent customer service and dedicated assistance.

She will report to the Store Manager, [redacted]. [redacted] has extraordinary experience in the medical cannabis industry with over five years of practical experience. She has managed a medical cannabis dispensary in Missouri for five years, and on a daily basis, she speaks with patients about cannabis and discusses what types of cannabis is best for their medical ailments. Not only does she have direct patient experience, but she also has been the General Manager of Green Releaf Medical Dispensary for over two years and has guided the company into a stable and profitable organization. With her experience, she has trained over 20 employees, teaching them how to interact with patients and provide patients with information on medical cannabis.

Our second Certified Dispenser is [redacted], a resident of Alabama, [redacted] has over 3 years of experience in the medical field and a National Pharmacy Technician Certification. She has excellent customer service and conflict management skills. She

identifies individual therapeutic requirements to care for personal needs, safety and comfort of patients and promotes positive physical, social and psychological environments.

[redacted] is our second Store and Inventory Manager and like her colleague [redacted] she has over five years of medical cannabis experience through working at medical cannabis dispensaries. [redacted] current role is as District Manager of the medical cannabis dispensary, Green Releaf, in Missouri and her responsibilities include training staff to interact with patients. She has an extraordinary knowledge of different cultivars of cannabis and their practical application for medicinal use. Not only does [redacted] have experience managing dispensaries and patient interaction, but she also oversees inventory at the dispensaries using Missouri's statewide seed to sale tracking system. As Certified Dispensers are ultimately responsible for the dispensing of medical cannabis, the maintenance of the patient registry, and updating the statewide seed to sale system, Holly will be a natural fit for this role since she has been successfully carrying out these responsibilities for many years. We pride ourselves on the quality of our Certified Dispensers, focusing on their practical experience and outstanding record of patient safety.

Together, we are bringing the experience and skills required to stand out in the cannabis space. This will also serve in patients and prospective patients' best interests in finding and trusting a medical cannabis dispensary like ours. They will know the products, goods, and services they receive will be of the highest quality possible in Alabama.

Business Style and Philosophy

Our business style relies on a culture of education. We will instill attention to and appreciation of regulatory and safety requirements throughout our staff. From the time of hiring and initial training and throughout employment, we will train our staff members on industry best practices and patient care. Our business philosophy is derived from our focus on patient care and education.

- We will put patients first
- We will put collaboration over competition
- Medicine and education are priorities

Key Personnel

Our key personnel include owners, founders, and managers who lead the direction of our business with long-term decision making. These roles are the CEO D.P., COO E.J.H., CCO L.G.,

and our founder, B.P.M., as Marketing & PR Director. While all employees are critical to our successful operation, our key personnel have a greater impact on our organization. We will notify the AMCC if there are changes to our ownership, executive leadership, or key personnel.

Facility Locations and Functions

Our facilities are in ideal locations to support medical patients and the community. We will have three dispensary locations. At [redacted], Birmingham, AL 35203, we will have a 1,500 square foot dispensary in a multi-use building. In another multi-use building, located at [redacted] Russellville, AL 35653, we will operate an additional dispensary. We also will build out a dispensary on the Southeast corner of our one-acre property with Parcel ID [redacted] and GPS coordinates of [redacted]. As of now the local municipality has not yet provided an address to the aforementioned location however the third dispensary is in Killen, Alabama. Our dispensaries are strategically located to support medical cannabis access to patients throughout the state of Alabama.

9.7 — Description of Products and/or Services

We will create and provide a variety of consistent and effective medical cannabis products to serve the medical cannabis patients of Alabama. We will dispense the following products at our facilities, including projected pricing data; projected product lifespan; projected benefits to consumers; patents; and proprietary technology. Ala. Admin Code. r. 538-x-3-.05.03.m.15.g.

Products and Services

We intend to procure and offer the people of Alabama a wide selection of cannabis products, sourced from local suppliers whenever feasible. Our founders have identified a lack of selection, minimal education, and underwhelming service to be major shortcomings of existing medical markets and we see this as a terrific opportunity to set ourselves apart in the nascent Alabama medical market. Particularly, our executive team's collective experience guarantees our business can maintain an uncompromisingly high standard of quality, education, and patient service in the new market. We will offer a select variety of medical cannabis products to match our local market demand, with our products ranging

between THC and CBD varieties, as well as medical cannabis paraphernalia. The medical grade cannabis products allowed by the state of Alabama are oral tablet, capsule, or tincture; non-sugarcoated gelatinous cube, gelatinous rectangular cuboid, or lozenge in a cube or rectangular cuboid shape; gel, oil, cream, or other topical preparation; suppository; transdermal patch; nebulizer; and liquid or oil for administration using an inhaler. Ala. Code § 20-2A-3(14). Medical cannabis does not include raw plant material; any product administered by smoking, combustion, or vaping; or a food product that has medical cannabis baked, mixed, or otherwise infused into the product, such as cookies or candies.

Of the allowed medical cannabis products, we have decided to dispense all allowable products at the outset of operations. We may later expand to offer other medical cannabis products as the market demands and the AMCC permits.

- Oral tablets and capsules
    - Pricing data: $15 - $25
    - Product lifespan: 12 months
    - Projected benefits to consumers: Tablets and capsules provide convenient, discreet, and specific dosing to patients. Tablets and capsules are pre-measured, so patients are sure to get the same dose every time. This convenience adds an additional benefit for patients that may be suffering from mobility issues that make measuring a liquid (tincture) difficult. Pills are also a familiar form of medication for many, which provides a level of comfort for some patients. Because capsules must digest through the GI tract, they may have a delayed onset time. However, due to this difference in the way the body absorbs the medication, the effects may be stronger and/or last longer. This provides additional benefit to patients that rely on a consistent dosage for a chronic qualifying condition. Tablets and capsules are free of sugar, calories, and other ingredients that may be undesirable for some patients. We will source tablets and capsules offered in both 10 count and 20 count options, providing patients an affordable trial size option and a monthly-supply option.
- Tinctures
    - Pricing data: $32-$42
    - Product lifespan: 12 months

  - Projected benefits to consumers: Tinctures are shown to have a faster onset time than other forms of oral medication (such as cubes or tablets and capsules), which is beneficial if a patient needs fast relief to combat acute pain or a sudden flare up of their qualifying condition. The medication is absorbed into the bloodstream directly via the membranes under the tongue. This provides additional benefit to patients that suffer from gastrointestinal issues, as the medication does not need to process through the digestive system to take effect. Because of their liquid form, tinctures largest benefit is the ability to administer the minimally effective dose.
- Non-sugarcoated Lozenges and Gelatinous Cuboids – flavored peach only, as established as the Universal flavor by the AMCC (Ala. Admin. Code r. 538-x-6-.04.02.e)
  - Pricing data: $20-$30
  - Product lifespan: 12 months
  - Projected benefits to consumers: Similar to tablets and capsules, non-sugarcoated lozenges and gelatinous cuboids provide convenient, discreet, and specific dosing to patients. Non-sugarcoated lozenges and gelatinous cuboids are also pre-measured, so patients are sure to get the same dose every time and patients that may be suffering from mobility issues do not need to take the time to measure a liquid (tincture). Non-sugarcoated lozenges and gelatinous cuboids digest through the GI tract and may have a delayed onset time. However, due to this difference in the way the body absorbs the medication, the effects may be stronger and/or last longer. This provides additional benefit to patients that rely on a consistent dosage for a chronic qualifying condition. Non-sugarcoated lozenges and gelatinous cuboids are offered in both 10 count and 20 count options, which stays in line with providing patients an affordable trial size option and a monthly-supply option.
- Topical Cream
  - Pricing data: $15-$25
  - Product lifespan: 12 months
  - Projected benefits to consumers: Topicals deliver localized, fast-acting relief to patients. Applied directly to the skin, patients can target their relief with a topical cream. Topicals can be highly beneficial at treating localized pain from a qualifying

condition or used in conjunction with another cannabis product to provide the maximum relief for patients with chronic conditions. Topicals are convenient and easy to use, and many patients report a soothing effect.

- Transdermal patch
  - Pricing data: $15-$22 per unit
  - Product lifespan: 24 months
  - Projected benefits to consumers: Transdermal patches offer a slow-release solution and is an alternative to ingestion. Patients who suffer acute pain have reported that transdermal patches have longer lasting pain mitigation effects compared to other methods of medical cannabis consumption like that of ingestible medical cannabis. Transdermal patches are odorless and discrete so patients who are mindful of others in their surroundings do not need to worry about potentially disturbing them.

Patents and Proprietary Technology

Our company appreciates the value of intellectual property in the forms of patents and proprietary technology. As a business, we are dedicated to an innovative mindset and research and development. We have not yet developed any internal patents but will always educate ourselves and try new discoveries.

9.8 — Advertising and Marketing Analysis and Strategy

Our leadership team has established a diversified advertising and marketing analysis and strategy. Ala. Admin Code. r. 538-x-3-.05.03.m.15.h. Compliance will serve as a cornerstone to our advertising and marketing strategies. Our advertising and marketing efforts are based upon our in-depth analysis of the projected Alabama medical cannabis industry, comparable markets, and strict regulatory compliance.

Alabama Industry Analysis and Trends

The cannabis industry is rapidly expanding throughout the United States and the rest of the world as the stigma surrounding its use erodes in the face of new research and transparency presented by its legal markets. As of this writing, a total of 37 states, the District of Columbia, Guam, Puerto Rico, and the U.S. Virgin Islands, regulate cannabis for medical use by qualified individuals. Alabama approved their medical cannabis program in 2021 with

the passage of the [redacted] 'Ato' Hall Compassion Act. The United States cannabis industry generated over $26 billion in sales in 2021 with sales projected to leapfrog to $45.9 billion in 2025.[2] Globally, the economic value of the cannabis market will eclipse $96 billion by 2026.[3] We will enter the Alabama market as a medical cannabis dispensary facility. As this is the first cannabis licensing round in Alabama, there are currently zero medical cannabis businesses operating in Alabama for comparison or competition. With the approval of legalizing medical cannabis in early 2022, Alabama has embarked on the path towards opening their medical market. Alabama's medical recreational market will begin to take shape after the late 2022 licensing round, presenting a significant opportunity not only for us, but countless other individuals and businesses. The new medical market will dramatically increase the patient base and spending on cannabis within the state. All the above factors should provide the perfect support for facilities like ours as the Alabama cannabis industry makes up for lost time and rapidly comes to maturity.

Medical Market Size

The recently passed medical cannabis bill will allow for qualifying patients over the age of 18 to purchase cannabis in the state. The state's population is approximately 5.04 million people, and of those, only a portion will qualify and register to be medical cannabis patients. In medical cannabis markets in other U.S. states, we have seen patient adoption rates vary wildly depending on the makeup of the market. In medical cannabis markets, patient adoption rates at the end of year one typically ranges from 0.5% to 2%. After the third year of operations, patient adoption rates typically rise to 2% to 4%. Rare outlying markets, such as Oklahoma, reached rates of 9%+ after several years but are not comparable to Alabama's market conditions. Patient adoption rates at the outset of Alabama's medical market will begin at 0% until the AMCC starts accepting registrants and allowing physician certifications, which according to the state, will not occur until after business licenses have been awarded in mid-2023. Based on this, the patient adoption rates in Alabama are expected to be relatively low at the initial outset in comparison to other medical programs. Additionally, patient adoption rates are generally lower over time in regulatory schemes that disallow the

[2] Marijuana Business Daily, *MJBizCon*, 2022

[3] Facts and Factors market research report, 2021

sale of cannabis flower. Even under most conservative and liberal estimates for the total market size, we believe we will have an ample patient base, more than enough capacity and capability to serve the market, and have opportunities for expansion in the future to meet the needs of those in our community as the patient numbers increase. We will stay up to date on state and regional trends to tailor our marketing and patient education to those who need access to medical cannabis while always adhering to our exemplary quality standards for medical grade products and patient service.

Target Market and Patient Base

Our ideal qualifying medical cannabis patients are those over the age of 18 within the local area surrounding our facilities. According to BDSA's Consumer Insights for Spring 2022, consumers in medical states prioritize trustworthiness and a convenient location. For this reason and in alignment with our mission and vision, we plan to retain patients by establishing our brand as a dependable and reliable resource and partner in their wellness journey. The number and location of other cannabis business licenses operational within the market affects our projected target patient base. As the area experiences an increase in competition through the establishment of more dispensaries, it will become gradually more difficult for one individual business to attract and retain patients. Entities wishing to enter the market at a far later stage may face substantial obstacles, which is why we are prioritizing the first mover advantage to reduce the risk of being unable to adequately stand out amongst other cannabis businesses in attracting potential patients. Though the medical cannabis market in Alabama is still being formed, we have investigated the market size and trends; target patients; market readiness; and, strategic opportunities.

Strategic Market and Marketing Opportunities

Our strategic, proactive approach to establishing our business is focused on maximizing the great opportunities presented in Alabama. We have undertaken substantial work and research to establish a roadmap in our strategic marketing plan to make the most of each dollar spent. This initial analysis has shown us several opportunities that we believe we can effectively capitalize on for a successful launch and a prosperous operation in the long term. The first of these opportunities is the relative lack of cannabis business operations currently available. This will also be made easier to achieve thanks to the substantial local support we have already received, which is in no small part due to the longevity of trust established

between our team and the community in which we wish to operate. Through early preparation and planning we will be among the first medical cannabis businesses to open, allowing us to establish our patient base before the market saturates. Another opportunity is the use of data in targeted marketing efforts to build successful campaigns. We intend to use the state's sales data and our own sales data, once operational, as well as research of comparable markets, to cater our practices to have cannabis products for all uses and specifically built around our curated variety of products. By utilizing the most recent available data from the state and from our own sale's data, we will better be able to market to eligible individuals and educate those on what is necessary to know about the new medical cannabis marketplace and address what is most unfamiliar to them about the products offered.

Competitive Analysis and Position

As this is the first cannabis licensing round in the state's history, we will be competing with all new applicants across the state. However, our thorough preparation and connections in Alabama make us an ideal candidate for licensure in that area. The new medical system does not require vertical integration, allowing our business to specialize in what our founders know best: dispensary operations and sales. As a quality-focused organization, we will provide an unparalleled level of care and commitment to our service and medical grade products. Though we have recognized barriers and challenges for our business, they are outweighed by the many exceptional advantages of our strategy. We are in a prime position to learn from industry mistakes and adapt in skillful and innovative ways for the benefit of our market.

Advertising and Marketing Plan

We will develop a brand that emphasizes our goal of being a safe and trusted source of medical cannabis products and resource for medical cannabis knowledge. Specifically, we plan to create a brand identity that captures our passion for education and therapy through the medical cannabis industry. Our advertising and marketing plans are first and foremost formed around compliance. Ala. Admin Code. r. 538-x-4-.17; Ala. Admin Code. r. 538-x-4-.17.01. Our advertising will not contain any statements, illustrations, or other material that would be appealing to minors. Ala. Code § 20-2A-61(b). Our advertising and marketing materials will always be appropriate to the subject matter and suitable for our target market.

Our advertising will not encourage, promote, or otherwise create any impression that cannabis is legal, therapeutic, or beneficial, except as specifically authorized by the Act and Rules. Ala. Admin Code. r. 538-x-4-.17.02. We will not use a name, logo, sign, advertisement, or other marketing campaign or program unless the same, including all related materials, have been submitted to the AMCC. Ala. Admin Code. r. 538-x-4-.17.03. We will submit: the name, logo, sign, advertisement or other marketing campaign or program proposed for use; A brief description of the format, medium, and length of the distribution; A verification that an actual patient is not being used on the advertisement; Verification that an official translation of a foreign language advertisement is accurate; and A final copy of the advertisement, including a video where applicable, in a format acceptable to the AMCC. Ala. Admin Code. r. 538-x-4-.17.03.a-e. If required by the AMCC, we will add a specific disclosure in the advertisement in a clear and conspicuous manner so that the advertisement would not be false or misleading; make changes as necessary to protect the public health, safety, and welfare; or not use the advertisement. Ala. Admin Code. r. 538-x-4-.17.04.b; Ala. Admin Code. r. 538-x-4-.17.04.b.01-03. If we are aggrieved by the initial decision, we may submit a notice of appeal electronically in writing through the AMCC website, as well as appear and give information and oral argument, if requested. Ala. Admin Code. r. 538-x-4-.17.04.c.

We will not place or maintain, or cause to be placed or maintained, an advertisement of medical cannabis or any related product, in any of the following ways (Ala. Admin Code. r. 538-x-4-.17.05): Within 500 feet of the perimeter of a prohibited facility or any business or organization where, in the opinion of the AMCC, the placement of the advertisement targets or is attractive to minors; on a billboard; on a radio or television broadcast, including a system for transmitting visual images and sound that are reproduced on screens, and includes broadcast, cable, on-demand, satellite, cinema, social media, or another internet-based platform; on any handheld or other portable sign; with respect to public places, on a brochure, handbill, pamphlet, leaflet, or flyer directly handed, deposited, fastened, thrown, scattered, cast, or otherwise distributed to any person; left upon any private property without the consent of the property owners; on or in a vehicle, public transit vehicle, or public transit shelter; or, on or in a publicly owned or operated property. Ala. Admin Code. r. 538-x-4-.17.05.a-h. Any name, logo, sign, advertisement, or other marketing campaign or program of or on behalf our company, regardless of the medium, will not include reference

to, or be accompanied by, any image bearing a resemblance to a cartoon character or of any individual (actual or fictional) more than fifteen percent of whose audience is, or should be reasonably anticipated to be, composed of minors; market, distribute, offer, sell, license, or cause to be marketed, distributed, offered, sold, or licensed, any apparel or other merchandise related to the sale of medical cannabis; suggest, by direct or indirect reference, a relationship to edibles (including candy, cookies, brownies, cakes, and the like) or beverages; include designs or other presentational effects that are commonly used to target minors; suggest or otherwise indicate that the product or entity in the advertisement has been approved or endorsed by the AMCC, the state of Alabama or any person, entity or agency associated with the state of Alabama; advertise in a manner that is inconsistent with the medicinal and approved use of medical cannabis; encourage the use of medical cannabis for a condition other than a qualifying medical condition; contain any statement, design, representation, picture, or illustration that contains or communicates: false or misleading statements; names other than the registered name of the licensee's registered business name or an approved d/b/a, or the registered name of medical cannabis or related products; a depiction of cannabis plants or any part thereof, except with respect to signs, displays and marketing material provided inside a dispensing site or on our website; slang terms and references to unlicensed uses of cannabis; disparagement of a competitor's products; obscene, indecent, or profane statements or depictions; or, statements as to the health benefits or therapeutic benefits of cannabis or medical cannabis, and statements as to the safety or efficacy of cannabis or medical cannabis unless supported by substantial clinical data. Ala. Admin Code. r. 538-x-4-.17.06.a-h; Ala. Admin Code. r. 538-x-4-.17.06.h.1-7. We will comply with adopted rules that establish restrictions and requirements for advertising, including signage, that may include limiting the media or forums where advertising may occur. Ala. Code § 20-2A-61(c). We will not display external signage larger than sixteen inches in height by eighteen inches in width that is not attached to the entity's permanent structure or vehicle; illuminate a sign advertising a medical cannabis product or strain at any time; sell or otherwise distribute clothing, apparel, or wearable accessories, unless such sale or distribution is to an employee for purposes of identification while at the licensed facility; advertise medical cannabis brand names or utilize graphics related to medical marijuana on the exterior of any building or vehicle operated by our business; and, display medical

marijuana, medical marijuana products, or medical marijuana paraphernalia that is visible from the exterior of the facility. Ala. Admin Code. r. 538-x-4-.17.08.a-e. We will not advertise or display any signage, logos, products, paraphernalia, or other identifying characteristics on the outside of our buildings or vehicles to alert the public that cannabis is being stored at our facility. Ala. Code § 20-2A-61(a). We will focus on our reliability and consistency to produce products that our patients feel they can trust. Plainly, our brand will be reliable, trustworthy, safe, and consistent, and we plan to provide an unparalleled professional experience of quality, service, and consistency of cannabis products that qualifying Alabama patients need.

Website Advertising

We will develop a website in accordance with all applicable law. Ala. Admin Code. r. 538-x-4-.17.07.f. Our website will require each user's affirmation that the user is not a minor before access to the website is granted, and establish a web presence that advertises the name, business address, contact information, and services we provide. Ala. Admin Code. r. 538-x-4-.17.07. Our web presence will not allow for direct engagement between or among consumers or consumer-generated content. Ala. Admin Code. r. 538-x-4-.17.07.a. Our website and web presence will not provide a medium for website users to transmit website content to minors nor will it target a consumer group with a high likelihood of reaching or appealing to minors. Ala. Admin Code. r. 538-x-4-.17.07.b and c. We will not display or otherwise post content that has not been submitted to the AMCC (if such content has been created or produced within Alabama or is specifically targeted to or available only to Alabama residents). Ala. Admin Code. r. 538-x-4-.17.07.d. Our website will not function to transact business or otherwise facilitate a sales transaction to consumers or businesses. Ala. Admin Code. r. 538-x-4-.17.07.e.

Presentation of Product Offerings

We plan to make employee and patient knowledge and education a cornerstone of our medical cannabis operation. To do so, we will provide employees with detailed education on regulations, cannabis, and product offerings. As our main touch point with medical cannabis patients, our certified dispensers will provide high quality education, consultation, information, responses to patient and caregiver questions, and instructions for use regarding all medical cannabis products at all times. Ala. Admin Code. r. 538-x-8-.05.03.d. To lay the foundation for excellence in this aspect of our dispensary operations, certified dispensers

will be trained and certified by the AMCC on proper dispensing procedures, and our training program will go beyond the minimum educational thresholds established by the AMCC. Ala. Code § 20-2A-64(e)(2). Training will be an ongoing process for every certified dispenser and staff member, with continuing education, ongoing proficiency evaluations, and trainings that are both periodic and in response to discrete events. Furthermore, whenever changes occur in regulations or company policies, certified dispensers will undergo immediate retraining. Certified dispensers will also take and pass a medical foundations training course, as required by the AMCC. Ala. Admin Code. r. 538-x-4-.04.02.a. Certified dispensers will further complete a minimum of 10 hours of continuing education as approved by the AMCC to address proper dispensing procedures, prevention of abuse and diversion of medical cannabis, and other topics related to public health, safety and good business practices relating to the dispensation of medical cannabis. Ala. Admin Code. r. 538-x-8-.03.04. Our certified dispensers' education will also cover the lifecycle of the cannabis plant; cultivation methodologies; processing, extraction, and infusion techniques; different strains and their physical and physiological effects; cannabinoids; terpenes; routes of administration and their relative effects; safe and proper usage of products; safe storage of products; recognizing signs of impairment; identifying, preventing, and responding to accidental ingestion or overconsumption; intended effects and side-effects; substance abuse signs, symptoms, and resources; up-to-date laws at the federal and state level, especially as they relate to possession, consumption, dispensing practices, and patient confidentiality; and, advancements and developments in the field of cannabis science.

Business Development & Sales Strategy

Business development is the heart of any successful cannabis business operation. Even prior to beginning operations, we will implement detailed strategies to facilitate development, starting by building a strong presence in the market, achieving a positive cash flow, implementing a scalable marketing strategy, and sustaining business growth over time. Achieving market validation and building an initial supply chain and patient base will be the foundation of the business, from which we will expand to reach more patients. The first challenge for our dispensary will be to attract an initial pool of patients, who will then spread the word about our business because of our extraordinary product quality, selection, and service. We will also engage in marketing and advertising, to the extent allowed by law,

helping to further develop our presence in the market and alert more licensed cannabis businesses, especially integrated facilities and processors, to the existence of our new medical cannabis dispensary. Additionally, as one of the first licensed cannabis businesses in Alabama, we will have the opportunity to form connections with patients as the industry emerges to build longtime, loyal purchasers. Finally, the business will sustain this growth by staying on the frontlines of advancements in the industry; hiring skilled employees, management, and executives; and, adapting to changes in the swiftly developing cannabis market.

Supply and Distribution Channels

For our business to operate as a dispensary, it is integral that we establish supply channels within the Alabama market to provide the most patients with the best cannabis products possible. To do so, we will establish professional relationships with processor, integrated facility, and secure transporter licensees in the medical program. We have already begun making inroads with individuals and businesses which we believe will serve to benefit our patients and operations. We will implement this strategy in two ways. First, we will utilize our local connections to negotiate with other prospective cannabis licensees in the area to establish relations. Once licenses are issued, we will enter into agreements with regional licensee partners, creating a supportive business network in our region of the state. We will also leverage our favorable locations to attract partnerships from those other licensees who want to sell their product and build their brand near us. The ethics, safety, and quality of any potential suppliers, partners, or vendors will be critical to determine whether we will execute a formal contract with that party. Everyone who we do business with must meet our exceptional expectations. We will carefully select State Testing Laboratories to partner with. Without the expansion and auditing of State Testing Lab capabilities, the market could suffer a backlog that reduces product availability or decreases scrutiny for product safety testing, which thereby increase the chances of contaminated products and harm to patients. We will pay close attention to the demand on licensed state testing labs and adjust our practices as necessary to maintain a stable and safe supply of products.

9.9 — Community Engagement Plan

We have and will continue to foster relationships with, involvement with, and commitment to our community (including municipality or county) in which we intend to locate our facility. Ala. Admin Code. r. 538-x-3-.05.03.m.15.i. This plan will focus on efforts taken over the next three years. The plan will utilize multiple approaches, a social responsibility program designed to increase participation in the cannabis industry by disadvantaged persons, educational programs, and research, in addition to contributing to local non-profit organizations assisting our community, such as those for substance abuse treatment, veterans' assistance, and workforce development. In total, our cannabis organization will allocate at least 2% of our net profits annually to help fulfill our commitment to the local community. Our entire staff will participate in community engagement efforts and our partners at CDG (Cannabis Doing Good) will spearhead the implementation of our detailed plan. They have received accolades nationwide for their positive impact on the cannabis community over the last five years. CDG will help us to connect with nonprofit organizations in our area once we are operational. We will also contribute to other local charities, and all staff will be encouraged to volunteer within the community.

We have active connections with local breast cancer awareness organizations and will further these relationships once our business is operational. We will partner with the Sandra J. Bryant Bosom Buddies ("SJBBB"), as part of the Russell Hill Cancer Foundation, to help them forward their mission committed to improving the health of the cancer patients by supporting bold, innovative cancer research, education, and patient assistance. We will work with SJBBB to provide support to their community of breast cancer patients and survivors, and we are excited to work with them in our home state of Alabama. We will partner with the Clearview Cancer Institute ("CCI") to help them forward their mission of providing innovative quality and comprehensive care for cancer patients. We will work with CCI to provide support to their community of doctors and patients, and we are especially excited to work with CCI, as they have been providing ongoing cancer treatment to our founder Brandi. We will also partner with the Kruzn for a Kure Foundation ("Kruzn") to help them realize their mission to provide life-saving research funding to allow children diagnosed with Schimke Immuno-osseous Dysplasia, or SIOD, to have a treatment plan and a chance at a longer life. We will work with Kruzn, families all over the world, clinicians, and researchers

at Stanford University to promote awareness and raise funds for this rare, inherited, life-limiting condition, as well as raising awareness and support for other rare diseases.

Commitment to Social Responsibility

Social responsibility is a cornerstone of our business and covers many aspects, such as sustainability, ethics, job creation, and reducing inequalities. We recognize we are in a unique position through our cannabis business to have an opportunity to help rectify historical injustices perpetrated against communities that have been disproportionately impacted by our country's war on drugs. Therefore, we will establish a social responsibility program that will provide cannabis industry education and opportunities to disadvantaged communities as part of our plan for community engagement. Our mission is to increase participation in the cannabis industry by those who live in disproportionately impacted areas of the state, including those who have been subject to unjust cannabis-related laws through our social responsibility program. We will provide education for those new to cannabis, basic job skills training for those who lack professional experience, and mentorship. We will also oversee an internship and hiring program that will help participants gain employment in the industry each year. We believe there will be sizable interest in opportunities like this for individuals given the demand for cannabis but also the lack of hands-on experience for people in the state. For those already in the cannabis industry, we will implement a career accelerator program.

Cannabis Industry Education: As a part of our efforts to provide greater opportunities to disadvantaged individuals and businesses, we will provide free educational courses for those interested in beginning a career in the cannabis industry. CDG has extensive experience providing accessible educational programs and they will support our related endeavors. Our presentations and trainings will cover skills and best practices for working as an efficient and effective employee in the cannabis industry. We will provide a basic overview of the cannabis plant and relevant scientific research. Our goal is to give a comprehensive overview of the endocannabinoid system, so that disadvantaged individuals and businesses understand how and why cannabis works in the human body. Accessing these courses and additional cannabis industry education will be made easily through resources offered at our physical location and through our online presence. If individuals require special accommodations to access this information, we will work with them on ensuring they can

secure it in the most convenient way possible. This is essential for us in continuing to reduce the stigma long associated with cannabis and making learning about cannabis both accessible and engaging. Specific advertising rules do not apply to noncommercial messages, i.e., the content of which is primarily for charitable, educational, or public service purposes and does not overtly seek profit or promote our business or our products. Ala. Admin Code. R. 538-x-4-.17.09.

Job Skills Training: Some disadvantaged individuals and businesses may not have any working experience in professional environments, but we believe this lack of opportunity should not preclude them from working at our company as long as the person can follow our business style and philosophy, commitment to compliance, and uncompromising dedication to quality. This training will be accessible to all, and we will hold free trainings in the evenings and on weekends so that people who are working full time can more easily participate. In order to make attendance more convenient, we will hold trainings in a space that is close to public transportation lines and in more populated areas to increase attendance. We will also be sure to utilize our online presence and a portion of our marketing budget towards advertising these events to locals, particularly when we are first launching them after licensure and buildout of our facility.

Hiring Program: We will create a hiring program to connect disadvantaged individuals and businesses with employers in the cannabis industry by establishing partnerships with other Alabama cannabis businesses who share our passion for community involvement. Our partners will benefit by gaining a trusted source of candidates for their job openings and can have confidence that the candidates we advance will arrive at their new employer with the knowledge and training necessary to succeed in their roles. This is good practice not only for the success of our business, but for the improvement of the medical cannabis space at large by expanding the pool of knowledgeable and passionate individuals in a rapidly growing industry both statewide and nationally. On the candidate side of the hiring program, we will offer free services monthly where interested people can get feedback on their resumes and cover letters. We will conduct mock interviews upon request and provide improvement tips. Our goal is to help local cannabis businesses find qualified employees while also helping disadvantaged individuals and businesses to start their careers in cannabis. Having seen the

toll that the criminalization of cannabis has had on millions, we believe this can serve as a positive step on the long road of improvement in the cannabis space.

9.10 — Environmental Impact Statement

Our Environmental Impact Statement outlines details of the anticipated impact of our proposed operations, per facility, on the local environment. This includes our efforts and plans to build a relationship to foster cooperation and compliance with federal, state, and local agencies providing environmental oversight, and steps taken or will take to reduce or eliminate our carbon footprint and/or to achieve and maintain a positive environmental profile in each community we intend to locate and operate within the next three years. Ala. Admin Code. r. 538-x-3-.05.03.m.15.j.

Anticipated Impact

The anticipated impact of our proposed operations on the local environment is minimal, and we have identified our most resource intensive processes and instituted plans for reducing that impact. Our greatest potential environmental impacts in our operation are in regard to electricity use. We will use the hazard analysis and critical control points ("HACCP") systematic approach to mitigate potential hazardous materials, such as use of chemical solutions in various sanitation processes and disposal of waste.

Relations with Agencies Providing Environmental Oversight

We plan to support the Alabama Department of Environmental Management ("ADEM") in their commitment to keep citizens informed and involved regarding the environmental activities in their local communities. We have already contacted ADEM with the intent of forming a productive relationship where we can be outstanding stewards of the communities we operate in and exceed in our commitment to the environment. As our relationship will grow with ADEM we want to work with the agency to target not only statewide environmental initiatives but also environmental initiatives at the local level so that we may have the most substantial positive impact possible. The mission at ADEM is to assure for all citizens of the State a safe, healthful, and productive environment and we will honor that commitment.

Carbon Footprint Reduction and Maintaining a Positive Environmental Profile

We have taken steps and will continue to continue to reduce or eliminate our carbon footprint to achieve and maintain a positive environmental profile in each community where we intend to locate and operate a facility. Our environmental improvement initiatives include sustainable packaging, air filtration, odor mitigation, waste reduction and safe disposal, energy conservation, renewable energy, and water conservation and reuse. We are committed to outfitting our facility generally with industry leading, energy efficient equipment and building materials, including equipment that is Energy Star Certified, when available. All such products, equipment, and supplies will meet energy efficiency criteria set by the US Environmental Protection Agency ("EPA") or the US Department of Energy ("DOE"). To meet procurement needs, we plan to identify, vet, and source from third-party vendors that demonstrate compliance in areas such as USDA Organic, Energy Star, Green Seal, EPA WaterSense, U.S. Green Building Council's Leadership in Energy and Environmental Design ("LEED"), cGMP, and International Organization for Standardization ("ISO"). We will choose domestically sourced, efficient, sustainable, and long-lasting products wherever possible, including compostable and recyclable options that replace single-use plastics. We will also continuously monitor developments in the cannabis and agricultural industries and beyond in order to incorporate the most efficient technologies and best practices wherever possible. This will be crucial during the first three-years of operations so we can improve our environmental methodologies for the future, with expansion into different locations, and to update where necessary. We will also look at updating our use of environmentally friendly technology as new technologies and devices become available so we can truly maintain our commitment to the environment and its health.

Sustainable Packaging Plan

We are committed to making a good faith effort to use environmentally friendly, biodegradable packaging for our products and bulk materials in compliance with regulatory requirements. We will choose packaging vendors with a commitment to protect the environment and reduce their carbon footprint. Another aim of our plan is to reduce or eliminates the use of single-use plastics and promotes the use of recyclable or green packaging. We will use domestically sourced packaging to minimize pollution and use of fossil fuels from shipping and transportation. There are several compliant, sustainable

alternatives to single-use product packaging available on the market, including compost-based, mushroom-based, hemp-based, and recycled paper packaging. Recyclable options may include glass bottles, aluminum tins, recycled polyethylene terephthalate "PET," high-density polyethylene "HDPE," or cardboard packages. We are committed to analyzing the life cycle impacts of every packaging product we source to accurately forecast end of life realities and recyclability and reusability. For dispensary-ready products, our packaging will be both sophisticated and informative, and we will collaborate with suppliers to promote and integrate reusable and refillable containers, when possible. Our labels will contain the universal state symbol approved by the Commission, printed in color at least one-half inch by one-half inch in size. Ala. Admin. Code r. 538-x-6-.05.02.i. We will continuously look outside our industry to incorporate cutting edge technology and the most sustainable, attractive, compliant, and practical packaging options. Our packaging will be domestically sourced to minimize pollution from shipping and transportation, it will be sourced from reputable suppliers, and it must be compostable, recyclable, or multi-use to prevent or minimize entry into local waste streams.

Air Filtration and Circulation Systems

The primary electrical-mechanical system of our air filtration and circulation system will be high-efficiency rooftop unit HVAC systems with fresh air economizers that will introduce fresh outside air into the building. The economizers will be controlled by interior carbon dioxide ("CO_2") sensors, which will modulate the amount of outside air introduced into the space based on the number of occupants in the facility at any time. The HVAC systems will include air purification systems, HEPA filters, carbon filters, or other similar or comparable environmentally friendly equipment. It will be operated in conjunction with odor control practices, which are also proven to mitigate the presence Volatile Organic Compounds ("VOCs"). These systems will minimize emissions to the surrounding community in turn, in line with our Emissions Reduction Plan. Automated HVAC thermostat controls will constantly maintain humidity, airflow, and temperature in the facility. Controls will be verified several times each day by our staff and management and will follow a preventive maintenance schedule for the HVAC systems in accordance with the manufacturer's recommendations or with accepted practice. Equipment will also be equipped with calibrated sensors to notify operators if replacement is required. Additionally, staff will be

trained at regular intervals on the proper operation of doors, windows, vents, and HVAC systems to maintain the facility any airborne particulates are isolated and controlled. Staff will also be trained to monitor the seals and frames at all points of ingress and egress.

Odor Mitigation Practices

The purpose of controlling odors is to both minimize the exposure of our employees to VOCs and to ensure that odors from cannabis are not detectable off-site. The building's ventilation system will be augmented to create negative air pressure throughout the building to prevent air and odors from escaping outward when doors are opened. As part of our HVAC systems, we plan to install in-line air scrubbers such as Aeroclean 2000 "ECONO" air machines, or similar, to ventilation ducting. The air machines will turn over all air within the facility every 15 minutes to effectively remove odor. The air machines contain supplemental air filters, which our staff will replace according to manufacturer's specifications. Secondary odor mitigation equipment includes the strategic placement of ONA Gel odor-absorbing canisters and heavy air curtains, particularly in areas where processed cannabis goods are stored. ONA Gel products work by releasing agents that bind to and evaporate odor molecules. These canisters will minimize odors in areas further away from air intakes or with minimal ventilation. Furthermore, bulk cannabis products will always be sealed in proper packaging to further prevent and mitigate odor and the release of VOCs.

Waste Reduction and Safe Disposal

We will make efforts to reduce our waste production as an overarching goal of our procedures. We will limit our use of inputs to only what is necessary for our processes and will refine our processes to continually cut down resulting waste. We will use recycled, biodegradable, compostable, and sustainable materials throughout our facility. Our Standard Operating Procedures will outline policies for the safe, secure, compliant, and efficacious disposal of cannabis waste, materials, solvents, and chemicals. These will also be refined and updated as necessary over the course of the facility's operations. Waste will always be sealed and secured in appropriate disposal locations to reduce odor, and employees will be required to wear appropriate PPE when handling waste; PPE in this case may include long-sleeve shirts, pants, gloves, closed-toe shoes, earplugs/earphones, masks or respirators, and eye protection. Hazardous waste will be managed and disposed in accordance with our hazardous waste management standards and with appropriate PPE. Employees handling

non-hazardous cannabis waste will also be required to wear appropriate PPE. In addition to cannabis waste, the disposal of cleaning chemicals, non-cannabis solid waste, non-hazardous and liquid waste will be conducted in a manner compliant with federal, state, and local laws, with employees being required to always wear appropriate PPE. The disposal of cleaning chemicals and solvents by evaporation or spillage is prohibited, and storage areas for such materials will be regularly inspected for container leakage and to be sure that containers are sealed. We will also maintain an inventory of all cleaning chemicals and solvents and with detailed usage logs.

Energy Conservation Measures

HVAC systems and facility lighting will represent the largest use of energy in our facility, and our facility design will be the baseline to ensure all operations are designed to minimize energy use. We will install motion sensor lighting and occupancy sensor controls throughout the facility to reduce electricity consumption, and we will also exclusively use light-emitting diodes ("LEDs") instead of traditional bulbs. LEDs have proven to be more energy efficient, which will also be financially beneficial for our company. We will equip all doors with self-closing hinges, and doors and windows will be equipped with sensors that indicate an open or closed condition to prevent compromising the internal environment of the facility, thereby reducing energy consumption. Smart meters and data loggers in the building will enable us to obtain energy usage data to be used for ongoing energy audits, identifying areas of improvement, and rapidly responding to "out of condition" instances.

Renewable Energy Plan

Energy use is one of the highest environmental impact areas and the largest operational costs associated with manufacturing operations. Energy management will be a component of our sustainability KPI, and we are committed to conserving energy through the adoption of best "lean" manufacturing practices that reduce overall demand, and to investing in renewable energy solutions to position our operation for a sustainable future. We will explore options for solar energy, wind energy, and recapturing and repurposing energy generated at our facility.

Water Conservation and Purification Plan

The goal of our water use program is to conserve, purify, and reuse water to the greatest extent possible to minimize pressure on the municipal water system. Water use for our

dispensing facility will be minimal, as water will primarily be used for sanitation (washing hands, restroom facilities, etc.), and our staff facilities will be outfitted with low flow fixtures on sinks and toilets. As a general matter, our facilities will be cleaned with specially formulated cleaning fluids, as opposed to water-intensive cleaning methods. However, we are committed to conserving, purifying, and reuse watering to the greatest extent possible to minimize pressure on the municipal water system.

9.11 — Insurance Plan

A critical feature of our substantial risk mitigation efforts is sufficient insurance coverage from an insurance broker or agent that specifically works with cannabis operations to secure declarations pages and letters of intent from an A-rated insurer as to, at a minimum, casualty, workers' compensation, liability, and (as applicable) auto or fleet policy. Ala. Admin Code. r. 538-x-3-.05.03.m.15.k. Our CCO L.G. will be responsible for acquiring and maintaining at all times our required and other types of insurance and risk management services for our medical cannabis facility, with direct collaboration with our CEO D.P. We are actively researching and in communication with insurance companies that would be willing and able to meet not only our needs for insurance to reduce liability at our facility, but to meet all municipal and state insurance requirements too. We will secure a binding offer of coverage from an Alabama based insurance agency once we are awarded licensure.

We will maintain a minimum of two million dollars of liability and casualty insurance and establish to always maintain the minimum level of other financial guarantees, if appropriate and required by the AMCC for all licensees. Liability insurance will include, at a minimum, workers' compensation insurance as well as insurance against loss, damage or injury to any non-employee while on the premises; loss, damage or injury to the body or personal property of any third party as a proximate result of the acts of our Dispensary Facility or our personnel; and loss, damage or injury to any foreseeable person as the result of any products derived from cannabis products that had once been in the custody or control of our Dispensary Facility. Insurance policy types that we may additionally carry include, but are not limited to: commercial property, commercial products liability, products liability, private company management liability, cyber and data breach insurance, advertising injury, privacy breach notification and mitigation, dietary supplement manufacturers errors & omissions,

limited civil penalty reimbursement, products recall expense indemnification, and/or property in transit coverage. Our policies and endorsements will provide our operations with the insurance coverage sufficient to indemnify and hold harmless the State and its officers and employees, if required by law.

Conclusion

The cannabis industry is changing rapidly, and Alabama's market is set to be an exceptionally strong benefit to medical cannabis patients in the state. The launch of the Alabama medical cannabis market presents a meaningful opportunity to those willing to work hard, plan, understand the landscape of the market, and bear the risks associated with working in the cannabis space. With a formidable team, strong local connections, and extensive planning, our business continues to put ourselves ahead of the curve to ensure the success of our proposed medical cannabis dispensary. We are committed to this business for the wellbeing of patients because from firsthand experience we understand the genuine medical need for medical cannabis. Having a dispensing license is not just doing business but is our calling and if granted this license we will use this opportunity to improve the lives of our patients and our community.

Exhibit 10 – Evidence of Business Relationship with Other Licensees and Prospective Licensees

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	3/20/2023
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

10.1 Any Cultivator or Prospective Cultivator

As a prospective dispensary site that will not process nor sell raw cannabis product, the Applicant will not have a direct relationship with any cultivators unless they are vertically integrated (to which they will be listed in section 10.4).

10.2 Any Processor or Prospective Processor

The Letter of Intent for the prospective processor, [redacted]., is attached hereto and identified as "Evidence of Business Relationship with Processor – Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.2"

As of March, 2023, the company is continuing to network with participants in the application process, and upon award, intends to reach out to licensed processors to further diversify the company's product portfolio.

10.3 Any Secure Transporter or Prospective Secure Transporter

The Letter of Intent for the prospective transporter, [redacted], is attached hereto and identified as "Evidence of Business Relationship with Secure Transporter – Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.3"

As of March, 2023, the company is continuing to network with participants in the application process, and upon award, intends to reach out to licensed transporters to ensure the company has sufficient vendor support for transport.

10.4 Any Integrated Facility or Prospective Integrated Facility

A. The Letter of Intent for the prospective cultivator, [redacted]., is attached hereto and identified as "Evidence of Business Relationship with Integrated Facility

– Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.4.A"

B. The Letter of Intent for the prospective cultivator, [redacted]., is attached hereto and identified as "Evidence of Business Relationship with Integrated Facility – Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.4.B"

As of March, 2023, the company is continuing to network with participants in the application process, and upon award, intends to reach out to licensed processors to further diversify the company's product portfolio.

10.5 Any State Testing Laboratory or Prospective State Testing Laboratory

Not applicable. A business relationship with a State Testing Laboratory will be established once licenses have been awarded and made public. Attached hereto is a template Letter of Intent with a State Testing Laboratory that will be updated to reflect the timeline, information, etc. of a State Approved Testing Laboratory once the licenses are awarded. It is identified as "Example Letter of Intent with Prospective State Testing Laboratory – Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.5"

As of March, 2023, the company is continuing to network with participants in the application process. Upon award and accreditation, the company will evaluate the labs services and quality and choose two or more to work with for testing needs.

10.6 Point of Sale Merchant

Although not a requirement to the Exhibit or licensee, the Applicant has executed a Letter of Intent with a prospective Point of Sale Merchant, Dutchie, that is attached hereto and identified as "Evidence of Business Relationship with Point of Sale Merchant– Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.6"

Attachment Table of Contents

Evidence of Business Relationship with Processor – Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.2 **5**

Evidence of Business Relationship with Secure Transporter – Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.3 **7**

Evidence of Business Relationship with Integrated Facility – Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.4.A **8**

Evidence of Business Relationship with Integrated Facility – Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.4.B **11**

Example of Letter of Intent with Prospective State Testing Laboratory – Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.5 **14**

Evidence of Business Relationship with Point of Sale Merchant– Emerald Standard Dispensary

Exhibit 10 – Evidence of Business Relationship with Other Licensees and Prospective Licensees

Facilities – Attachment to Exhibit 10, Section 10.6 **16**

Evidence of Business Relationship with Processor – Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.2

LETTER OF INTENT

[11.28.2022]

[redacted]
Florence, AL 35630

Re: Alabama Medical Cannabis – Letter of Intent Dear Emerald Standard,

LLC: Dear Emerald Standard, LLC:

This Letter of Intent ("LOI") sets out the principal terms of a more definitive agreement to be entered into between Emerald Standard, LLC, an Alabama limited liability company (the "Purchaser") and [redacted] (the "Provider"). The agreement wherein Provider will supply, and Purchaser will purchase, the product(s) set forth in Exhibit 1 is referred to as the "Transaction" and the Purchaser and Provider are referred to collectively as the "Parties" and each, individually, as a "Party."

1. Non-Binding. This LOI does not constitute a binding commitment of any nature (including any obligation to continue discussions regarding the Transaction) by any party, except for the provisions of Sections 4-7 and the requirement of this section regarding entry into negotiations, this LOI is not binding on the Parties. Any transaction is subject to the completion of satisfactory due diligence by Purchaser, the continued accuracy of the assumptions contained herein and the negotiation and execution of one or more definitive agreements containing customary representations, warranties, indemnities, and escrow arrangements and in form and substance satisfactory to Purchaser and the Company. A binding commitment with respect to the Transaction will only arise following execution and delivery of such definitive agreements which will contain additional terms and conditions. No binding agreement shall exist with respect to the Transaction unless and until a definitive agreement has been duly executed and delivered by both Parties. As soon as practicable following the acceptance and approval of this LOI by the Provider, the Parties shall enter into negotiations.

2. Supply of Product. It is the present intention of the Parties that, upon execution of a definitive agreement, Purchaser will purchase, and Provider will sell the product set forth in the Exhibit 1 at a mutually agreed upon price set forth in the definitive agreement. 3. Term and Termination. This LOI will automatically terminate and be of no further force and effect upon the earlier of: (i) execution of a definitive agreement between Purchaser and Provider, (ii) mutual agreement of the Parties, (iii) a final and unappealable determination by the Alabama Medical Cannabis Commission denying Purchaser and/or Provider's application for an Alabama Medical Cannabis License and (iv) at 5:00 p.m. (Central Time) on Monday, August 1, 2022. Notwithstanding any language to the contrary in this section, Sections 4-6 shall survive the termination of this LOI, and the termination of this LOI shall not affect any rights a Party has with respect to the breach of this LOI by the other Party prior to such termination. 4. Governing Law. This LOI shall be governed by and construed in accordance with the laws of the State of Alabama, without giving effect to any choice or conflict of law provision or rule that would cause the application of the laws of any jurisdiction other than the State of Alabama. 5. Confidentiality. This LOI is confidential and premised on the mutual understanding that neither party shall disclose (except

to its representatives, agents, and/or financing partners) the existence of this letter, the discussions between the parties or any of the terms and conditions contained herein without the prior written consent of the other party. 6. Expenses. Unless otherwise set forth in a written agreement between the Parties or their counsel, each of the Parties shall bear its respective costs, charges, and expenses for the business review, preparation, and negotiation of this LOI and any subsequent definitive agreement. 7. Miscellaneous. Neither this LOI nor any rights or obligations hereunder may be assigned, delegated, or conveyed by either Party without the prior written consent of the other Party. This LOI may be executed in counterparts, each of which shall be deemed to be an original, but all of which together shall constitute one agreement. The headings of the various sections of this LOI have been inserted for reference only and shall not be deemed to be a part of this LOI.

Very truly yours,
[redacted]

Agreed to and accepted:
[redacted]

By: [redacted]
Name: [redacted]
Title: CEO/ Founder
Date: 11.28.2022

Agreed to and accepted:
[EMERALD STANDARD, LLC]
[redacted]

By: [redacted]
Name: [redacted]
Title: _Founder/Shareholder
Date: _11.28.2022_____

EXHIBIT1
TERMS

Specific products to be determined upon issuance of license.

Evidence of Business Relationship with Secure Transporter – Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.3

Memorandum of Understanding

Between

Emerald Standard, LLC and [redacted]

This Memorandum of Understand (hereafter known as an "MOU") reflects our understanding, at the present time, of certain preliminary discussions we have had concerning the [redacted] between Emerald Standard, LLC dispensing sites and/or other duly licensed operations and is intended to be an outline to assist us in preparing a definitive final agreement, pending a license is granted by the Alabama Medical Cannabis Commission.

1. This MOU is not intended to contractually bind either of us in any way, nor shall we be legally bound until an agreement, in form and content satisfactory to each of us and our respective counsel is fully executed by us.

2. Execution of this MOU shall not obligate either party to accept any particular terms but will preclude both parties from insisting on any terms that are inconsistent with those terms described in this MOU.

3. It is expressly agreed that if a mutually acceptable final agreement will be entered into after the point of licensure and each party will have an opportunity to discuss the terms of said agreement.

This Memorandum of Understanding shall only be disclosed to the Alabama Medical Cannabis Commission and shall not be disclosed to anyone other than the parties, their employees, attorneys, accountants and/or any investors of either party.

In witness whereof, the parties to this MOU through their duly authorized representatives have executed this MOU on the days and dates set out below, and certify that they have read, understood, and agreed to the terms and conditions of this MOU as set forth herein.

By: [redacted]
Title: Founder | Shareholder
[redacted]
Signature

By: [redacted]
Tite: Owner/CEO
[redacted]
Signature

Evidence of Business Relationship with Integrated Facility – Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.4.A

LETTER OF INTENT

This Letter of Intent ("LOI") sets out the principal terms of a more definitive agreement to be entered into between Emerald Standard, LLC, an Alabama limited liability company (the "Purchaser") and [redacted], LLC (the "Provider"). The agreement wherein Provider will supply, and Purchaser will purchase, the product(s) set forth in Exhibit 1 is referred to as the "Transaction" and the Purchaser and Provider are referred to collectively as the "Parties" and each, individually, as a "Party."

1. **Non-Binding**. This LOI does not constitute a binding commitment of any nature (including any obligation to continue discussions regarding the Transaction) by any party, except for the provisions of Sections 4-7 and the requirement of this section regarding entry into negotiations, this LOI is not binding on the Parties. Any transaction is subject to the completion of satisfactory due diligence by Purchaser, the continued accuracy of the assumptions contained herein and the negotiation and execution of one or more definitive agreements containing customary representations, warranties, indemnities, and escrow arrangements and in form and substance satisfactory to Purchaser and the Company. A binding commitment with respect to the Transaction will only arise following execution and delivery of such definitive agreements which will contain additional terms and conditions. No binding agreement shall exist with respect to the Transaction unless and until a definitive agreement has been duly executed and delivered by both Parties. As soon as practicable following the acceptance and approval of this LOI by the Provider, the Parties shall enter into negotiations.

2. **Supply of Product**. It is the present intention of the Parties that, upon execution of a definitive agreement, Purchaser will purchase, and Provider will sell the product set forth in the Exhibit 1 at a mutually agreed upon price set forth in the definitive agreement.

3. **Term and Termination**. This LOI will automatically terminate and be of no further force and effect upon the earlier of: (i) execution of a definitive agreement between Purchaser and Provider, (ii) mutual agreement of the Parties, (iii) a final and unappealable determination

1

by the Alabama Medical Cannabis Commission denying Purchaser and/or Provider's application for an Alabama Medical Cannabis License and (iv) at 5:00 p.m. (Central Time) on Monday, August 1, 2022. Notwithstanding any language to the contrary in this section, Sections 4-6 shall survive the termination of this LOI, and the termination of this LOI shall not affect any rights a Party has with respect to the breach of this LOI by the other Party prior to such termination.

4. **Governing Law**. This LOI shall be governed by and construed in accordance with the laws of the State of Alabama, without giving effect to any choice or conflict of law provision or rule that would cause the application of the laws of any jurisdiction other than the State of Alabama.

5. **Confidentiality**. This LOI is confidential and premised on the mutual understanding that neither party shall disclose (except to its representatives, agents, and/or financing partners) the existence of this letter, the discussions between the parties or any of the terms and conditions contained herein without the prior written consent of the other party.

6. **Expenses**. Unless otherwise set forth in a written agreement between the Parties or their counsel, each of the Parties shall bear its respective costs, charges, and expenses for the business review, preparation, and negotiation of this LOI and any subsequent definitive agreement.

7. **Miscellaneous**. Neither this LOI nor any rights or obligations hereunder may be assigned, delegated, or conveyed by either Party without the prior written consent of the other Party. This LOI may be executed in counterparts, each of which shall be deemed to be an original, but all of which together shall constitute one agreement. The headings of the various sections of this LOI have been inserted for reference only and shall not be deemed to be a part of this LOI.

Very truly yours,

[redacted]

By: [redacted]

Name:
[redacted]

Title:
Chief Executive Officer

Date:
11.28.2022

Agreed to and accepted:

[EMERALD STANDARD, LLC]

By:
[redacted]

Name:
[redacted]

Title:
Founder/Shareholder

Date:
11.28.2022

Evidence of Business Relationship with Integrated Facility – Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.4.B

LETTER OF INTENT

This Letter of Intent ("LOI") sets out the principal terms of a more definitive agreement to be entered into between Emerald Standard, LLC, an Alabama limited liability company (the "Purchaser") and [redacted] (the "Provider"). The agreement wherein Provider will supply, and Purchaser will purchase, the product(s) set forth in Exhibit 1 is referred to as the "Transaction" and the Purchaser and Provider are referred to collectively as the "Parties" and each, individually, as a "Party."

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2. **Supply of Product.** It is the present intention of the Parties that, upon execution of a definitive agreement, Purchaser will purchase, and Provider will sell the product set forth in the Exhibit 1 at a mutually agreed upon price set forth in the definitive agreement.

3. **Term and Termination.** This LOI will automatically terminate and be of no further force and effect upon the earlier of: (i) execution of a definitive agreement between Purchaser and Provider, (ii) mutual agreement of the Parties, (iii) a final and unappealable determination

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by the Alabama Medical Cannabis Commission denying Purchaser and/or Provider's application for an Alabama Medical Cannabis License and (iv) at 5:00 p.m. (Central Time) on Monday, August 1, 2022. Notwithstanding any language to the contrary in this section, Sections 4-6 shall survive the termination of this LOI, and the termination of this LOI shall not affect any rights a Party has with respect to the breach of this LOI by the other Party prior to such termination.

4. **Governing Law**. This LOI shall be governed by and construed in accordance with the laws of the State of Alabama, without giving effect to any choice or conflict of law provision or rule that would cause the application of the laws of any jurisdiction other than the State of Alabama.

5. **Confidentiality**. This LOI is confidential and premised on the mutual understanding that neither party shall disclose (except to its representatives, agents, and/or financing partners) the existence of this letter, the discussions between the parties or any of the terms and conditions contained herein without the prior written consent of the other party.

6. **Expenses**. Unless otherwise set forth in a written agreement between the Parties or their counsel, each of the Parties shall bear its respective costs, charges, and expenses for the business review, preparation, and negotiation of this LOI and any subsequent definitive agreement.

7. **Miscellaneous**. Neither this LOI nor any rights or obligations hereunder may be assigned, delegated, or conveyed by either Party without the prior written consent of the other Party. This LOI may be executed in counterparts, each of which shall be deemed to be an original, but all of which together shall constitute one agreement. The headings of the various sections of this LOI have been inserted for reference only and shall not be deemed to be a part of this LOI.

Very truly yours,

[COMPANY NAME HERE]

By: [redacted]

Name: [redacted]

Title: COO

Date: 12.24.2022

Agreed to and accepted:

EMERALD STANDARD, LLC

By: [redacted]

Name: [redacted]
Title: Founder/Shareholder
Date: 12.24.2002

Example of Letter of Intent with Prospective State Testing Laboratory – Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.5

GREEN PRECISION ANALYTICS

[redacted] Kansas City MO 64153

TEST REQUEST

Instructions: Please complete and return the top portion to [redacted]@gpa-kc.com

Date of Request

8/23/2022

Organization Name

[redacted]

Organization Address

[redacted]

Contact Name [redacted] Contact Phone [redacted] Contact Email [redacted]

Tentative Testing Start Date: 7/18/2022

Work to be carried out per ISO/IEC 17025 with an endorsed report? ☒YES ☐NO

Testing Requested:

Missouri Compliance Testing with conformity to Missouri State 19 CSR 30-95.070 and Research and Development Testing.

Is a Statement of Conformity needed (*A statement of conformity is required for all Missouri Compliance Panels, in compliance to 19 CSR 30-95.070)*? ☒YES ☐NO

Does the work need to be performed at your organization's location? ☒YES ☐NO

Special Equipment Needed: None

Special Materials Needed: None

Special Staff Skills Needed: None

Special Considerations Needed (specific technical modifications, etc.):

None

Page 2 of 3

[FOR USE BY GREEN PRECISION ANALYTICS PERSONNEL ONLY]

SERVICE QUOTE

Approximate Date to Start Testing 8/25/2022

Proposed Turn-Around-Time 3 to 5 Days

Comments from GREEN PRECISION ANALYTICS (i.e. method or procedure they requested is inappropriate or out of date)

Click or tap here to enter text.

Notes:

- GREEN PRECISION ANALYTICS *is responsible for the confidentiality of information obtained or created during the performance of your service. We will inform you, in advance, of any information we intend to place in the public domain (unless you have already made the information publicly available) or as mutually agreed. All other information is considered proprietary and is regarded as confidential. When we are required by law or authorized by contractual arrangements to release confidential information, we will notify you of the information released, unless prohibited by law.*

[redacted]

Signature of GREEN PRECISION ANALYTICS Approving Authority

(FOR USE BY CUSTOMER AFTER RECEIPT OF REQUEST)

By applying my signature below, I indicate that I am authorized to request the above noted work and legally bind my organization for the total cost of service.

[redacted] 8-24-2022

Signature of Customer Date

Authorized by Josh Kollmeyer MLS(ASCP) Test Request Form (GPA-Doc12) Published [Publish Date]

GREEN PRECISION ANALYTICS Test Request Form (GPA-Doc12). Confidential document. Copyright protected. Uncontrolled when printed.

Evidence of Business Relationship with Point of Sale Merchant– Emerald Standard Dispensary Facilities – Attachment to Exhibit 10, Section 10.6

DocuSign Envelope ID: BBA13D5E-8E0D-4CB6-A66A-DC02D678F6EF

dutchie

Letter of Intent ("LOI")
Dic 23, 2022

Dear [redacted]

This LOI relates to a proposed commercial partnership between Courier Plus Inc., dba Dutchie ("Dutchie") and **Emerald Standard, LLC** ("Merchant") (each a "Party" and collectively, the "Parties") under which Dutchie will provide services including point-of-sale to Merchant in the state of Alabama for Merchant's use processing sale transactions at its proposed licensed medical cannabis dispensing sites. These services will be used by Merchant to comply with the inventory tracking system required under Alabama law, including creating inventory records, transfers, and transaction records, and updating Merchant inventory via reconciliation batches on a daily basis.

The proposed partnership is contingent on Merchant receiving all necessary approvals, licenses, and permits from the applicable state agencies (including but not limited to the Alabama Medical Cannabis Commission), the Merchant executing an Order Form, and the Parties agreeing to Dutchie's Merchant Agreement. Each Party shall perform services in compliance with all applicable laws, rules and regulations.

This LOI does not constitute a legally binding offer or agreement between the Parties to enter into any Merchant Agreement. Rather, the Parties hereby agree that this LOI is intended as a statement of the Parties' mutual intent and understanding as of the date hereof. Pricing, launch date, term, and all other provisions are subject to the Parties' agreement Dutchie's Order Form and Merchant Agreement, which either Party may withhold in such Party's sole and absolute discretion.

IN WITNESS WHEREOF, *the Parties have caused this LOI to be executed by their duly authorized representatives, effective on the date written above.*

Courier Plus, Inc. (dba "Dutchie")	***Merchant:*** **Emerald Standard, LLC**
By: [redacted]	*By:* [redacted]
Name: [redacted]	*Name:* [redacted]

Exhibit 11 - Coordination of Information from Registered Certifying Physicians

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	3/20/2023
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

Introduction

We understand the importance of having accurate patient information and a process for coordinating information between the dispensary and our patient's physicians as the health of our patients depends on it. Our Certified Dispenser is responsible for coordinating and receiving information and certifications from registered certifying physicians who recommend medical cannabis products for patient and caregiver customers. Our Certified Dispenser or their trained assignee will coordinate or receive information and certifications. Further, all employees who interact with the patient registry (Alabama medical cannabis patient registry system), the Alabama Medical Cannabis Commission ("AMCC") website, or the Statewide Seed-to-Sale Tracking System, will undergo pre-commencement IT certification for each database, demonstrating their proficiency in respect to those databases and the IT certification will be administered by a third-party IT provider the AMCC may designate. Ala. Admin Code. r. 538-x-4-.05.05.

Our plan for receiving and coordinating physician information includes: receive recommendation from patient's registered certifying physician, verify the status of the recommendation to ensure it is valid and unexpired, verify the physicians registration status, establish contact with the physician, verify the patient's information and recommendation into the patient registry database; communicate with the physician as needed to verify the recommendation or to obtain additional information, and verify the patient's information is up to date. This may include updating the recommendation if there is a change to the patient's medical condition or the recommended dosage and frequency of cannabis use.

Verify Physician Certification

The first step to coordinating information from registered certifying physicians is the verification of the physician. Every physician who is licensed to practice in Alabama who certifies or recommends a patient for the use of medical cannabis within Alabama pursuant to Ala. Code §§ 20-2A- 1, et. seq. or who proposes to certify or recommend a patient for the use of medical cannabis within Alabama must obtain annually an Alabama Medical Cannabis Certification Permit from the Board. Ala. Admin Code. r. 540-X-25-.03. Our Certified Dispenser will check for the certifying physician's registration in the Patient Registry by searching for the certifying physicians registration Certificate, registration number (Permit Identification Number), and active Medical License Number that is issued by the State Board

of Medical Examiners. Ala. Admin Code. r. 538-x-2-.05.02.f. If the certifying physician is not in the Patient Registry, the Certified Dispenser will contact the certifying physician's office and notify them of the lack of registration. We will never work with a physician who engages in any prohibited conduct outlined in applicable law. Ala. Code § 20-2A-32.

Establish Contact with Physician

After confirming the certifying physician is in the Patient Registry the next step of the process is to verify the relationship between our company and the certifying physician. It will be the responsibility of the Certified Dispenser to establish and maintain relationships between our company and the certifying physician's office. If this is the first time both parties are working with one another, the Certified Dispenser will send written communication, via email, introducing themselves, stating our company's goal of patient safety and asking for an online introductory meeting. The purpose of this meeting is to establish contact between both parties and establish methods of communication in the event either party needs to communicate with the other. After the meeting, an email will be sent confirming who the primary contact person is, their contact information, how and what timeframe they are reachable, as well as how to contact one another in case of emergency and who the secondary contact is meeting all the aforementioned requirements. This will be required for both our company and the certifying physician's medical practice. Communications regarding patient information will be performed only via secured email or by phone or in person.

Maintaining and Coordinating Information

After verifying the certifying physician and establishing a means of communication, we will ensure that data in the Patient Registry System is up to date and includes as applicable:

- The registered qualified patient's full legal name, date of birth, and home address;
- The registered qualifying physician's name and Alabama Medical Cannabis Certification Permit Number;
- The name of the patient's registered caregiver, if applicable;
- A description of the qualifying medical condition(s) and indication whether the qualifying condition is a terminal illness for which the registered qualified patient has a life expectancy of six (6) months or less;

- The daily dosage of medical cannabis (as measured by potency of delta-9-tetrahydrocannabinol) that the registered certifying physician is recommending to the registered qualified patient. Any daily dosage recommended by a registered certifying physician shall not exceed the limitations set forth by the AMCC for each of the qualifying medical conditions;
- The type or permissible forms of medical cannabis that the registered certifying physician recommends;
- The permissible length of duration of the certification, which shall not exceed ninety (90) days;
- A statement from the registered certifying physician certifying that a bona fide physician-patient relationship exists between the registered certifying physician and registered qualified patient;
- A statement from the registered certifying physician affirming that the registered qualified patient has been diagnosed with at least one qualifying medical condition by either the registered certifying physician or another qualified physician;
- A statement from the registered certifying physician that, prior to certifying the use of medical cannabis, he or she has, or has confirmed through primary source verification of the patient's medical records that another qualified physician has, attempted conventional medical treatments or therapies for the patient's qualifying medical condition, and that said conventional treatments and/or therapies have failed to result in successful outcomes, or that current conventional medical treatment indicates that the use of medical cannabis is the standard of care for the patient's qualifying medical condition;
- If the qualifying medical condition is based upon a terminal illness as defined in applicable law, a statement from the registered qualifying physician that the patient is suffering from an illness or physical condition which the registered qualifying physician professionally and reasonably expects to result in the patient's death in six (6) months or less after the date of the certification; provided, a registered certifying physician shall not recertify a patient as having a

terminal illness if the patient has been certified as having a terminal illness for a period of twenty-four (24) months or more;

- An affirmation from the registered certifying physician that he or she, or his or her delegate, has obtained from the PDMP a report of information related to the registered qualified patient that includes, at a minimum, the twenty-four (24) months immediately preceding the date of the certification or recertification; and
- An affirmation from the registered certifying physician that he or she has informed the registered qualified patient of the risks and benefits of medical cannabis as it pertains to the patient's qualifying medical condition and medical history. Ala. Admin Code. r. 540-X-25-.10.05.b.1-13.

We will always comply with applicable laws and regulations and when dispensing medical cannabis to a patient, the certified dispenser, or another dispensary employee under the supervision of the certified dispenser, will enter such records into the Patient Registry and the Statewide Seed-to-Sale Tracking System. Ala. Admin Code. r. 538-x-8-.03.06. In congruity with the requirements of § 20-2A-54, Code of Alabama 1975 (as amended), we will support, participate in, and contribute to the Statewide Seed-to-Sale Tracking System and ensure our third-party inventory and tracking systems, Dutchie, will properly interface with the Statewide Seed-to-Sale Tracking System and, as appropriate, with the Patient Registry. Ala. Admin Code. r. 538-x-4-.05.04. We will also confirm our technology and uploads to the Statewide Seed-to-Sale Tracking System are sufficient to allow access to said system by the AMCC, and, to the extent necessary and appropriate, patients and caregivers, qualified certifying physicians, other state agencies, other licensees, and law enforcement personnel. Ala. Admin Code. r. 538-x-4-.05.04.

Coordinating Changes of Information

We understand as time progresses there may be changes to the patient's information in the Patient Registry. Information like that of addresses, daily doses, or caregiver and certifying physician information may change. We will monitor for changes in the Patient Registry and if necessary, email or call the certifying physician's primary contact regarding changes that affect our ability to dispense medical cannabis for the patient. Additionally, we recognize changes in the information may include voluntary relinquishments or termination of medical cannabis cards and that the registered certifying physician or the physician's

designated representative will inform the AMCC by notation on the patient registry within 72 hours of: Receiving written notification of the patient's or caregiver's decision to voluntarily relinquish the patient's or caregiver's medical cannabis certification; Determining that a patient is no longer under a diagnosis of a qualifying condition; Being notified of the death of the patient or caregiver; and/or, Learning that the caregiver has quit, been terminated, or for any other reason is no longer acting as caregiver with respect to the patient. Ala. Admin Code. r. 538-x-2-.09.02.

If there are any questions regarding the potential accuracy of changes in information, we will leverage our relationship with the certifying physician's office to clarify the changes of information with the primary contact. If we notice changes in the Patient Registry due to action taken by the AMCC, and if the changes in any way relate to the health of the patient, we will coordinate the information with the certifying physician's primary contact and notify them of the AMCC's action. For example, we will reach out to the certifying physician's primary contact when the AMCC issues a written reprimand to the patient or caregiver and include a notation of the reprimand in the patient registry. Ala. Admin Code. r. 538-x-2-.11.01.c.

Conclusion

We have a plan to coordinate information with registered certifying physicians and our Certified Dispenser will oversee the successful execution of this plan. We will provide the training and resources for our Certified Dispenser to be able to execute their responsibility to the best of their ability and through building a relationship with the certifying physician's primary contact based on effective communication and an agreed process, we will mitigate the possibility of receiving inaccurate information from the Patient Registry. We fully embrace our role in serving our patients and are eager to work with registered certifying physicians to receive and coordinate information with them. All patient's information will be coordinated with HIPPA guidelines and Ala. Code § 20-2A-5 for patient privacy and data security.

In March, 2023, the company's multi-state operator partner reviewed this plan and confirms it is both appropriate, and demonstrates improvements over the process for coordinating with Physicians in other medical states.

Exhibit 12

Point-of-Sale Responsibilities

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	3/20/2023
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

Introduction

The responsibilities of POS are two-fold: One is excellent patient service. And second is proper transaction handling and management of data as it pertains to product, patients, and money.

Our certified dispensers will be responsible for each medical cannabis sale. The certified dispensers will report to our Director of Dispensing Operations. All certified dispensers that we employ will always provide high-quality services, including education, consultation, instructions for medical cannabis usage, information, and responses to patient and caregiver questions. Ala. Admin. Code r. 538-x-8-.05.03.d. To lay the foundation for excellence in this aspect of our dispensary operations, certified dispensers will be trained and certified in accordance with AMCC requirements on proper dispensing procedures. Our training program will go beyond the minimum educational thresholds established by the AMCC. Ala. Code § 20-2A-64(e)(2). Training will be an ongoing process for every certified dispenser. We will provide continued education, recurrent proficiency evaluations, and training both periodically and in response to events. Whenever changes occur in regulations or policies, certified dispensers will undergo immediate retraining. This process assures that dispensers will maintain compliance with all existing and forthcoming rules or regulations issued by the AMCC. We will partner with Green Releaf, a company with licensed medical cannabis dispensaries in other states to provide exemplary and proven education to our employees. Green Releaf oversees patient educational programs for five licensed cannabis dispensaries and share our commitment to health and patient-focused service.

All our certified dispensers will have at least two years of education or experience in the fields of biology, biochemistry, chemistry, physiology, pharmacology, medicine, medical cannabis, nursing, pharmaceuticals, or a similar field. Ala. Admin. Code r. 538-x-8-.03.03. Certified dispensers will also take and pass a medical foundations training course, as required by the AMCC. Ala. Admin. Code r. 538-x-4-.04.02.a. Certified dispensers will further complete a minimum of 10 hours of continued education as approved by the AMCC to address proper dispensing procedures, prevention of abuse and diversion of medical cannabis, and other topics related to public health, safety and good business practices relating to the dispensation of medical cannabis. Ala. Admin. Code r. 538-x-8-.03.04. Certificates of completion for all training and continuing education courses will be signed by

the individual that completed the course and our Director of Human Resources ("HR") or other person on our team tasked with overseeing our educational compliance. Ala. Admin. Code r. 538-x-4-.04.03. We will maintain copies of these certificates for a minimum of three years. Ala. Admin. Code r. 538-x-4-.04.03.

Our certified dispensers' education will also cover the lifecycle of the cannabis plant; cultivation and processing types; different strains and their physical and physiological effects; cannabinoids; terpenes; routes of administration and their relative effects; safe and proper usage of products; safe storage of products to preserve the quality and unauthorized access; recognizing signs of impairment; identifying, preventing, and responding to accidental ingestion or overconsumption; intended effects and side-effects; substance abuse signs, symptoms, and resources; up-to-date laws at the federal and state level, especially as they relate to possession, consumption, dispensing practices; patient confidentiality; and, advancements and developments in the field of cannabis science.

Our Four-Pronged Plan for Success

Our training program results in certified dispensers providing the highest quality point-of-sale education, consultation, provision of information, responses to patient and caregiver questions, and instructions for use regarding all medical cannabis products. We will focus on requiring mastery of the following four topic areas:

(i) Product Knowledge and Awareness

Our dispensers will be familiar with every product available at our dispensary, and they will be able to confidently explain these products in language easily understood by any patient or caregiver. Dispensers will also be readily able to provide education regarding potential side effects, drug interactions, or other aspects of medical cannabis at the request of the patient or caregiver. Ala. Admin. Code r. 538-x-8-.03.07. We will require that certified dispensers maintain an up-to-date product catalog including product descriptions and prices. Certified dispensers will also attend monthly meetings with representatives from cultivation and processing licensees to learn more about their products and processes.

Moreover, our dispensing procedures will include providing a patient education form for first-time visitors, instructing patients on the educational materials available in-store and online, and subscribe to a newsletter on advancements in cannabis. We will also offer educational workshops and other sessions. If requested, we will provide the AMCC with our

educational session materials. We will require all our certified dispensers to meet on a weekly basis to discuss the various questions that patients and caregivers asked during the week. Our dispensers will then prepare comprehensive answers to these questions and maintain a running list in our internal computer system to respond to patient and caregiver questions more quickly and accurately in the future.

(ii) Proper Use and Potency of Products

Our certified dispensers will provide instructions on the proper administration of medical cannabis and will help patients and caregivers understand the effects of various products. Ala. Admin. Code r. 538-x-8-.03.07. Dispensers will explain potency using standardized language with objective, descriptive explanations including, levels of THC and cannabidiol CBD present; relative ratios of THC to CBD; the presence or absence of various terpenes; and absorption time. To help patients and caregivers understand the meaning of these figures, certified dispensers may use subjective explanations of potency, including relative comparisons of various products. Certified dispensers will speak in both objective and subjective terms about commonly experienced effects to provide patients and caregivers the most complete picture possible.

Certified dispensers may provide patients with administration instructions to slow the buildup of tolerance and help prevent negative side effects. Certified dispensers will explain that the onset of effects can be delayed, and to be cautious when taking cannabis products.

(iii) Customer Service and use of Point of Sale and Seed to Sale Tracking systems

Our primary goal is that each patient and caregiver feel comfortable, respected, and cared for at our dispensary. Dispensers will be required to treat patients and caregivers with respect, particularly those who require more information and education than others, and they will be specially trained to accommodate people with disabilities. Dispensers will undergo training to become proficient in the best ways to listen to and communicate with patients and caregivers. Dispensers will make recommendations within the bounds defined by the AMCC or elicit more information by asking questions to help determine their relative experience with cannabis products. For first-time users, dispensers will explain the fundamental properties of cannabis products, commonly experienced effects, and the responsible use of cannabis.

Standard consultations with certified dispensers will include a discussion of the products

available for sale at the dispensary, the physical, physiological, and psychological effects of each, and any factors that may influence the patient's preferred route of administration. We will never offer medical advice regarding the safety or effectiveness of medical cannabis, the recommended daily dosage, or type of medical cannabis recommended by the registered certifying physician. Nor will dispensers describe any cannabis product as capable of diagnosing, treating, or curing any medical condition. Ala. Admin. Code r. 538-x-8-.03.07. Dispensers will direct the patient or caregiver back to the registered certifying physician to address questions or provide advice on these issues. Ala. Admin. Code r. 538-x-8-.03.07. Certified dispensers will never make unscientific claims about the efficacy of cannabis in treating symptoms or diseases. Certified dispensers will, upon request, provide patients and caregivers with oral instructions for safe and proper consumption, potential side effects, and will demonstrate how to safely and properly use products. Ala. Admin. Code r. 538-x-8-.03.07. Certified dispensers will complete the guided dispensary experience by asking a few follow-up questions. Questions such as, "Do you feel fully educated on the products you are purchasing?" and, "Is there anything I can explain to make you feel more comfortable about your purchase?" will help gauge a successful and educational purchasing experience.

(iv) Laws Governing Medicinal Cannabis Possession and Use

We are committed to preventing the illegal use of our products. While it is the patient's and/or caregiver's responsibility to follow Alabama law, certified dispensers will facilitate adherence to the law by explaining to patients and caregivers—in clear terms—the laws which govern use of the cannabis products sold at our dispensary. This explanation will include both activities specifically allowed by law and activities specifically disallowed by law. Patients and caregivers will also be provided with supplementary written materials explaining applicable laws, Signage at the dispensing site, including regulations promulgated by the AMCC and the Darren Wesley 'Ato' Hall Compassion Act.

By enacting rigorous, ongoing, and methodical training programs for our employees, plus regular meetings focused on curating safe, reliable, and informative patient and caregiver service interactions, we will establish a standardized and top-notch approach to providing point-of-sale education, consultation, provision of information, responses to patient and caregiver questions, and instructions for use regarding all medical cannabis products to all patients and caregivers that utilize our dispensary.

(v) *Use of the Dutchie POS system and track and trace*

Dispensers will have extensive training on the use of the software systems. Both Dutchie and METRC offer detailed training sessions for employees who work at the point of sale. Employees will be required to take these trainings, pass proficiency checks, and take periodic refresher training any time there is a software update or at least annually. POS training includes a variety of topics including but not limited to: patient check in and verification, order creation, pricing, accessing lab results, discounts, transaction history, order creation, order release, cancelling transactions, editing days supply, entering payment type, printing and re-printing receipt, vods and handling returns, METRC training includes modules for receipt, delivery, inventory reconciliation, splitting batches, handling returns and handling waste.

Exhibit 13

Confidentiality of Patient Information Plan

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	3/21/2023
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

Introduction

We will protect patient confidentiality and privacy as a foundational tenet of our dispensary operations. We will restrict the collection and storage of personal information by following guidelines under the Health Insurance Portability and Accountability Act ("HIPAA"), the Health Information Technology for Economic and Clinical Health Act ("HITECH"), and by securing and preventing unauthorized access to confidential information using advanced cybersecurity technology. we have a designated patient consultation room for their privacy that can be utilized at the patient's request. Use and installation of sound masking devices will prevent verbal communication overreach other individuals nearby.

Before dispensing medical cannabis, we will query the patient registry to confirm that the patient or caregiver holds a valid, current, unexpired, and unrevoked medical cannabis card. Ala. Code § 20-2A-64(e)(3)(a). We will not collect or store any patient payment information, such as payment card numbers or bank account details. If we elect to offer non-cash payment options, we will contract with a third-party payment processor to facilitate these transactions without our dispensary retaining any patient data. While the State's Patient Registry will store relevant patient information, our Point of Sale ("POS") system will not maintain any records linking patient payment information to product purchases but will contain non-identifying proofs of purchase. Ala. Admin. Code r. 538-x-4-.07.12.o.01.

Dispensing records will include the name of the patient; their medical cannabis card number; the name and medical cannabis card number of the caregiver if applicable; contact information of their certified physician, their comments, and the maximum recommended daily dosage; the amount and type of cannabis; and the date and time the medical cannabis was dispensed. Ala. Admin. Code r. 538-x-8-.03.06.a-f. Any transaction information that we enter in the Alabama Medical Cannabis Patient Registry System will be maintained confidentially in accordance with HIPAA, and patient data will never be transferred, rented, traded, or sold. Ala. Code § 20-2A-35; Ala. Admin. Code r. 538-x-4-.07.12.o.09. We will secure the confidentiality of information in our databases by preventing access by unauthorized persons. Ala. Code § 20-2A-60(a)(17). The company will implement confidentiality protocols provided by Dutchie POS system to ensure that customer data is not stored in the POS system.

Employee Training

Before work, all employees must complete comprehensive cybersecurity training and HIPAA certification, with additional education on a regular basis, as technology systems are updated, and new threats emerge. We will contract with a professional IT security company to revise policies and procedures, test cybersecurity measures, and provide additional staff training. We have an executed contract with Cure 8 to facilitate security and software updates, run cybersecurity tests, revise policies and procedures, and provide additional training for staff. Cure 8 has more than 15 years of experience in the cannabis industry, and they provide technology services to licensed cannabis businesses throughout the United States and Canada. Upon licensure, we may contract with additional technology vendors to provide pre-employment and pre-commencement database training and IT certification for employees. Ala. Admin. Code r. 538-x-4-.05.05. These certifications must demonstrate database use proficiency and must be obtained by all employees who access any system with sensitive information, including the patient registry, the AMCC website, or the Statewide Seed-to-Sale Tracking System. Ala. Admin. Code r. 538-x-4-.05.05. This IT certification will be administered by the third-party IT provider, or another, as the AMCC may designate. Ala. Admin. Code r. 538-x-4-.05.05.

We will ensure the confidentiality of the information received, maintained, and uploaded to any of the above databases. Ala. Admin. Code r. 538-x-4-.05.07. Employee training for cybersecurity will also include recognizing phishing emails; strong passwords; avoiding dangerous websites or applications; and any other cybersecurity policies. At a minimum, all staff will undergo annual retraining on cybersecurity best practices. Staff will receive notices of emerging cybersecurity threats, such as new phishing scams or software vulnerabilities. We will also prohibit the sharing of employee-specific computer access credentials. Ala. Admin. Code r. 538-x-3-.05.03.m.16.i. Passwords will be “strong”, and remain confidential to each individual, and must be changed every 90 days. Where feasible, our systems will use multi-factor authentication measures to offer further user verification. Employees will always lock their computer screen when away from their workstation. Other policies will include instruction for employees to never open or click on unsolicited email attachments. We will mandate usage of strong spam filters to prevent phishing emails from reaching employees.

Employees will also complete training on record access in our POS. This training will include use of these systems, our policies against tampering with electronic records, and penalties for tampering with records, which will include termination of employment and reporting of any incidents to the AMCC and law enforcement. In addition to our policies against tampering, our inventory and sales software will log all access of and modifications to electronic records, including what was changed and which user changed it, providing further protection against theft or diversion facilitated or hidden by electronic records tampering. Ala. Admin. Code r. 538-x-4-.07.12.o.09.

Cybersecurity Procedures

Our network security will comply with cybersecurity standards set by the International Society of Automation ("ISA") and the International Electrotechnical Commission ("IEC") standard 62443. Ala. Admin. Code r. 538-x-4-.05-.02. We will utilize security software on all company owned devices to eliminate malware and phishing. Our facility will have computers with different operating systems, and we will safeguard all devices appropriately. Ala. Code § 20-2A-6.

HIPAA security consists of three areas for compliance: Administrative, Physical and Technical standards, which may include setting up separate networks for systems carrying confidential data, forcing log outs, and other standard security practices. 45 CFR § 164. We will utilize a host of administrative, physical, and technical safeguards to comply with HIPAA at our facility, including but not limited to: security management process, assigned security responsibility, workforce security, information access management, security awareness training, security incident procedures, contingency planning, evacuation, facility access control, workstation use, workstation security, device and media controls, audit controls, integrity controls, person or entity authentication, and transmission security. All patient health information stored in our databases will be de-identified in accordance with HIPAA.

Technical Security Measures

Our Chief Technology Officer ("CTO") E.H will keep all computer systems updated with the latest operating system, software, firewall and firmware updates to patch potential system vulnerabilities. Our CTO will also create and maintain plans for upgrading all system software and hardware. Our CTO will enable automatic system updates on all computer systems, and systems will be routinely inspected to ensure that they are running the latest

and most secure updates. We will designate a member of management to conduct regular inspections of the information technology market to identify promising new security products and newly emerging cybersecurity threats. An enterprise-grade router equipped with a commercial security firewall and virtual private networking will provide capability for remote access through a secure, encrypted internet pathway. Any individual devices, such as laptops or tablets, will feature internal firewalls to provide further security. To prevent introducing compromised devices onto our network, we will prohibit employees, patients, and other visitors from connecting personal devices to our network. Business-quality managed switches capable of Virtual LAN tagging will provide additional traceability and security for all network activities. Network management software will allow facility managers to monitor all network traffic to detect non-permitted network access or violations of network usage policies by employees. Through close management of network activity, management can identify potential insider security threats, such as unauthorized access/attempted access of confidential data or connection of unauthorized devices to the network. All computer screens including point of sale will have a privacy screen installed for privacy. Distance between point of sales and distance between each patient will be maintained and guided via floor signs. Each computer will have biometric login in required that will auto log out after 30 seconds of inactivity. Paper shredders will be placed at the reception and point of sales to discard any patient's information safely. password changes will be required every 30 days. Any patient's data communications via e-mail will be done via a secure email server.

HIPAA-compliant Microsoft Office 365 software will provide secure internal communication and secure document management. All computers connected to our network will use firewalls and high-quality anti-virus/malware software. Physical hardware encryption measures such as Bitlocker will prevent theft of data in the event of a physical computer theft or physical hard drive access. We will encrypt all sensitive data stored on our servers using 256-bit encryption to prevent unauthorized access. We will regularly backup all data to secure off-site servers to avoid data loss in the event of a system failure, ransomware attack, fire, or other disaster at the facility. All backups will also be encrypted and password-protected to prevent unauthorized access or theft. We will create and maintain plans for upgrading all system software and hardware throughout our facility. Ala.

Admin. Code r. 538-x-4-.07.12.o.01. We will enable automatic system updates on all computers, and systems will be routinely inspected for security. We have a financial plan that accounts for all software and hardware purchases and their maintenance. Ala. Admin. Code r. 538-x-4-.05.03.

Incident Response

Management will work with cybersecurity contractors to respond to incidents with fixes. In response to any breach, we will: assess the nature and scope of the incident to determine if the breach was malicious or technical issue; determine the source of the breach; identify and document the extent of the damage; make a forensic image of any affected devices; implement measures to minimize damage; contain the attack and prevent it from spreading, such as by stopping ongoing network traffic caused by the attacker and disconnecting affected devices from the network; maintain detailed records of all steps taken; and, notify all relevant personnel, including management, IT personnel, security, law enforcement, the AMCC, and any affected patients.

Data Breach Notifications

In the event of a data breach, we will provide written notice to all potentially affected individuals within 24 hours of discovering a breach, unless otherwise directed by law enforcement or the AMCC. Notice will primarily be electronic, per Section 101 of the federal Electronic Signatures 15 U.S.C. § 7001. Otherwise, notice will be a substitute notice in the form of regular U.S. mail and e-mails to all affected parties, conspicuous posting on our website, and notification to the AMCC and state-wide media. For data breach notifications, Ala. Code § 41-9-642, our procedures will define "breach of security" as any unauthorized access to data containing unencrypted personal information that compromises the security, confidentiality, or integrity of personal information. We will use the definition of "personal information" from the Personal Information Protection Act (e.g. a username and password, an individual's unencrypted name with social security number; driver's license, state identification card, or patient registry card number; bank account, credit card, or debit card number, access code, or password that could permit access to an individual's financial account(s); medical or health insurance information; or, biometric information.)

Exhibit 14 – Money Handling and Tax Plan

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	3/21/2023
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

Introduction

Our leadership team has over 25 years of combined experience in operating their own businesses and cash handling of over $22M annually. Our CFO D.S. will work with 2 independent CPAs to ensure the cash handling and tax are done accurately. We have developed robust financial practices with a double entry accounting system, and we will train all employees on appropriate money handling techniques and use of the POS system. We have established procedures for our allocation of funds and remittance of taxes. These processes will be documented in our standard operating procedures ("SOPs") and readily available for any staff to review. All finance related SOPs will also be made available to the Alabama Medical Cannabis Commission ("AMCC"). Documents will be provided as needed to the Department of Revenue of the State of Alabama ("the Department"). We will always designate appropriate funds for prompt tax remittance as required by any local, state, or federal agency.

Financial Practices

We will maintain our business' financial accounts in the United States with FDIC regulated organizations, and fully disclose all credit practices. 31 U.S.C. § 5311 (2004), 118.3745(a)(4); F.D.I.C. C.2 § 121. Our financial records will be physically and digitally secured, and all staff will be trained on proper recordkeeping. Our SOPs include details on our electronic financial recordkeeping systems, and which personnel are allowed in cash storage restricted access areas. 31 U.S.C. s.5311 (1992), (b)(3-4). From these records, we will supply any documentation requested for law enforcement purposes. 31 U.S.C. § 5311(b)(1-2). We will comply with guidance issued by the Financial Crimes Enforcement Network ("FinCEN"), and we will only work with vendors or financial institutions who also comply with this Act. 31 USC § 5311 BSA 34.

We have engaged with vendors for digital payment processing (paybotic), accounting (Norvell & Associates, Tree Star Solutions), and armored-car services (Empyreal logistics). We have proactively created a positive relationship with Abaca Bank to support our business in this matter. Abaca bank is cannabis-focused bank based in Arkansas that conducts banking

services in numerous states, including Alabama. We will maintain honest candor with financial entities about our cannabis transactions by providing details on our license, and we will supply all necessary information to our banks in compliance with their customer identification program. 31 CFR § 1020.220(a)(2)(i)(A).

CFO D.S. will monitor daily bank accounts and utilize positive pay service to avoid any fraudulent withdrawals. Vendors will be paid using ACH, wire and manual checks as preferred by the vendor. Signage at the door "Limited cash available on-site during day and overnight", CFO D.S. will designate cash procedures for end of day closing and opening procedures. Empyreal logistics, which has been working with cannabis businesses for multiple years shall be engaged to perform ATM and cash transfer services. Cash will be verified by a fake bill checker to be used by each counter, second bank account to keep the taxes separately for easy and accurate accounting, EFTPS shall be used to to pay the federal taxes including employment taxes.

Accounting

Our double entry accounting system will record, analyze, and classify our transactions, and will provide accountability of our assets and liabilities. Our Chief Financial Officer D.S. will oversee monthly and year-end financial reconciliation of accounts payable and receivable. Our records will include gross sales, gross proceeds, gross receipts, and other books to determine our tax liability. Ala. Code § 40-23-9. Distinct records will be kept for the cultivation, processing, and dispensing aspects of our business. Ala. Code § 40-23-9. We will also work with 2 independent CPA firms (Tree Star Solutions, Norvell & Associates) familiar with the cannabis industry for tax filing. Our first tax return will be filed by April 2023.. Ala. Code § 20-2A-80(b)(3). We may file our taxes electronically. Ala. Code § 40-30-4. With these systems we will fully disclose our financial results and maintain GAAP conformity, including recognizing revenues and expenses on the accrual basis, and reconciliation of all accounts in a timely manner.

Money Handling

We prioritize the safety of our community and will never launder money or consort with terrorists. 12 CFR § 21.11 (c)(4)(i); 31 U.S.C. s.5311 (2004), 118.3745(a)(3). We have developed protocols for beginning-of-day and end-of-day movement of medical cannabis

and cash between secure areas and sales areas, as well as a plan for always maintaining security of daily cash on hand. Ala. Admin Code. r. 538-x-8-.05.03.m.10. We will have at least one security guard on site during business hours to protect our staff, products, and premises. All staff and vendors with access to money will undergo security and diversion training provided by our business. Company employees will also undergo extensive training on our POS system, Dutchie. Employees will be trained to always use the POS system for handling transactions. Engaging in any transaction that bypasses the system will result in discipline up to and including termination.

Employee Training

Each employee responsible for handling cash will participate in cash-handling and management training. Employees will be trained to examine cash received during transactions to guard against counterfeiting and fraud, miscounting, and other common cash-handling errors. We will train employees involved in cash handling to follow protocols similar to bank tellers, in that each bill will be clearly shown on a surveillance camera when counting currency. Security and management will periodically spot-check and review surveillance footage to verify each employee is correctly following all cash-handling protocols.

Cash Transport: Empyreal logistics will schedule randomized weekly/monthly cash pick-ups in armored vehicles to be transported to its banking institution. Prior to each pickup, and under the supervision of a security guard, Dispensary manager and Empyreal personnel will open the Tidel 4e safe access the funds. All funds will be placed in dual-pouch, tamper-resistant depository bags along with the deposit slip printed by the safe. The dispensary manager will write the deposit information in the space provided on the outside of each depository bag and will record the number of bags and each unique bag number on a deposit ticket; we will retain a copy of the deposit ticket. Cash pick-ups will be conducted in the secure area where safe is located. During cash pick-ups and under the supervision of a security guard and video surveillance, an employee will verify the armored truck guard's identification badge and verify the shipment via manifest. A security guard will ensure all cash pick up personnel wear identification and are escorted at all times during their time on the premises.

Cash Storage: All till and change money of $1425 (will be secured overnight in the safe which is located in the vault. Dispensary manager S.F. will remove funds from each throughout the day in excess of $300 for smart safe deposit. A smart cash safe (Tidel series 4E) will be located in the vault which automatically counts inserted cash and stores them for the armored cash pick up to be transported to Abaca bank or federal reserve.

Prior to the business operational hours each day, a manager and security guard will open the facility and check for any signs of intrusion or disruption. Once the facility is secured, the manager will open the secure storage area and perform cash till verification. Next, they will prepare each cash register to begin daily operations with a $200 starting till. Each till will have a distribution of ones, fives, and twenty-dollar bills, and sufficient coinage, including pennies, nickels, dimes, and quarters. Any non-standard bills or coin denominations, such as half-dollar coins or two-dollar bills, will be deposited to our financial institution. Finally, the manager on duty will secure the starting tills in the locking cash register drawers.

During business operational hours, a manager will always be available to dispensary staff for cash verification, or if additional bills or change are needed. Dispensary employees will collect taxes of 9% from each patient at the time of sale. Ala. Admin Code. r. 538-x-8-.03.08; Ala. Code § 20-2A-80(a); Ala. Code § 40-23-1. An additional state privilege tax will be added at a rate of 4% as a direct-to-consumer tax. Ala. Code § 40-23-26(a), (c). All money collected from this taxation will be paid to the Department. Ala. Code § 40-23-26(d).

At closing, each sales associate will close out and record their register (in the cashier log) for the day, and the manager will place their till (numbered for each register) in secure safe for the evening. Then the manager will count the cash present and verify this against the daily inventory sales records. All cash will be recorded on our daily monetary receipts and then placed in our secure cash vault. We will verify cash receipts are being reported to our electronic accounting system(quickbooks) for tax and reporting purposes. We will verify that all deposits cleared the bank the next day; deposits that are not reported by the next day or are reported at a different amount than our records will be reviewed. All financial transactions and movement of money will take place under audio & video surveillance.

Tax Allocation and Remittance

As described in the Tax Plan, we will voluntarily disclose all taxes owed by our business and related information. We will allocate appropriate financial resources to fulfill our tax

obligations at the local, state, and federal level. We will file our taxes promptly and with any required forms. Ala. Code § 40-11-4; Ala. Code § 40-23-7. We will accept any additional tax, penalty, or interest assessed upon us by the Department. Ala. Code § 20-2A-80(b)(5) and take necessary steps to avoid the same in future. Tax payments due to the Commissioner of Revenue will be completed with a designated form. Ala. Code § 40-17A-2; Ala. Code § 20-2A-80(b)(5).

Local: We will abide by all relevant taxation regulations in each dispensary's municipality. We will only pay municipal or county tax once per sale. Ala. Code § 40-23-2.1.

State: We will include in each monthly tax report details of our cash sales. Credit collections will be reported during the subsequent month once collections of credit sales have been processed. Ala. Code § 40-23-8. We will pay all due taxes at the time of reporting. We will pay all applicable privilege taxes levied against our net worth each year. Ala. Code § 20-2A-80(b)(1); Ala. Code § 40-14A-23. We will utilize charts provided by the State in Article 2 of the Alabama Business Privilege and Corporation Shares Tax regulations, and any related amendments, to calculate the amount of tax owed. Ala. Code § 40-14A-22(b); Ala. Code § 20-2A-80(b)(2). CFO D.S to work with our selected CPAs to accurately calculate and pay the tax using the state payment portal.

Federal: Any contribution provided to our business by the State of Alabama will be deducted from our reportable federal taxable income. Ala. Code § 40-18-35.3; 26 U.S.C. § 118(b)(2). We will make no other deductions or credits to our taxes. 26 U.S.C. § 280E. All owners, our business entity, and affiliates, will promptly pay federal income taxes. Treasury Regulation § 26.6072(b).

Conclusion

We will maintain detailed records of all inventories and income to support accurate calculations of our tax obligations. We will always welcome and accommodate the AMCC and their officials for an inspection. Ala. Code § 20-2A-55(d). We understand failure to cooperate could result in the seizure and impound of our books, ledgers, documents, writings, money receptacles, and records. Ala. Code § 20-2A-52(a)(3)(b). We will provide qualified patients with the maximum benefit of medical cannabis through protection of our products, compliant recordkeeping, and appropriate taxation. Ala. Admin Code. r. 538-x-1-.02. Business and financial records will be kept on-site for 5 years.

Exhibit 15 – Standard Operating Procedures

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted] _____	Founder/Shareholder _____
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted] _____	3/21/2023 _____
Signature of Verifying Individual	**Verification Date**

Introduction

An integral part of the company's Quality Management System (QMS) is a complete set of verified Standard Operating Procedures ("SOP"s). Our SOPs provide step-by-step instructions for completing all the tasks and processes required to operate the dispensaries. Our SOPs are essential for maintaining consistency, reducing errors, and ensuring compliance with regulatory requirements.

We maintain our SOPs in a Document Control system. Each SOP shall be assigned a unique identifier, stored in a central location, and is subject to strict version control and master copy control. This ensures that employees can easily access the most up-to-date version of each SOP, and that changes are tracked and communicated to employees.

We will provide complete physical or digital copies of our standard operating procedures or any other business documentation to the Alabama Medical Cannabis Commission ("the AMCC") as requested. In addition to the compliant standard operating procedures ("SOPs") listed directly below, we have provided for subsections 15.3 – Quality Control and Quality Assurance Plan, 15.4 – Contamination and Recall Plan, and 15.11 – Security Plan in our application materials as exhibits 23, 24, and 19, respectively, as requested by the AMCC dispensary application guide.

The SOPs provided herein are limited in scope to meet page limits required by the application, with particular focus on addressing all applicable regulatory requirements from AMCC . Future versions of the SOPs listed below will include step by step instructions for operations, provide guidance for various operating scenarios, and may include best practices that we adopt from our out-of-state dispensary partner.

15.1 – SOPs – Information Technology ("IT")

Accurate Recordkeeping: We will obtain, install, and maintain our internal tracking systems through a third-party inventory system called Dutchie, which will interact with, as applicable, the Alabama Medical Cannabis patient registry system, the statewide seed-to-sale tracking system, and the AMCC website. Ala. Admin. Code r. 538-x-4-.07.12.o.01. Dutchie will interface with the statewide seed-to-sale tracking system and the patient registry system in real time. Upon licensure we will demonstrate proof of purchasing and accessing our IT

platforms, and our platforms will be regularly maintained and properly updated. Ala. Admin. Code r. 538-x-4-.07.12.o.01.

We will consistently maintain and annually review our plan for ensuring accurate recordkeeping, compliance with inventory protocols, and coordination of information and systems with vendors, patients, and others, as applicable. Ala. Admin. Code r. 538-x-4-.07.12.o.01. We will support, participate in, and contribute to the statewide seed-to-sale tracking system, and our technology and uploads to the statewide seed-to-sale tracking system will be sufficient to allow access by the AMCC, and, to the extent necessary and appropriate, patients and caregivers, qualified certifying physicians, other state agencies, vendors, other licensees, and law enforcement personnel, for all purposes as applicable. Ala. Admin. Code r. 538-x-4-.05.04. Our In-house IT specialist E. H and our IT system vendors, will train all employees on their duties with our IT system and test their proficiency in the system before they begin any duties. All employees will fulfill their assigned duties and will learn to interact appropriately with the patient registry, the AMCC website, or the statewide seed-to-sale tracking system. Ala. Admin. Code r. 538-x-4-.05.05. These individuals will undergo pre-employment and pre-commencement IT certification administered by a third-party IT provider, or another as designated by the AMCC, for each database with which they must interact, demonstrating their proficiency in respect to those databases. Ala. Admin. Code r. 538-x-4-.05.05.

Further, we will designate a tracking system liaison and provide the AMCC the identity of the individual, by name and position, for the purposes of coordinating, monitoring, and updating the Statewide Seed-to-Sale-Tracking System. Ala. Admin. Code r. 538-x-4-.05.06.

Compliance with Inventory Protocols: Upon licensure and an announced inspection, we will make our facilities, personnel, operations, and documentation available for review and auditing at the request of the AMCC inspector, and we will make available all IT files, including but not limited to our test results, any third-party inventory control and tracking systems, and the statewide seed-to-sale tracking system. Ala. Admin. Code r. 538-x-4-.02.02.b.02. Upon licensure, our third-party inventory and tracking systems will properly interface with the statewide seed-to-sale tracking system and, as appropriate, with the patient registry. Ala. Admin. Code r. 538-x-4-.05.04. Product tracking information will be

updated in our databases at least daily and will be maintained for a minimum of six years (or more if requested by the AMCC, law enforcement personnel, or a court system with jurisdiction over a related matter). Ala. Admin. Code r. 538-x-4-.05.01.

During our dispensary operations, we will enter all transactions, account for all inventory, monitor patient usage, and log other relevant information as may be necessary or appropriate to our business, into the statewide seed-to-sale tracking system pursuant to applicable law. Ala. Admin. Code r. 538-x-8-.02.06.b. We will enter all transactions into the statewide seed-to-sale tracking system operated by the AMCC.

In the event of a recall, our notification protocols will alert other licensees and the AMCC through our internal inventory system and the statewide seed-to-sale tracking system, as described further in our recall procedures. Ala. Admin. Code r. 538-x-8-.05.03.l.04. If aspects of our IT plan contributed to unsafe conditions requiring a recall, we will analyze and adjust our IT plan and internal protocols and processes to avoid recurrence. Ala. Admin. Code r. 538-x-8-.05.03.l.07.

Coordination of Information and Systems: We will coordinate our information and systems with vendors, customers, and others based on our detailed plan to inventory and track cannabis and medical cannabis within the facility and to interface with Patient Registry, the statewide seed-to-sale tracking system. Ala. Admin. Code r. 538-x-4-.05.04. As a dispensary, we will use our access to the Alabama Medical Cannabis patient registry system to track patient and caregiver information (e.g., qualification, certification, medical cannabis card validity, and purchase limits). Ala. Code § 20-2A-35; Ala. Code § 20-2A-35(a); Ala. Code § 20-2A-35(b). We will use Electronic devices (veriscan, etc) to check the validity of patients ID and age.

Working with the statewide seed-to-sale tracking system, we will retain a record of the date, time, amount, and price of each sale or transfer of medical cannabis products to a registered qualified patient or registered caregiver, access to and coordination of which will be paid for and maintained by us. Ala. Code § 20-2A-54. At the time of dispensing medical cannabis products, our certified dispenser, or another dispensary employee under the supervision of the certified dispenser, will enter into the patient registry and the statewide seed-to-sale tracking system (and attach to the package containing the medical cannabis) the

following patient-specific information: the name and medical cannabis card number of the patient—and caregiver, if applicable; the name and contact information of the registered certifying physician; the amount and type of medical cannabis being dispensed; the physician's dosing comments and maximum daily dosage recommendation; and, the date and time the medical cannabis was dispensed. Ala. Admin. Code r. 538-x-8-.03.06.a; Ala. Admin. Code r. 538-x-8-.03.06.f.

We will adopt and use primarily a third-party inventory control and tracking system that is capable of interfacing with the statewide seed-to-sale tracking system to allow us to enter or access information in the statewide seed-to-sale tracking system as required, and we will pay for and maintain our system. We will pay all costs (where applicable) to maintain the facility compliant and safe including the Seed to sale tracking subscription, tags, inventory control, patient registry system etc. Our third-party Dutchie inventory tracking system will feature all capabilities necessary to comply with the applicable requirements and more. Ala. Code § 20-2A-60. Dutchie is an all-in-one technology platform that offers a full suite of solutions for compliant cannabis operations. Based in Oregon, Dutchie has been working with cannabis companies for more than five years and provides consumers and licensed businesses with safe and easy access to cannabis tracking. Their software is now in over 5,000 cannabis dispensaries, with thousands of available software integrations. Dutchie will also be compatible with our accounting software, Quickbooks, that will house our financial records in tandem with our accounting vendor, Treestar Solutions or similar. We will engage with tax accounting experts that specialize in the cannabis industry and while we will house our financial records, they will serve as a backup to our financial records. Our staff will also adhere to the accounting procedures and training provided by the accounting vendor.

15.2 – SOPs – Maintenance and Storage

We are committed to maintaining and storing medical cannabis product in a way that prevents contamination, diversion, and loss in compliance with all relevant regulations and requirements from the AMCC. Ala. Admin. Code r. 538-x-3-.05-3.m.16.b. Our dispensary facility will feature numerous process controls, protocols, and SOPs for maintaining and storing our medical cannabis safely and compliantly, and our employees will be thoroughly trained on all applicable procedures before beginning operations.

Managers will train all employees in their respective departments on all SOPs for secure storage and recordkeeping, including SOPs for accessing the vault, secure storage areas, and any restricted access areas ("RAAs"). We will require all dispensing employees to complete training on storage SOPs and access to RAAs prior to beginning work at our facility. Our storage SOPs will integrate those for recordkeeping activities as well. Employees will be required to log all storage activities in our electronic inventory control system and statewide seed-to-sale tracking system for traceability of all medical cannabis within our facility, no matter what stage of storage or dispensing the item is undergoing.

We will teach employees that medical cannabis products should only be moved into and out of storage areas when necessary, and only when directed and accompanied by a manager. Managers will supervise all movement of medical cannabis products in and out of the vault or secure storage areas. Overnight, all medical cannabis products will remain securely locked and stored until a manager and employees arrive the next morning to begin facility operations.

We will store all medical cannabis products inside of our enclosed, locked storage room or vault within our dispensary facility. Ala. Admin. Code r. 538-x-8-.05.03.m.09. The secure storage room will also be equipped with an industry-standard commercial-grade alarm system to alert of unauthorized entry. Ala. Admin. Code r. 538-x-8-.05.03.m.01. Whenever medical cannabis products are received, individual batches of medical cannabis products being received for storage and/or dispensing will be appropriately prepared, tagged or otherwise identified, and inserted in containers at the time of receipt.

Once medical cannabis products have passed testing, undergone packaging and labeling, and are ready for sale to patients and caregivers, employees will move inventory ready for sale from the in-process to the final product storage vault or secured, locked room. Keeping inventory ready for sale separate from other facility inventory serves several purposes to enhance product safety and inventory security. All medical cannabis products that have not passed testing for potency and contaminants will remain in untested portion of the vault, while products that have been tested, packaged, and approved for sale will be in a separate portion of vault.

We will conduct regularly scheduled maintenance and cleaning of all storage areas to ensure that they are properly maintained and kept in a clean and orderly condition, free from

infestation by insects, rodents, birds, and pests. A minimum of once every two weeks, a manager with appropriate clearance will provide access to storage areas and will supervise employees as they perform all necessary maintenance and cleaning. We will perform cleaning procedures outside of business hours so as not to disturb operations.

The vault and secure storage area will be climate controlled, with specific temperature and humidity settings in place to maintain a cool, dry, and low humidity environment optimal for maintaining the integrity and quality of all medical cannabis products. These measures will also prevent conditions in the room from becoming hospitable to potential bacteria or pests, which could potentially further compromise the integrity of the products in storage. Storing our medical cannabis products within strict moisture and temperature controls will mitigate the development of mold or other contaminants on our products that could harm Alabama patients. We will also employ Integrated Pest Management ("IPM") techniques in all areas where medical cannabis is stored. Additionally, we will incorporate odor controls, such as ONA gel canisters, positive pressure air systems, and air curtains, carbon filtering, ionization of air to prevent odors from escaping storage areas or contaminating other products. All Dispensary sites will be treated (compliant treatment chemicals in Alabama and AMCC for food & pharmaceutical) from third party pest control on a monthly basis.

Limitation of Access: To mitigate theft and diversion, we will limit access (2 factor authentication) to medical cannabis storage areas to essential personnel by position, which may include our Leadership, Inventory Manager H.W., Certified Dispensers J.S., and other select authorized staff members. Our SOPs will identify the personnel with authorization to access our storage areas and will be updated pursuant to change. Furthermore, all storage areas will feature key-card access doors (2 factor authentication) with commercial-grade locks (ANSI Grade 1) and alarms(activated by motion, sound or vibration). We will post signage at the entrance to each RAA notifying personnel that access is restricted and identifying which employees are permitted to access the area, which will feature locks and alarm systems to notify our facility of any unauthorized entries. Ala. Admin. Code r. 538-x-8-.05.03.m.01. Usable medical cannabis products and cannabis related items will be highly secured and monitored to prevent theft and diversion. Our surveillance systems will provide 24/7 continuous monitoring of facility entry points and all Restricted Areas such as our

usable medical cannabis storage area. Ala. Admin. Code r. 538-x-8-.05.03.m.04. We will maintain security of usable medical cannabis products and related items by maintaining a secure and locked storage area, logging the quantity and quality of all medical cannabis products into our inventory management system, limiting and restricting access to authorized personnel, and maintaining a 24/7 video surveillance of the storage area.

15.3 – Quality Control/Quality Assurance Plan. *Provided in Exhibit 23*

15.4 – Contamination and Recall Plan. *Provided in Exhibit 24*

15.5 – SOPs – Criminal Activity

Our criminal activity plan details the steps we will take in the event of criminal activity related to medical cannabis products within our possession and control. We will maintain, review, and update policies to report theft, diversion, or other loss of medical cannabis products to the AMCC and to law enforcement within 24 hours of the event or its discovery. Ala. Admin. Code r. 538-x-8-.05.03.m.15. Once discovered, management will initiate notification and investigation protocols to determine the cause of the criminal activity and will update internal procedures to mitigate any further criminal activity in the future. We will always comply with all investigations into criminal activity at our facility. We recognize the Alabama State Law Enforcement Agency, or a local law enforcement agency may search our facilities and property where there is probable cause to believe that a criminal law has been violated and the search is conducted in conformity with constitutional and state law. Ala. Code § 20-2A-7(f). We understand the AMCC may notify appropriate authorities regarding any misconduct, and we will cooperate fully in any criminal investigation that may lead to the imposition of charges and penalties against our business or any associated entity or individual. Ala. Admin. Code r. 538-x-4-.22.09. We will immediately report to the AMCC and local law enforcement any trespassing on our property or unlawful entry into our facility. If a trespasser manages to enter the facility, employees will avoid the individual and shelter-in-place by remaining where they are, locking any entrances, activating the Panic alarm located throughout the facility, and contacting 911. In the event of an armed robbery, employees will follow the procedures outlined in our Security Plan. After an event,

management will conduct a diversion/theft investigation after any facility interior trespassing event to determine how the unauthorized individual gained access to the facility and if diversion or theft occurred.

Safety of Employees: We will conduct our operations to refrain from any critical violation that could pose a clear and present danger to the safety of our employees, patients, caregivers, or the public. Ala. Admin. Code r. 538-x-4-.02.04.b.01. The safety of employees and others on the premises starts with screening for individuals through a national criminal background check. Prior to appointment, employment, or service to our operations, all officers, employees, contractors, and other individuals performing work of any character who would have access to a medical cannabis facility, or related equipment or supplies, must submit to a state and national criminal fingerprint background check. Ala. Code § 20-2A-59(a). Employees will undergo no less than ten (10) hours of continuing education of medical cannabis education and no less than five (5) hours of safety training that will include safety pertaining to criminal activity and CPR training. Ala. Admin. Code r. 538-x-4-.04.02.b. All employees will complete comprehensive safety training prior to beginning work at our facility, which will include instruction on the facility's Emergency Action Plan ("EAP"), which we will construct to comply with all applicable regulations from the Bureau and the federal Occupational Safety and Health Administration ("OSHA"). We will adhere to the AMCC's training program for dispensers that addresses proper dispensing procedures, and other topics relating to public health and safety and preventing abuse and diversion of medical cannabis.

Our highest priority in operations is the safety of all personnel and patients/caregivers at our facility. Pursuant to guidelines from OSHA, we will establish an Emergency Response Team ("ERT") composed of our Chief Operating Officer E.J.H., Chief Compliance Officer L.G., and Manager of Security G.S.. Our COO E.J.H. has developed criminal activity SOPs and trainings for compliant cannabis businesses in other states. Our Manager of Security (G.S.-Manager of Security) will be a uniquely qualified individual with experience in highly regulated industries. The ERT will: conduct research and compile our emergency and safety procedures, including general emergency response plans, a fire plan, and procedures for security breach and armed robbery response; train employees on these policies; and

supervise evacuations and other emergency response activities. Our ERT will maintain and review at least annually our criminal activity plan. Ala. Admin. Code r. 538-x-3-.05.03.m.16.e.

Criminal Activity Reports: Our ERT will construct guidelines and procedures for reporting to and communication with regulatory bodies, law enforcement, and other licensed medical cannabis organizations. We will implement and train staff on these guidelines prior to our facility beginning operations. We will develop procedures for notification of the AMCC and law enforcement in accordance with the reporting requirements. Procedures will include when notification is required, who is to be notified, how notification is to occur, and who is responsible for performing the notification. A designated manager will notify the AMCC, ALEA, and local law enforcement immediately after discovering any adverse loss, diversion, theft, criminal activity, or suspected criminal activity at our facility or from any vehicle transporting medical cannabis to or from our facility.

Our employees will be trained to contact 911 in the event of an emergency. In the event of any criminal activity, staff will contact law enforcement having jurisdiction over the area to report that a crime has been committed. We will keep lists of emergency phone numbers by all landline telephones. We will designate a member of management responsible for immediate notification of local law enforcement and notification of the AMCC within 24 hours of becoming aware of any alarm activation, an event requiring response by public safety personnel, breach of security; or failure of the security alarm system due to a loss of electrical support or mechanical malfunction that is expected to last longer than 24 hours. All notifications will include details on the reported incident and any corrective measures taken. We will maintain records of all notifications in an auditable form for at least two years after providing the notification.

Preservation of Medical Cannabis and Maintaining Access: At all times we will maintain a plan, and review at least annually, steps to be taken for the preservation of medical cannabis products and the reasonable efforts to maintain access to medical cannabis products by those who depend on it. Ala. Admin. Code r. 538-x-4-.07.12.o.04. This will include steps for maintaining secure storage of our medical cannabis stock, maintaining

adequate medical cannabis stock so that patients/caregivers can receive their medicine, notification procedures to our patients/caregivers, and communication with the AMCC and all law enforcement agencies. We will provide and maintain a plan for sufficient staffing of security guards at each facility where medical cannabis products are present to reasonably ensure the safety of employees and others on the premises; and at a minimum, provide one security guard per facility during our business/operating hours. Ala. Admin. Code r. 538-x-8-.05.03.m.08. Our parking lot will be monitored and supported for the reasonable safety and security of customer patients and caregivers. Ala. Admin. Code r. 538-x-8-.04.02. All employees will be trained to use duress code in the alarm system. Duress code is a code specifically created to disarm the alarm system in hold up situation, but it also silently informs the local police and fire department of the duress event.

We will also maintain strong relationships with supplying licensees to be able to provide medical cannabis stock in a short time frame should ours be compromised by criminal activity. After a criminal event occurs, we will notify these licensees of our need for additional medical cannabis stock immediately. We will also comply with recommendations from the Drug Enforcement Administration ("DEA") for pharmaceutical facilities handling controlled substances. For example, we will store medical cannabis products in compliance with DEA requirements for controlled substances, using securely locked safes or steel cabinets bolted to the floor in our secure storage room. CFR 21 § 1301.71 - 1301.77. Security guard will be instructed to hold excessive outerwear (Large jackets) and any bags (beside small carry such as purse) at the reception counter to avoid any concealed weapons being brought in the facility. Appropriate signage regarding the weapons not permitted in the facility will be posted at the front entry door.

15.6 – SOPs – Emergency Procedures or Disasters

We are committed to compliant and safe operations focused on emergency preparedness and adequately responding to emergencies to maintain employee and visitor safety and to assist in maintaining accountability of all medical cannabis products and maintaining access for those who depend on it. Ala. Admin. Code r. 538-x-3-.05.03.m.16.f. To mitigate danger to employees and others on the premises, employees will undergo no less than five hours of safety training including safety pertaining to criminal activity. Ala. Admin. Code r. 538-x-4-

.04.02.b. Our Manager of Security G.S. and Security Guards will train staff on responding to various emergencies and natural disasters before they begin work. In response to an emergency, we will conduct the following procedures: assess the nature and scope of the emergency to determine which emergency service should be notified; determine the source of the impact, such as a specific employee, process, or outside event; implement measures to minimize damage; Contain the emergency and prevent it from spreading, such as by evacuating employees; maintain detailed records of all steps taken; notify all relevant personnel, including management, IT personnel, security, law enforcement, the AMCC, and any affected individuals or businesses; identify and document the extent of the emergency; and, immediately make a forensic copy of applicable surveillance devices, which may be used for later analysis or serve as evidence.

Designated employees will also be trained (Including CPR) on various medical emergency situations. We will install easily accessible voice dialing phone systems, so that employees may more easily contact emergency services. We will keep emergency kits in marked locations throughout the facility for quick access in an emergency. Staff will check the emergency kit once per month to verify all contents are present, in working condition, and unexpired. We will also keep an emergency kit inside a designated "shelter in place" location in case severe weather approaches quickly and evacuation is not possible. Our "shelter-in-place" location will be an interior room or rooms within our facility, with space to take refuge. We will also designate the ERT to oversee all emergency plans and protocols at our facility. The ERT's emergency plans will include procedures for employees to follow in the event of a hazardous situation, security breach, armed robbery, or violent event. The ERT will also develop any additional procedures as required by the AMCC in response to any special security concerns.

If a potential threat or hazardous situation is present outside of the facility, any employee on site will inform other employees (via personal radio devices used for internal communication) and visitors of the threat, remain indoors, verify that all facility entrances are locked, and stay away from doors and windows. Employees will be trained to keep hazards outside and if possible, not allow any hazard or violent individual to access the inside of the facility. If a threat, hazard, or suspicious individual enters the facility, employees will

contact security personnel immediately. Primarily, employees will immediately call 911 or contact law enforcement agencies in response to a potential threat or suspicious individual.

Emergency Procedures. To maintain an adequate stock of medical cannabis reserved for dispensing in case of emergency that may disrupt supply, we will regularly set aside a portion of our medical cannabis products in the secure storage area marked as "in case of emergency." Our facility and secure storage areas will feature environmental controls to preserve medical cannabis in ideal conditions. Detection equipment, including a professionally monitored fire alarm, will be present throughout the facility to alert building occupants of any emergency conditions. A qualified alarm technician will test all detection equipment at least every 30 days, and we will keep all equipment in good working order. We will strategically position fire alarms to be visible, audible, or perceivable from any location in the facility. We may use a fire sprinkler system that will provide coverage throughout the facility to immediately suppress any fire. All facility exterior doors will allow free egress by the facility's occupants in case of an emergency. Ala. Admin. Code r. 538-x-8-.05.03.m.06. Fire extinguishers will be located throughout our facility, with as many available as is feasible for our space and recommended by the local Fire Department. Our facility will also feature other fire suppression equipment, such as overhead sprinklers and partitionable HVAC systems to prevent a fire from spreading from one room of our facility to another. Alabama is at a higher risk for floods and hurricanes than the average state. In the case of flooding, we will train personnel to quickly respond to the threat to human health. Management will monitor persistent rains and storms within one hundred miles using online weather monitoring services, and severe weather alerts will be regularly communicated to personnel via company email, phone calls, or announcements over the facility's communication system.

Reasonable Efforts to Maintain Access: Following an emergency, we are committed to taking reasonable steps to maintain access to medical cannabis for those who depend on it. We plan to develop and maintain a stock of medical cannabis reserved for dispensing in case of emergency. In the event of an emergency that might compromise the safety of the medical cannabis at our facility, proper procedures for the safe removal, secure transportation, and compliant temporary storage are paramount to preserving the integrity of our medical

cannabis and protecting the safety of our Alabama patients. Though the AMCC has yet to provide guidance on permitted activities following an emergency or disaster, should an emergency or disaster occur, we will request a Temporary Variance from the AMCC and receive approval before implementing our procedures in case an emergency renders our business unable to comply with regulatory requirements. Ala. Admin. Code r. 538-x-1-.08-1; 538-x-4-.08.06. Once approved, our plan will begin by removing medical cannabis stock in an orderly and secure fashion by collecting secure storage containers and loading them into emergency transportation vehicles to an approved facility (including our other Dispensing sites). Staff will ensure that all medical cannabis products that can be preserved by removal are tracked via a handwritten removal log and the statewide seed-to-sale tracking system, if the emergency allows. Any medical cannabis products removed that were not tracked and recorded initially will be inventoried once the medical cannabis products are secure in its temporary storage location. After being removed from our primary facility, medical cannabis stock will be transported to a secure temporary storage facility (if permitted by the AMCC), from which it can be safely dispensed to patients. To the extent possible, this temporary secure storage area will meet all requirements for secure storage of medical cannabis products and will be fitted with commercial locks and alarm systems. Access protocols will remain the same and only designated employees will handle and transfer medical cannabis products between the storage area and other areas of the temporary facility. We will provide transportation to our patients at no cost (from the affected Dispensing site to our other Dispensing sites) in an event where the Dispensing site in unable to serve patients due to disaster event.

We will also proactively communicate with our patient and caregiver base following an emergency to facilitate their access to medical cannabis. We will send out notifications via all available communication channels to inform patients and caregivers that an emergency or disaster has occurred and where and when they will be able to collect their medical cannabis following the event. However, should the AMCC provide any guidance that differs from our proposed plan, we will alter our procedures to be compliant with any applicable regulatory requirements. Though we may not be able to immediately dispense medical cannabis products following an emergency, we will take reasonable steps to dispense compliantly as soon as possible.

15.7 – SOPs – Alcohol, Smoke, and Drug Free Workplace Policy

We will maintain at all times and review annually following this application process, a clear written Alcohol, Smoke, and Drug Free Workplace Policy, which will also be included in our Employee Handbook and/or Policies and Procedures Manual. Ala. Admin. Code r. 538-x-3-.05.03.m.16.g. Maintaining our workplace as alcohol, smoke, and drug free is paramount to maintaining an environment of security, safety, and health for our employees, patients, and any visitors at our medical cannabis facility. Our HR Officer J.K. will maintain records related to the policy, including the detailed written policy itself and copies of signed employee signature pages confirming understanding of and consent to the policy, all of which will be available to the AMCC.

We will require staff to sign documents stating they understand there is zero tolerance for alcohol and drug use at the workplace and that they will abstain from such use during work hours or while on our premises.

We are committed to maintaining a safe, alcohol-free, smoke-free, and drug-free work environment for all employees, agents, customers, and visitors. We will therefore explicitly prohibit the use, possession, solicitation for, or sale of personal cannabis, illegal drugs, alcohol, cigarettes, tobacco products, or prescription medication without a prescription on facility premises or while performing work-related assignments. All employees will complete training on our alcohol, smoking, and drug-free policy during onboarding and will read and consent to the policy in writing. Being impaired or under the influence of legal or illegal drugs or alcohol away from company premises, if such impairment or influence adversely affects the employee's work performance, the safety of the employee or of others, or damages our organization's reputation, may result in immediate job termination.

We will prohibit the presence of prohibited substances in employees' urine while at work on company property, or while on company business. We may therefore ask employees to submit to a drug and/or alcohol test at any time management feels that an employee may be under the influence of drugs or alcohol. Any company employee involved in an on-the-job accident or injury under circumstances that suggest the possible use or influence of drugs or alcohol in the accident or injury event may be asked to submit to a drug and/or alcohol test. If an employee is tested for drugs or alcohol outside of the employment context, such as by

law enforcement, and the results show a violation of this policy, or if an employee refuses a request to submit to testing under this policy, the employee may be subject to appropriate disciplinary action, including discharge from employment. In such a case, the employee will be given an opportunity to explain the circumstances prior to any final employment action becoming effective.

At all of our facilities, we will have surveillance cameras in place to monitor and record staff activity continuously. The cameras, along with "Cameras in Use" signs will be in conspicuous areas to discourage staff from engaging in any acts which would violate the integrity of an alcohol, smoke, and drug free workplace. Management will also monitor staff conduct by observing daily operations and make explicit notes if they suspect any activity violating this plan. We will prohibit staff from working while under the influence of any drugs or alcohol, including medical cannabis. Also, all staff are subject to random drug screenings, which can serve as a deterrent for employees.

We will have the proper SOPs in place that guarantee this zero-tolerance policy for alcohol- and drug-use which will outline the following steps and more, updated accordingly as the business begins and continues operation. Employees will be subject to testing based on observations by the supervision of apparent workplace use, possession, or impairment and a member of the management team will be consulted before sending an agent for testing. Employees will also be subject to testing when they cause or contribute to accidents that seriously damage a company vehicle, machinery, equipment, or property or result in an injury to themselves or another employee requiring offsite medical attention in which there is a reasonable basis for concluding that drugs and/or alcohol use could have contributed to the incident.

In addition to random drug tests, we will also train management accordingly to uphold our SOPs and written policies. These trainings will help those in management identify key indicators of potential violations of the alcohol, smoke, and drug free workplace and walk them through the steps to confront any individual they suspect of such violations. Management will also educate and inform staff of these SOPs and inform them of the health and safety risks associated with being intoxicated while working within the workplace. To maintain a successful alcohol, smoke, and drug free workplace, we will ask staff to submit

feedback on the effectiveness of our current SOPs and see if any changes or updates are necessary, with the aim of continuous improvement.

As new staff will enter operations throughout our business' lifecycle, it is paramount they are trained at the onset not only on all our SOPs, but specifically on maintaining our alcohol, smoke, and drug free workplace. Management will regularly, and at least annually, review our policies to maintain compliance with all guidance from the Commission and to ensure the policies reflect our company's vision for a fastidiously maintained alcohol, smoke, and drug free workplace. Our goal to have an alcohol, smoke, and drug free workplace is not only to implement such a policy but to maintain it and engrain it within our company culture. Following each of the steps outlined here, with a special emphasis on education, training, monitoring, and proper deterrence, we will be able to go above and beyond the requirements set by the State of Alabama and the AMCC for our medical cannabis workplace.

15.8 – SOPs – Employee Safety

Our Employee Safety Plan will always comply with parallel OSHA Standards applicable in similar workplaces. We will aid in OSHA's mission of ensuring that employees work in a safe and healthful environment by setting and enforcing standards, and by providing training, outreach, education, and assistance. Under the Occupational Safety and Health Act of 1970, we have a responsibility as employers to provide a safe workplace. To this end, we will comply with all applicable OSHA Standards, which are the regulatory requirements established and published by OSHA pursuant to the Occupational Safety and Health Act of 1970 and subsequent laws. We will comply with the General Duty Clause of the OSHA Act, which requires employers to keep their workplace free of serious recognized hazards. 29 USC § 654. We will always follow mandatory standards for general industry and any other applicable standards, as well as any guidance specific to the cannabis industry. 29 CFR § 1910. We will comply with standards for recording and reporting occupational injuries and illnesses. 29 CFR § 1904. Since we will move and store medical cannabis products in our facility, we will also account for common hazards and solutions for warehouse workers, such as: ergonomic and musculoskeletal disorders; forklifts; materials handling; slips, trips, and falls; hazardous chemicals; emergency planning; electrical hazards; lockout/tagout; heat

illness; automation and robotics; refrigerated warehousing; temporary workers; and, stress and fatigue.

We will demonstrate and maintain standard operating procedures regarding our Employee Safety Plan in such a way that they can be readily accessed from the physical site of operations upon the request of inspectors, the AMCC, or AMCC staff. Ala. Admin. Code r. 538-x-3-.05.03.m.16.h. We will always maintain, and review at least annually, our employee safety plan that complies with parallel OSHA standards applicable to similar types of businesses (to the extent such standards can be extrapolated to fit our workplace). Ala. Admin. Code r. 538-x-4-.07.12.o.08. Our Human Resources Officer J.K. will review our Employee Safety Plan with the leadership team at least annually and adjust as needed.

Due to the potentially hazardous nature of our workplace, all team members are responsible for familiarity and compliance with OSHA, EPA, and state regulations regarding job safety and health protection. We will cooperate with all reasonable OSHA and EPA inspections and compliance reviews. We will provide training and materials explaining the applicable standards and guidelines for all employees during their initial work period, and periodically when applicable regulations are revised or added. All employees are required to participate, and a record will be maintained of all those in attendance. OSHA's Hazard Communication Standard requires that warning labels with orange and orange- red biohazard symbols be affixed to containers of regulated waste or, alternatively, red bags may be used. Employees who may come into contact with hazardous materials are required to receive information and training after the start of employment. We will maintain additional information, including a copy of the safety data sheets ("SDS"), about any chemical used or stored in the facility, which is available to employees during working hours. Staff will undergo training on how to maintain OSHA safety protocols while on premises, such as: wearing PPE; allowing rest time for staff between tasks of 10-minute breaks every two hours of work and one hour lunch break between every four hours of work; and reporting potential workplace hazards to our COO. Applicable material safety data sheets will be readily available in dispensing areas. We will use the Hazard Analysis of Critical Control Points ("HACCP") system to identify specific safety hazards and measure and control them to ensure the safety of our products. HACCP is a science-based, systematic tool used in various industries to assess safety hazards and establish control systems that focus on prevention

rather than relying exclusively on managing collateral damage. We will use our HACCP system throughout all stages of production to avoid dangerous work environments throughout the dispensing workflow. Part of this process will be establishing Critical Control points throughout the production process and a system of measurements designed to monitor, evaluate, and control any variance or hazard to employee or visitor safety and security.

To ensure worker and consumer safety, we will always identify, hold, and store toxic cleaning compounds, sanitizing agents, and other chemicals in a manner that protects against contamination. We will also provide gloves for employees who may come into contact with toxic cleaning compounds or directly touch cannabis for packaging or repackaging. OSHA has identified falling and tripping as being major hazards associated with similar facilities and work environments. This is especially the case when floors are wet, damp, or otherwise coated in a way that makes them increasingly slippery. We will require employees to wear slip-resistant shoes within production areas.

While the instances of use may be rare, such as during construction or renovation, we want to be fully prepared and we will utilize the following PPE for our employees' safety: hand protection (e.g., protective gloves, nitrile gloves) where cut hazards or potential exposure to corrosive liquids, blood, chemicals, or other infections materials exist; head protection (e.g., hard hats) where danger of falling objects exist; eye protection (e.g., goggles or glasses) where risk of eye injuries exists, such as punctures, abrasions, contusions, or burns; face protection (e.g., face shields) where danger of flying particles or materials exist; foot protection (e.g., steel-toed boots) where risks of foot injury from corrosive, poisonous, or hot substances, or from falling objects, crushing, or penetrating actions exist; hearing protection (e.g., ear plugs) where risks of hearing damage from occupational noise exist and exceed the acceptable sound levels of the OSHA noise standard; respiratory protection (e.g., respirator, gas masks) where respiratory health risks exist from inhaling smoke, fumes, particulate matter, etc.; and, clothing protection (e.g., plastic aprons) where risk of splashing chemicals exists.

We will also keep emergency kits in marked locations throughout the facility for quick access in an employee safety emergency. Staff will check the emergency kit once per month to verify all contents are present, in working condition, and unexpired. The emergency kit

will include: a fire extinguisher; bottled water; non-perishable food; flashlights with extra batteries; first aid kit (assorted bandages, gauze, antibiotic ointment, sterile gloves, tweezers, antiseptics, cleansing wipes, scissors, and common over-the-counter medications such as Tylenol and Benadryl); a basic toolbox (wrench, pliers, screwdriver, hammer); garbage bags; hand sanitizer; face masks or coverings; buckets; a battery-powered radio; a charged cellular phone with charging cord; and, a USB battery pack.

15.9 – SOPs – Cybersecurity and Confidential Information

Our team includes professionals from medical cannabis, health, IT, pharmaceutical and compliance industry, we understand patient confidentiality very well and the importance of it. Our IT manager has a bachelor's degree in Information technology, and he will oversee the cybersecurity and confidentiality data. We will choose software and hardware solutions and vendors that demonstrate cybersecurity compliance. We will provide effective controls and procedures to guard against unauthorized access to our electronic systems or our confidential business data. We will create and maintain a dispensing plan for maintaining confidential information and providing cybersecurity for sensitive information with respect to patients and caregivers, and we will include within that plan a set of protocols for maintaining the confidentiality of patient information in accordance with HIPAA arising from or related to our access to the patient registry and/or from any other source. Ala. Admin. Code r. 538-x-8-.05.03.e. Our controls will include methods that protect against electronic records tampering. We will take all necessary steps to confidentially maintain records with any personally identifying or private business information. Ala. Admin. Code r. 538-x-4-.05.07. When creating policies for the security of our hardware, software, and data, we have consulted regulations found in Title 45 of the Code of Federal Regulations, including the Health Insurance Portability and Accountability Act ("HIPAA"), and the Health Information Technology for Economic and Clinical Health Act ("HITECH"). We have also adopted best practices for cybersecurity used by HIPAA-compliant medical facilities, to prevent unauthorized access or theft of our data.

Our COO E.J.H. will oversee our compliant and confidential recordkeeping system. They will also perform regular audits of our records and update standard operating procedures ("SOP") as needed to maintain compliance and accurate recording. We will work with HIPPA

certified professionals to deliver trainings on HIPPA and related policies for our employees related to their job roles. Employees that interact with the patient registry, AMCC website, or seed-to-sale system will earn certifications, prior to beginning their job, for each database they will use. Ala. Admin. Code r. 538-x-4-.05.05. All employee training will include online safety, including how to create strong passwords, avoid dangerous websites, and recognize phishing emails. We will also provide staff with notices of emerging cybersecurity threats, such as software vulnerabilities or new phishing scams. Our COO E.J.H. will keep all computer systems updated with an efficient and compliant operating system, software, and firmware updates to patch potential system vulnerabilities. They will also conduct regular analysis of the information technology market to identify promising new security products and detect newly emerging cybersecurity threats.

We will work with Cure 8 or equivalent vendor to facilitate security and software updates, run cybersecurity tests, revise policies and procedures, and provide additional training for staff that is outside the scope of our executive team. Cure 8 has more than 15 years of experience in the cannabis industry. Upon licensure approval, we may contract with additional technology vendors to provide specific database training for employees. Ala. Admin. Code r. 538-x-4-.05.05. Coordination of any data with our vendors will be tracked through the state medical cannabis patient registry and seed-to-sale systems, which we will maintain. Ala. Code § 20-2A-35.

Our network security will comply with cybersecurity standards set by the International Society of Automation ("ISA") and the International Electrotechnical Commission ("IEC") standard 62443. Ala. Admin. Code r. 538-x-4-.05-.02. We will utilize security software on all company owned devices, to eliminate malware and phishing. Our facility will have computers with different operating systems, and we will safeguard all devices appropriately. Ala. Code § 20-2A-6.

Our inventory system will be directly compatible with the state seed-to-sale system and patient registry. Ala. Admin. Code r. 538-x-4-.05-.04. Our inventory system features confidentiality and HIPAA functionality. We will use tags to facilitate our inventory tracking that include bar codes, QR codes, RFID tags, NFC tags, or other equivalent system for assigning unique numbers to cannabis products. Ala. Code § 20-2A-63(i). This process may require additional hardware specific to scanning digital codes. We will create and maintain

plans for upgrading all system software and hardware throughout our dispensary facility. Ala. Admin. Code r. 538-x-4-.07.12.o.01. We will enable automatic system updates on all computers, and systems will be routinely inspected for security. Our financial plan accounts for all software and hardware purchases and their maintenance. Ala. Admin. Code r. 538-x-4-.05.03.

Our cybersecurity plan focuses on minimizing the amount of data we retain and limiting opportunities for security breaches. We will maintain complete, accurate, and confidential records of all sales, transfers, and destruction of medical cannabis products. Each record will include the individual or medical cannabis business to whom the product is sold or transferred, and the quantity, variety, form, and cost of the cannabis items. Any interactions that we conduct with the Alabama Medical Cannabis patient registry system will be maintained confidentiality in accordance with HIPAA. Ala. Code § 20-2A-35; Ala. Admin. Code r. 538-x-4-.07.12.o.09. Our medical cannabis dispensing records will include the name of the patient; their medical cannabis card number; the name and medical cannabis card number of the caregiver if applicable; contact information of their certified physician, and their comments, and the maximum recommended daily dosage; the amount and type of cannabis; and the date and time the medical cannabis was dispensed. Ala. Admin. Code r. 538-x-8-.03.06.a-f. All dispensing records will be maintained confidentially and securely. We will also maintain certain business records as confidential. We will keep a record of any individual that has been on our dispensary facility premises at any point in time. These records will include an individual name, time and date of entry, time and date of exit, and the reason for their presence, and we will maintain them for at least two years. Ala. Admin. Code r. 538-x-4-.07.12.o.11.i. Additionally, we will privately maintain our employee records including their personal information, resumes, references, payroll details, and job reviews. Our business records can be made available to the AMCC or law enforcement agencies as necessary.

HIPAA security is comprised of three areas for compliance: Administrative, Physical and Technical standards, which may include setting up separate networks for systems carrying confidential data, forcing log outs, and other standard security practices. 45 CFR 164. We will utilize a host of Administrative, Physical, and Technical safeguards to comply with HIPAA at our facility, including but not limited to: security management process, assigned security

responsibility, workforce security, information access management, security awareness training, security incident procedures, contingency plan, evacuation, facility access control, workstation use, workstation security and device and media controls, audit controls, integrity controls, person or entity authentication and transmission security.

We will consistently utilize rigid recordkeeping practices throughout our facility, and in all business operations. Our SOPs will always be readily accessible at our dispensary facility upon the request of inspectors, the AMCC, or AMCC staff. Ala. Admin. Code r. 538-x-3-.05-.3m.16.i. We will always comply with AMCC inspections and provide access to records as necessary. Ala. Admin. Code r. 538-x-4-.02.02.b.02.

15.10 – SOPs – Waste Disposal

We are committed to providing a clean and safe experience for not only medical cannabis patients but for our employees and visitors as well. We will do this through a multi-faceted waste disposal plan that incorporates a culture of compliance among our staff, as well as disposal practices and waste management procedures designed to protect the health of our customers, employees, and our local community. Therefore, we will always maintain and review at least annually, a plan for tracking and proper disposal of waste cannabis or medical cannabis, including all parts thereof, as applicable. Ala. Admin. Code r. 538-x-4-.07.12.o.10. Our plan will, at a minimum, leave no part of the disposed or waste cannabis or medical cannabis either usable or recognizable as such. Ala. Admin. Code r. 538-x-4-.07.12.o.10. Further, we will establish and maintain standards, procedures, and requirements for hazardous and chemical waste product storage and disposal, and chemical storage that comply with Alabama rules. Ala. Code 22 § 27; Ala. Code 22 § 30.

The primary objective of our waste disposal and sanitation plan is the health and safety of our customers, visitors, vendors, local community, and employees, with a particular focus on preventing the diversion or contamination of any medical cannabis products and related cannabis items. This plan includes not only the compliant disposal of waste but also the careful management of waste to ensure that medical cannabis products and related cannabis items do not contaminate the environment and extends to the entire interior and exterior of our building.

Our standard operating procedures for waste encompass our cannabis waste, non-cannabis waste, recycling program, sustainability, and efforts to limit waste. Part of our environmental and sustainability plan is an effort to reduce our facility's production of waste and consumption of resources. Our water supplies will be sufficient for our facility activities and derived from a source that is a regulated water system. Our facility structure will include plumbing that is adequate to carry sufficient quantities of water to locations through the facility and convey sewage and waste from the facility without cross contamination of potable water and waste.

Our staff will properly remove litter and waste, so they do not contribute to potential sources of contamination. Our facility will feature waste receptacles that are properly labeled and emptied at least daily. Since we are not permitted to reuse any tags that have already been affixed to any medical cannabis products, we will be sure to compliantly sort and store these tags, so they are not reused. If we can recycle or compost the tags, we will seek to do so, with AMCC approval.

We will utilize our inventory tracking system to track all cannabis waste linked to unique identification numbers. Ala. Code § 20-2A-60(a)(1). We are committed to conservation and will strive to reduce waste in all segments of operations. Staff will follow detailed instructions related to waste disposal and transport, especially those that concern destruction and disposal of medical cannabis waste or hazardous materials. These policies and procedures will ensure maximum compliance with all requirements set forth by the AMCC and all relevant law, preventing diversion of medical cannabis waste and protecting the environment and people of Alabama from the negative effects of improper waste disposal.

We will demonstrate the ability to destroy unused or medical cannabis waste in accordance with applicable law. Ala. Code § 20-2A-62©(4). We may render the material unusable and unrecognizable in several ways utilizing grinders, shredders, or combining cannabis waste with non-cannabis organic waste until it is unrecognizable.

Secure disposal and destruction of recalled and unusable medical cannabis products are the final steps to assure that such products are not diverted or make their way back into the market and that associated waste and byproducts do not contaminate the environment. Prior to disposal, staff will remove medical cannabis products from their packaging and

render them unrecognizable and unusable. Verification of this event will be performed by a manager and will be conducted in a restricted, secured, and surveilled access area. We will always enter these destruction and disposal records into the statewide seed to-sale tracking system. Should more information about disposal be needed, we will provide, in writing, any additional information the AMCC may request.

Whenever we dispose of or destroy medical cannabis, we will destroy it or render it unusable and will create and maintain a written record of the disposal of the medical cannabis products by our business and weigh the medical cannabis and update it in the inventory prior to disposal or destruction. The entire destruction process will be monitored, documented, and recorded; we will incorporate continuous electronic monitoring in our facility's operation, including unobstructed surveillance and monitoring of areas in which medical cannabis is destroyed. We will maintain electronic documentation of destruction and disposal for a period of at least five years, maintain detailed and accurate records of all recalls including the disposition of the medical cannabis product disposal process, and immediately implement additional changes required by future agency guidance on medical cannabis product waste management.

Our waste disposal will always comply with the associated rules set forth by the AMCC, the state, and our local jurisdiction. We will render the medical cannabis products unusable by grinding and incorporating the waste with other ground materials, so the resulting mixture is over 50% non-cannabis waste by volume. For medical cannabis waste to be disposed of in a landfill or other approved disposal method, we will mix the medical cannabis waste with mixed waste, such as paper, cardboard, plastic, soil, or other wastes approved by the AMCC (e.g., non-recyclable plastic, broken glass, and leather). If compost waste is permitted, medical cannabis waste may be mixed with food waste, yard waste, vegetable-based grease oils, agricultural materials, biodegradable products and paper, clean wood, fruits and vegetables, plant matter, compost activators, or other AMCC-approved methods. In addition to medical cannabis waste, we will also dispose of agricultural waste, such as grow media, as well as any liquid waste, such as wastewater and nutrient mixes, in a manner compliant with federal, state, and local laws.

We have developed a plan that tracks all waste material throughout our facility from generation to disposal utilizing the statewide seed-to-sale tracking system. We are

committed to conservation and will reduce waste in all segments of operations. Staff will follow detailed instructions related to waste disposal, especially those that concern destruction and disposal of medical cannabis waste, dispensary waste, or hazardous materials. These policies and procedures will ensure maximum compliance with all requirements set forth by the AMCC and all relevant law.

15.11 – Security Plan. *included in Exhibit 19*

SOPs will be continuously developed and evolve, as they provide the basis for work instructions and compliance in the workplace. the most up to date SOPs, including those mentioned here and more, will be made available to the commission upon request.

Exhibit 16 – Policy and Procedures Manual

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	3/21/2023
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

TABLE OF CONTENTS

POLICIES & PROCEDURES MANUAL SUMMARY 4

INTRODUCTION 11

RESPONSIBILITIES AND TRAINING 11

CONTINUOUS IMPROVEMENT 11

BUSINESS PLAN SUMMARY 12

ORGANIZATIONAL CHART 16

JOB DESCRIPTIONS 17

JOB DESCRIPTIONS OF ALL NON-MANAGERIAL EMPLOYEE POSITIONS 24

EXECUTIVE SUMMARY 26

DESCRIPTION OF PRODUCTS AND/OR SERVICES 27

ADVERTISING AND MARKETING ANALYSIS AND STRATEGY 31

BUSINESS DEVELOPMENT & SALES STRATEGY 35

SUPPLY & DSITRIBUTION CHANNELS 40

COMMUNITY ENGAGEMENT PLAN 41

COMMITMENT TO SOCICAL RESPONSIBILITY 42

ENVIRONMENTAL IMPACT STATEMENT 45

INSURANCE PLAN……51

EMPLOYEE HANDBOOK……52

EMPLOYMENT……53

CONDUCT AND BEHAVIOR……59

COMPENSATION……63

BENEFITS……66

ATTENDANCE AND TIME OFF……68

DRESS CODE……80

ANTI-HARASSMENT POLICIES……81

PERFORMANCE EVALUATIONS……87

CONTINUING EDUCATION……88

WORKPLACE SAFETY……89

CRIME PREVENTION……94

SECURITY……95

COMMUNICATION AND TECHNOLOGY……97

WORKPLACE GUIDELINES……101

EMPLOYEE CONCERN FORM……106

EMPLOYMENT SEPARATION……107

FINANCIAL POLICIES, PROCEDURES AND CONTROLS……108

EMPLOYEE TRAINING……111

HEALTH AND SAFETY POLICIES AND PROCEDURES……114

FACILITY AND OPERATIONAL POLICIES……137

QUALITY CONTROL/QUALITY ASSURANCE PLAN. *PROVIDED IN EXHIBIT 23* ……149

CONTAMINATION AND RECALL PLAN. RFER TO SOP *PROVIDED IN EXHIBIT 24*……149

SOPS – EMERGENCY PROCEDURES OR DISASTERS……153

SOPS – ALCOHOL, SMOKE, AND DRUG FREE WORKPLACE POLICY ……157

SOPS – EMPLOYEE SAFETY……160

SOPS – CYBERSECURITY AND CONFIDENTIAL INFORMATION……163

QUALITY DISPENSING PROCESSES……177

TESTING……190

RETURNS AND REMEDIATION……193

PROVISIONS FOR CHAIN OF CUSTODY NOTIFICATION……199

MARKETING AND ADVERTISING PROCEDURES……207

WEBSITE AND ONLINE MARKETING……215

POLICIES & PROCEDURES MANUAL SUMMARY

Introduction

In response to the AMCC Dispensary application, this document contains the policies and procedures prepared to date. The company will have dozens of policies and procedures that will collectively govern all aspects of the dispensary operations and will continue to revise and expand upon its policies and procedures over time. The company's policies and procedures are employees master resource for employees, as they provide clear guidance on how to conduct themselves and perform their work in accordance with the organization's values and goals. This document provides a summary of the company's policies and procedures thus far. The company's policies and procedures include the following categories:

1. Business Plan
2. Employee Handbook HR Policies and Procedures
3. Financial Controls, Policies and Procedures
4. Security, Health and Safety Plans and Procedures
5. Facility and Operational Policies and Procedures

Policies and Procedures, Generally

The company's policies and procedures will be established and used as a basis for training for all employees. prior to beginning work. Employees will be trained to the policies and procedures as applicable to their role. See also Exhibit 15 Standard Operating Plan and Procedures.

As indicated in Policies and Procedures Summary, a complete set of policies and procedures will be available upon licensing (and prior to start of operations). The company's policies and procedures will comprise of many detailed documents ranging from step-by-step retail, operational, and financial procedures and controls, to security procedures, HR policies and procedures, and facility business operation plans and guides. The company's policies and procedures will continuously evolve with changes in

regulation, technology, and via continuous improvement from our quality systems and learnings from other operating dispensaries across the US.

Upon licensing, additional leaders will be hired and roles appointed in accordance with our business and staffing plan. Among the first responsibilities of the leadership team will be the completion, review, and approval of the company's plans and procedures. They will also be written to reflect the most current laws and regulations of both state and municipality and the most current versions of software and hardware technologies that will be used to automate operations. In order to provide procedures that are complete and accurate, the company must establish through workshops and appointed specialists the step-by-step procedures needed for each of the company's processes.

For example:
- Retail, Facility and Operational procedures will be reviewed, further developed and approved by our Dispensary General Manager in consultation from our dispensary partners in other states. The step-by-step operational procedures are dependent upon the version and implementation of the Point of Sale (POS) system, and its method of integration with Seed to Sale Tracking system, which will be finalized after award.
- Security, Health and Safety procedures will be developed in accordance with our security plan, and in coordination with our security provider and security director. While procedures have been addressed elsewhere in this application, the specific operational procedures of the system will depend on selection and implementation of the NVR system, the alarm system provider, security software version used, and the data integration between the dispensary and the security provider. We will also coordinate security and emergency procedures with local law enforcement and the local fire department. Detailed workshops with local law enforcement and fire, and detailed security system training and implementation details will commence after the license is awarded.
- Financial Controls, Policies and Procedures will be prepared and reviewed by our CFO and CPA. While we will use GAAP style accounting, and follow state laws particularly related to track and trace, detailed procedures are dependent on the detailed implementation of both the accounting system selected and implementation of the POS.

- Quality management procedures will be further developed, reviewed, and approved by our Compliance Director. Included in this are procedures for interfacing with testing labs in the state. Once we know who will be operating testing labs in the state, we will coordinate with them to establish procedures for testing and sampling cadence with the lab, requesting tests, publishing test results, and printing labels.
- Sales, Marketing and Administrative policies and procedures will be developed by our General Manager and sales and marketing leadership team. It will also be developed depending on our product suppliers and product mix. The product mix available for sale will be known after producers are licensed and provide their product roadmaps.
- HR Policies and procedures will be provided by our Director of HR in coordination with our payroll processing/outsourced HR vendor. The policies and procedures are described in our Employee Handbook and will also be refined to reflect the most current laws and regulations, the most current version of payroll/HRIS systems.

See also Exhibit 15 Standard Operating Plan and Procedures.

Responsibilities and Training

The company's policies and procedures will be maintained by the company's compliance team, approved by company leadership, and all employees are responsible to comply with the policies and procedures, along with any applicable rules and regulations. Employees will be trained on the policies and procedures to begin work, at any time the policies and procedures are revised, and also periodically (such as annually).

Continuous Improvement

Throughout the stages of company development, growth, and operations, policies and procedures will necessarily change to reflect the current business strategy, legal and regulatory environment and requirements, degree of need for. Further, as an organization dedicated to quality, we anticipate that the company's policies and procedures will be revised periodically to support continuous improvement.

Business Plan - Summary

The company's business plan provides a high-level framework for company strategy. While it is not a policy document per se, it provides the foundation for the policies and procedures of the company. The business plan will be continuously revised as the company's strategy is refined. The contents of the business plan include the following sections:

- *Mission, Vision, and Goals*
- *Description of the Business and Facilities*
- *Business Structure and Plan for Adherence to Policies and Procedures*
- *3–5-year Business Goals*
- *Organizational Chart*
- *Job Descriptions of all positions*
- *A description of services and/or products to be cultivated, processed, transported, dispensed, or tested at each facility, as applicable, including:*
- *Financial Plans with actual and projected financial and pricing data*
- *Projected benefits to consumers*
- *Description of patents and proprietary technology*
- *summary of the company's Marketing Plan A Marketing Plan*
- *An advertising/marketing analysis and strategy, if any.*
- *Community Engagement Plan*
- *Environmental Impact Statement*
- *Insurance plan*

Employee Handbook - Summary

Employees are provided an Employee Handbook upon hire. The Company's Handbook includes the following sections:

- *Introduction*
- *Employment*
- *Code of Conduct*
- *Compensation*
- *Benefits*
- *Attendance and Time Off*
- *Continuing Education*
- *Workplace Safety and*

- *Security*
- *Crime Prevention*
- *Workplace Guidelines*
- *Health, Safety and Security*
- *Workplace Guidelines*
- *Employment Separation*

Every employee is required to acknowledge through signature that they have read and understand the company's employee handbook. Employees are also required to sign any time the handbook is updated. Summary of each of the sections and its subsections is listed below.

HR Policies and Procedures

The company's HR policies are related to hiring, promotion, performance evaluations, and other HR-related matters. HR policies and procedures will be maintained by the company's HR Director.

Financial Policies, Procedures and Controls

The company's CFO will be responsible for maintaining the company's financial policies and controls. The company's financial policies and procedures ensure that its financial operations, including budgeting, purchasing, and expenses are conducted in a responsible, transparent, and ethical manner. Some examples of financial policies may include:

- *General Accounting Policies*
- *Budgeting and planning*
- *Expense management*
- *Purchasing: Policies*
- *Transaction Management*
- *Financial reporting*
- *Internal controls*
- *Conflict of interest*
- *Tax (See also Exhibit 14)*
- *Financial Recordkeeping*
- *Cash Handling (See also Exhibit 14)*
- *Banking Policies*

Health and Safety Policies and Procedures

As a company that has particular safety hazards, the company will have an extensive set of policies and procedures to cover safety and health. The Employee Handbook provides high level guidance for health and safety. In addition, specific policies and procedures will be maintained that provide guidance to ensure safety in the laboratory.

These include:

Safety, generally includes:

- *Safe Office Policy*
- *Non-Smoking Policy*
- *Drug and Alcohol Policy*
- *Reasonable Accommodations Policy*
- *Workplace Violence Policy*
- *Safe Driving Policy*
- *Inclement Weather Policy*
- *Injury and Accident Response and Reporting Policies*

Safety on the Production Floor includes policies and required training in the following areas:

- *Safety & Health Training Policies*
- *Equipment Policies*
- *PPE and Safety Equipment Policy*
- *Chemical and Gas Safety Policies*
- *Fire and Emergency Policies*
- *Emergency Action Plan*
- *Safety from Physical Hazards*
- *OSHA Compliance Policy*
- *Crime Prevention Policies*
- *Policy for Use of Panic Buttons and Alarm*

Security

The Handbook and Security SOPs includes.

- *Security Policies, Procedures and Training*
- *Keys, Access, Alarm Policy*
- *Video and Audio Surveillance Policy*
- *Visitor Policies and Badge Policy*
- *Information Security/Cybersecurity Policies*

Facility and Operational Policies

The company will maintain many operational policies including but not limited to:

- *Recordkeeping, Document Management and Control*
- *Point of Sale Policies and Procedures (Also described in Exhibit 12)*
- *Confidentiality Policies (Also described in Exhibit 13)*
- *Merchandising, Packaging, Marketing and communication procedures*
- *Retail Operation, Customer Service, Patient Management*

- *Inventory Control and Management, Audits, Inspections, Renewals and Assessments*

The company's policies and procedures will continuously be evolving and made available to the commission upon request.

Introduction

In response to the AMCC Dispensary application, this document contains the policies and procedures prepared to date. The company will have dozens of policies and procedures that will collectively govern all aspects of the dispensary operations and will continue to revise and expand upon its policies and procedures over time. The company's policies and procedures are employees master resource for employees, as they provide clear guidance on how to conduct themselves and perform their work in accordance with the organization's values and goals. This document provides a summary of the company's policies and procedures thus far. The company's policies and procedures include the following categories:

1. Business Plan
2. Employee Handbook HR Policies and Procedures
3. Financial Controls, Policies and Procedures
4. Security, Health and Safety Plans and Procedures
5. Facility and Operational Policies and Procedures

Responsibilities and Training

The company's policies and procedures will be maintained by the company's compliance team, approved by company leadership, and all employees are responsible to comply with the policies and procedures, along with any applicable rules and regulations. Employees will be trained on the policies and procedures to begin work, at any time the policies and procedures are revised, and also periodically, such as annually.

Continuous Improvement

Throughout the stages of company development, growth, and operations, policies and procedures will necessarily change to reflect the current business strategy, legal and regulatory environment and requirements, degree of need for. Further, as an organization dedicated to quality, we anticipate that the company's policies and procedures will be revised periodically to support continuous improvement.

Business Plan - Summary

The company's business plan provides a high-level framework for company strategy. While it is not a policy document per se, it provides the foundation for the policies and procedures of the company. The business plan will be continuously revised as the company's strategy is refined. Refer also to the business plan, Exhibit 9.

Our company is an applicant from a medical cannabis dispensary. We have a team of highly experienced business and community leaders who plan to open three dispensaries to sell a curated variety of medical cannabis products to Alabama's future medical cannabis patients.

Our medical cannabis dispensary will support medical cannabis patients and the mission of the Alabama Medical Cannabis Commission ("AMCC") with compliant and secure access to medical cannabis. The state of Alabama passed the Darren Wesley 'Ato' Hall Compassion Act, which legalized medical cannabis, in 2021. Rulemaking authority was given to the AMCC, who now have 13 commission members who represent the full spectrum of needs addressed in the Darren Wesley 'Ato' Hall Compassion Act and medical cannabis at large. The AMCC includes medical professionals to farmers to law enforcement agents, all of whom can and do offer invaluable insight into the process of establishing and regulating a medical cannabis marketplace. Thanks in large part to the contributions made by the AMCC, along with its level of communication with those interested in entering the medical market in the state, we feel confident in the future of medical cannabis in Alabama and wish to participate to the fullest extent allowable. We aim to obtain one dispensing license to enter the market at the onset of medical legalization and create one of Alabama's premier medical cannabis dispensary operations. The entire U.S. cannabis market is expected to reach $43 billion in sales by 20251, of which Alabama will contribute a valuable portion. A detailed market assessment of the state is described further in this plan. We recognize this will not be an easy endeavor. Our business has thoroughly prepared for the challenges inherent in operating a dispensary facility, and we will operate compliantly and with meticulous attention to detail to provide safe medical cannabis products for the patients of Alabama.

Business Structure and Plan for Corporate Conventions

Due to the concerted efforts of our savvy business and legal team, our business structure is clearly defined, and we are well-situated to adhere to applicable corporate conventions. Ala. Admin. Code r. 538-x-3-.05-.3m.15.a. Our company is an Alabama business founded by an experienced, passionate, and capable team of Alabama residents and experts for the purpose of starting a dispensary built around providing Alabama medical cannabis patients with the highest-quality selection of products in an environment that is both professional and comfortable for patients. Our company is structured as an Alabama limited liability company ("LLC") to protect our owners from personal liability associated with the business and to provide certain tax benefits. The Name Reservation Certificate and Certification of Formation with the Alabama Secretary of State.

As a member managed LLC, our business is a pass-through entity, and we will file as a partnership for tax purposes. Though it is not required for LLC to hold annual ownership and board meetings, we will do so and record the minutes of our meetings to promote transparency in our business operations. We will maintain and update our articles of organization and operating agreement and related documentation as needed. Our Chief Compliance Officer L.G. and our outside legal counsel will verify our ongoing compliance with applicable corporate conventions. Managers of the LLC have a fiduciary duty to both the business and other members to assure compliant operations.

Business Goals

Our owners and leaders distilled and clearly defined our business goals for now and into the future, including specific three-year and five-year plans, as well as our long-term strategy and exit plan. Ala. Admin. Code r. 538-x-3-.05-.3m.15.b. We have many business goals, and we understand that these must be evaluated and

adjusted over the course of the first three and five years of operation and beyond as the market inevitably fluctuates and changes. We believe it is essential to the success of a business to have simultaneously ambitious yet realistic and achievable goals. We also know that our goals must be measurable and time-constrained to provide actionable metrics with which to track our progress. We will employ iterative improvement processes to set and achieve proactive goals for the good of the company and so we can continuously create better products for the medical cannabis patients of Alabama. These goals cover the full spectrum of our operations and touch on the over-arching aspects of our work, all under the influence of our guiding mission and vision statements (included in our executive summary section).

We have clearly defined detailed business goals. In our first year of business, we will create a strong community presence and develop our patient base. We will establish relationships with our patient base to create a superior level of satisfaction and retention through a tailored patient experience, focused on our patients' medical needs. By the third year of dispensary operations, we will be a personalized brand that is recognized as the medical cannabis dispensary offering the best standard of care within the state of Alabama. We are focused on providing educational opportunities to the community and our patient based. During the first three years we will also create jobs and give back to local philanthropies and non-profits. During our fourth year of operation, we will identify additional underserved markets and expand to accommodate our growing patient base, if allowed. In our fifth year, we will expand personnel and facilities within the guidelines of the AMCC and we will create an employee development program to help increase employee retention. Throughout business expansions with additional dispensary locations, we will continue to make medical cannabis products accessible to patients in need with fair prices for all. We will continue to be leaders in the medical cannabis industry through our use of innovative software; continual

education opportunities for our employees; consistent clientele; and our positive community connections. While we have goals listed in different stages, the common, continuous, and primary goal is serving patient needs.

Long-Term Strategy and Exit Plan

Our long-term strategy involves discrete steps that will allow us to achieve our goals. We will always learn more about our industry and best practices. We will continuously study the medical cannabis regulations and any additional guidance provided by the AMCC. Thorough this application process, our team has familiarized themselves with regulations and begun the education process. We will survey our client base to address the medical needs of patients, and the community to maintain positive connections. We will adjust our workflows for improvement based on innovations.

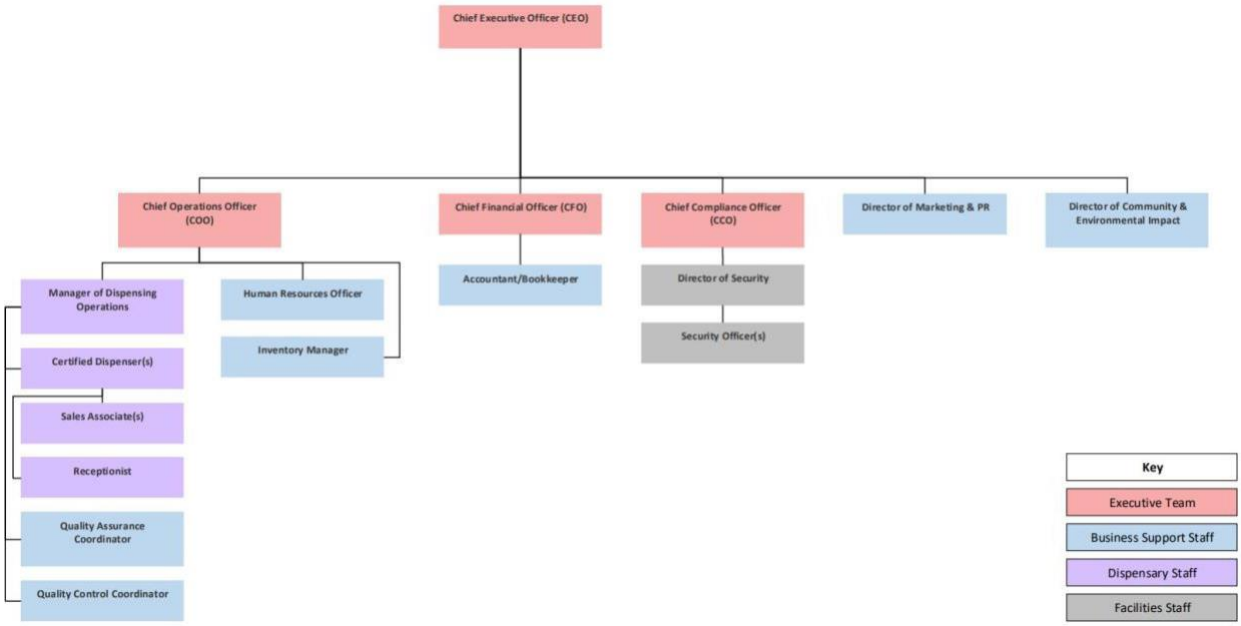
At the three-year mark of operations, we will hold focused meetings of our executive leadership established to assess the first few years of operations and determine what we have learned, what remains to be addressed, and what steps have we taken to achieve our goals. This will be repeated at the five-year mark, though the scope will be significantly more expansive. At this point, we will also address any substantial business needs. These may include adaptations employee hiring and retention plans; standard operating procedures ("SOPs"); the composition of our executive leadership team; our mission and vision statements; a reevaluation of our long-term strategy and potential exit plans; and large-scale community-focused projects. If issues of financial viability arise, we will address these in a timely manner. We will communicate to our stakeholders and the AMCC needs of the business, and necessary changes to improve our financial standing. Our goal in these communications and plans of action will be continual profitability, and to provide safe and consistent medical cannabis products.

Once we have reached a point of profitability, or as the market demands, we will expand our operations. We will only do this after approval from the necessary regulatory bodies and communication with our key business stakeholders. We will never fail to meet the needs of our current medical cannabis patients as we increase our dispensary locations. This would entail adding additional cannabis dispensary locations in Alabama.

Our owners and executive team have carefully and thoroughly considered a wide variety of potential exit strategies. These strategies will allow for our successful expansion. Future strategies could include merger or acquisition opportunities. Our current strategy is to continue growth to serve more patients and provide excellent quality, accessible medical cannabis products statewide.

Organizational Chart

Here we have included our organizational chart which visually conveys our internal structure. Ala. Admin. Code r. 538-x-3-.05-.3.m.15.c. This details individuals' roles, responsibilities, and relationships between others within our business operation. This will be made available to employees at time of hiring and in our internal database for easy access for employees to review should they have questions or comments about the company structure and organization.



Job Descriptions

We have a clear delineation of authority in our operations with distinct qualifications required based on job duties. Ala. Admin Code r. 538-x-3-.05-.3m.15.d. This is showcased here through our executive and managerial position job descriptions. A managerial position is an employee who possesses authority to formulate and carry out management decisions, or who otherwise represents the interests of management. Managers of all kinds will act with discretion. A "managerial position" need not act in a supervisory capacity in relation to other employees.

Chief Executive Officer ("CEO")

The CEO has authority over the senior planning and leadership teams. They will execute the strategic direction of the company and guides all staff efforts toward achieving company objectives and defined goals. The CEO will also assist with financial management and strategic business planning, provide oversight for the company,

and work to develop a company culture in line with the company mission. It is the responsibility of the CEO to set the tone and establish precedent of decision making for the business, particularly as it relates to making our mission and vision actionable and achievable. The CEO promotes our company values and ethical business practices from the top; provides complete oversight of all branches of the company; coordinates with the marketing and public relations officer to develop all branding and brand identity components, including product packaging and store design; builds, establishes, and strengthens the executive leadership team; evaluates and manages the fiscal and operational performance of the company; and, oversees each branch budget and departmental budgets.

Chief Operations Officer ("COO") — Reports to CEO

The Chief Operations Officer manages operations, including development of SOPs and staff training programs, which will always be adapted to comply with applicable regulations. The COO must analyze current and future market trends to help to achieve the company's profitability goals and other objectives. The COO works with branch executive teams to create and implement production plans; select equipment and materials; and, assist in selecting vendors and outsourced services. They will guarantee smooth day-to-day operations for patients and staff. The COO develops and implements compliant SOPs; implements preventative measures for uninterrupted production and efficiency improvements; assists with developing staff training programs; identifies areas of cost savings and sources affordable equipment and supply vendors; and, coordinates internal and external audits of physical inventory and data with the CCO.

Chief Compliance Officer ("CCO") — Reports to CEO

The Chief Compliance Officer ensures daily operational compliance by developing, maintaining, continuously improving upon a comprehensive compliance program designed to ensure that every level of the operation acts within the federal, state,

and municipal regulations. The CCO also acts as the communication link between our business and the regulators when implementing any changes of which the state or local jurisdiction needs to be made aware. The CCO manages license, bond, and permit application and renewal process; monitors regulatory updates to ensure all standard operating procedures are compliant with local, state, and applicable federal regulations; audits all company inventory, systems, and reports for accuracy and consistency; will create, manage, and deliver compliance-based education and training; maintains records as required by state and federal regulation; and, assists with development of staff training materials and compliance tools.

Marketing and Public Relations ("PR") Director — Reports to CEO

The PR Director works to maintain our public image, devise sales strategies, and create marketing materials in compliance with advertising restrictions and regulations. Brand management, content creation, and advertising on approved platforms are also responsibilities of the PR Director. They will also be responsible for any and all messages and communications going out to the general public about the business itself, any recalls which may occur, or statements to the press—be it locally, nationally, or internationally. The PR Director manages our brand and identifies intellectual property violations; manages social media presence and ensures integrity of online branding and prepares quarterly marketing plans and written reports; develops and approves marketing materials within the marketing budget and implements company public relations strategies; updates the general public on news related to the functions of the business as deemed necessary by the executive leadership team; writes content for website, press, and marketing materials; and, designs marketing materials or oversees design, if performed by other staff or a vendor.

Medical Director — Reports to CEO

The Medical Director supports the company with their medical expertise, aiding in the

creation of customer educational materials, staff training programs, and operational best practices. The Medical Director will stay up to date on new research within the cannabis industry, and medicine in general, in order to inform the advisory board, executives, and company leadership of significant developments. The Medical Director will also support the revision of these materials as well. The Medical Director applies medical expertise and best practices to develop customer educational materials to be distributed in print, online, and via multimedia platforms; stays updated with medical developments regarding cannabis and communicates said updates to respective and necessary personnel; maintains the security and confidentiality of patient information, records, and any and all protected health information ("PHI"); works with the CCO, COO, and Manager of Dispensing Operations to develop and revise company SOPs; and, coordinates with the (C.D.G. - Community Outreach Coordinator) to participate in and develop community outreach initiatives.

Human Resources Officer ("HR Officer") — Reports to COO

The Human Resources Officer plans, directs, and coordinates the administrative functions of the organization. They oversee the recruiting, interviewing, and hiring of new staff; consult with top executives on strategic planning; and serve as a link between anorganization's management and its employees. The HR Officer is also responsible for supervising and providing consultation to management on staffing plans; compensation; benefits; training and development; budget; and labor relations. The HR Officer recruits and hires qualified staff to fill roles necessary for company growth and success; delivers new hire orientation and coordinates onboarding paperwork; promotes good workplace practices for employees during onboarding and throughout employee's tenure; manages records of employee evaluations, time off requests, pay periods, and other employee administrative work functions; coordinates with management and subject matter experts to deliver operational training programs; and, manages staff attendance, scheduling, time off requests, and payroll systems.

Manager of Dispensary Operations ("MDO") — Reports to COO

The Manager of Dispensary Operations will plan and manage all aspects of day-to-day operations including managing personnel; training staff in sales and customer satisfaction; inventory control; and, handling the daily procedures of all store related matters, all while adhering to strict state and local guidelines and regulations. The MDO will communicate with all levels of the organization to implement and maintain protocols, policies, and procedures in accordance with company standards. It is the MDO who will serve as the key point person between Executive Team members and non-managerial role personnel on dispensary related operations in the business. The MDO manages all daily dispensary operations, including retail company processes and staff; supports all retail management staff to achieve sales and service goals; offer at a minimum, quarterly updates to the executive team on changes, improvements, or setbacks seen in dispensary operations; executes changes to dispensary operations and see they are completed by necessary staff members; communicates executive directives to dispensary management and staff; and, regularly reports to executive team about retail trends, inventory needs, sales, and staff performance.

Manager of Security ("MOS") — Reports to CCO

The Manager of Security protects assets, ensures facility security, and oversees staff security training to create a safe working environment and protect the community. The MOS creates security SOPs and protocols with the goal to achieve zero losses from diversion or criminal activity and maintain compliance with the state and local regulations. The MOS protects the safety and security of all facilities, assets, and individuals including visitors and employees; assists with the design and implementation of a comprehensive security plan, which includes both selection/placement of technological assets as well as personnel; drives privacy initiatives and strategic plans set by leadership; creates emergency procedures and implements security best practices to ensure compliance and safety of visitors and staff throughout operations; builds relationships with local law

enforcement and emergency services to identify the company as a community partner and champion of safe facilities; and, trains non-security personnel in diversion prevention efforts as part of the company's anti- diversion plan.

Community Outreach Manager ("COM") — Reports to CEO

The Community Outreach Manager connects the company with community members, customers, advocacy organizations, industry groups, legislators, and regulators. The COM also works to educate the community about cannabis and sustainability through the development/distribution of educational materials, organization of community outreach activities, and representation of the company in a professional manner at community events. The COM assists with development and implementation of an effective community action plan and comprehensive environmental relations plan; identifies local community groups and charitable organizations for potential relationships and maintains those relationship once established; attends key events and meetings within the community to represent the company and share learnings from events to help further develop community engagement; orchestrates patient educational seminars and events; communicates community-focused events to employees and department heads; and, manages company participation in local community groups, including environmental initiatives, volunteering, and financial or in- kind donations.

Certified Dispenser — Reports to MDO

The Certified Dispenser has one of the most important roles at our company and that is overseeing and signing off on all sales of medical cannabis as the Certified Dispenser is ultimately responsible for each transaction. Ala. Admin. Code r. 538-x-8-.03.02. The Certified Dispenser will be responsible for educating patients and caregivers on products and services offered, monitoring visitors and their behavior to ensure compliance with our safe and healthy workplace policies and offering goods and products to patients and caregivers in a polite, warm, and friendly manner. Certified

Dispensers will work with the MDO, who will be responsible for maintaining adequate stock in products offered and relay any such discrepancies or issues with Certified Dispensers, the MDO, who may serve as a point of escalation in some patient interactions, and the Medical Director regarding the medicinal efficacy of cannabis and protecting patient health. Certified Dispensers will engage with patients and caregivers about products and services offered at the facility; serve as a resource of knowledge and insight not only into the daily operations of the facility but on cannabis and cannabis products and their legality to patients and caregivers; confirm that the patient or caregiver holds a valid, current, unexpired, and unrevoked medical cannabis card, and that the dispensing of medical cannabis conforms to the type and amount recommended in the physician certification, and that the amount recommended will not exceed the 60-day daily dosage purchasing limit; promote the company values, mission, and vision of the company with customers in a personable manner; to the best of their ability, answer any and all questions patients or caregivers may have and direct them to various resources such as pamphlets, websites, or other individuals within the facility; and, at a minimum, have two years of education or experience in the fields of biology, biochemistry, chemistry, physiology, pharmacology, medicine, medical cannabis, nursing, pharmaceuticals, or a similar field. Ala. Admin. Code r. 538-x-8-.03.03.

Inventory Manager — Reports to COO

The Inventory Manager is responsible for overseeing daily inventory operations under the supervision of the COO. The Inventory Manager creates and implements processes, protocols, and key performance indicators associated with inventory management to ensure inventory workflow meets daily sales and operational demands. The Inventory Manager is responsible for the development and management of existing licensee relationships to meet delivery, cost, and quality objectives as well as researching and identifying potential new partners; inputs arriving purchase orders into the state seed-to-sale inventory tracking system and other business management platforms; audits inventory

for accuracy; investigates, corrects, and reports inventory discrepancies encountered throughout daily operations; manages bulk ordering for supplies; and, writes inventory and compliance reports and ensures data uniformity in all reports and records.

Job Descriptions of All Non-Managerial Employee Positions

Within this section, we detail each of the non-managerial roles within our business operations showing the clear delineation of qualifications and duties. Ala. Admin Code. R. 538-x-3-.05.03.m.15.e.

Sales Associates — Reports to Certified Dispensers

Sales Associates will have some of the most patient facing responsibilities in the facility. To that end, they will be responsible for educating patients and caregivers on products and services offered, monitoring visitors and their behavior to ensure compliance with our safe and healthy workplace policies and offering goods and products to patients in an amicable manner. Sales Associates will work under and report to the Inventory Manager, who will be responsible for maintaining adequate stock in products offered and relay any such discrepancies or issues with Sales Associates, the Certified Dispenser who will oversee the proper dispensing of medical cannabis products, and the MDO, who may serve as a point of escalation in some patient interactions. Sales Associates will engage with patients and caregivers about products and services offered at the facility; serve as a resource of knowledge and insight not only into the daily operations of the facility but on cannabis and cannabis products and their legality to patients and caregivers; promote the company values, mission, and vision of the company with customers in a friendly and personable manner; under the supervision of the Certified Dispenser, confirm that the patient or caregiver holds a valid, current, unexpired, and unrevoked medical cannabis card, and that the dispensing of medical cannabis conforms to the type and amount recommended in the physician certification, and that the amount recommended will not

exceed the 60-day daily dosage purchasing limit. Ala. Admin. Code r. 538-x-8-.03.05. Sales Associates will also maintain proper flow of customers throughout the facility, ensuring customers are only permitted to enter into designated areas of the facility which they are intended to be in.

Receptionist — Reports to Certified Dispensers

Receptionists will have a substantial role in patient facing responsibilities in the facility. They, alongside security officers, will serve as a front line of defense for individuals wishing to enter the premises. They will also serve as some of the first individuals who patients will interact with at the facility so will need to be well-versed in our values and missions to promote that in their interactions with patients. Receptionists will confirm patients have the proper government issued identification to enter the facility, making sure that they are of the legal age for admittance; bar admittance to individuals of the general public who are not of legal age; communicate with the necessary employees about unruly or disruptive patients who may need to be escorted off the premises or who are in need of any potential medical attention; alongside security officers, keep and maintain a log of visitors coming in and out of the facility; answer any questions customers may have and direct them to the necessary personnel or resources available if they themselves do not have the answer for them; and, supporting internal operations, specifically with aiding Certified Dispensers, should additional help be made necessary.

Security Officers — Reports to MOS

Security officers are responsible for maintaining the security and compliance of the facility, protecting company assets, and creating a safe working environment for all staff and visitors by physically securing the facility, overseeing visitors, and preventing diversion and any other crimes or misconduct. Security officers work under the guidance and standard operating procedures created by the MOS . Security officers will monitor the interior and exterior of the store premises using video surveillance

equipment to ensure the safety of visitors, products, and staff; log and escort visitors within restricted access areas, when necessary; coordinate with local law enforcement and the MOS should any laws be broken; adhere to and enforce access restrictions, including all limited access areas and restricted access areas; and, prepare and file incident reports for all suspicious, noncompliant, or criminal activity.

Executive Summary

Our executive summary is a high-level overview of our detailed business plan, which includes our mission statement, business style, key personnel, their leadership background, and qualifications, and identifies our facility locations and functions. Ala. Admin Code. r. 538- x-3-.05.03.m.15.f.

Mission and Vision

When it comes to creating mission and vision statements, we took a holistic approach, assessing not only our ambitions for the business itself, but identifying how we wish others to view and relate to us. While it's unlikely most will immediately grasp the deep meaning and personal connection of both our mission and vision statements, we believe the elements of such will be exemplified and shine through in our work ethic, our products, and our commitment to patient health and wellness.

Mission:

Our mission as a medical dispensary is to provide quality and professionalism with the creation of a safe and comfortable atmosphere that exemplifies patient care, education, honesty, and transparency.

Vision:
We have a passion to legitimize the medical cannabis industry through the education of Alabama medical patients in a safe private dispensary experience. We envision our products will improve the wellness and quality of life of our patients.

Our brand was created from our passion to legitimize and educate Alabama medical patients about the medical cannabis industry and medical cannabis products. Our desire as a medical cannabis dispensary in Alabama is to provide quality and professionalism while creating a safe, comfortable atmosphere that exemplifies patient care, education, honesty, and transparency at the deepest level. Due to these factors, we are well-positioned and well- equipped to serve Alabama medical cannabis patients.

Description of Products and/or Services

We will create and provide a variety of consistent and effective medical cannabis products to serve the medical cannabis patients of Alabama. We will dispense the following products at our facilities, including projected pricing data; projected product lifespan; projected benefits to consumers; patents; and proprietary technology. Ala. Admin Code. r. 538-x-3-.05.03.m.15.g.
Products and Services

We intend to procure and offer the people of Alabama a wide selection of cannabis products, sourced from local suppliers whenever feasible. Our founders have identified a lack of selection, minimal education, and underwhelming service to be major shortcomings of existing medical markets and we see this as a terrific opportunity to set ourselves apart in the nascent Alabama medical market. Particularly, our executive team's collective experience guarantees our business can maintain an uncompromisingly high standard of quality, education, and patient service in the new market. We will offer a select variety of medical

cannabis products to match our local market demand, with our products ranging between THC and CBD varieties, as well as medical cannabis paraphernalia. The medical grade cannabis products allowed by the state of Alabama are oral tablet, capsule, or tincture; non-sugarcoated gelatinous cube, gelatinous rectangular cuboid, or lozenge in a cube or rectangular cuboid shape; gel, oil, cream, or other topical preparation; suppository; transdermal patch; nebulizer; and liquid or oil for administration using an inhaler. Ala. Code § 20-2A-3(14). Medical cannabis does not include raw plant material; any product administered by smoking, combustion, or vaping; or a food product that has medical cannabis baked, mixed, or otherwise infused into the product, such as cookies or candies.

Of the allowed medical cannabis products, we have decided to dispense all allowable products at the outset of operations. We may later expand to offer other medical cannabis products as the market demands and the AMCC permits.

- Oral tablets and capsules
    - Pricing data: $15 - $25
    - Product lifespan: 12 months
    - Projected benefits to consumers: Tablets and capsules provide convenient, discreet, and specific dosing to patients. Tablets and capsules are pre-measured, so patients are sure to get the same dose every time. This convenience adds an additional benefit for patients that may be suffering from mobility issues that make measuring a liquid (tincture) difficult. Pills are also a familiar form of medication for many, which provides a level of comfort for some patients. Because capsules must digest through the GI tract, they may have a delayed onset time. However, due to this difference in the way the body absorbs the medication, the effects may be stronger and/or last longer. This provides additional benefit to patients that rely on a

consistent dosage for a chronic qualifying condition. Tablets and capsules are free of sugar, calories, and other ingredients that may be undesirable for some patients. We will source tablets and capsules offered in both 10 count and 20 count options, providing patients an affordable trial size option and a monthly-supply option.

- Tinctures
    - Pricing data: $32-$42
    - Product lifespan: 12 months
    - Projected benefits to consumers: Tinctures are shown to have a faster onset time than other forms of oral medication (such as cubes or tablets and capsules), which is beneficial if a patient needs fast relief to combat acute pain or a sudden flare up of their qualifying condition. The medication is absorbed into the bloodstream directly via the membranes under the tongue. This provides additional benefit to patients that suffer from gastrointestinal issues, as the medication does not need to process through the digestive system to take effect. Because of their liquid form, tinctures largest benefit is the ability to administer the minimally effective dose.
- Non-sugarcoated Lozenges and Gelatinous Cuboids – flavored peach only, as established as the Universal flavor by the AMCC (Ala. Admin. Code r. 538-x-6-.04.02.e)
    - Pricing data: $20-$30
    - Product lifespan: 12 months
    - Projected benefits to consumers: Similar to tablets and capsules, non-sugarcoated lozenges and gelatinous cuboids provide convenient, discreet, and specific dosing to patients. Non-sugarcoated lozenges and gelatinous cuboids are also pre- measured, so patients are sure to get the same dose every time and patients that may be suffering from mobility issues do not need to take the time to measure a liquid

(tincture). Non-sugarcoated lozenges and gelatinous cuboids digest through the GI tract and may have a delayed onset time. However, due to this difference in the way the body absorbs the medication, the effects may be stronger and/or last longer. This provides additional benefit to patients that rely on a consistent dosage for a chronic qualifying condition. Non-sugarcoated lozenges and gelatinous cuboids are offered in both 10 count and 20 count options, which stays in line with providing patients an affordable trial size option and a monthly-supply option.

- Topical Cream
    - Pricing data: $15-$25
    - Product lifespan: 12 months
    - Projected benefits to consumers: Topicals deliver localized, fast-acting relief to patients. Applied directly to the skin, patients can target their relief with a topical cream. Topicals can be highly beneficial at treating localized pain from a qualifying condition or used in conjunction with another cannabis product to provide the maximum relief for patients with chronic conditions. Topicals are convenient and easy to use, and many patients report a soothing effect.
- Transdermal patch
    - Pricing data: $15-$22 per unit
    - Product lifespan: 24 months
    - Projected benefits to consumers: Transdermal patches offer a slow-release solution and is an alternative to ingestion. Patients who suffer acute pain have reported that transdermal patches have longer lasting pain mitigation effects compared to other methods of medical cannabis consumption like that of ingestible medical cannabis. Transdermal patches are odorless and discrete so patients

who are mindful of others in their surroundings do not need to worry about potentially disturbing them.

Patents and Proprietary Technology

Our company appreciates the value of intellectual property in the forms of patents and proprietary technology. As a business, we are dedicated to an innovative mindset and research and development. We have not yet developed any internal patents but will always educate ourselves and try new discoveries.

Advertising and Marketing Analysis and Strategy

Our leadership team has established a diversified advertising and marketing analysis and strategy. Ala. Admin Code. R. 538-x-3-.05.03.m.15.h. Compliance will serve as a cornerstone to our advertising and marketing strategies. Our advertising and marketing efforts are based upon our in-depth analysis of the projected Alabama medical cannabis industry, comparable markets, and strict regulatory compliance.

Alabama Industry Analysis and Trends

The cannabis industry is rapidly expanding throughout the United States and the rest of the world as the stigma surrounding its use erodes in the face of new research and transparency presented by its legal markets. As of this writing, a total of 37 states, the District of Columbia, Guam, Puerto Rico, and the U.S. Virgin Islands, regulate cannabis for medical use by qualified individuals. Alabama approved their medical cannabis program in 2021 with the passage of the Darren Wesley 'Ato' Hall Compassion Act. The United States cannabis industry generated over $26 billion in sales in 2021 with sales projected to leapfrog to $45.9 billion in 2025.[2] Globally, the economic value of the cannabis market will eclipse $96 billion by 2026.[3] We will enter the Alabama market as a medical cannabis dispensary

facility. As this is the first cannabis licensing round in Alabama, there are currently zero medical cannabis businesses operating in Alabama for comparison or competition. With the approval of legalizing medical cannabis in early 2022, Alabama has embarked on the path towards opening their medical market. Alabama's medical recreational market will begin to take shape after the late 2022 licensing round, presenting a significant opportunity not only for us, but countless other individuals and businesses. The new medical market will dramatically increase the patient base and spending on cannabis within the state. All the above factors should provide the perfect support for facilities like ours as the Alabama cannabis industry makes up for lost time and rapidly comes to maturity.

Medical Market Size

The recently passed medical cannabis bill will allow for qualifying patients over the age of 18 to purchase cannabis in the state. The state's population is approximately 5.04 million people, and of those, only a portion will qualify and register to be medical cannabis patients. In medical cannabis markets in other U.S. states, we have seen patient adoption rates vary wildly depending on the makeup of the market. In medical cannabis markets, patient adoption rates at the end of year one typically ranges from 0.5% to 2%. After the third year of operations, patient adoption rates typically rise to 2% to 4%. Rare outlying markets, such as Oklahoma, reached rates of 9%+ after several years but are not comparable to Alabama's market conditions. Patient adoption rates at the outset of Alabama's medical market will begin at 0% until the AMCC starts accepting registrants and allowing physician certifications, which according to the state, will not occur until after business licenses have been awarded in mid-2023. Based on this, the patient adoption rates in Alabama are expected to be relatively low at the initial outset in comparison to other medical programs. Additionally, patient adoption rates are generally lower over time in regulatory schemes that disallow the sale of cannabis flower. Even under most conservative and liberal estimates for the total market size, we believe we will have an ample

patient base, more than enough capacity and capability to serve the market and have opportunities for expansion in the future to meet the needs of those in our community as the patient numbers increase. We will stay up to date on state and regional trends to tailor our marketing and patient education to those who need access to medical cannabis while always adhering to our exemplary quality standards for medical grade products and patient service.

Target Market and Patient Base

Our ideal qualifying medical cannabis patients are those over the age of 18 within the local area surrounding our facilities. According to BDSA's Consumer Insights for Spring 2022, consumers in medical states prioritize trustworthiness and a convenient location. For this reason and in alignment with our mission and vision, we plan to retain patients by establishing our brand as a dependable and reliable resource and partner in their wellness journey. The number and location of other cannabis business licenses operational within the market affects our projected target patient base. As the area experiences an increase in competition through the establishment of more dispensaries, it will become gradually more difficult for one individual business to attract and retain patients. Entities wishing to enter the market at a far later stage may face substantial obstacles, which is why we are prioritizing the first mover advantage to reduce the risk of being unable to adequately stand out amongst other cannabis businesses in attracting potential patients. Though the medical cannabis market in Alabama is still being formed, we have investigated the market size and trends; target patients; market readiness; and strategic opportunities.

Strategic Market and Marketing Opportunities

Our strategic, proactive approach to establishing our business is focused on maximizing the great opportunities presented in Alabama. We have undertaken substantial work and research to establish a roadmap in our strategic marketing plan to make the most of each dollar spent. This initial

analysis has shown us several opportunities that we believe we can effectively capitalize on for a successful launch and a prosperous operation in the long term. The first of these opportunities is the relative lack of cannabis business operations currently available. This will also be made easier to achieve thanks to the substantial local support we have already received, which is in no small part due to the longevity of trust established between our team and the community in which we wish to operate. Through early preparation and planning we will be among the first medical cannabis businesses to open, allowing us to establish our patient base before the market saturates. Another opportunity is the use of data in targeted marketing efforts to build successful campaigns. We intend to use the state's sales data and our own sales data, once operational, as well as research of comparable markets, to cater our practices to have cannabis products for all uses and specifically built around our curated variety of products. By utilizing the most recent available data from the state and from our own sale's data, we will better be able to market to eligible individuals and educate those on what is necessary to know about the new medical cannabis marketplace and address what is most unfamiliar to them about the products offered.

Competitive Analysis and Position

As this is the first cannabis licensing round in the state's history, we will be competing with all new applicants across the state. However, our thorough preparation and connections in Alabama make us an ideal candidate for licensure in that area. The new medical system does not require vertical integration, allowing our business to specialize in what our founders know best: dispensary operations and sales. As a quality-focused organization, we will provide an unparalleled level of care and commitment to our service and medical grade products. Though we have recognized barriers and challenges for our business, they are outweighed by the many exceptional advantages of our strategy. We are in a prime position to learn from industry mistakes and adapt in skillful and

innovative ways for the benefit of our market.

Advertising and Marketing Plan

We will develop a brand that emphasizes our goal of being a safe and trusted source of medical cannabis products and resource for medical cannabis knowledge. Specifically, we plan to create a brand identity that captures our passion for education and therapy through the medical cannabis industry. Our advertising and marketing plans are first and foremost formed around compliance. Ala. Admin Code. r. 538-x-4-.17; Ala. Admin Code. r. 538-x-4-.17.01. Our advertising will not contain any statements, illustrations, or other material that would be appealing to minors. Ala. Code § 20-2A-61(b). Our advertising and marketing materials will always be appropriate to the subject matter and suitable for our target market.

Our advertising will not encourage, promote, or otherwise create any impression that cannabis is legal, therapeutic, or beneficial, except as specifically authorized by the Act and Rules. Ala. Admin Code. r. 538-x-4-.17.02. We will not use a name, logo, sign, advertisement, or other marketing campaign or program unless the same, including all related materials, have been submitted to the AMCC. Ala. Admin Code. r. 538-x-4-.17.03. We will submit: the name, logo, sign, advertisement or other marketing campaign or program proposed for use; A brief description of the format, medium, and length of the distribution; A verification that an actual patient is not being used on the advertisement; Verification that an official translation of a foreign language advertisement is accurate; and A final copy of the advertisement, including a video where applicable, in a format acceptable to the AMCC. Ala. Admin Code. r. 538-x-4-.17.03.a-e. If required by the AMCC, we will add a specific disclosure in the advertisement in a clear and conspicuous manner so that the advertisement would not be false or misleading; make changes as necessary to protect the public health, safety, and welfare; or not

use the advertisement. Ala. Admin Code. r. 538-x-4-.17.04.b; Ala. Admin Code. r. 538-x-4-.17.04.b.01-03. If we are aggrieved by the initial decision, we may submit a notice of appeal electronically in writing through the AMCC website, as well as appear and give information and oral argument, if requested. Ala. Admin Code. r. 538-x-4-.17.04.c.

We will not place or maintain, or cause to be placed or maintained, an advertisement of medical cannabis or any related product, in any of the following ways (Ala. Admin Code. r. 538-x-4-.17.05): Within 500 feet of the perimeter of a prohibited facility or any business or organization where, in the opinion of the AMCC, the placement of the advertisement targets or is attractive to minors; on a billboard; on a radio or television broadcast, including a system for transmitting visual images and sound that are reproduced on screens, and includes broadcast, cable, on-demand, satellite, cinema, social media, or another internet- based platform; on any handheld or other portable sign; with respect to public places, on a brochure, handbill, pamphlet, leaflet, or flyer directly handed, deposited, fastened, thrown, scattered, cast, or otherwise distributed to any person; left upon any private property without the consent of the property owners; on or in a vehicle, public transit vehicle, or public transit shelter; or, on or in a publicly owned or operated property. Ala. Admin Code. r. 538-x-4-.17.05.a-h.

Any name, logo, sign, advertisement, or other marketing campaign or program of or on behalf our company, regardless of the medium, will not include reference to, or be accompanied by, any image bearing a resemblance to a cartoon character or of any individual (actual or fictional) more than fifteen percent of whose audience is, or should be reasonably anticipated to be, composed of minors; market, distribute, offer, sell, license, or cause to be marketed, distributed, offered, sold, or licensed, any apparel or other merchandise related to the sale of medical cannabis; suggest, by direct or indirect reference, a relationship to edibles (including candy, cookies, brownies, cakes, and the like) or beverages; include designs or other presentational effects that are commonly used to target minors; suggest or

otherwise indicate that the product or entity in the advertisement has been approved or endorsed by the AMCC, the state of Alabama or any person, entity or agency associated with the state of Alabama; advertise in a manner that is inconsistent with the medicinal and approved use of medical cannabis; encourage the use of medical cannabis for a condition other than a qualifying medical condition; contain any statement, design, representation, picture, or illustration that contains or communicates: false or misleading statements; names other than the registered name of the licensee's registered business name or an approved d/b/a, or the registered name of medical cannabis or related products; a depiction of cannabis plants or any part thereof, except with respect to signs, displays and marketing material provided inside a dispensing site or on our website; slang terms and references to unlicensed uses of cannabis; disparagement of a competitor's products; obscene, indecent, or profane statements or depictions; or, statements as to the health benefits or therapeutic benefits of cannabis or medical cannabis, and statements as to the safety or efficacy of cannabis or medical cannabis unless supported by substantial clinical data. Ala. Admin Code. r. 538-x-4-.17.06.a-h; Ala. Admin Code. r. 538-x-4-.17.06.h.1-7.

We will comply with adopted rules that establish restrictions and requirements for advertising, including signage, that may include limiting the media or forums where advertising may occur. Ala. Code § 20-2A-61(c). We will not display external signage larger than sixteen inches in height by eighteen inches in width that is not attached to the entity's permanent structure or vehicle; illuminate a sign advertising a medical cannabis product or strain at any time; sell or otherwise distribute clothing, apparel, or wearable accessories, unless such sale or distribution is to an employee for purposes of identification while at the licensed facility; advertise medical cannabis brand names or utilize graphics related to medical marijuana on the exterior of any building or vehicle operated by our business; and, display medical marijuana, medical marijuana products, or medical marijuana paraphernalia that is visible from the exterior of the facility. Ala. Admin Code. r. 538-x-4-.17.08.a-e. We will not advertise or display any signage, logos, products, paraphernalia, or other identifying

characteristics on the outside of our buildings or vehicles to alert the public that cannabis is being stored at our facility. Ala. Code § 20-2A-61(a). We will focus on our reliability and consistency to produce products that our patients feel they can trust. Plainly, our brand will be reliable, trustworthy, safe, and consistent, and we plan to provide an unparalleled professional experience of quality, service, and consistency of cannabis products that qualifying Alabama patients need.

Website Advertising

We will develop a website in accordance with all applicable law. Ala. Admin Code. R. 538- x-4-.17.07.f. Our website will require each user's affirmation that the user is not a minor before access to the website is granted, and establish a web presence that advertises the name, business address, contact information, and services we provide. Ala. Admin Code. R. 538-x-4-.17.07. Our web presence will not allow for direct engagement between or among consumers or consumer-generated content. Ala. Admin Code. R. 538-x-4-.17.07.a. Our website and web presence will not provide a medium for website users to transmit website content to minors nor will it target a consumer group with a high likelihood of reaching or appealing to minors. Ala. Admin Code. R. 538-x-4-.17.07.b and c. We will not display or otherwise post content that has not been submitted to the AMCC (if such content has been created or produced within Alabama or is specifically targeted to or available only to Alabama residents). Ala. Admin Code. R. 538-x-4-.17.07.d. Our website will not function to transact business or otherwise facilitate a sales transaction to consumers or businesses. Ala. Admin Code. R. 538-x-4-.17.07.e.

Presentation of Product Offerings

We plan to make employee and patient knowledge and education a cornerstone of our medical cannabis operation. To do so, we will provide employees with detailed education on regulations, cannabis, and product offerings. As our main touch point with medical cannabis patients, our certified dispensers will provide high quality education, consultation, information, responses to patient and

caregiver questions, and instructions for use regarding all medical cannabis products at all times. Ala. Admin Code. r. 538-x-8-.05.03.d. To lay the foundation for excellence in this aspect of our dispensary operations, certified dispensers will be trained and certified by the AMCC on proper dispensing procedures, and our training program will go beyond the minimum educational thresholds established by the AMCC. Ala. Code § 20-2A-64(e)(2). Training will be an ongoing process for every certified dispenser and staff member, with continuing education, ongoing proficiency evaluations, and trainings that are both periodic and in response to discrete events. Furthermore, whenever changes occur in regulations or company policies, certified dispensers will undergo immediate retraining. Certified dispensers will also take and pass a medical foundations training course, as required by the AMCC. Ala. Admin Code. r. 538-x-4-.04.02.a.

Certified dispensers will further complete a minimum of 10 hours of continuing education as approved by the AMCC to address proper dispensing procedures, prevention of abuse and diversion of medical cannabis, and other topics related to public health, safety and good business practices relating to the dispensation of medical cannabis. Ala. Admin Code. r. 538-x-8-.03.04. Our certified dispensers' education will also cover the lifecycle of the cannabis plant; cultivation methodologies; processing, extraction, and infusion techniques; different strains and their physical and physiological effects; cannabinoids; terpenes; routes of administration and their relative effects; safe and proper usage of products; safe storage of products; recognizing signs of impairment; identifying, preventing, and responding to accidental ingestion or overconsumption; intended effects and side-effects; substance abuse signs, symptoms, and resources; up-to-date laws at the federal and state level, especially as they relate to possession, consumption, dispensing practices, and patient confidentiality; and, advancements and developments in the field of cannabis science.

Business Development & Sales Strategy

Business development is the heart of any successful cannabis business operation. Even prior to beginning operations, we will implement detailed strategies to facilitate development, starting by building a strong presence in the market, achieving a positive cash flow, implementing a scalable marketing strategy, and sustaining business growth over time. Achieving market validation and building an initial supply chain and patient base will be the foundation of the business, from which we will expand to reach more patients. The first challenge for our dispensary will be to attract an initial pool of patients, who will then spread the word about our business because of our extraordinary product quality, selection, and service. We will also engage in marketing and advertising, to the extent allowed by law, helping to further develop our presence in the market and alert more licensed cannabis businesses, especially integrated facilities and processors, to the existence of our new medical cannabis dispensary. Additionally, as one of the first licensed cannabis businesses in Alabama, we will have the opportunity to form connections with patients as the industry emerges to build longtime, loyal purchasers. Finally, the business will sustain this growth by staying on the frontlines of advancements in the industry; hiring skilled employees, management, and executives; and, adapting to changes in the swiftly developing cannabis market.

Supply and Distribution Channels

For our business to operate as a dispensary, it is integral that we establish supply channels within the Alabama market to provide the most patients with the best cannabis products possible. To do so, we will establish professional relationships with processor, integrated facility, and secure transporter licensees in the medical program. We have already begun making inroads with individuals and businesses which we believe will serve to benefit our patients and operations. We will implement this strategy in two ways. First, we will utilize our local connections to negotiate with other prospective cannabis licensees in the area to establish relations. Once licenses are issued, we will enter into agreements

with regional licensee partners, creating a supportive business network in our region of the state. We will also leverage our favorable locations to attract partnerships from those other licensees who want to sell their product and build their brand near us. The ethics, safety, and quality of any potential suppliers, partners, or vendors will be critical to determine whether we will execute a formal contract with that party. Everyone who we do business with must meet our exceptional expectations. We will carefully select State Testing Laboratories to partner with. Without the expansion and auditing of State Testing Lab capabilities, the market could suffer a backlog that reduces product availability or decreases scrutiny for product safety testing, which thereby increase the chances of contaminated products and harm to patients. We will pay close attention to the demand on licensed state testing labs and adjust our practices as necessary to maintain a stable and safe supply of products.

Community Engagement Plan

We have and will continue to foster relationships with, involvement with, and commitment to our community (including municipality or county) in which we intend to locate our facility. Ala. Admin Code. r. 538-x-3-.05.03.m.15.i. This plan will focus on efforts taken over the next three years. The plan will utilize multiple approaches, a social responsibility program designed to increase participation in the cannabis industry by disadvantaged persons, educational programs, and research, in addition to contributing to local non-profit organizations assisting our community, such as those for substance abuse treatment, veterans' assistance, and workforce development. In total, our cannabis organization will allocate at least 2% of our net profits annually to help fulfill our commitment to the local community. Our entire staff will participate in community engagement efforts and our partners at CDG (Cannabis Doing Good) will spearhead the implementation of our detailed plan. They have received accolades nationwide for their positive impact on

the cannabis community over the last five years. CDG will help us to connect with nonprofit organizations in our area once we are operational. We will also contribute to other local charities, and all staff will be encouraged to volunteer within the community.

We have active connections with local breast cancer awareness organizations and will further these relationships once our business is operational. We will partner with the Sandra J. Bryant Bosom Buddies ("SJBBB"), as part of the Russell Hill Cancer Foundation, to help them forward their mission committed to improving the health of the cancer patients by supporting bold, innovative cancer research, education, and patient assistance. We will work with SJBBB to provide support to their community of breast cancer patients and survivors, and we are excited to work with them in our home state of Alabama. We will partner with the Clearview Cancer Institute ("CCI") to help them forward their mission of providing innovative quality and comprehensive care for cancer patients. We will work with CCI to provide support to their community of doctors and patients, and we are especially excited to work with CCI, as they have been providing ongoing cancer treatment to our founder Brandi. We will also partner with the Kruzn for a Kure Foundation ("Kruzn") to help them realize their mission to provide life-saving research funding to allow children diagnosed with Schimke Immuno-osseous Dysplasia, or SIOD, to have a treatment plan and a chance at a longer life. We will work with Kruzn, families all over the world, clinicians, and researchers at Stanford University to promote awareness and raise funds for this rare, inherited, life- limiting condition, as well as raising awareness and support for other rare diseases.

Commitment to Social Responsibility

Social responsibility is a cornerstone of our business and covers many aspects, such as sustainability, ethics, job creation, and reducing inequalities. We recognize we are in a unique position through our cannabis business to

have an opportunity to help rectify historical injustices perpetrated against communities that have been disproportionately impacted by our country's war on drugs. Therefore, we will establish a social responsibility program that will provide cannabis industry education and opportunities to disadvantaged communities as part of our plan for community engagement. Our mission is to increase participation in the cannabis industry by those who live in disproportionately impacted areas of the state, including those who have been subject to unjust cannabis-related laws through our social responsibility program. We will provide education for those new to cannabis, basic job skills training for those who lack professional experience, and mentorship. We will also oversee an internship and hiring program that will help participants gain employment in the industry each year. We believe there will be sizable interest in opportunities like this for individuals given the demand for cannabis but also the lack of hands-on experience for people in the state. For those already in the cannabis industry, we will implement a career accelerator program.

Cannabis Industry Education: As a part of our efforts to provide greater opportunities to disadvantaged individuals and businesses, we will provide free educational courses for those interested in beginning a career in the cannabis industry. CDG has extensive experience providing accessible educational programs and they will support our related endeavors. Our presentations and trainings will cover skills and best practices for working as an efficient and effective employee in the cannabis industry. We will provide a basic overview of the cannabis plant and relevant scientific research. Our goal is to give a comprehensive overview of the endocannabinoid system, so that disadvantaged individuals and businesses understand how and why cannabis works in the human body. Accessing these courses and additional cannabis industry education will be made easily through resources offered at our physical location and through our online presence. If individuals require special accommodations to access this information, we will work with them on ensuring they can secure it in the most convenient way

possible. This is essential for us in continuing to reduce the stigma long associated with cannabis and making learning about cannabis both accessible and engaging. Specific advertising rules do not apply to noncommercial messages, i.e., the content of which is primarily for charitable, educational, or public service purposes and does not overtly seek profit or promote our business or our products. Ala. Admin Code.
R. 538-x-4-.17.09.

Job Skills Training: Some disadvantaged individuals and businesses may not have any working experience in professional environments, but we believe this lack of opportunity should not preclude them from working at our company as long as the person can follow our business style and philosophy, commitment to compliance, and uncompromising dedication to quality. This training will be accessible to all, and we will hold free trainings in the evenings and on weekends so that people who are working full time can more easily participate. In order to make attendance more convenient, we will hold trainings in a space that is close to public transportation lines and in more populated areas to increase attendance. We will also be sure to utilize our online presence and a portion of our marketing budget towards advertising these events to locals, particularly when we are first launching them after licensure and buildout of our facility.

Hiring Program: We will create a hiring program to connect disadvantaged individuals and businesses with employers in the cannabis industry by establishing partnerships with other Alabama cannabis businesses who share our passion for community involvement. Our partners will benefit by gaining a trusted source of candidates for their job openings and can have confidence that the candidates we advance will arrive at their new employer with the knowledge and training necessary to succeed in their roles. This is good practice not only for the success of our business, but for the improvement of the medical cannabis space at large by expanding the pool of knowledgeable and passionate

individuals in a rapidly growing industry both statewide and nationally. On the candidate side of the hiring program, we will offer free services monthly where interested people can get feedback on their resumes and cover letters. We will conduct mock interviews upon request and provide improvement tips. Our goal is to help local cannabis businesses find qualified employees while also helping disadvantaged individuals and businesses to start their careers in cannabis. Having seen the toll that the criminalization of cannabis has had on millions, we believe this can serve as a positive step on the long road of improvement in the cannabis space.

Environmental Impact Statement

Our Environmental Impact Statement outlines details of the anticipated impact of our proposed operations, per facility, on the local environment. This includes our efforts and plans to build a relationship to foster cooperation and compliance with federal, state, and local agencies providing environmental oversight, and steps taken or will take to reduce or eliminate our carbon footprint and/or to achieve and maintain a positive environmental profile in each community we intend to locate and operate within the next three years. Ala. Admin Code. r. 538-x-3-.05.03.m.15.j.

Anticipated Impact

The anticipated impact of our proposed operations on the local environment is minimal, and we have identified our most resource intensive processes and instituted plans for reducing that impact. Our greatest potential environmental impacts in our operation are in regard to electricity use. We will use the hazard analysis and critical control points ("HACCP") systematic approach to mitigate potential hazardous materials, such as use of chemical solutions in various sanitation processes and disposal of waste.

Relations with Agencies Providing Environmental Oversight

We plan to support the Alabama Department of Environmental Management ("ADEM") in their commitment to keep citizens informed and involved regarding the environmental activities in their local communities. We have already contacted ADEM with the intent of forming a productive relationship where we can be outstanding stewards of the communities we operate in and exceed in our commitment to the environment. As our relationship will grow with ADEM we want to work with the agency to target not only statewide environmental initiatives but also environmental initiatives at the local level so that we may have the most substantial positive impact possible. The mission at ADEM is to assure for all citizens of the State a safe, healthful, and productive environment and we will honor that commitment.

Carbon Footprint Reduction and Maintaining a Positive Environmental Profile

We have taken steps and will continue to continue to reduce or eliminate our carbon footprint to achieve and maintain a positive environmental profile in each community where we intend to locate and operate a facility. Our environmental improvement initiatives include sustainable packaging, air filtration, odor mitigation, waste reduction and safe disposal, energy conservation, renewable energy, and water conservation and reuse. We are committed to outfitting our facility generally with industry leading, energy efficient equipment and building materials, including equipment that is Energy Star Certified, when available. All such products, equipment, and supplies will meet energy efficiency criteria set by the US Environmental Protection Agency ("EPA") or the US Department of Energy ("DOE"). To meet procurement needs, we plan to identify, vet, and source from third-party vendors that demonstrate compliance in areas such as USDA Organic, Energy Star, Green Seal, EPA WaterSense, U.S. Green Building Council's Leadership in Energy and Environmental Design ("LEED"), cGMP, and International Organization for Standardization ("ISO"). We will choose domestically sourced, efficient, sustainable, and long-lasting products wherever possible, including compostable and recyclable options that replace single-

use plastics. We will also continuously monitor developments in the cannabis and agricultural industries and beyond in order to incorporate the most efficient technologies and best practices wherever possible. This will be crucial during the first three-years of operations so we can improve our environmental methodologies for the future, with expansion into different locations, and to update where necessary. We will also look at updating our use of environmentally friendly technology as new technologies and devices become available so we can truly maintain our commitment to the environment and its health.

Sustainable Packaging Plan

We are committed to making a good faith effort to use environmentally friendly, biodegradable packaging for our products and bulk materials in compliance with regulatory requirements. We will choose packaging vendors with a commitment to protect the environment and reduce their carbon footprint. Another aim of our plan is to reduce or eliminates the use of single-use plastics and promotes the use of recyclable or green packaging. We will use domestically sourced packaging to minimize pollution and use of fossil fuels from shipping and transportation. There are several compliant, sustainable alternatives to single-use product packaging available on the market, including compost- based, mushroom-based, hemp-based, and recycled paper packaging. Recyclable options may include glass bottles, aluminum tins, recycled polyethylene terephthalate "PET," high- density polyethylene "HDPE," or cardboard packages. We are committed to analyzing the life cycle impacts of every packaging product we source to accurately forecast end of life realities and recyclability and reusability. For dispensary-ready products, our packaging will be both sophisticated and informative, and we will collaborate with suppliers to promote and integrate reusable and refillable containers, when possible. Our labels will contain the universal state symbol approved by the Commission, printed in color at least one-half inch by one-half inch in size. Ala. Admin. Code r. 538-x-6-.05.02.i. We will continuously look outside our industry to incorporate

cutting edge technology and the most sustainable, attractive, compliant, and practical packaging options. Our packaging will be domestically sourced to minimize pollution from shipping and transportation, it will be sourced from reputable suppliers, and it must be compostable, recyclable, or multi-use to prevent or minimize entry into local waste streams.

Air Filtration and Circulation Systems

The primary electrical-mechanical system of our air filtration and circulation system will be high-efficiency rooftop unit HVAC systems with fresh air economizers that will introduce fresh outside air into the building. The economizers will be controlled by interior carbon dioxide ("CO2") sensors, which will modulate the amount of outside air introduced into the space based on the number of occupants in the facility at any time. The HVAC systems will include air purification systems, HEPA filters, carbon filters, or other similar or comparable environmentally friendly equipment. It will be operated in conjunction with odor control practices, which are also proven to mitigate the presence Volatile Organic Compounds ("VOCs"). These systems will minimize emissions to the surrounding community in turn, in line with our Emissions Reduction Plan. Automated HVAC thermostat controls will constantly maintain humidity, airflow, and temperature in the facility. Controls will be verified several times each day by our staff and management and will follow a preventive maintenance schedule for the HVAC systems in accordance with the manufacturer's recommendations or with accepted practice. Equipment will also be equipped with calibrated sensors to notify operators if replacement is required. Additionally, staff will be trained at regular intervals on the proper operation of doors, windows, vents, and HVAC systems to maintain the facility any airborne particulates are isolated and controlled. Staff will also be trained to monitor the seals and frames at all points of ingress and egress.

Odor Mitigation Practices

The purpose of controlling odors is to both minimize the exposure of our employees to VOCs and to ensure that odors from cannabis are not detectable off-site. The building's ventilation system will be augmented to create negative air pressure throughout the building to prevent air and odors from escaping outward when doors are opened. As part of our HVAC systems, we plan to install in-line air scrubbers such as Aeroclean 2000 "ECONO" air machines, or similar, to ventilation ducting. The air machines will turn over all air within the facility every 15 minutes to effectively remove odor. The air machines contain supplemental air filters, which our staff will replace according to manufacturer's specifications. Secondary odor mitigation equipment includes the strategic placement of ONA Gel odor-absorbing canisters and heavy air curtains, particularly in areas where processed cannabis goods are stored. ONA Gel products work by releasing agents that bind to and evaporate odor molecules. These canisters will minimize odors in areas further away from air intakes or with minimal ventilation. Furthermore, bulk cannabis products will always be sealed in proper packaging to further prevent and mitigate odor and the release of VOCs.

Waste Reduction and Safe Disposal

We will make efforts to reduce our waste production as an overarching goal of our procedures. We will limit our use of inputs to only what is necessary for our processes and will refine our processes to continually cut down resulting waste. We will use recycled, biodegradable, compostable, and sustainable materials throughout our facility. Our Standard Operating Procedures will outline policies for the safe, secure, compliant, and efficacious disposal of cannabis waste, materials, solvents, and chemicals. These will also be refined and updated as necessary over the course of the facility's operations. Waste will always be sealed and secured in appropriate disposal locations to reduce odor, and employees will be required to wear appropriate PPE when handling waste; PPE in this case may include long- sleeve shirts, pants, gloves, closed-toe shoes, earplugs/earphones, masks or respirators, and eye protection. Hazardous waste

will be managed and disposed in accordance with our hazardous waste management standards and with appropriate PPE. Employees handling non-hazardous cannabis waste will also be required to wear appropriate PPE. In addition to cannabis waste, the disposal of cleaning chemicals, non-cannabis solid waste, non-hazardous and liquid waste will be conducted in a manner compliant with federal, state, and local laws, with employees being required to always wear appropriate PPE. The disposal of cleaning chemicals and solvents by evaporation or spillage is prohibited, and storage areas for such materials will be regularly inspected for container leakage and to be sure that containers are sealed. We will also maintain an inventory of all cleaning chemicals and solvents and with detailed usage logs.

Energy Conservation Measures

HVAC systems and facility lighting will represent the largest use of energy in our facility, and our facility design will be the baseline to ensure all operations are designed to minimize energy use. We will install motion sensor lighting and occupancy sensor controls throughout the facility to reduce electricity consumption, and we will also exclusively use light-emitting diodes ("LEDs") instead of traditional bulbs. LEDs have proven to be more energy efficient, which will also be financially beneficial for our company. We will equip all doors with self- closing hinges, and doors and windows will be equipped with sensors that indicate an open or closed condition to prevent compromising the internal environment of the facility, thereby reducing energy consumption. Smart meters and data loggers in the building will enable us to obtain energy usage data to be used for ongoing energy audits, identifying areas of improvement, and rapidly responding to "out of condition" instances.

Renewable Energy Plan

Energy use is one of the highest environmental impact areas and the largest operational costs associated with manufacturing operations. Energy

management will be a component of our sustainability KPI, and we are committed to conserving energy through the adoption of best "lean" manufacturing practices that reduce overall demand, and to investing in renewable energy solutions to position our operation for a sustainable future. We will explore options for solar energy, wind energy, and recapturing and repurposing energy generated at our facility.

Water Conservation and Purification Plan

The goal of our water use program is to conserve, purify, and reuse water to the greatest extent possible to minimize pressure on the municipal water system. Water use for our dispensing facility will be minimal, as water will primarily be used for sanitation (washing hands, restroom facilities, etc.), and our staff facilities will be outfitted with low flow fixtures on sinks and toilets. As a general matter, our facilities will be cleaned with specially formulated cleaning fluids, as opposed to water-intensive cleaning methods. However, we are committed to conserving, purifying, and reuse watering to the greatest extent possible to minimize pressure on the municipal water system.

Insurance Plan

A critical feature of our substantial risk mitigation efforts is sufficient insurance coverage from an insurance broker or agent that specifically works with cannabis operations to secure declarations pages and letters of intent from an A-rated insurer as to, at a minimum, casualty, workers' compensation, liability, and (as applicable) auto or fleet policy. Ala. Admin Code. r. 538-x-3-.05.03.m.15.k. Our CCO L.G. will be responsible for acquiring and maintaining at all times our required and other types of insurance and risk management services for our medical cannabis facility, with direct collaboration with our CEO D.P. We are actively researching and in communication with insurance companies that would be willing and able to meet not only our needs for insurance to reduce liability at

our facility, but to meet all municipal and state insurance requirements too. We will secure a binding offer of coverage from an Alabama based insurance agency once we are awarded licensure.

We will maintain a minimum of two million dollars of liability and casualty insurance and establish to always maintain the minimum level of other financial guarantees, if appropriate and required by the AMCC for all licensees. Liability insurance will include, at a minimum, workers' compensation insurance as well as insurance against loss, damage or injury to any non-employee while on the premises; loss, damage or injury to the body or personal property of any third party as a proximate result of the acts of our Dispensary Facility or our personnel; and loss, damage or injury to any foreseeable person as the result of any products derived from cannabis products that had once been in the custody or control of our Dispensary Facility. Insurance policy types that we may additionally carry include, but are not limited to: commercial property, commercial products liability, products liability, private company management liability, cyber and data breach insurance, advertising injury, privacy breach notification and mitigation, dietary supplement manufacturers errors & omissions, limited civil penalty reimbursement, products recall expense indemnification, and/or property in transit coverage. Our policies and endorsements will provide our operations with the insurance coverage sufficient to indemnify and hold harmless the State and its officers and employees, if required by law.

Employee Handbook

Each employee is provided with a cover letter that informs you of your specific employer. The Handbook is an informational guide and summary of the policies, benefits, and working conditions related to your employment. Particular procedures or methods for performing assigned tasks will be addressed elsewhere. The purpose of the Handbook is to let you know what's expected of you and what you can expect from the Company. Please read and become familiar with these policies and refer to them as needed during your employment.

Our medical cannabis dispensary will support medical cannabis patients and the mission of the Alabama Medical Cannabis Commission ("AMCC") with compliant and secure access to medical cannabis. The state of Alabama passed the Darren Wesley 'Ato' Hall Compassion Act, which legalized medical cannabis, in 2021. Rulemaking authority was given to the AMCC, who now have 13 commission members who represent the full spectrum of needs addressed in the Darren Wesley 'Ato' Hall Compassion Act and medical cannabis at large. The AMCC includes medical professionals to farmers to law enforcement agents, all of whom can and do offer invaluable insight into the process of establishing and regulating a medical cannabis marketplace.

It is the Company's intention to offer safe work conditions and fair wages that are competitive as well as compliant with applicable laws. Nothing in the Handbook is intended to act as a waiver of any right employees may have by law. If any applicable state, federal, or local law conflicts with a policy in the Handbook, the policy or law providing the greater protection or benefit for the employee will apply. If employees have concerns about any policy, work condition, or compensation, they are strongly encouraged to communicate these concerns to their direct manager. Whenever employees have concerns related directly to that manager or feel uncomfortable going to their manager with any matter, they can bring their concerns to your manager.

EMPLOYMENT

In rare circumstances, we may enter into an agreement for a fixed term of employment, but such arrangements will be clearly written in a contract signed by both you and an authorized representative of the Company. Otherwise, all of our employees are classified as "at-will," which is the default relationship between employers and employees under the law.

At-will employment means that either you or the Company may terminate your employment at any time, with or without reason, and with or without notice. Because our employment relationship is at-will, no contract or promise of continued employment,

either verbal or implied, is created now or at any time during your employment with the Company, unless your employment terms are modified through a written agreement signed by an authorized representative of the Company. No other representative of the company has the authority to enter into any agreement contrary to the foregoing at-will employment relationship

Resume

The Company relies upon the accuracy of information provided during the hiring process. Any misrepresentation, falsification, or material omission by an applicant or employee may result in exclusion from further consideration for employment, or, if already hired, termination of employment.

Background Checks

The Company complies with all local, state, and federal requirements for conducting background checks on applicants and employees. These laws and regulations may prohibit the Company from hiring an applicant, continuing to employ an individual, or from transferring a current employee to a new position, based upon certain criminal offenses.

Any misrepresentation, falsification, or material omission by an applicant or employee relating to the background check process, or refusal to consent to a required background check process, may result in exclusion from further consideration for employment, or, if already hired, termination of employment.

If the Company learns that a current employee has a history of an offense that would preclude employment, the Company may be required to terminate the employee or put the employee on administrative leave pending the results of further investigation.

Employment Eligibility Verification

The Company complies with the Immigration and Control Act of 1986 by hiring only those individuals who are lawfully authorized to work in the United States. All new employees will be required to complete an Employment Eligibility Verification Form I-9 and present

documentation establishing identity and current eligibility to work in the US. All offers of employment and continued employment are contingent upon presentation of proof of eligibility to work. Employees are required to update eligibility documents during employment in the event of expiration. The Company does not discriminate based upon citizenship or national origin. Accepting a position at the Company may have a negative impact on certain eligibility verification cards.

Wage Notice

The Company provides written wage notices at the time of hire, and in advance of any changes in pay arrangements, which details the agreed upon pay rate, and the usual place and time of payment. This wage notice must be signed by both management and the employee. The Company intends that all employees be paid accurately and fairly for all hours worked. If you have questions about your wages or compensation, please ask. The Company does not retaliate against employees for complaining or questioning our compliance with wage and hour laws.

New Hire Reporting

The Company complies with state and federal law requiring us to report the name, address, and Social Security number of each newly hired worker within twenty (20) days of start of work. These reports are matched by the state agency with child support records at the state and national levels to locate parents who owe child support and can result in a garnishment of wages.

Getting Acquainted Period

Your first ninety (90) days of employment is a “getting acquainted” period. It is not a guarantee of employment for this entire period. Rather, this time provides both you and the Company an at-will opportunity to consider and assess your work performance and whether you are a fit for our team. During this time, you will be assessed on productivity, cooperation, attendance, attitude, punctuality, and quality of work, among other things. Other than required benefits such as workers’ compensation, Medicare, and Social Security, you may not be eligible for employee benefits during this period.

At the end of the getting acquainted period, we may choose to designate you as a regular employee, or we may choose to terminate our employment relationship with you. Additionally, we may extend your getting acquainted period up to an additional thirty (30) days at our sole discretion. Successful completion of the getting acquainted period does not alter the at-will nature of your employment.

Once the getting acquainted period has ended, and we have mutually determined that you are a good fit for our team, you will become eligible for regular employment. We may also choose to review your getting acquainted period with you and offer suggestions or points for improvement.

Regular Employment Classifications

Positions are classified as full-time, part-time, or temporary, based on the needs of the business, and are subject to change. At no time is an employee guaranteed a full-time or part-time position. Your position determines the average number of hours you can expect to be scheduled each week of employment, as well as eligibility for certain benefits, subject to the terms for each benefit program. Part-time and temporary employees are not eligible for voluntary employment benefits unless otherwise stated in the specific benefit section, or other authorized writing.

Regular full-time employees work at least thirty (30) hours per week on average.

Regular part-time employees work fewer than the full-time minimum hours per week on average.

"Regular" employment simply means the employee filling the position has completed the getting acquainted period. Classifications are based on the average number of hours worked per week during the past six (6) months. Classification changes are not automatic and must be in writing and signed by management to be effective. Part-time and temporary

employees who change to full-time status are required to meet the same waiting periods as a new employee to receive benefits.

Employee positions are also classified as one of the following, according to the Fair Labor Standards Act ("FLSA") and applicable state equivalents:

Non-Exempt Employees are eligible for minimum wage and overtime and are required to keep an accurate record of their time worked. Non-exempt status is reliant on the job description and additional factors related to performance of job duties.

Exempt Employees are not eligible for minimum wage and overtime. Exempt status depends on various factors established by the FLSA and applicable law, including the job duties of the position, as well as the method and amount of pay.

Maintenance of Personnel Records

Employee records are maintained for each employee and retained for at least 5 years. These files are the property of the Company, and access is restricted. These records may include application materials, emergency contact information, performance reviews, corrective action records, manager notes, etc. Employees are not permitted to view the contents of any other employee's personnel file, unless legitimately necessary due to the person's position and responsibilities.

This file is available for review by current employees and former employees up to two (2) years from the employee's termination. The files are available for review within seven (7) working days of a written request and under the supervision of management. Employees may complete the file review on their own free time or during regular business hours. Employees may copy the documents in the file in accordance with state law, or otherwise with the permission of the Company.

If you believe any records or information in your file is inaccurate, you may submit a written request to have them corrected. Management will either correct the disputed

information or explain in writing why your request was not granted. Your request, as long as it is factual and directly relevant to your performance and/or qualifications, will be kept in your file.

Confidential information such as business records, workers' compensation documents, pre-employment reference letters, legal documents, investigatory materials, and other documents which are sensitive in nature will be kept in a separate file and are not accessible except to those persons we specifically authorize on a "need-to-know" basis. I-9 forms for all employees may be kept together in a single file and should be updated if identifying documents expire.

Employees are responsible for notifying management of any changes to contact and/or personal information, including name, telephone number(s), personal email address, home address, marital status, number of dependents, withholding/deductions, emergency contact information, and any other pertinent information.

Parking

Employee parking is available on a first-come, first-serve basis away from the main entrance of the building. Parking closest to the main entrance should be left open to allow our customers easy access to our business.

Team Meetings

The goal of team meetings is to maintain open communication between management and all team members, to motivate our team, and to provide an uninterrupted time when the entire team can get together to problem solve, set goals, modify Company policy or procedures, and brainstorm ideas for improved procedures and processes. The input of each team member is very important to the growth of our business. Team meetings will be held four (4) times a month or as needed.

These meetings should be positive and constructive. If you see a problem, please bring 2 to 3 suggestions for solving the problem. At least one of the suggestions should not involve

spending money. Apply active listening in problem solving and look to the past only to see how we can improve going forward. Finding fault or placing blame is rarely productive communication.
Attendance at team meetings is mandatory. All employees will be paid for their time, whether or not the meeting is held during the employee's scheduled work hours.

Daily Huddles: The Company may hold morning and afternoon huddles, each lasting less than 20 minutes. This time is used to review our vision and the day's schedule including tasks that need attention. Each team member must be on time, prepared, and engaged for this meeting.

Office Supplies and Equipment

Use of office supplies and equipment for personal use is not permitted without the express permission from management.

Gifts to the Company

Promotional items, gifts received by the Company, "free gifts" that are received with supply orders, etc., shall be the property of the Company, and will be shared or distributed at the sole discretion of management.

CONDUCT AND BEHAVIOR

Ideal Team Member

The ideal team member is action-oriented, has a sincere enthusiasm for their work, and courage to learn new things. Ideal team members are humble, happy, and hardworking regarding the working environment. By taking ownership of each task performed, the ideal employee approaches each day with determination and confidence, demonstrating an unspoken commitment to self and to doing the best job possible. The ideal employee works ethically without cutting corners, joyfully assists others, and takes the initiative to achieve goals even when not assigned. Cooperation and communication are key elements of the ideal team. Members of the ideal team understand the importance of their personal

contribution to the whole. There are no obstacles we cannot overcome if we work as an integrated team pursuing the same goals.

Accountability Rules for the Team

- Do your job and do it to the best of your ability. It is okay not to like part of your job, but it is NOT okay to not do your job.
- Prove your value each day. Tenure, credentials, and years of experience are not a substitute for results.
- Be accountable for your part in conflict. By focusing on what you can control, you avoid the powerlessness of a "victim" mindset. You are responsible for your attitude, regardless of what happens around you.
- Be a gift to those around you, even if you are having a bad day.
- Lead by personal example.
- Look for ways to add value to the team and the business as a whole. Be a giver, not a taker.
- Practice the Golden Rule ("Do unto others…") without exception.
- You are expected to become brilliant in the basics of your job. You do not have to do anything extraordinary on a daily basis. Just do ordinary things extra-ordinarily well.
- You are expected to become an "and then some" person. Do what is required, "and then some." Hit your numbers, "and then some." Keep your promises, "and then some."
- Not only are you expected to perform the technical part of your job well, but we also expect you to align your decisions with the Company's core values. Highly talented people with their hearts in the wrong place have no place here.

Telephone Personality

Courtesy and consideration are required during all phone calls, regardless of your mood or how busy you may be. Every time you answer the phone you should be eager to assist the

caller in any way possible and to offer the caller an opportunity to experience the best of our service.

Addressing Others with Respect

Employees are expected to be courteous and professional in your interaction with team members. Employees are to be referred to in the manner that they prefer, either by first name, nickname, by last name preceded by the appropriate title (Mr., Mrs., Miss, Dr., etc.), or other appropriate option.

When communicating with co-workers, all employees are expected to project a professional and positive image of the Company, to avoid rude or over-personal commentary, and to keep conversations focused on work. If you detect discomfort or get into a topic that may cause someone to feel uncomfortable, politely change the subject.

Unprofessional Conduct

The success of our business is dependent upon the professional conduct of every team member. Unprofessional conduct can take any number of forms. Though it would be difficult to list every type of unprofessional activity, we offer the following guidelines for the type of behavior that is considered unprofessional in case there is any question as to what is expected. Any of the following actions constitutes a violation of policy and may subject an employee to corrective action, up to and including immediate termination:

- Habitual or excessive absences or tardiness;
- Unauthorized use of company telephones, email, computers, or other company equipment;
- Attending to personal business while scheduled to be working, including use of personal cell phones, smart watches, or other devices, unless otherwise authorized;
- Working unauthorized overtime;
- Destruction, misuse, or abuse of Company property;
- Refusal to work scheduled or emergency overtime;

- Mishandling, misappropriation, or unauthorized removal or possession of funds or property of the Company, other employees, or vendors;
- Threatening, intimidating, bullying, coercing, or otherwise interfering with the job performance of fellow employees;
- Unsatisfactory work performance;
- Unauthorized use or release of confidential business information or records;
- Unauthorized use or disclosure of confidential or proprietary information of the Company, such as, without limitation, vendor lists, the Company's financial information, treatment methodologies, or strategic plans;
- Falsifying, destroying, or altering any record, including your application for employment;
- Insubordination, including refusal of a legal request from management, such as refusal to sign a corrective action notice, performance review, or other acknowledgment of company policy;
- Failure to use good hygiene, to properly use safety equipment, or to follow safe practices;
- Illegal activity of any kind;
- Possession of firearms, drugs, or alcohol at the workplace, unless otherwise authorized by management or law;
- Engaging in work that, in our opinion, is competing with the Company or creates a conflict of interest;
- Any conduct that is a violation of rules or ethical standards of any governing board or agency;
- Unprofessional communications, examples of which may include: threats of harm, mental or verbal abuse, maliciously false statements, gossiping about an employee, vendor, or competitor, any communication deemed in violation of the anti-harassment or non-discrimination policies of the Company, rudeness or disrespectful communications towards a vendor or customer, and/or disparaging the Company's services;

- Retaliation against anyone participating in bringing an employee concern to our attention; and
- Lying to a member of management, including the falsification of any time record, or entering time for another employee.
- Any conduct deemed in violation of the anti-harassment or non-discrimination policies of the Company.

COMPENSATION

Paychecks

You will receive your paycheck every other Friday as compensation for the previous pay period. Employees will be paid by check or direct deposit, if elected. Payroll may issue live checks instead of direct deposit per banking and payroll needs. For payroll and overtime purposes the workweek starts on Monday and ends on Sunday. If the normal payday falls on a non-workday, you will be paid the last workday preceding. Payroll advances will not be made under any circumstances.

Your check will consist of your hourly wages or salary, minus deductions required by law. All deductions will be itemized on an attachment to your check, and then totaled each year for you on your W-2 Form, Wage and Tax Statement. Employers are not required and do not check the validity or accuracy of employee withholdings and exemptions as reported on W-4 forms or state equivalents. Employees are solely responsible for reviewing their withholdings each pay period and for updating their W-4 and equivalent state forms as necessary. It may take 2-4 weeks to process any revisions to tax withholdings. Employees will be provided a written advance notice of any changes in the amount or method of payment.

All deductions from an employee's wages shall be in accordance with applicable law, and when required, the employee's consent. Other than withholding for federal, state, or local taxes, including FICA, no deduction from an employee's wages for any period shall cause

the employee's wages for any such period to be less than minimum wage for non-exempt employees.

In the event of termination, final paychecks will be paid in accordance with State Law. Deductions will not be made except where expressly provided by law, or with the express written consent of employee.

As with any other policy, paycheck policies may be changed at any time. All employees are encouraged to deal with management directly regarding any concern about wages, hours, or other terms and conditions of employment. However, nothing in the handbook is intended to restrict non-supervisory employees from exercising their right to communicate with fellow employees about such matters, and the Company prohibits retaliation against any employee for the exercise of such rights.

Paycheck Errors

All employees are asked to review your paycheck carefully for errors. If you find a mistake, report it to your manager and Accounting as soon as possible, but no later than thirty (30) days after receiving your check, so that we may take the steps necessary to correct the error. Errors in payroll will be corrected and paid in the next scheduled paycheck after we receive notice. After thirty (30) days, we will assume there are no errors, and your recourse may be limited or unavailable.

Expense Reimbursement

Most employees will not be incurring expenses on behalf of the Company, and any business expenses should be approved in advance. However, the Company will reimburse all reasonable and necessary employment related expenses that are authorized in advance. This includes such costs as business mileage (not regular commuting) and course registration fees. Unauthorized expenses, such as working from home or using personal devices for work without advance approval, are generally not reimbursable. The Company will not reimburse you for expenses or losses that are the result of normal wear, theft, or negligence.

Reimbursement requests must be submitted to your manager within thirty (30) days of the expense. Each request should be accompanied by a receipt. If no receipt is available, let management know so we can consider alternative documentation.

Garnishment

The Company is required to comply with any court ordered garnishment of your wages. If you are hired by the Company and currently subject to such a court order, you must supply the appropriate documentation and nature of the action immediately. If the Company must garnish your wages, you may be charged a fee for administrative expenses in the amount permitted by state law.

Exempt Employees

It is our policy to comply with FLSA salary-basis requirements, and therefore the Company prohibits improper deductions from salaries of exempt employees. Improper deductions include those for less than a full day of work and for absences due to sickness or injury, except that deductions for sickness or injury related absences can be made from accrued PTO benefits, if available. When any such benefits have been exhausted, the weekly salary may be prorated in full day increments for absences of a full day or more. Deductions may be made for absences of a full day or more due to personal reasons unrelated to sickness or injury, for unpaid disciplinary suspensions, and to prorate for the first and last week of work. If the business is closed due to a holiday or other company needs that are not the fault of the employee, no PTO or salary deduction will be made.

Overtime

At times, you may be requested to work overtime in order to meet the needs of the Company. However, working overtime is generally discouraged. Exempt employees are not eligible for overtime pay. Non-exempt employees must have all overtime approved in advance and reported on the time sheet for that pay period. Non-exempt employees will be paid at their regular rate plus one-half for hours actually worked in excess of 40 hours in a

work week, or as otherwise prescribed by state law. Paid time off and holiday pay does not count towards overtime.

Call-Back/Report-in-Pay

Employees reporting for a scheduled shift of three (3) or more hours will be paid for a minimum of three (3) hours at no less than minimum wage, even if the employee is not provided with the scheduled number of hours of work. You will be paid for any travel time to and from work performed for the employer's benefit after being called to work outside of regular work hours.

BENEFITS

Health Benefits

Eligible employees may participate in the Company's group health plan on the first day of work. Employee's spouse, domestic partner, and eligible dependents may be added to coverage at the employee's sole expense. Upon separation of employment, health care coverage (COBRA) may be extended to former employees. Employees should consult the separate materials prepared directly by the Company's health care insurance company for details of the plan and any Company provided contributions.

Unemployment Insurance

Upon separation from employment, you may be entitled to state and federal unemployment compensation benefits. These laws generally provide eligible unemployed persons weekly cash benefits for a designated number of weeks or until such time as they are able to obtain employment. While we have no desire to deny unemployment benefits to any person who is legally entitled to them, it is the Company's policy to contest all unemployment claims and apply for relief from charges for those claims that do not comply with the requirements of the law.

Workers' Compensation

The Company provides workers' compensation insurance covering injuries or illnesses occurring in the course of your employment at no cost to you. State law, not the Company,

determines employee eligibility to receive workers' compensation benefits. It is our policy to comply with state laws and to aid any employee whose injury or illness is determined to be compensable under the provisions of the state's workers' compensation act, as well as other applicable laws, which may include FMLA, ADA, OSHA, and/or HIPAA.

All employees must report complete and immediate information to management regarding any accident that occurs on the company premises in which you are involved, no matter how slight the resulting injury or illness. Failure to do so may jeopardize your eligibility to receive benefits and subject you to discipline. Generally, coverage does not include injuries received traveling to or from work or during the lunch period, nor does it cover incidents caused by an employee's intoxication or other flagrant abuse of Company policies. Periodically, you may be asked to confirm in writing whether you have had any work-related injuries or illnesses.

Performance Bonuses

No common goal can be reached if it is not collectively defined and pursued. In order to achieve the level of performance and success we know is possible, the Company incentivizes its team members with performance-based bonus programs. Performance bonuses are awarded to eligible employees at the sole discretion of management and based on a combination of objective and subjective criteria, further described in materials to be distributed as individual programs are implemented or updated. Bonus programs are not a guarantee of wages but are intended to reward the team for going the "extra mile." Bonuses may be withdrawn or changed at any time but will not be retroactively withdrawn once the earning criteria have been met. All bonuses will be subject to the standard deductions required by law and are included in the calculation of the regular rate of pay for overtime for non-exempt employees.

Bonus Eligibility

Eligibility requirements for bonuses may vary from program to program. Note that adhering to Company policies and procedures and satisfactory performance of assigned tasks is required for your employment and is merely a threshold for eligibility to earn a

bonus. At the discretion of the Company, employees who are determined to be out of compliance with company policies or standards of performance may be ineligible for a designated period of time until performance and compliance improves.
Excessive absence during an incentive period may result in loss of eligibility or a prorated eligibility amount of bonus, at the discretion of management. Employees who terminate their employment before the end of the incentive period are not eligible for any portion of the incentive for that period. Therefore, you must be employed on the first and last day of the period to earn and be paid a bonus for that period.

ATTENDANCE AND TIME OFF

Because of the nature of our business, your work schedule may vary depending on your job position. Our normal business hours vary depending on the location. You will receive the specific business hours in your on-boarding materials. Your manager will determine your work schedule and communicate it to you. Employees' hours may be earlier or later than normal business hours to meet the needs of the Company. Non-exempt employees will be given one (1) day of rest in each consecutive seven (7) day period. Your schedule and/or number of hours may be changed at any time, with as much notice to you as possible. Employees are responsible for knowing their schedule, transportation to and from work, and being available for work when required.

Regardless of specific shift or break schedules that have been provided, employees are responsible for ensuring that there is always sufficient employee coverage at the facility. At no time can there be fewer than two (2) employees working at the facility. If any employee has concerns regarding adequate coverage, contact your manager.

Attendance and Promptness Policies

Employees are expected to arrive on time as scheduled, and to notify management as soon as possible in the event you are unable to show up for a scheduled shift. Notifying management should be done in accordance with the call out procedures indicated in the Time Off section later in this Handbook. Excessive unexcused tardiness or absence is

grounds for corrective action. Employees who fail to call in or show up for a scheduled shift without proper notification for two consecutive shifts will be considered to have abandoned their position. For the care and safety of our team and facilities, leaving in the middle of a shift without permission from management is considered an unexcused absence and subject to corrective action.

Breaks and Meal Periods

Employees are permitted to take brief breaks as necessary during the workday for hygiene, to use the restroom, or for a quick snack. Employees are expected to keep such breaks to a minimum, and so as to not disrupt operations. Taking excessive breaks disrupts our production and is likely to result in corrective action. Break periods may not be used to cover late arrival or early departure.

Non-exempt employees are provided one unpaid meal break of at least thirty (30) minutes for each work shift lasting 7.5 hours or more. This break should be taken no later than five (5) hours into the work shift. Please clock in and out for any break lasting 20 minutes or longer, and if you leave the premises, bear in mind that your meal period includes travel time, so please plan accordingly. Your lunch period will be scheduled to accommodate a productive flow of the workday. If you are required to work during your lunch break, please notify your supervisor or Human Resources so that you can be properly compensated.

An employee break area has been provided for your use. All employees are responsible for cleaning up after themselves immediately following the use of Company amenities, including kitchen appliances, restroom, break room, utensils, and plates. No food or drink is permitted in an area that would risk damaging the Company's product. Food should be stored and consumed in the break area, kept out of view and drinks must be covered near computers or office equipment.

Recording Your Time

Non-exempt employees are required to keep an accurate record of time worked so that the Company can accurately calculate pay and benefits eligibility and to comply with state regulations. Time entries should be made as you start and end your work to ensure accuracy. If hours are not recorded properly, you may not be paid in a timely manner. Time records are reviewed for accuracy and approval, but it is the responsibility of the employee to keep track of their compensable time worked. All changes to time entries must be approved by management.

Non-exempt employees may not work any time that is not authorized by management. It is a violation of our policy for anyone to instruct or encourage another employee to work "off the clock." All time worked must be recorded. Altering, falsifying, and/or tampering with time records, or recording time on another employee's record is prohibited. If anyone directs or encourages you to incorrectly report your hours worked, or to alter another employee's time records, please report the incident immediately to management.

You must be ready to work at the time you clock in and clock out when your duties are complete. "Padding the clock" by attending to personal matters while clocked in, or unnecessarily delaying clocking out, is considered a form of fraud and grounds for termination.

Non-exempt employees may not clock in more than ten (10) minutes prior to their scheduled start time.

Nursing Mothers in the Workplace

The Company provides reasonable break time for an employee to express breast milk for her nursing child. This break time, if possible, will run concurrently with regular paid or unpaid break times, with reasonable additional unpaid time as needed. To make a request for lactation breaks and/or a lactation space, please notify your manager. The Company will provide a response to your request within five (5) business days and will engage in the interactive process with you as necessary.

It is the Company's intent to provide employees with a break area that is a location other than a bathroom or closet, free from intrusion with a door that locks from the inside, shielded from view, safe, clean, and free of toxic or hazardous materials. It will contain a place to sit, a surface to place a breast pump and personal items and have access to electricity. Employees will be granted access to a sink with running water and a refrigerator in close proximity to their work area.

Due to physical constraints and other factors, the Company may not be able to provide a break area that is solely dedicated for lactation breaks. However, if the break area is also used for other purposes, all employees will be put on notice that lactation breaks take priority. If the Company is unable to provide accommodations, or if the lactation space that is provided does not meet the requirements of the law, the Company will provide you with a written explanation. Employees will not be retaliated against for exercising their rights under this policy but can raise any concerns with management.

Holidays

The Company sets its holiday schedule each year depending upon where the holiday falls in the work week. When a holiday falls on a weekend, we may close the Friday prior or Monday after a holiday, or choose not to close at all, at the discretion of management. We normally observe the following holidays:

- New Years
- Martin Luther King, Jr. Day
- Presidents' Day
- Memorial Day
- Independence Day
- Labor Day
- Thanksgiving Day
- Day After Thanksgiving
- Christmas Eve
- Christmas Day

Our facilities will be open on holidays based on plant health and production needs.

Eligibility for Holiday Pay

Full time and part time employees are eligible to receive holiday pay. Holidays are only paid when the employee is scheduled to work on the holiday. All other holidays other than those listed herein will be unpaid. Non- exempt employees scheduled to work during the holiday will be paid one and a half (1.5) times their regular rate of pay. Employees on a leave of absence are not eligible for holiday pay.

Employees who want to take religious holidays that are not observed by the Company are permitted to take time off without pay, or use eligible paid time off, as long as reasonable notice is provided.

Paid Time Off

The Company understands that we all need time away from work from time to time, and therefore provides a generous Paid Time Off ("PTO") policy to all employees. Our PTO policy is an all-purpose time off benefit that can be used for any reason, including vacation, illness, and personal activities.3

Amount of PTO. Accrual of PTO begins on the date of hire, and time is available for use once it accrues. There is no cap on the amount of accrued PTO that can be used per year.

PTO is accrued based on the employee's anniversary year and accrues on a per pay period basis. The amount of PTO that can be accrued is based on full-time or part-time status, as well as length of employment, in accordance with the following charts:

Number of years worked	Accrued PTO
1-2 Years	40 hours (5 days)
2-4 Years	60 hours (7.5 days)

5-8 Years	80 hours (10 days)
8-10 Years	120 hours (15 days)
10+ Years	160 hours (20 days)

In the above charts, one (1) PTO day is equal to eight (8) hours. Note, however, that accrued PTO does not need to be used in full-day increments. For employee convenience, available PTO amounts, amounts of PTO used, the amount of pay the employee has received through PTO that year, and each employee's hire date will be listed on employee pay statements.5

Unused PTO Balances. If you have accrued but unused PTO at the end of the benefit year, it will roll over into the following benefit year, up to a maximum of three hundred (300) hours. Once you reach the maximum, you will no longer accrue PTO until you use some or all of what you have accrued. Upon separation from employment, any accrued, unused PTO in your personal accrual balance will be paid out to you.

Non-Discrimination Policy. The Company prohibits discrimination or retaliation against an employee for lawful use of PTO as protected sick leave, or any exercise of sick leave rights. If you believe that you have been discriminated or retaliated against, use the Employee Concern Reporting Policy to notify management about the situation.

Taking Time Off

PTO can be used in one (1) hour increments. PTO is paid at the employee's normal hourly wage, unless otherwise required by law. PTO is not used in the calculation of overtime.

Scheduled Time Off. When using PTO for vacation or similar purposes, your request should be submitted by formal request through or HR Information System and by notifying your manager with as much notice as possible but no later than two (2) weeks in advance. The Company understands that not all uses of PTO are known that far in advance. Therefore, if

you are requesting to use PTO for sick leave purposes (i.e., scheduled doctor's appointment), please provide reasonable advance notice to management when practical.

Limits and Minimums. Absences of longer than five (5) days may require an approved leave of absence unless you were approved for an extended vacation absence. Accrued PTO benefits must be used before unpaid time off may be taken. In other words, you may not take unpaid days to "save up" for a later vacation. Taking excessive unpaid time off, unless part of an approved leave of absence, may subject employees to corrective action.

Unscheduled Time Off. For unforeseeable absences (i.e., illness), you should contact your manager and HR as soon as possible, preferably no later than one (1) hour before your scheduled start time. If possible, your notification should include the expected duration of your absence.

Requests for Doctor's Notes. You may be asked to provide a doctor's note for absences related to illness exceeding five (5) consecutive workdays, unless doing so would cause you to incur additional costs or lost wages, in which case, the Company, at its discretion, may pay such costs or withdraw the request. Any information you provide to the Company regarding your health or illnesses will be kept confidential.

Leave of Absence

A leave of absence is defined as an excused absence without pay. The Company grants leaves of absence for an employee's own medical condition and/or for parents to bond with newborns or newly adopted children. To be eligible, employees must be classified as regular full-time, have been employed for the preceding twelve-month period, and otherwise be meeting performance and attendance standards. Personal leaves of absence are granted at the sole discretion of management for reasons acceptable to the Company and depending on our staffing needs.

Employees must turn in their registered agent card at the end of their last shift before a scheduled leave of absence.

All leaves of absence must be pre-approved by management. Medical and pregnancy-related leaves may require a doctor's certification as to the inability to continue working. Employees anticipating a leave of absence must submit a request no less than thirty (30) days prior to the requested start of leave. When leave is not foreseeable, employees are required to provide as much notice as is practical.
Employees may request up to four (4) workweeks of unpaid leave in one twelve-month period. Extensions for up to thirty (30) days may be granted upon request at the discretion of the Company based upon an employee's medical need and the needs of the Company. Employees are required to use any accrued paid leave time concurrently with any approved unpaid leave and are not eligible for holiday pay during a leave. Seniority and paid leave time do not continue to accrue during a leave. Requests for part-time or light duty will be considered. The Company reserves the right to require an examination by a physician of its choice if there is a question regarding the employee's physical ability to start or remain on a medical leave status.

Leaves must have a specific duration and return-to-work date approved at the time the leave is granted. Employees on leave are expected to keep the Company advised as to their status and their intent to return to work. Failure to return to work on the specified date without an approved extension will be considered job abandonment and treated as a voluntary resignation.

Employees on leave are expected to comply with applicable company policies, such as confidentiality and conflict-of-interest policies. Failure to comply with applicable policies may lead to corrective action during or upon return from any leave. Accepting outside or supplemental employment while on a leave is prohibited.

Any employer-sponsored insurance benefits (such as health insurance premiums) will be paid by the Company for the first two (2) full calendar months of the leave of absence. Thereafter, health insurance premiums must be continued at the sole expense of the employee to remain in force. Employees are responsible for paying the Company directly

for any portion of premiums that is the employee's responsibility when there are insufficient wages from which to deduct. Employees may pay such premiums through increased payroll deductions before an anticipated leave begins, or, through direct payment to the Company.

Reinstatement after Leave

To resume expected job duties after a medical-related leave, an employee returning to work may be required to provide a written release from a licensed physician listing any restrictions. All medical information will be maintained in confidence. Leaves for pregnancy are treated like a medical leave.

An employee returning to work on the approved date a medical or pregnancy leave ends, and who otherwise complies with this policy, will be reinstated to their previous job status or to an open position comparable in status and pay and for which the employee is qualified.

However, employees on leave have no greater right to employment than if they had been continuously at work. Changes in hours, schedules, duties, jobs, benefits, pay, and the like may occur because of business needs, or for failure to comply with the Company's leave policy. Furthermore, employees on leave are subject to any layoff or reduction in force as permitted by applicable law.

Reinstatement from Personal Leave of Absence

Reinstatement cannot be guaranteed to any employee returning from family or personal leave. We endeavor, however, to place employees returning from leave in their former positions if available or in positions comparable in status and pay, subject to budgetary restrictions, available vacancies, state and federal regulations and guidelines, and our ability to find qualified temporary replacements.

Bereavement Leave

You may use PTO to attend the funeral of a member of your immediate family. If you have exhausted your PTO, you may take up to two (2) days off without pay, with additional time at the discretion of the Company. You must speak to the manager-on-duty by phone or in person to notify us of the need for leave, and your anticipated return to work date, as soon as possible. You may be required to provide proof of death and familial relationship.

Election Day

You are encouraged to vote. We ask that you make every attempt to vote by mail, or outside normal business hours. If this cannot be done, and you do not have at least three (3) consecutive hours of available polling time before or after your work shift, you may request additional paid time off to vote. Management will adjust your scheduled hours so that you have at least (3) hours of polling time either before or after your shift. Requests for time off must be submitted no later than the day before election day.

Family and Medical Leave

The Family and Medical Leave Act ("FMLA") entitles eligible employees to take unpaid, job-protected leave for specified family and medical reasons with continuation of applicable insurance coverage under the same terms and conditions as if the employee had not taken leave. Eligible employees are entitled to twelve (12) work weeks of leave in a rolling 12-month period for the following qualifying reasons:

- An employee's serious health condition,
- A serious health condition of the employee's spouse, parent, or child,
- Bonding with the employee's newborn child or placement of a child with the employee for adoption or foster care,
- Any qualifying exigency arising out of the fact that the employee's spouse, parent, or child is on active duty, or
- Military caregiver leave, to care for a covered service member with a serious injury or illness, for up to twenty-six (26) work weeks

Family and Medical Leave may be taken on a Consecutive, Continuous, or Intermittent basis, subject to certain conditions and requirements.

Jury Duty

Employees will be allowed the necessary time off to serve jury duty. All employees will be compensated for jury duty for the first three (3) days at your regular rate of pay. You are expected to report to work whenever the court schedule permits and should call in if you are released from duty during your regular work schedule to see if you are needed. Please provide management with the notification of your jury duty or summons as soon as possible so that arrangements may be made to accommodate your absence. Upon your return to work after jury duty, you must show proof of length of service.

Witness Leave

Employees are given the necessary time off without pay to attend or participate in a court proceeding when subpoenaed in accordance with law. We ask that you notify management of the need to take witness leave as far in advance as is possible. Exempt employees may be provided time off with pay when necessary to comply with state and federal wage and hour laws.

Military Leave of Absence

Veterans may request Veterans Day or Memorial Day off to participate in an exercise, parade, or service each year with at least thirty (30) days' notice in writing. You may be asked to provide proof of your veteran status at the Company discretion.

The Company is committed to protecting the rights of members of the Uniformed Services of the United States, including the Armed Forces, National Guard, commissioned Corps of the PHS, or any other category designated by law. No employee will be subjected to any form of discrimination or retaliation on the basis of that person's membership or obligation for service in the Uniformed Services, or a result of exercising rights under the Uniformed Services Employment and Reemployment Rights Act (USERRA).

Employees going on military leave must notify the Company as soon as possible after you receive military orders, furnish the Company with any official papers you receive regarding your orders, and provide the Company with any required documentation for re-employment upon completion of service. If you are a member of the armed services or a reserve component of the United States armed forces, active service orders are not required for absences of up to seventeen (17) days per year to attend training, drills, or parades.

Military leave will be granted up to a maximum of five (5) years of actual service time, and employees will be entitled to reinstatement upon return, provided you apply for re-employment (written or verbal) within the applicable time limits of returning, and the discharge was honorable, as required by law. Reinstatement will be made by the employer as soon as practical.

In accordance with USERRA, employees may also be granted leave under this policy for time off needed to receive military supplemented healthcare treatment for a condition, injury, or illness sustained during a period of active service.

Your benefits, if any, during and after military leave, will be calculated and provided to employees consistent with applicable laws. The Company will continue any group health benefits in place at time of the leave start date for the first thirty (30) days of such leave at no cost to you. Thereafter, you may be required to pay the employee's portion of the premiums, if any. Seniority rights continue to accrue during a leave, but accrual of paid time off is suspended during a leave. You may use any accrued paid time off during a leave. Otherwise, military leave is unpaid.

Required Documentation on Application for Reemployment

Employees will be reemployed in the job position he or she would have attained with reasonable certainty if not for the absence. Application for reemployment must be submitted for leaves exceeding thirty (30) days. Please ask management for further details on required documentation of leave, timing of reemployment (which depends on the length

of leave), benefits, or other details regarding leave or eligibility for reinstatement. Employees returning from military leave extending between 31 and 180 days will not be terminated for any reason other than cause for up to six (6) months following reinstatement, and for military leaves in excess of 180 days you will not be terminated for other than cause for up to twelve (12) months following reinstatement.

DRESS CODE

A professional and clean appearance plays a vital role in how we are perceived by our vendors, customer, visitors, and each other. Employees are expected to dress tastefully and professionally.

All team members are to wear casual business attire and any required personal protective equipment (PPE), with clean closed-toed shoes with low heels.

- All clothing must be clean, well-fitting (not overly tight) and in good repair
- No facial or tongue piercing (small nose piercing acceptable at discretion of management)
- No T-shirts or jeans that appear worn or contain holes
- No shorts, sweats, or leggings
- No tank tops or other overly revealing tops
- No flip-flops
- Skirts and dresses must be no shorter than four fingers above the knee
- Undergarments must not be visible
- Perfumes, cologne, and other scented products must be worn with restraint
- Fingernails should be well-manicured and professional length
- Jewelry must be simple, discreet, and worn in moderation
- Beards, mustaches, goatees, etc. must be kept clean and trimmed
- Hygiene must be maintained in an exemplary fashion
- Identification cards must be worn where they are clearly visible
- Personal protective equipment must be worn when required by management or law

Employees who show up for work dressed inappropriately are subject to corrective action and/or may be sent home. Dress codes may be modified at management's discretion per the business needs of the Company. If there are any questions about what attire is appropriate, please ask.

ANTI-HARASSMENT POLICIES

The Company is committed to maintaining a work environment that encourages and fosters appropriate conduct and respect for individuals. The Company has adopted this policy in order to create a work environment free from unlawful discrimination of any kind, including sexual harassment and harassment based upon any other protected category. The Company has zero tolerance for harassment.

Equal Employment Opportunity

The Company is an equal opportunity employer. This means that the Company will base its employment decisions on merit, qualifications, competence, and business needs as determined by management, and without regard to an employee's protected category, or an employee's association with a person in a protected category.

Protected categories include actual or perceived: age, race, color, religion, national origin (including unlawful language proficiency requirements), ancestry, citizenship status, sex (including gender, gender identity, and gender expression), sexual orientation, pregnancy, disability or handicap, current or prior uniformed service (to include the reserves, guard, and any other component as defined by law), marital status, familial status, civil union status, order of protection status, genetic information, recipients of GED certificates in place of diplomas or any other legally protected class.

Accordingly, the Company strictly prohibits all forms of unlawful harassment, including discrimination or retaliation based on any protected category listed above. Any employee, (e.g., supervisor, manager, or co-worker), or non-employee third parties (i.e., intern, independent contractor, vendor) found to be engaging in unlawful discrimination,

harassment, or retaliation against any other employee or non-employee will be subject to corrective action, up to and including termination of employment or other appropriate remedial action.

Duty to Report and Prohibition Against Retaliation

Employees are encouraged to inform a harasser directly that the conduct is unwelcome and must stop. However, the Company cannot address issues if we do not know about them. If you feel that you have experienced or witnessed unlawful discrimination, harassment, or retaliation, you must report such conduct to a member of management immediately (orally or in writing), utilizing the procedures set forth in the Employee Concern Reporting Policy outlined at the end of these policies. Managers are required to report any complaints of unlawful discrimination or harassment to the owners of the Company.

In addition to harassment, Employees are encouraged to report any unlawful employment practice, and to cooperate in any investigations of the same. The Company prohibits any form of retaliation against any employee who reports a violation of Company policy, files a complaint, assists in a complaint investigation, or otherwise opposes an unlawful employment practice.

If you believe that you have suffered unlawful retaliation in violation of this policy, please follow the Employee Concern Reporting Policy.

The Company will take reasonable steps to investigate concerns in a fair, timely, and thorough manner, to document progress, to take appropriate remedial action (if misconduct is found), and to communicate a resolution in writing. Confidentiality will be kept by the Company, to the extent possible.

Definition of Workplace Harassment

Harassment is unwelcome verbal or physical conduct that denigrates or shows hostility or aversion toward an individual because of the individual's protected category (see list above). Harassment becomes unlawful when enduring the conduct becomes a condition of

continued employment, or when the conduct is severe or pervasive enough to create a working environment that a reasonable person would consider intimidating, hostile, or abusive. Petty slights, annoyances, and minor isolated incidents would not typically rise to the level of illegality.

Harassing conduct may include, but is not limited to the following:

- Communications such as offensive jokes, insults, slurs, epithets, ridicule, mockery, or name- calling, and physical threats, assaults, intimidation, or hostile acts, which relate to or are based on any protected category; and/or
- Displays of any kind, whether electronic or in hard-copy form, of objects, pictures, writings, recordings, or videos that are vulgar or sexually suggestive, or that are offensive or degrading to a person or group of persons based on any protected category.

A harasser may be a manager, co-worker, or non-employee. A victim need not be the person harassed but can be anyone affected by the offensive conduct, including a non-employee, such as a vendor or contractor.

Definition of Sexual Harassment

Sexual harassment is defined as unwanted sexual advances, such as requests for sexual favors, or other unwelcome verbal or physical conduct of a sexual nature. Sexual harassment is unlawful when such conduct is made a condition of continued employment, or unreasonably interferes with the employee's work performance or creates a sexually hostile, intimidating, or offensive work environment. Examples of sexual harassment include, but are not limited to:

- Requesting sexual favors in exchange for favorable reviews, assignments, promotions, continued employment or promises of the same;
- Comments or questions about an individual's body, sexual preference, sexual prowess, or sexual deficiencies, and the use of sexually degrading or vulgar words to describe an individual;

- Sending sexually explicit, suggestive, or offensive communications via electronic means, such as email, text, or social media;
- Touching, leering, whistling at or brushing against the body, or sexually suggestive, insulting, or obscene comments or gestures; and
- Display or communication of any kind, whether electronic or in hard-copy form, of objects, pictures, writings, recordings, or videos that are vulgar or sexually suggestive or that are offensive in a sexual manner.

The Company recognizes that sometimes it can be difficult to know what constitutes sexual harassment, since people and their perceptions and sensibilities are different and can change. Comments, jokes, or personal advances that you may perceive as innocent or funny may be offensive to a coworker. You should know that even innocent intentions do not excuse actions that are unwelcome and that are reasonably perceived as threatening or offensive. Therefore, the Company encourages you to avoid misunderstandings by acting professionally, treating others with respect, and avoiding conduct that others could misinterpret either as welcoming of inappropriate conduct or as being inappropriate conduct.

Harassment By Others

Harassment by third parties, like vendors, customers, or visitors, is also prohibited when directed against our employees. If any employee feels uncomfortable, threatened, or harassed by a visitor to our facility, that employee should immediately report the situation so that appropriate action can be undertaken. Remedies for these types of situations may include but are not limited to asking the person to refrain from the offensive behavior, removing the employee from direct interaction with the person, and/or asking the person not to return.

Employees with Disabilities

The Company complies with applicable state and federal laws that provide for nondiscrimination in the terms and conditions of employment and the provision of reasonable accommodations for qualified individuals with disabilities, and for employees

who are pregnant or have a condition related to pregnancy, including lactation or the need to express breastmilk. You may be a qualified individual with a disability if you have a qualifying physical or mental disability or medical condition and can perform the essential functions of your job with or without a reasonable accommodation.

The Company is committed to providing qualified persons with disabilities an equal opportunity to participate in and benefit from all programs and services offered by the Company. Reasonable accommodations for program accessibility and employment are made on an individual. If you feel you are a qualified individual with a disability and need an accommodation to perform your job, you should make such an accommodation request to management. Likewise, if management becomes aware of the need for an accommodation by observation or from a third party, we may initiate an interactive process with you to determine if a reasonable accommodation is needed and/or can be made.

During the interactive process, management will work with you to seek a reasonable accommodation that enables you to perform the essential functions of your job, as long as such accommodation does not pose an undue hardship on the Company. After proper assessment, management will advise you of our decision on your accommodation request.

Management may require proper documentation about the need for a reasonable accommodation. If your request relates to pregnancy or any related condition, then no documentation is required for the following accommodations: (1) more frequent restroom, food, or water breaks; (2) seating; (3) limits on lifting more than twenty (20) pounds; and, (4) private non-bathroom space for expressing breast milk.

All medical-related information is maintained in separate confidential files and will be kept confidential to the extent possible. While the Company strives to allow only those persons with a need-to-know purpose be apprised of any medical or other information concerning your accommodation request, it should be noted that some disabilities or accommodations may be apparent to other team members.

Progressive Corrective Coaching

Progressive Corrective Coaching is a process designed to identify and correct problems or behaviors that negatively affect an employee's work performance and/or the overall performance of the Company. It is the intent of the Company to handle this process as consistently as is practical, with two goals in mind. One goal is to create a record of an employee's progress and performance to assist with performance assessment. The second is to clearly communicate the expectations of the Company to the employee so that the employee has an opportunity to self-correct any issues, and to have the opportunity to ask for help to overcome any obstacles. The steps of the Progressive Corrective Coaching policy are outlined below. The Company, may repeat, combine, modify, or skip any step based on the facts of the specific situation and the nature of the offense. Relevant factors may include the employee's work record, the severity of the conduct, whether the behavior has repeated despite past coaching or training, and the impact the behavior has had on the Company, other employees, vendors, or customers.

When documentation is made of the coaching, employees will be permitted to provide their notes or comments, and then are required to sign the document to acknowledge receipt of the coaching. It is important to understand that an employee's *agreement* with the written counseling is not required; a signature *acknowledging receipt* is required, and refusal to sign is grounds for termination. Corrective action records, even if verbal, may be kept in an employee's file indefinitely. Nothing in this process modifies the at-will status of employment. The corrective action process may include any or all of the following steps:

1. **Verbal Counseling -** A manager and employee verbally discuss the nature of the problem or violation of policy, as well as the expectations of the employee moving forward. A written record may be made at the discretion of management.

2. **Written Counseling –** A formal meeting between a manager and employee is scheduled in which any prior relevant coaching is reviewed, continuing performance issues are discussed, and consequences for continued failure to meet expectations are explained.

3. **Final Written Counseling –** When performance or an incident is so problematic as to warrant potential termination, a final counseling with a warning may be issued to clarify that any further failure to meet the expectations of management will likely result in termination.

4. **Suspension –** Suspension is reserved for serious infractions requiring further investigation, or where the safety of the employee, company assets, or others are at risk. Suspensions are usually without pay, and paid time off may not be used. Employees may be reinstated or terminated after a suspension. Employees who are excused or exonerated may be paid for time missed.

5. **Termination -** Terminations may occur either immediately without prior notice, or after written counseling has not resulted in improvement of the performance or behavioral problems.

Performance Evaluations

Both management and employees are strongly encouraged to discuss job performance and goals on an informal, day-to-day basis. Additional formal performance reviews may also be conducted to provide management and employees a more formal opportunity to discuss job tasks, identify and correct weaknesses, encourage, and recognize strengths, and discuss goals. Employees should expect to be evaluated during and after their getting acquainted period. Formal performance evaluations are generally scheduled annually, subject to change at the discretion of the Company.

Feedback goes both ways. Evaluations of employees are intended to be participatory in nature, involving the employees' input as much as that of management, thereby helping employees to contribute to the betterment of the Company. To make your review more successful, you may wish to review your job description beforehand, and come prepared with a list of your accomplishments from the last year and goals for the coming year. Finally, it is also a good time ask questions about company goals, discuss challenges you

may be facing, or to make suggestions for company improvements. Note that reviews do not automatically lead to an increase in pay and the Company does not give a routine annual cost of living increase.

The Company will attempt to give employees notice prior to the evaluation time in order for both parties to prepare and thereby make the evaluation session more productive and meaningful. Nothing stated during an evaluation alters your at-will status, nor creates an obligation to continue your employment, unless an agreement to do so is put in writing and signed by an authorized representative of the Company.

CONTINUING EDUCATION

We believe in investing in our employees' professional development. Accordingly, the Company will from time to time make educational events available to the team, some of which will be mandatory, and some will be optional. For non-exempt employees, time spent in training is considered work time, and thus will be paid time, when any of the following are true:

- Attendance is approved during the employee's regular working hours;
- Attendance is mandatory or strongly encouraged;
- The training is intended to help the employee perform their current job better or more effectively; or
- The employee performs actual productive work during attendance.

Note that maintaining continuing education credits required by the state for licensure is the sole responsibility of the licensed individual, and unless required by management to attend a specific event, should be scheduled outside regular hours and is not considered compensable time. Any employee interested in attending a training not sponsored by management should present the syllabus to management for review. Expenses may be compensated at the discretion of the Company.

Non-exempt employees may be paid a reduced rate of pay for travel and training time when no productive work is being performed. Employees must track and record all compensable travel and training time, which includes the time actually spent in training (not leisure or break time), and for related travel.

Time spent traveling to and from the location of the compensable training event will be paid, except that time spent traveling as a passenger for an overnight trip is only paid if it cuts across normal working hours (regardless of day of the week). The Company will also reimburse employees for all approved necessary expenditures incurred by employees while participating in compensable training events, upon submission of valid receipts. Employees are expected to maintain the image of the Company at all training events, including being present both physically and mentally at all required functions. Please be mindful to limit your recreational activities so that you are well rested and able to give your full attention to the educational opportunity provided.

Verification of Licenses and Certificates

The Company is not responsible for providing any notification or reminders to licensed individuals prior to the renewal date of such licenses or credentials. Employees should make every attempt to schedule any required classes for certifications to take place during non-work hours. The Company requires that you provide current licensure and certifications at the time of hire and annually.

Failure to keep your licenses and certifications current could result in disciplinary action, including suspension, pending reinstatement of necessary licensure and credentials, and/or termination.

WORKPLACE SAFETY

The safety of our employees and visitors to our facility is of the utmost importance. All team members are expected to maintain safe and healthful working conditions and to adhere to all policies and procedures designed to prevent injuries and illness. By working

together and staying aware of our surroundings we can achieve a safe and healthy environment.

The Company attempts to provide for the safety of the staff and community by continuous efforts to maintain buildings, grounds, equipment, and by encouraging safe operating practices. All employees are responsible for contributing to safety by performing their job in the safest manner possible and by reporting to the supervisor any unsafe or dangerous conditions observed. Typical examples of unsafe conditions include slippery floors, improper or defective electrical wiring, careless handling of equipment, defective or broken equipment, and equipment left in such a manner as to be a safety hazard. Security policies at the Company are designed to create a safe workplace for our employees, property, data, and systems. Employees are expected to know, understand, and follow safety and security policies as well as to report any potential concerns in order to maintain a safe and secure workplace.

Employees are responsible for knowing best safety practices, thinking defensively, anticipating unsafe situations, and reporting unsafe conditions immediately.

In addition, please observe the following precautions:

- Notify management of any emergency situation. If you are injured or become sick at work, no matter how slightly, you must inform management immediately. Failure to make a timely notification to the Company can lead to possible workers' compensation disqualification.
- Notify management if you are taking any prescription medication that either the prescribing entity, or you, believe may affect your ability to safely perform the essential functions of your position as it relates to the potential safety of others.
- The use of alcoholic beverages or illegal substances during working hours will not be tolerated.
- Use, adjust, and repair machines and equipment only if you are trained and qualified.

- Always perform a review of any equipment or machinery for its condition and make sure any moving parts are free of foreign objects prior to usage.
- Get help when lifting or pushing heavy objects.
- If you are not sure of the safe procedure, do not guess. Ask.
- Know the locations, contents, and use of first aid and firefighting equipment.
- Wear personal protective equipment in accordance with the job you are performing.
- Report the need for repair or replacement of your work materials or the premises (such as light outages, or uneven flooring) as soon as discovered.
- Store materials and equipment safely and neatly; avoid clutter that might cause accidents.
- Always exercise universal precautions while sterilizing equipment, cleaning the premises, and carrying out other tasks that have a potential for infection, contamination, or exposure to harmful chemicals or bio-hazardous materials.
- Know the location of building exits, fire-fighting equipment, and other emergency equipment.

The Company reserves the right to request that you leave the premises if, in our judgment, your injury or illness poses a direct threat to the health and safety of you or others in the workplace. In most circumstances, you may not refuse to work because you are afraid of contracting a life- threatening illness from a co-worker. Employees concerned about being infected with a serious disease by a co-worker, customer, or other person, should report such concern to management.

Notice of Potential Exposure to Hazardous Material

Studies have shown that some of the processes and substances used in our business may have a harmful effect on women who are pregnant or planning to become pregnant. For example, exposure to volatile organic compounds (VOCs) has been noted as potentially posing a risk to the health of a mother or fetus.

In making your decision whether to continue working during your pregnancy, we encourage you to seek out education and guidance from your own physician regarding working in a cannabis facility while pregnant. It is your responsibility to request any accommodations needed to perform your job duties during your pregnancy. You may request a leave of absence if you or your physician feels that continued performance of your responsibilities may present a hazard to you or the child, or if you are unable to perform the essential functions of your job.

OSHA Compliance

Due to the potentially hazardous nature of our workplace, all team members are responsible for familiarity and compliance with OSHA, EPA, and state regulations regarding job safety and health protection. The Company cooperates with all reasonable OSHA and EPA inspections and compliance reviews. The Company provides training and materials explaining the applicable standards and guidelines for all employees during the initial getting acquainted period, and periodically when applicable regulations are revised or added. All employees are required to participate, and a record will be maintained of all those in attendance. Missed meetings and training exercises must be made up within a reasonable time to avoid disciplinary action.

Please contact management with any questions about safety supplies, training, or applicable standards.

Hazard Communication Standard

OSHA's Hazard Communication Standard requires that warning labels with orange and orange- red biohazard symbols be affixed to containers of regulated waste or, alternatively, red bags may be used. Employees who may come into contact with hazardous materials are required to receive information and training after the start of employment. The Company maintains additional information (including a copy of the SDS) about any chemical used or stored in the facility, which is available to employees during working hours.

Fire Prevention and Reporting

Fire hazards or suspected fire conditions observed by an employee should be reported immediately to Company management and Emergency Authorities. Examples of hazards that should be reported are:

- Flammable liquids or materials left uncovered after use or improperly stored
- Accumulations of paper, oily rags, etc. in storage or other enclosed areas
- Defective wiring or electrical devices
- Fire doors left open

The Company has established fire prevention and evacuation plans to protect employees and other personnel and property on our grounds. Employees should become familiar with the plan for their particular area. All reports of fires, including non-emergency situations, is important, particularly if you are unsure whether management may already be aware.

Security of Personal Items

The Company shall not be held responsible for the personal effects of employees while in the building. Employees are responsible for keeping any personal effects secure.

Inspections and Investigation

Because of the importance of this policy, the Company reserves the right to inspect all areas of its premises for prohibited substances. Employees may be asked to cooperate in inspections of their persons, work areas, and property that might conceal prohibited substances and contraband. All employees are expected to cooperate with any investigation. Failure to cooperate, providing false information, or omission of relevant information may subject any employee to corrective action up to and including termination of employment.

Required Testing

A search and inspection will include and require employees to submit to a Urine Drug Screen Test and/or Blood Test or other examination. Tests/inspections may be required under the following circumstances:

- During pre-employment examinations;
- When management has reasonable suspicion based on observation of apparent workplace use, possession, or impairment. In such cases, under no circumstances will the employee be allowed to drive to the testing facility; or
- Within two hours of an accident resulting in an on-the-job injury if there is reasonable basis to believe prohibited substances may have been a contributing factor to the accident. In such cases, under no circumstances will the employee be allowed to drive to the testing facility.

All testing will be performed at a designated drug-testing facility. Time spent in alcohol or drug testing will be paid time. An employee may then be suspended pending the results of the drug or alcohol test. Information and records relating to positive test results, drug and alcohol dependencies, and any medical explanations provided by the employee will be kept confidential to the extent required by law and maintained in secure files separate from normal personnel files. Employees who have tested positive, refuse to cooperate, or otherwise violated this policy, are subject to corrective action, up to and including termination.

The Company may refuse to let an employee clock in, or require the employee clock out, if the employee's ability to safely perform their job duties is impaired by prescribed medication, over the counter medication, alcohol, or drugs.

Any employee who is subjected to discipline on the basis that the employee is under the influence or impaired by cannabis will be afforded a reasonable opportunity to contest the basis of that determination.

CRIME PREVENTION

The Company is committed to promoting a safe, respectful, and non-threatening environment that provides an attractive place free from all forms of violence. Acts of violence are strictly prohibited.

Members of the Company are expected to immediately report any emergency situation involving behavior by an employee, patient, or visitor that would be considered a high level of concern (possession of weapon/bomb materials, physical assault, or attempted assault), and/or when there is a serious and imminent threat of violence to one's safety or the safety of others. Any other situation that involves a threat of violence or alarming/disruptive behavior that is not within the emergency situation should be reported to the appropriate Company personnel.

The Company prohibits the possession, transportation, and use of unauthorized firearms and other dangerous weapons on our grounds. The Dangerous Weapons & Firearms Policy applies to all persons, including staff, contractors, patients, and visitors.

Current employees are required to self-disclose to the Department of Human Resources post-employment criminal convictions, other than minor traffic violations. Disclosure must be made within one business day of the conviction. Such disclosure shall be made in writing to the Director of Human Resources. Failure to timely disclose a criminal conviction may result in discipline, up to and including termination.
Persons on our facility grounds and in violation of our policy are trespassers and may be dealt with accordingly, including, but not limited to, being removed from the grounds and receiving a written directive to remain off of our grounds. Contractors and vendors are expected to comply with policy and contract terms. Violations of state law may be dealt with by appropriate law enforcement. Employee violations may be resolved in accordance with employer policies, up to and including termination.

SECURITY

Security Policies, Procedures and Training

The company maintains a detailed security plan and operating procedures that will identify the security policies, procedures and training rquird for the company.

Keys and Access

Employees who receive security access to the building, internal offices, or company property, are responsible for keeping access items such as keys and codes secure. You may only permit access to authorized individuals. Lost or stolen keys must be reported to management immediately. Employees are required to return keys upon termination of employment, or otherwise on demand by the employer. Employees who fail to return keys upon demand may be required to pay the cost of rekeying the facility.

Video and Audio Surveillance

Our Company uses video surveillance cameras and Audio surveillance in compliance with state law to lawfully monitor activities that take place within the private property of the Company. Employees are put on notice they have no expectation of privacy in any area where cameras are installed. Employees agree to video monitoring by nature of their employment. Refer to the security plan for more details.

Motion Detection

The facility will have motion detection and glass breaks also in compliance ith company policy and state law. Refer to the security plan for detaills of the motion detection system. The security plan will remain up to date with any changes to the motion sensors, and the sensors will be tested periodically according to the security plan.

Visitor Policy

In order to prevent the disruption of the flow of our workday, for safety, and to protect confidentiality, security protocols must be followed for anyone seeking access to our business premises. This includes visits from former employees, friends, and family members. Proper licensure or regulatory credentials will need to be shown to enter, and no exceptions can be made without authorization from management.

All visitors must enter through the main reception area and be escorted at all times. Visitors are not to be given access to restricted areas, generally any area beyond the lobby and Cultivation rooms, unless authorized and accompanied by management. Visitors may not handle or smell the medicine. At the management's discretion, visitors may be asked to leave, or asked not to return during working hours.

COMMUNICATION AND TECHNOLOGY

Personal Devices and Phones

In order to preserve the privacy, safety, and protection of our production facilities, as well as to keep our focus on plant care during work hours, the use of personal devices such as smart phones, tablets, smart watches, and the like, is not permitted during work time, and never in view. Personal devices should be stored with your personal belongings during work time. You may use your personal devices during off duty breaks, as long as you are in an area that you will not disturb or disrupt the work of a team member. In cases of emergency, family members may call the main phone number of the facility. Nothing in this policy limits an employee's right to engage in protected concerted activity.

For the privacy and protection of our team and facilities, employees must obtain consent from the Facility Manager before taking or transmitting a picture or video from inside the facility. If you see anyone taking or transmitting a picture or video without the obvious consent of the Facility Manager, please notify a manager immediately. Employees are asked to report any violation of this policy.

Email and the Internet

All technology provided by the Company, including computer systems, communication networks, and electronic records are the property of the Company, and use is intended for work-related purposes. Unacceptable use can place the Company at risk. Use of Company technology such as computers and the internet is not intended for personal use. Personal use of Company email is not permitted during work hours and is always subject to monitoring. Employees have no right or expectation of privacy in information transmitted through Company technology. Personal access to the Company's Wi-fi must be limited to off-duty breaks.

All employees are required to keep company passwords private and are otherwise expected to protect the integrity of any security measures put in place to keep company records and files secure.

As part of the Company's maintenance and security procedures, the Company reserves the right to monitor, access, retrieve, store, and review all e-mail/Internet activity as it deems appropriate, and to disclose the nature and content of any such activity to law enforcement officials or to other third parties without any prior notice to the employee. As a condition of initial and continued employment, all employees must consent to the Company's review and disclosure of e-mail messages and Internet records.

Email communications reflect on the Company as well as the individual, and thus must adhere to professional business standards and the policies of the Company.

- E-mail and Internet activities on or through company computers and networks must be used in an appropriate, legal, ethical, and professional manner.

- E-mail and instant messaging should not be used, and files should not be downloaded/viewed/played, in any manner that would violate or contravene any policy of the Company, including without limitation the Anti-Harassment Policy;

- Employees may not download software applications from the Internet or from any other source without approval in writing from management;

- E-mail, instant messaging, the Web, or the Internet should not be used in a manner that is likely to compromise the integrity of the company's computer systems or in a manner that is likely to compromise any confidential information;

- Business-related e-mail should be treated similarly to other forms of business-related correspondence, i.e., appropriate business correspondence style should be

used and, where appropriate, copies should be printed and kept in the appropriate file;

- Pornography in any form is strictly prohibited from being sent, viewed, downloaded, or broadcasted from within or to company network or computer systems. Violations of the policy will not be tolerated. The Company will report illegal activity to appropriate authorities.

Again, you should not assume that e-mail or instant messaging communications are private, secure, or innocuous. Despite system features that give the appearance of privacy, including passwords and the apparent ability to delete messages, data and information sent or received via e-mail are not necessarily safe for many reasons.

Programs and Passwords

Employees of the Company will be given access to equipment and other secured systems on an as-needed basis at the discretion of the Company. *Employees may not share or use a "universal" password or one that is assigned to others.* For security and various other accounting reasons, employees needing computer access must be issued unique passwords for their own use with company equipment and/or programs installed on the company computers and other security systems. Employees found using the passwords of others are subject to discipline up to and including immediate dismissal.

Employees are encouraged to please help us identify any area where any team member is in violation of this policy, whether intentionally or by mistake, including owners and managers. If you are directed to use a universal password or someone else's password, please inform the owner or manager as soon as possible.

Further, employees may not access company computers or programs from outside of the workplace unless specifically permitted by the Company. Passwords and security settings

are not to be changed unless approved by the Company Administrator in writing, and employees are required to relinquish to the Company all access to company programs and/or equipment at time of separation from employment.

Social Media

Employees are encouraged to use the internet responsibly, especially interactive social media, personal blogs, message boards, and the like, both during and outside work hours. When communicating publicly, it is important that you take care to ensure that your statements cannot be construed as a representation of the Company's official position, unless you have authorization from management. Harassing or discriminatory comments, threats of violence, or other similar conduct that would violate a policy of the Company is discouraged in general and is never allowed while using Company equipment or during your working time. Furthermore, employees are urged not to post information regarding the company or other employees which you know to be false or which could detrimentally affect the business of the Company.

In general, it is wise to remember that what you say in social media can often be seen by anyone. Accordingly, employees should never defame or libel fellow professionals, employees, vendors, customers, or competitors. Employees may not knowingly make false representations about their credentials or their work. Employees are expected to respect copyright, trademark, and similar laws and use such protected information within applicable legal standards. Employees are prohibited from disclosing trade secrets or proprietary Company information.

Employees are expected to know and follow this policy. Nothing in this policy is, however, intended to prevent employees from engaging in concerted activity protected by law. If you have any questions about this policy, please ask a member of management before acting.

Privacy

We follow all Federal and State guidelines regarding privacy and Internet use in the workplace. As stated, our Internet systems and any and all other equipment issued by the

Company (including telephones, computers, laptops, servers, smart phones, tablets, pagers, and/or cell phones) are company property and are monitored to protect company assets. Be aware that the Company may audit a specific computer, email, instant messages, text messages, Internet usage, and any other electronic communication stored on, communicated through or by the Company's equipment or network. We have the right to review any communications stored on Company equipment, even if those communications or files are personal, and were sent through an employee's password-protected email account. Therefore, you should have no expectation of privacy in any personal documents or communications sent through or stored on Company equipment or network. By using the company email system and/or equipment you are consenting to this monitoring. With this in mind, please be advised not to keep any personal items on your work computers or any storage device owned by the Company in order to maintain your privacy.

WORKPLACE GUIDELINES

COOPERATION WITH INTERNAL AND EXTERNAL INVESTIGATIONS

The Company will occasionally need to authorize or conduct an investigation into possible work rule violations. In such cases, employees are expected to fully and honestly cooperate with a request to participate in such an investigation. Failure to cooperate in an investigation, and/or to report knowledge of theft, embezzlement, or other violation of company policy, may result in corrective action, including but not limited to termination of employment. Employees providing information related to an investigation will not be subject to retaliation or disciplinary action as a result of their participation, provided the employee is not found to have violated company policy and otherwise participates in good faith.

Company Information - Confidentiality

The Company prohibits the unlawful or unauthorized access, use, or disclosure of confidential and/or proprietary information of the Company obtained during the course of your employment to those outside the Company, unless authorized by management, or

when disclosed in connection with a workplace grievance to your attorney or representative of an investigative governing agency. The Company's confidentiality policy applies to information maintained or transmitted in any form, including verbally, in writing, or in any electronic form and any transmission, whether intentional or otherwise. The confidentiality policy is effective both during and after your employment ends. In addition, as a condition of employment, employees are required to read and sign the *Confidentiality and Nondisclosure Agreement or similar provisions in the Employment Agreement, as applicable.*

Solicitation

Solicitation by an employee of another employee is prohibited while either person is on working time. For these purposes, solicitation means requesting or entreating another's support, investment or purchase in relation to an outside business or charity. Working time is all time when an employee should be engaged in work tasks; it does not include an employee's own time, such as meal periods, or time before or after work. Solicitation, distribution of literature, or trespassing by non-employees is prohibited at all times on company premises, including parking lots.

Dating Policy

The Company requires that all employees uphold appropriate boundaries between personal and business relationships. Please know that the Company's Anti-Harassment Policies and Employee Concern Reporting Policy strictly apply to dating between employees.

In order to maintain the high professional and ethical standards set by the Company, and to avoid favoritism, discrimination or conflicts of interest, intimate behavior or relationships between management and subordinate employees is strictly prohibited, regardless of the directness or indirectness of the chain of command. Consensual dating or intimate relationships between other coworkers may be permissible only when such relationships are fully disclosed to management, consensual, and each employee provides a signed Consensual Relationship Agreement to management. Each employee in such a relationship

must acknowledge that they will abide by the Company's Anti-Harassment Policies, agree to act professionally by not engaging in conduct that might be offensive to other employees, and further agree to hold the Company harmless from any damages or claims arising from the relationship, regardless of whether such conduct arises during or after the relationship. Finally, even employees in disclosed consensual relationships are subject to discipline for conduct deemed inappropriate for the workplace. Employees are required to disclose any romantic or dating relationship with a co- worker to HR, so that steps can be taken to ensure the employee and the Company's interests are protected. Failure to disclose such relationships is grounds for termination.

Outside Employment

The Company permits employees to take outside work to meet personal economic needs when the employee is meeting the demands of their job at the Company, including availability to work overtime or to occasionally cover shifts for others, and when such work is not in conflict with the interests of the Company, such as working for a direct competitor of the Company.

Employees are required to notify the Company before taking on outside work. Notifications of outside employment should include the name of the outside employer, the hours required, as well as any restrictions upon the employee's availability to work for the Company. The employee's obligations of confidentiality and respect for the Company's interests are still in effect when working outside of the Company.

Soliciting customers from one company and referring them to another is a conflict of interest and is not allowed. Furthermore, employees may not receive any income or material gain from individuals outside the Company for materials produced or purchased on behalf of the Company, or for services rendered while performing your job with the Company. This policy is not intended to discourage or prohibit employees from participating in any protected activity.

Even if the Company is notified of outside employment, if outside work begins to interfere with job performance or creates a conflict of interest for the Company, the Company may request that you terminate or modify the terms of any outside work. It will then be the employee's choice whether to continue employment with the Company under the requested terms.

Employee Concern Reporting Policy

The Company strives to create an atmosphere in which any problem, concern, or complaint, can be resolved by open and frank discussion. This Employee Concern Reporting Policy is designed to provide employees with a process to report any concerns or complaints about a policy, Company or condition of their employment, or about unjust treatment by a co-worker, management, or third party associated with our business. While this policy is not limited to complaints about discrimination or harassment, it is our intent to take reasonable steps to prevent and promptly correct any discriminatory or harassing conduct or retaliation. Employees are encouraged to make use of this process for any concern relating to your employment.

Employees are asked to report any serious concerns to management as soon as they arise. Complaints and related materials will be kept confidential, to the extent possible. All complaints will receive a timely response and will be investigated as reasonably necessary based on the circumstances. Employees may discontinue the process at any step. Employees will not be penalized or retaliated against for reporting concerns or participating in this process. Please note that the Company has also implemented an Alternative Dispute Resolution Agreement ("ADRA"). The two policies are not mutually exclusive, but rather are meant to cover all disputes, both small and large, the goal being to resolve any conflict or concern as early on as possible. Where outside counsel is involved, or if there is a conflict between the two policies, the ADRA will control, and the procedures therein should be followed.

Step One. If you are comfortable doing so, discuss the issue, as calmly and respectfully as possible, with the other person or people involved. Try to listen to the other side, and

clarify the source of the conflict, which may not be the immediate issue or events at hand. If you can't agree to a resolution, try to seek areas of agreement, or potential solutions, rather than repeatedly reviewing past events.

Step Two. If the issue hasn't been resolved, bring the matter to the attention of management, starting with your manager whenever possible. If you are not comfortable discussing the issue with your direct manager, you may report the issue, orally or in writing, to HR.

Be prepared to describe your concern, and what outcome you hope to see. Management may request that employees put their concerns in writing for clarity and record-keeping. An Employee Concern Form is provided with the Handbook and may be obtained at any time from management. Members of management are required to report to the Company owner(s) any serious grievance, including but not limited to those involving harassment, discrimination, misconduct and wage and hour concerns. At this stage, management will attempt to resolve the issue internally if possible.

Management may determine no valid grievance exists, implement a resolution or corrective action, or escalate to the next level of the process. The outcome of the issue will be documented, provided to those concerned, and kept in a confidential file.

Step Three. If the issue is not resolved, the matter should then be reported to senior management for a thorough, fair, and timely investigation and final resolution. We are committed to providing due process for all parties. Employees will be asked to provide all relevant information in writing. Management will then investigate in a timely manner and may choose to involve an outside investigator. Employees will be notified of the progress of any ongoing complaint process. During the investigation, employees are encouraged to provide any additional relevant information. Once the investigation is concluded, a written response will be provided, and if misconduct is found, appropriate corrective actions will be taken. All matters will be addressed in reasonably timely manner. If the investigation results in a finding of misconduct, appropriate remedial measures will be taken.

EMPLOYEE CONCERN FORM

This form is to be used by employees to report problems in the workplace, both large and small, so that we may determine an appropriate response or resolution. For urgent issues or problems involving safety, always report the problem to a member of management immediately. Please attach additional pages as necessary.

1. **Describe your concern, giving all the information you think is important, including detailed dates, times, and locations where possible. Attach additional sheets as necessary.**

2. **Is the concern unique or recurring? If the problem is recurring, what do you believe are the consequences if your concern remains unresolved?**

3. **Where or to who else have you discussed or reported this concern?**

4. **Please propose your suggestion for a resolution.**

Date:

Employee Signature:

Employee Print Name:

EMPLOYMENT SEPARATION

Because your employment with the Company is at-will, you or we are free to terminate your employment at any time, with or without cause. We retain the right to dismiss an employee immediately and without notice.

Notwithstanding your at-will status, we ask that employees provide the Company with at least two (2) weeks prior notice of the intent to resign, to ensure that all matters relating to your employment are addressed prior to your final day of work. The Company reserves the right to accept your resignation effective immediately. Employees who are eligible for rehire will be considered new employees from the effective date of re-employment and will be required to enter a new getting acquainted period in the event of return. Finally, it is important to keep the Company apprised of any changes in address for the remainder of the calendar year, so that we may forward your tax information to the proper location.

Company Property

On or before your last day of work, you are required to return all Company property, such as your registered agent card, keys, records, company-provided uniforms, computers, other company equipment, and any other property you received as a result of your employment.

Exit Interview

At the time of separation, employees are asked to complete an exit interview form or to participate in an exit interview as an opportunity for you to provide feedback regarding your experience while working here. You are encouraged to provide us with information that may help us improve, and if you have not already done so, to report any concerns you have about your experience with the Company.

Employment References

No employment references will be provided regarding former employees except to confirm factual information such as dates of employment and job title upon request, or as otherwise required by law, such as under lawful subpoena. No other employment data will be released without a written authorization and release signed by the employee naming the

entity to which information may be disclosed. If we have a reasonable belief that an employee has engaged in illegal or negligent activity, harassment, or violence while employed by us and we are asked for this information by a prospective employer, we may elect to provide factual information in that regard when it can be substantiated by the Company's records.

Financial Policies, Procedures and Controls (See also Exibit 14)

The company's CFO will be responsible for maintaining the company's financial policies and controls. The company's financial policies and procedures ensure that its financial operations, including budgeting, purchasing, and expenses are conducted in a responsible, transparent, and ethical manner. Some examples of financial policies include:

- *General Accounting Policies*
- *Budgeting and planning*
- *Expense management*
- *Purchasing: Policies*
- *Transaction Management*
- *Financial reporting*
- *Internal controls*
- *Conflict of interest*
- *Tax (See also Exhibit 14)*
- *Financial Recordkeeping*
- *Cash Handling (See also Exhibit 14)*
- *Banking Policies*

Money Handling and Tax Plan

Our leadership team has over 25 years of combined experience in operating their own businesses and cash handling of over $22M annually. Our CFO D.S. will work with 2 independent CPAs to ensure the cash handling and tax are done accurately. We have developed robust financial practices with a double entry accounting system, and we will train all employees on appropriate money handling techniques. We have

established procedures for our allocation of funds and remittance of taxes. These processes will be documented in our standard operating procedures ("SOPs") and readily available for any staff to review. All finance related SOPs will also be made available to the Alabama Medical Cannabis Commission ("AMCC"). Documents will be provided as needed to the Department of Revenue of the State of Alabama ("the Department"). We will always designate appropriate funds for prompt tax remittance as required by any local, state, or federal agency.

Financial Practices

We will maintain our business' financial accounts in the United States with FDIC regulated organizations, and fully disclose all credit practices. 31 U.S.C. § 5311 (2004), 118.3745(a)(4); F.D.I.C. C.2 § 121. Our financial records will be physically and digitally secured, and all staff will be trained on proper recordkeeping. Our SOPs include details on our electronic financial recordkeeping systems, and which personnel are allowed in cash storage restricted access areas. 31 U.S.C. s.5311 (1992), (b)(3-4). From these records, we will supply any documentation requested for law enforcement purposes. 31 U.S.C. § 5311(b)(1- 2). We will comply with guidance issued by the Financial Crimes Enforcement Network ("FinCEN"), and we will only work with vendors or financial institutions who also comply with this Act. 31 USC § 5311 BSA 34.

We have engaged with vendors for digital payment processing (paybotic), accounting (Norvell & Associates, Tree Star Solutions), and armored-car services (Empyreal logistics). We have proactively created a positive relationship with Abaca Bank to support our business in this matter. Abaca bank is cannabis-focused bank based in Arkansas that conducts banking services in numerous states, including Alabama. We will maintain honest candor with financial entities about our cannabis transactions by providing details on our license, and we will supply all necessary information to our banks in compliance with their customer identification program. 31 CFR §

1020.220(a)(2)(i)(A).

CFO D.S. will monitor daily bank accounts and utilize positive pay service to avoid any fraudulent withdrawals. Vendors will be paid using ACH, wire and manual checks as preferred by the vendor. Signage at the door "Limited cash available on-site during day and overnight", CFO D.S. will designate cash procedures for end of day closing and opening procedures. Empyreal logistics, which has been working with cannabis businesses for multiple years shall be engaged to perform ATM and cash transfer services. Cash will be verified by a fake bill checker to be used by each counter, second bank account to keep the taxes separately for easy and accurate accounting, EFTPS shall be used to to pay the federal taxes including employment taxes.

Accounting

Our double entry accounting system will record, analyze, and classify our transactions, and will provide accountability of our assets and liabilities. Our Chief Financial Officer D.S. will oversee monthly and year-end financial reconciliation of accounts payable and receivable. Our records will include gross sales, gross proceeds, gross receipts, and other books to determine our tax liability. Ala. Code § 40-23-9. Distinct records will be kept for the cultivation, processing, and dispensing aspects of our business. Ala. Code § 40-23-9. We will also work with 2 independent CPA firms (Tree Star Solutions, Norvell & Associates) familiar with the cannabis industry for tax filing. Our first tax return will be filed by April 2023.. Ala. Code § 20-2A-80(b)(3). We may file our taxes electronically. Ala. Code § 40-30-4. With these systems we will fully disclose our financial results and maintain GAAP conformity, including recognizing revenues and expenses on the accrual basis, and reconciliation of all accounts in a timely manner.

Money Handling

We prioritize the safety of our community and will never launder money or consort with terrorists. 12 CFR § 21.11 (c)(4)(i); 31 U.S.C. s.5311 (2004), 118.3745(a)(3). We have developed protocols for beginning-of-day and end-of-day movement of medical cannabis and cash between secure areas and sales areas, as well as a plan for always maintaining security of daily cash on hand. Ala. Admin Code. r. 538-x-8-.05.03.m.10. We will have at least one security guard on site during business hours to protect our staff, products, and premises. All staff and vendors with access to money will undergo security and diversion training provided by our business.

Employee Training

Each employee responsible for handling cash will participate in cash-handling and management training. Employees will be trained to examine cash received during transactions to guard against counterfeiting and fraud, miscounting, and other common cash-handling errors. We will train employees involved in cash handling to follow protocols similar to bank tellers, in that each bill will be clearly shown on a surveillance camera when counting currency. Security and management will periodically spot-check and review surveillance footage to verify each employee is correctly following all cash-handling protocols.

Cash Transport: Empyreal logistics will schedule randomized weekly/monthly cash pick-ups in armored vehicles to be transported to its banking institution. Prior to each pickup, and under the supervision of a security guard, Dispensary manager and Empyreal personnel will open the Tidel 4e safe access the funds. All funds will be placed in dual-pouch, tamper-resistant depository bags along with the deposit slip printed by the safe. The dispensary manager will write the deposit information in the space provided on the outside of each depository bag and will record the number of bags and each unique bag number on a deposit ticket; we will retain a copy of the deposit ticket. Cash pick-ups will be conducted in the secure area

where safe is located. During cash pick-ups and under the supervision of a security guard and video surveillance, an employee will verify the armored truck guard's identification badge and verify the shipment via manifest. A security guard will ensure all cash pick up personnel wear identification and are escorted at all times during their time on the premises.

Cash Storage: All till and change money of $1425 (will be secured overnight in the safe which is located in the vault. Dispensary manager S.F. will remove funds from each throughout the day in excess of $300 for smart safe deposit. A smart cash safe (Tidel series 4E) will be located in the vault which automatically counts inserted cash and stores them for the armored cash pick up to be transported to Abaca bank or federal reserve.

Prior to the business operational hours each day, a manager and security guard will open the facility and check for any signs of intrusion or disruption. Once the facility is secured, the manager will open the secure storage area and perform cash till verification. Next, they will prepare each cash register to begin daily operations with a $200 starting till. Each till will have a distribution of ones, fives, and twenty-dollar bills, and sufficient coinage, including pennies, nickels, dimes, and quarters. Any non-standard bills or coin denominations, such as half-dollar coins or two-dollar bills, will be deposited to our financial institution. Finally, the manager on duty will secure the starting tills in the locking cash register drawers.

During business operational hours, a manager will always be available to dispensary staff for cash verification, or if additional bills or change are needed. Dispensary employees will collect taxes of 9% from each patient at the time of sale. Ala. Admin Code. r. 538-x-8-.03.08; Ala. Code § 20-2A-80(a); Ala. Code § 40-23-1. An additional state privilege tax will be added at a rate of 4% as a direct-to-consumer tax. Ala. Code § 40-23-26(a), (c). All money collected from this taxation will be paid to the Department. Ala. Code § 40-23-26(d).

At closing, each sales associate will close out and record their register (in the cashier log) for the day, and the manager will place their till (numbered for each register) in secure safe for the evening. Then the manager will count the cash present and verify this against the daily inventory sales records. All cash will be recorded on our daily monetary receipts and then placed in our secure cash vault. We will verify cash receipts are being reported to our electronic accounting system(quickbooks) for tax and reporting purposes. We will verify that all deposits cleared the bank the next day; deposits that are not reported by the next day or are reported at a different amount than our records will be reviewed. All financial transactions and movement of money will take place under audio & video surveillance.

Tax Allocation and Remittance

We will voluntarily disclose all taxes owed by our business and related information. We will allocate appropriate financial resources to fulfill our tax obligations at the local, state, and federal level. We will file our taxes promptly and with any required forms. Ala. Code § 40-11-4; Ala. Code § 40-23-7. We will accept any additional tax, penalty, or interest assessed upon us by the Department. Ala. Code § 20-2A-80(b)(5) and take necessary steps to avoid the same in future. Tax payments due to the Commissioner of Revenue will be completed with a designated form. Ala. Code § 40-17A-2; Ala. Code § 20-2A-80(b)(5).
Local: We will abide by all relevant taxation regulations in each dispensary's municipality. We will only pay municipal or county tax once per sale. Ala. Code § 40-23-2.1.

State: We will include in each monthly tax report details of our cash sales. Credit collections will be reported during the subsequent month once collections of credit sales have been processed. Ala. Code § 40-23-8. We will pay all due taxes at the time of reporting. We will pay all applicable privilege taxes levied against our net worth each year. Ala. Code § 20-2A- 80(b)(1); Ala. Code § 40-14A-23. We will utilize charts provided by the State in Article 2 of the Alabama Business Privilege and Corporation

Shares Tax regulations, and any related amendments, to calculate the amount of tax owed. Ala. Code § 40-14A-22(b); Ala. Code §20-2A-80(b)(2). CFO D.S to work with our selected CPAs to accurately calculate and pay the tax using the state payment portal.

Federal: Any contribution provided to our business by the State of Alabama will be deducted from our reportable federal taxable income. Ala. Code § 40-18-35.3; 26 U.S.C. § 118(b)(2). We will make no other deductions or credits to our taxes. 26 U.S.C. § 280E. All owners, our business entity, and affiliates, will promptly pay federal income taxes. Treasury Regulation § 26.6072(b).

Financial Policies - Conclusion

We will maintain detailed records of all inventories and income to support accurate calculations of our tax obligations. We will always welcome and accommodate the AMCC and their officials for an inspection. Ala. Code § 20-2A-55(d). We understand failure to cooperate could result in the seizure and impound of our books, ledgers, documents, writings, money receptacles, and records. Ala. Code § 20-2A-52(a)(3)(b). We will provide qualified patients with the maximum benefit of medical cannabis through protection of our products, compliant recordkeeping, and appropriate taxation. Ala. Admin Code. r. 538-x-1-.02. Business and financial records will be kept on-site for 5 years.

Health and Safety Policies and Procedures

As a company that has particular safety hazards, the company will have an extensive set of policies and procedures to cover safety and health. The Employee Handbook provides high level guidance for health and safety. In addition, specific policies and procedures will be maintained that provide guidance to ensure safety in the laboratory.

These include Safety, generally includes: *Safe Office Policy - See Safety Plan and Smoke-Free Environment*

For the health and comfort of our team and visitors, smoking tobacco products, including e-cigarettes and other vape products, is not permitted. Smoking by team members is only allowed during authorized breaks and outside the building in designated smoking areas. Employees may not smoke in view of vendors and customers. Smokers are not entitled to extra breaks because they smoke. In addition, employees are encouraged to refrain from smoking before and during work hours to ensure that clothing, hair, hands, and breath are smoke-free.

The Company may prevent any employee who smells like smoke from clocking in for their work shift or may ask such an employee to leave. Employees who violate this policy are subject to corrective action and may be held responsible for any fines assessed to the Company as a result of a violation.

Drugs and Alcohol

The Company is committed to providing a safe, quality-oriented, and productive work environment, consistent with the standards of our community. Alcohol and drug abuse poses a threat to the health and safety of our employees and to the security of our equipment and facilities. For these reasons, it is the policy of the Company to provide an alcohol and drug- free work environment.

The Company does not discriminate against registered cardholding medical-marijuana users, and no registered cardholder will be denied employment based on a positive pre-employment drug test. However, employers are not required to accommodate the medical use of marijuana in the workplace. Therefore, any employee whose work is impaired by the drug or whose use of the drug poses a safety risk, is subject to corrective action and/or termination, like any other lawfully prescribed drug that may impair an employee's functioning at work.

Substance abuse have many serious health and safety consequences. The Company does not desire to intrude into the private lives of its employees but recognizes that employees' off-the- job involvement with drugs and alcohol may have an impact on the workplace.

Therefore, the Company reserves the right to take appropriate corrective action for drug use, sale, or distribution while off company premises. All employees who are convicted of, plead guilty to, or are sentenced for a crime involving drugs are required to report the conviction, plea or sentence to management within five days. Failure to comply can be expected to result in termination of employment. A report may result in suspension without pay to allow management to review the nature of the charges and the employee's past record.

If you would like information or assistance to address drug or alcohol problems, please contact HR. Employees who voluntarily seek help for such problems before becoming subject to corrective action or drug testing will be supported to the extent possible by permitting use of paid time off and/or leaves of absence for treatment, as well as provided other reasonable accommodation as required by law. Employees may be required to document that they are successfully following prescribed treatment.

Substance Rules and Prohibitions

Employees are prohibited from reporting to work, working, or being present on company property unless they are fit for duty and free from any adverse effects of drugs or alcohol. This policy does not prohibit employees from the lawful use and possession of prescribed medications or over the counter medication. Employees must, however, consult with their doctors about the medications' effect on their ability to perform their essential job duties and ability to work safely, and they must promptly disclose any work restrictions to their manager.

Prescribed medications must be carried in the container labeled by a licensed pharmacist and employees must be prepared to produce it if requested.

Whenever employees are working, present on Company property, operating a vehicle on Company business, or working at an off-site event, the use, possession, purchase, sale, manufacture, distribution, dispensation, concealment, receipt, transportation, or being

under the influence of a prohibited substance is not permitted. Prohibited substances (including trace quantities) include the following:

- Drugs, controlled substances, intoxicants (legal or illegal), "look-alike" substances, designer drugs, counterfeit or synthetic drugs, inhalants, and any other drugs or substances that will, in any way, affect safety, work ability, alertness, coordination, judgment, response, or the safety of others on the job
- Alcoholic beverages
- Prescription medication unless prescribed by an authorized medical practitioner.
- *Reasonable Accommodations Policy*
- *Workplace Violence Policy*

In order to minimize the potential risk of personal injuries at work and to reduce the possibility of damage to Company property, neither violence nor threats of violence will be tolerated.

Employees may not bring weapons (including, without limitation, any type of handgun, automatic weapon, rifle, toxic substance, or knives not designated for Company use) into the facility for any reason, regardless of whether they are properly licensed. Employees with licenses to carry a concealed weapon are not prohibited from having a firearm in their locked vehicle on company property. Personal defense items such as mace, stun guns, or tear gas are also prohibited without the express permission of management and under the conditions set thereby.

If you receive or overhear any threatening communications from an employee or third party or become aware of an employee in violation of the no-weapons policy above, report it to management at once. Do not engage in either physical or verbal confrontation with a potentially violent individual. If you feel you are in immediate danger or you encounter an individual who is threatening immediate harm to an employee or visitor to our premises, contact an emergency agency (such as 911) immediately. All reports of work-related

threats will be kept confidential to the extent possible, investigated, and documented. Employees are expected to report and cooperate in an investigation of any suspected or actual cases of workplace violence.

Violations of this policy, including refusal to cooperate in the Company's investigation, will result in corrective action, up to and including termination of employment.

Safe Driving Policy

Non-exempt employees will be compensated for time spent traveling on required Company business, when an employee is required to drive their own vehicle to a company sponsored event or alternate work assignment, and any time a passenger in another's vehicle performs work while travelling. Business travel time may be compensated at a reduced rate of pay.

Employees are expected to comply with all travel and expense reimbursement policies using the Expensify management system. All training and travel arrangements must be approved by management in advance, and all approved expenses must be submitted pursuant to the Expense Reimbursement Policy in this Handbook upon return. Travel time, including any overtime pay, will be paid as required by applicable law. Employees driving their own vehicle for required business travel may be reimbursed for mileage at the current IRS mileage reimbursement rate, if such expenses are approved in advance. Employees must keep track of all miles traveled in relation to required business travel.

Employees required to travel for business must maintain their own auto insurance and be able to provide proof of such insurance to management. It is against the law to drive without insurance. Driving under the influence, talking on a cell phone, or texting while driving for business are prohibited.

TRAFFIC VIOLATIONS – Any fines incurred for traffic violations while you are driving on Company business are your responsibility. Employees must speak with management if they

experience problems that they feel were not their fault. Note that parking violations are always considered to be the fault of the driver.

If you drive for business purposes, and your license is suspended, revoked or canceled, you must report it to management immediately. If you report it confidentially and give the Company an opportunity to remove driving from your list of job duties, depending on the circumstances and your general work performance and attendance, your job may not be in jeopardy. Failure to report loss or suspension of license will likely result in corrective action, up to and including immediate dismissal.

VEHICLE ACCIDENT REPORTING – In the event of an accident while traveling on Company business, follow the steps outlined below. While the Company expects employees to take care to avoid accidents, prompt attention to an accident that nonetheless occurs will reduce costs and losses.

When An Accident Happens:

- Notify the police immediately.
- Write down the names, addresses, telephone numbers and license numbers of persons involved and any witnesses.
- Take pictures if possible.
- Report all non-emergency accidents to management promptly.
- Report emergency accidents to management as soon as possible.
- Limit discussion of the accident to the police, your insurance or legal representative, and management.

Inclement Weather Policy

In the event of inclement weather, natural disaster, or power outage causing the business to be closed or the hours of operation to change, we will attempt to contact each employee with the new schedule. Hours non-exempt employees do not work due to the business being closed are not paid. Employees are expected to make every effort

to show up as scheduled and as safety permits. The final decision of whether to drive to work must be made by each individual employee. Follow standard procedure for notifying management as soon as you know of any absence or tardiness due to weather.

Injury and Accident Response and Reporting Policies

The following policies will be provided prior to licensing:

- Safety on the Production Floor includes policies and required training in the following areas: *Safety & Health Training Policies*
- *Equipment Policies*
- *PPE and Safety Equipment Policy*
- *Fire and Emergency Policies*
- *Emergency Action Plan*
- *Safety from Physical Hazards*
- *OSHA Compliance Policy*
- *Crime Prevention Policies*
- *Policy for Use of Panic Buttons and Alarm*

Security

- The Handbook and Security SOPs includes. *Security Policies, Procedures and Training*
- *Keys, Access, Alarm Policy*
- *Video and Audio Surveillance Policy*
- *Visitor Policies and Badge Policy*
- *Information Security/Cybersecurity Policies*

Twenty-Four-Hour Alarm Systems

We will equip our facilities with 24-hour intrusion detection hardware and technology, including a commercial-grade, professionally monitored security alarm system installed by an Alabama-licensed private alarm contractor or private alarm contractor agency. Ala. Admin Code. r. 538-x-8-.05.03.m.01. This complex but highly

customizable system will always remain operational, actively securing all points of ingress and egress, and all windows. We will also maintain a backup system that activates immediately and automatically upon a loss of electrical support and that immediately issues either automatic or electronic notification to the Alabama Law Enforcement Agency ("ALEA") or local police agencies of the loss of electrical support. Our security system will include the use of professional-grade motion detectors, pressure switches, panic alarms, specialized security lighting, video cameras, and other equipment working in tandem to protect all areas where cannabis or medical cannabis products are delivered, received, handled, stored, prepared, tested, packaged, labeled, readied for transport, or sold.

Our alarm system will feature immediate automatic or electronic notification to alert cannabis business personnel and local police agencies to an unauthorized breach of security or an alarm or system failure at our facility. If a failure of our security alarm system due to a loss of electrical support or mechanical malfunction is expected to last longer than eight hours, we will notify the Alabama Medical Cannabis Commission ("the AMCC"), implement alternative security measures approved by the AMCC, or close the authorized physical addresses impacted by the failure or malfunction until the security alarm system is restored to full operation.

Our alarm systems will feature multiple different types of alarms for use under different emergency circumstances. Our alarms will include both silent "duress/holdup alarms" and audible "panic button alarms." Ala. Admin Code. r. 538-x-8-.05.03.m.02. The alarm systems will be connected to the facility fire detection system to facilitate warnings for any emergencies. All audible alarms will feature different and distinct alert sounds for each alarm type, and management will train employees on how to react to each alarm.

We will use a DMP XR550 Alarm Panel or similar as our alarm panel to integrate intrusion detection, video surveillance, and access control functions, providing a fully integrated security solution. Throughout the facility, these DMP XR550

Alarm Panels or similar will allow for alarm system control. Alarms will interact with the video surveillance system to provide live video from each camera associated with an alarm. Alarms will provide full coverage of our facility to detect any unauthorized entry attempt. Intrusion detection alarm systems will secure our facility against unauthorized access 24-hours-per-day, 7-days-per-week. Intrusion sensors will include the following, or similar: Bosch DS1101i glass break detectors or similar on all windows; Optex FX-360 PIR motion detectors or similar throughout the facility using passive infrared, with adjustable sensitivity and wall-to-wall coverage; heat/smoke detectors; carbon monoxide detectors; and other environmental sensors. Facility doors will also feature DMP 335 indoor sirens, DMP MET-44WG-18 overhead contacts, and DMP 263LTE-V/381-2 LTE cellular communicators to facilitate intrusion detection and alert.

Alarms

Our security systems will feature multiple types of alarms for use under different emergency circumstances. Our alarms will include both silent "duress/holdup alarms" and audible "panic button alarms." Ala. Admin Code. r. 538-x-8-.05.03.m.02. The alarm systems will be connected to the facility fire detection system to facilitate warnings for any emergencies. All audible alarms will feature different and distinct alert sounds for each alarm type, and management will train employees on how to react to each alarm. Panic and duress alarms will be strategically located in reception areas and at all ingress and egress points. Trained personnel will activate alarms in the event of unauthorized facility access.

Renderings of these security systems and alarms are located in Exhibit 18 - Facilities for each dispensary site.

Broadcast Communication Devices

Multiple types of professional broadcast communication devices will form the

backbone of our ability to respond to any threat quickly and efficiently. We will utilize two-way radio transmitters such as the Garmin Rino 750 or similar two-way handheld radio systems for communication within our facility. All communication systems and devices will be capable of transmitting information clearly to anyone within earshot of the employee or device receiving the communication. Ala. Admin Code. r. 538-x-8-.05.03.m.03.c. We will conduct regular system tests to guarantee audibility and clarity.

Hardwired communication devices will be installed or available at all ingress and egress points, reception areas, and the security office, while mobile communication devices will be carried by each employee. Ala. Admin Code. r. 538-x-8-.05.03.m.03.a. All employees will be trained in the correct operation of all communication systems. These policies and practices are documented in our Employee Handbook and our Policies and Procedures Manual, which all employees and managers must read and provide written acknowledgement of receipt and understanding prior to beginning their duties.

Audio and Visual Surveillance

We will professionally affix and position video cameras and other security equipment in sufficient quantity and quality to cover all areas of our premises. We will install all cameras so that lenses will not be obstructed, easily tampered with, or disabled, such as by placing cameras high enough to be out of reach without a ladder. We will instruct our surveillance system installers to position cameras in locations that maximize the quality of facial images, bodily images, vehicle descriptions, and license plate numbers, while avoiding backlights and physical obstructions.

Video surveillance cameras will operate 24-hours-per-day, 7-days-per-week to record all activity both inside and outside of our facilities. Ala. Admin Code. r. 538-x-8-.05.03.m.04; Ala. Admin Code. r. 538-x-3-.05.03.m.16.k.iii. For the safety of our personnel, patients, and premises, we will also record the exterior of our facility,

including parking lots and transportation areas. Our video surveillance system ("VSS") will include the use of specialized lighting, high-definition video cameras, and security monitors, to record all areas where cannabis or medical cannabis products are received, prepared, delivered, handled, stored, tested, packaged, labeled, or sold. The VSS will provide a clear, non-obstructive, date and time stamp on all monitors and recordings. Our lighting strategies, technology, and equipment will increase during dusk and dawn, and in all other ambient light environments. This lighting technology will extend to the interior of our facilities, where all areas occupied by staff, and all areas that contain cannabis, will feature lighting sufficient to identify any person and activities captured via still images and video.

All original tapes or digital pictures produced by this system will be stored in a safe place with a 60-day archive. We will specifically safeguard audio and video recordings of potential diversion events for at least two years, or until the diversion incident has been fully resolved, including the prosecution and disciplinary action of any persons involved in the incident. At the request of the AMCC, its inspectors, or law enforcement officials, we will store any digital recordings for a longer period.

We will utilize digital archiving devices, including a QNAP network attached storage ("NAS") device or similar. Our VSS system will feature the ability to capture audio at multiple locations throughout our facility, allowing us to monitor and record audio including conversations and other audible activities within camera range to a level of 20 decibels. Ala. Ala. Admin Code. r. 538-x-3-.05.03.m.16.k.iii. We will use cameras, such as the Illumivue 5MP dome camera, Illumivue 5MP bullet camera, Illumivue 8MP bullet camera, and Hanwha 360° fisheye cameras including: 3mm lens, intrusion, and line cross, temperature exception alarm, fire detection, audio and alarm I/O (G.711U, G.711A, with a bit rate of 64 Kbps), or similar. Our cameras will have motion activated capabilities.

Continuously operating surveillance cameras will clearly monitor all critical control activities of the cannabis business. For the AMCC, our VSS will have direct feed and

login capabilities, allowing real-time access, monitoring, and reverse functionality, via a secure internet connection. Law enforcement agencies including ALEA may also be given this accessibility, as directed by the AMCC. Surveillance cameras will interface with our facility intrusion detection alarm system to show live or recorded video or switch any camera in the system to a live monitor automatically, based on an alarm trigger. We will install adequate lighting to surveil interior and exterior areas of the property at all times of night or day, which will illuminate all entrances and exits. Security personnel will conduct regular verifications of lighting equipment to keep interior and exterior lighting in good working order and to make sure that wattage is sufficient for security cameras to capture facial and vehicular details. We will strategically locate interior and exterior lighting to provide illumination sufficient to deter nuisance and criminal activity and facilitate surveillance, and we will make reasonable efforts to not disturb surrounding businesses or neighbors.

Renderings of these camera systems are located in Exhibit 18 - Facilities for each dispensary site.

Perimeter Protection

We will carefully plan our exterior landscaping and lighting to maintain the area around the facility in ideal conditions for video and visual surveillance. We will keep the immediate area surrounding the facility clean and clear of visual obstructions, including bushes and trees. Keeping this area clear will allow for effective visual and video surveillance, while also preventing intruders from using terrain and vegetation for concealment. We will strategically locate interior and exterior lighting to provide illumination sufficient to deter nuisance and criminal activity and facilitate surveillance. We will make reasonable efforts to not disturb surrounding businesses and neighbors with our security lighting.

The security lighting systems that we will deploy and maintain will provide ample lighting to permit all video surveillance equipment to function properly 24 hours per

day and provide images of such clarity that any persons and activities filmed can be identified, including on the perimeter or borders of our property. Ala. Admin Code. r. 538-x-3-.05.03.m.16.k.iv.

Even though the regulation does not require it, we have added fencing around the dispensing sites to provide extra intrusion protection during the hours the facility is not in operation. The fence gates will be open during business hours for authorized entry and closed once the business day completes.

Exterior Doors

All exterior doors at our facility will be designed and reinforced to withstand unlawful forcible entry. Panic bars with electric latch retraction will serve to secure our doors in most scenarios, but we may also make use of electric strikes, latch retraction kits, wireless locks, or maglocks. Windows and doors will be kept to the minimum number necessary for safe and efficient facility operation. Certain doors may feature multi-point locking systems that include bolts and mechanics that secure each door at multiple points around the door frame to prevent doors from being pried open. All doors will comply with fire code specifications, such as 'fall bars' that will open all locks so that escape in the event of a fire will not be hindered. Triggering such safety measures will trigger special alarms to alert security personnel of the situation, who can then take appropriate measures.

Facility doors, windows, and points of entry will always remain locked, protecting against outside intruders while allowing free egress by the facility's occupants in the event of an emergency. All doors will be self-closing and self-locking. All traditional facility locks will be UL-listed commercial grade, nonresidential door locks with burglary resistant lock cylinders designed to prevent lock-picking. We will also install keycard locks, which will permit ingress to employees and other appropriate persons only. We will institute strict policies prohibiting keys from being left in

locks, doors being left open, and keys or keycards being left in areas where they could be accessible to unauthorized persons.

Exterior Walls

We will construct and retrofit our facility to reinforce our exterior walls and windows in accordance with all requirements from the AMCC. We will also minimize the number of windows at our facility, to limit security risks. Reinforced walls and windows will prevent forcible entry, deter unlawful entry, and help maintain the overall safety and security of our staff, our cannabis, and our Alabama patients. Ala. Admin Code. r. 538-x-3-.05.03.m.16.k.vi. We will work with a licensed contractor to reinforce our exterior walls according to industry best practices and comply with applicable construction codes. We will also regularly inspect and review our exterior walls for security and compliance. Any changes or updates will be performed promptly, as needed, to conform with updated guidance from the AMCC and other local governing bodies.

Our dispensing facilities will be housed in: a stand-alone building as well as within a multi-use structure, strip mall or other such retail facility. The entirety of the area housing our dispensing site will be self-contained and will not be accessible to nor usable by any neighbors, other businesses, contractors, or any individuals not employed by our company. Our dispensing facility will feature separate points of ingress and egress accessible only by keycard. Access for patients, caregivers, and authorized visitors into our dispensary will be strictly structured by security staff. Areas that contain cannabis will be electronically protected, for use strictly by authorized individuals including our employees, AMCC representatives and their agents or guests, and other qualified individuals.

Security Staffing

We will maintain a plan for sufficient security staff at our dispensary where cannabis and medical cannabis is present, to reasonably protect our products stored therein.

We will determine adequate security personnel based on the location, number of individuals with access, the quantity of cannabis stored, the inventory system capabilities, and the findings of root cause analyses for security breaches or inventory discrepancies. Alongside the VSS and alarm system, our Security Officers will be the first line of safety.

We will designate a Manager of Security G.S. with responsibility for overall facility security, including adherence to security requirements; conducting semi-annual audits of security measures to identify areas of needed improvements; implementation of corrective actions related to safety; employee training on security measures and controls; and the prevention of theft of cannabis, or cannabis products. Security officers will report to the MOS
G.S. and have a variety of responsibilities, which will include open and close of the facility each day with a manager; checking valid identification for individuals on our premises; maintaining a vigilant and pleasant presence; reporting possible security risks to the MOS G.S., COO E.J.H., or another manager immediately; carefully observing staff and visitor actions; and overall security of our facilities.

At least one security officer will be on the premises during operating hours, and any onsite security officer will be thoroughly trained in hazard, burglary, and emergency situations to protect the facility and community. Our security personnel will regularly patrol the perimeter of the facility to identify signs of intrusion and to confirm that all perimeter security equipment is in good condition and functioning properly. All security officers employed at our facility will be properly licensed by state and local authorities and possess any necessary valid identification whenever they are on the premises.

Security officers will only allow authorized staff, patients, and visitors who have a legitimate purpose or legal authorization and valid, government-issued identification verifying their identity and age to enter the facility. As part of their daily duties,

security officers will retrieve the visitor log at the start of business and return it at the end of the day, requiring all visitors to sign in and out. Security officers will respond to suspicious or emergency situations and coordinate with law enforcement to write incident reports, including an accurate daily activity log with time, location, and detailed descriptions of security issues. Other daily procedures will be dictated by the MOS G.S. once the facility is licensed and will be followed closely by security officers and all employees.

Strict Access Controls

We will distinguish and safeguard areas of our facility demarcated as limited access areas ("LA") and restricted access areas ("RA"). We will implement strict access controls to protect areas where cannabis or medical cannabis is handled or stored – in a secured and locked vault. Ala. Admin Code. r. 538-x-3-.05.03.m.16.k.viiii. Throughout our facility, we will use access control equipment from RS2, Open Options, or Paxton, with at least a keypad and up to multifactor authentication (which features both a keycard and a pin). We will limit access to cannabis storage areas to the minimum number of authorized personnel necessary to maintain safe and orderly operations.

Our management team will maintain a list of authorized individuals for each area. In our business records and inventory system, we will identify authorization access of our personnel, including any revocation of previous authorizations. All handling and storage of our daily monetary receipts and other confidential business documents will be conducted in enclosed, secured, locked RAs, under constant video surveillance with electronic keycard access for authorized individuals.

At a minimum, we will employ the following storage areas, which will be LA or RA and segregated from each other: for business records, including daily monetary receipts; for newly received usable cannabis; for batches of usable cannabis awaiting release for sale pending written reports confirming they meet

specifications; quarantined space for any usable cannabis suspected but not yet confirmed to be contaminated, including usable cannabis returned as part of a complaint or recall process; and, a waste disposal room for cannabis waste and products awaiting destruction. We will store our medicinal cannabis in a pharmaceutical grade vault compliant that meets DEA regulations. 21 C.F.R. § 1301.72 (a)(3).

All storage activities will occur in enclosed, locked RAs at our licensed premises, under constant video surveillance, with electronic keycard access control systems limiting access to employees whose duties necessitate such access. All sales will also be monitored under video surveillance. Staff will log all activities involving cannabis in our inventory system. All cannabis related activities will take place indoors in our enclosed, locked, secure facility, which is closed to the public and out of view from the exterior of our building. When it is necessary for visitors to be present in or passthrough cannabis item storage areas, we will provide observation of the area by our personnel whom we have specifically authorized by policy or job description to supervise the activity.

Open and Close Protocols

Protecting cash and medicinal cannabis inventory is vital to protection of our community. A member of management will begin their shift with a security officer, between 30 and 60 minutes before the arrival of other employees. At the beginning of the morning shift, the manager and security officer will conduct a walkthrough of the facility exterior to look for signs of trespassing or anything out of the ordinary. The security officer and manager will then conduct a similar inspection of the facility interior. Once these inspections are complete, they will unlock the primary entrance, and the security officer will wait to greet and check- in employees and visitors.

The manager on duty will enter the secure storage area, retrieve medical cannabis products from the locked safes and secure storage containers, then

place the products onto a lockable rolling cart. Once the cart is stocked with the minimum quantity of products sufficient for daily operations, the manager will lock the cart and roll it into the dispensing area. This entire process will be completed while under video surveillance. Dispensary employees will retrieve products from the cart to fill patient orders, reducing movement in and out of the secure storage area. The cart will feature a keycard, number pad, or physical key lock, and the cart will always remain locked when dispensary staff are not accessing it. Staff will always keep the cart behind the sales counter, and under no circumstances will patients or caregivers ever be permitted behind the sales counter. Additionally, the cart will always remain in view of video surveillance cameras. If it is necessary to refill the cart during business hours, employees will notify the manager on duty, who will move the cart back to the secure storage area for resupply. The door connecting the dispensing area to the secure storage area will be located behind the sales counter, preventing unauthorized personnel from following staff into restricted areas of the facility. The manager will then retrieve medical cannabis and cannabis products from the appropriate safes or containers, re-lock and secure the safe, and then return to the dispensing area.

To start the business day, the manager on duty will retrieve the cash deposit from the cash safe in the vault and perform cash deposit verification. Cash deposit verification steps include the recount and verification of the cash deposit prepared at the end of the close of business the previous day through analyzation of sales records and standard tills. Once confirmed, the manager will send the deposit to the financial institution. Next, they will prepare each cash register to begin daily operations with a $200 starting till. Each till will have a distribution of ones, fives, tens, and twenty-dollar bills, and sufficient coinage, including pennies, nickels, dimes, and quarters. Any non-standard bills or coin denominations, such as half-dollar coins, fifty-dollar bills, or two-dollar bills, will be deposited to our financial institution. Finally, the manager on duty will secure the starting tills in the locking cash register drawers. During business operational hours, a manager will always be available to dispensary staff for cash verification, or if additional bills or change are needed. At the end of the

business day, a manager on duty will collect all tills, count the cash present, and verify this against the daily inventory sales records. All cash will be recorded on our daily monetary receipts and then placed in our secure cash vault. Any interactions with cash, whether by a manager or dispensing technician, will be done under full video surveillance.

At the end of the business day, the manager on duty will roll the cart containing cannabis products back into the secure storage area and return all medical cannabis to its designated container. Products dispensed to patients and caregivers will be stored in sealed packages to prevent and detect any tampering. A certified dispenser will oversee all dispersal of medical cannabis and related products. Ala. Admin Code. r. 538-x-8-.03. Dispensary employees will provide opaque exit bags for patients or caregivers to transport their medical cannabis and paraphernalia out of the facility. If a transaction is cancelled, the dispensary employee will return the cannabis, in its sealed packaging, to the cart behind the counter.

At the end of the day, a manager will conduct another walkthrough of the facility interior to verify that all doors and windows are secure and locked. Security personnel will wait near the entrance to perform checks of employee backpacks or bags as the employees exit the facility. No employee will be permitted to leave the facility until his or her baggage has been inspected by security staff. Once all employees have exited the facility building, the manager will activate the facility alarm and exit through and lock the primary entrance. After all employees have left the facility property, the manager will lock the perimeter gate and depart.

No Public

Our security staff will check the identification of any individuals on our premises and will not allow loitering. We will strictly adhere to a policy of “no public access.” (other than patients and caregivers) This policy includes all areas of our property, including the dispensary parking lot. Our policies will further prohibit anyone under

the age of 19 from being present anywhere on our licensed premises, even in the lobby/waiting area. Ala. Admin Code. r. 538-x-2-.03.04.a.

All employees will be required to wear business identification while on the premises. Only patients and caregivers with a valid medical cannabis card, staff, and authorized visitors will be allowed inside our dispensing site. Ala. Admin Code. r. 538-x-8-.05.03.m.11; Ala. Admin Code. r. 538-x-2-.07.05. For verified individuals, our anticipated business operational hours will be 9:00am-8:00pm, every day of the week, unless otherwise expressed by local municipalities. Ala. Admin Code. r. 538-x-3-.05.03.m.12.g.

We will prominently display at each entrance to our dispensing property, and in at least one location in the sales area of the dispensing site, signs stating: "WARNING: This facility is monitored at all times using audio and video surveillance. Entry to this business and purchases within are strictly prohibited except as to registered patients and caregivers presenting valid identification as required by law." Ala. Admin Code. r. 538-x-8-.05.03.m.18. Any area within the facility that does not allow patients or caregivers will be clearly marked as a LA or RA. All areas of our secure facility will feature keycard access locks, which will prohibit unauthorized entry while recording all access attempts.

Records

We will constantly update a list of all persons on our premises and maintain these records for at least two years. Our report will include all employees, vendors, transporters, other licensees, AMCC officials, and all others. Each record will indicate the individual's name, the date, time of entry, time of exit, and reason for their presence.

We will maintain all company records electronically on internally linked, secure business management platforms ("BMP"). We also will maintain physical records

where required by statute, regulations, or AMCC request. We will maintain physical records in a locking filing cabinet within the manager's office, an RA. The COO E.J.H. will set up and monitor BMPs on desktop computers located throughout our proposed facility. If requested by the AMCC, we will maintain records securely and confidentially indefinitely.

The BMPs present on each individual desktop will vary depending upon its location within the proposed facility and its primary user. Through physical documentation review for all procedures requiring input into a BMP, we will faultlessly transfer information. SOPs will also outline the proper storage and filing of all original documents once staff have entered them into BMPs.

Employee Identification

We will issue identification badges to employees, which will also be their keycard. Employees will wear identification badges that clearly identify them as employees while on duty and while on our premises. Facial images and ID cards will be constantly visible to video surveillance systems. Ala. Admin Code. r. 538-x-3-.05.03.m.16.k.iii. If an employee reports to work without his or her identification badge, we will not allow the employee to enter the facility. Additionally, if an employee fails to renew their issued identification badge prior to its expiration, we will not allow the employee to resume work for us until they have obtained a renewed identification badge from our organization. We will establish procedures for employees to report lost or stolen identification badges, which will include immediate notification of ALEA and the AMCC upon discovery of the loss or theft, as well as digital revocation of the access permissions of that badge.

Visitor Identification

Visitors, including AMCC members, inspection personnel, or other representatives, will constantly wear a "visitor pass" or "AMCC Official" pass, as applicable, while on our

premises. Our security team has procedures for receiving visitors, and visitors will always be accompanied by a staff escort. All visitors will enter the facility through the designated primary entrance, which we will clearly mark with signage to facilitate easy identification by visitors. Signs will also include a notice of audio-visual recording and denote exclusive entry for authorized individuals only. Management will also provide appropriate access for vendors and AMCC-authorized individuals, including inspectors.

Report Theft or Diversion

We will report theft, diversion, or other loss of cannabis or medical cannabis to the AMCC and ALEA within 24 hours of the event, or its discovery. We will create and maintain good relationships with open lines of communication with the AMCC and local law enforcement, and we will abide by all AMCC rules regarding communication and incident reporting. Our MOS G.S. will personally introduce themselves to local law enforcement prior to the commencement of our operations. They will also provide contact information, for themselves and for our business generally.

To prevent our cannabis inventory from entering the grey market through diversion, we will implement comprehensive investigation and reporting protocols for suspected diversion. Any discrepancy in weekly inventories, abnormality in random inventory audits, reports by employees of suspicious activity, a security breach, or unauthorized access of an RA, will trigger an investigation. A Manager will lead all investigations and work with the Inventory Manager H.W., COO E.J.H., MOS G.S., and MDO J.S. to improve policies concerning diversion. Following any investigations, our management will conduct an assessment to determine the root cause and additional safeguards to prevent subsequent security breaches. As part of our reporting procedures, we will also seek feedback from the AMCC and implement any additional safeguards deemed necessary.

Employee Diversion Prevention Training

Employee training will include instruction on our policies regarding diversion prevention, including our zero-tolerance policy toward diversion or theft of cannabis. The employee handbook will detail these policies, which we will require all employees to read and sign to confirm their understanding of, and agreement to, all diversion prevention policies, prior to beginning work at the facility.

Part of employee diversion training will involve instruction on how to identify diversion, including signs of possible diversion, suspicious activities, and discrepancies in inventory records. Our policy requires employees to report any suspected diversion or suspicious activities to a member of management, who will then initiate an investigation. To encourage and facilitate prompt and accurate reporting, we will implement an anonymous reporting system that will allow employees to report potential diversion without disclosing their identity. All employees will be trained on these policies, including transportation personnel.

Anti-Pilferage Procedures

All cannabis handling activities will occur indoors and within view of surveillance cameras. In cannabis production areas, we will require staff to wear pocketless Tyvek or similar coveralls or similar garments to prevent concealment of cannabis in pockets. We will only permit employees to use clear drinking receptacles, such as clear plastic bottles, so that cannabis may not be hidden within them. We will prohibit employees from carrying bags or backpacks into RAs; employees must secure any bags or backpacks in the employee storage lockers located inside the facility, directly next to the rear employee entrance. At the end of every workday, a member of management will search all employee bags or backpacks under view of a surveillance camera prior to allowing employees to depart. All employees will agree to this search when signing the employee handbook.

Whenever possible, employees will work in groups of at least two, for accountability.

To limit opportunities for internal diversion, the COO E.J.H. and departmental managers will arrange shifts so that a manager is always on duty at the facility during operating hours and so that no non-management employee is ever left alone at the facility.

Facility and Operational Policies

The company will maintain many operational policies including but not limited to:

- *Recordkeeping, Document Management and Control*
- *Point of Sale Policies and Procedures (Also described in Exhibit 12)*
- *Confidentiality Policies (Also described in Exhibit 13)*
- *Merchandising, Packaging, Marketing and communication procedures*
- *Retail Operation, Customer Service, Patient Management*
- *Inventory Control and Management, Audits, Inspections, Renewals and Assessments*

Point of Sale Responsibilities

The responsibilities of POS are two fold: One is excellent patient service. And second is proper transaction handling and management of data as it pertains to product, patients, and money.

Our certified dispensers will be responsible for each medical cannabis sale. The certified dispensers will report to our Director of Dispensing Operations. All certified dispensers that we employ will always provide high-quality services, including education, consultation, instructions for medical cannabis usage, information, and responses to patient and caregiver questions. Ala. Admin. Code r. 538-x-8-.05.03.d. To lay the foundation for excellence in this aspect of our dispensary operations, certified dispensers will be trained and certified by the Alabama Medical Cannabis Commission ("AMCC") on proper dispensing procedures; our training program will go beyond the minimum educational thresholds established by the AMCC. Ala. Code § 20-2A-64(e)(2). Training will be an ongoing process for every certified dispenser.

We will provide continued education, recurrent proficiency evaluations, and training both periodically and in response to events. Whenever changes occur in regulations or policies, certified dispensers will undergo immediate retraining. This process assures that dispensers will maintain compliance with all existing and forthcoming rules or regulations issued by the AMCC. We will partner with Ohio Green Releaf, a company with five licensed medical cannabis dispensaries in Ohio to provide exemplary and proven education to our employees. Green Releaf oversees patient educational programs for five licensed cannabis dispensaries across Ohio. They share our commitment to health and patient-focused service. All our certified dispensers will have at least two years of education or experience in the fields of biology, biochemistry, chemistry, physiology, pharmacology, medicine, medical cannabis, nursing, pharmaceuticals, or a similar field. Ala. Admin. Code r. 538-x-8-.03.03. Certified dispensers will also take and pass a medical foundations training course, as required by the AMCC. Ala. Admin. Code r. 538-x-4-.04.02.a. Certified dispensers will further complete a minimum of 10 hours of continued education as approved by the AMCC to address proper dispensing procedures, prevention of abuse and diversion of medical cannabis, and other topics related to public health, safety and good business practices relating to the dispensation of medical cannabis. Ala. Admin. Code r. 538-x-8-.03.04. Certificates of completion for all training and continuing education courses will be signed by the individual that completed the course and our Director of Human Resources ("HR") or other person on our team tasked with overseeing our educational compliance. Ala. Admin. Code r. 538-x-4-.04.03. We will maintain copies of these certificates for a minimum of three years. Ala. Admin. Code r. 538-x-4-.04.03.

Our certified dispensers' education will also cover the lifecycle of the cannabis plant; cultivation and processing types; different strains and their physical and physiological effects; cannabinoids; terpenes; routes of administration and their relative effects; safe and proper usage of products; safe storage of products to preserve the quality and unauthorized access; recognizing signs of impairment; identifying, preventing, and responding to accidental ingestion or overconsumption; intended effects and side-effects; substance abuse signs, symptoms, and resources;

up-to-date laws at the federal and state level, especially as they relate to possession, consumption, dispensing practices; patient confidentiality; and, advancements and developments in the field of cannabis science.

Our Four-Pronged Plan for Success

Our training program results in certified dispensers providing the highest quality point- of-sale education, consultation, provision of information, responses to patient and caregiver questions, and instructions for use regarding all medical cannabis products. We will focus on requiring mastery of the following four topic areas:

(i) Product Knowledge and Awareness

Our dispensers will be familiar with every product available at our dispensary, and they will be able to confidently explain these products in language easily understood by any patient or caregiver. Dispensers will also be readily able to provide education regarding potential side effects, drug interactions, or other aspects of medical cannabis at the request of the patient or caregiver. Ala. Admin. Code r. 538-x-8-.03.07. We will require that certified dispensers maintain an up-to-date product catalog including product descriptions and prices. Certified dispensers will also attend monthly meetings with representatives from cultivation and processing licensees to learn more about their products and processes.

Moreover, our dispensing procedures will include providing a patient education form for first-time visitors, instructing patients on the educational materials available in-store and online, and subscribe to a newsletter on advancements in cannabis. We will also offer educational workshops and other sessions. If requested, we will provide the AMCC with our educational session materials. We will require all our certified dispensers to meet on a weekly basis to discuss the various questions that patients and caregivers asked during the week. Our dispensers will then prepare

comprehensive answers to these questions and maintain a running list in our internal computer system to respond to patient and caregiver questions more quickly and accurately in the future.

(ii) Proper Use and Potency of Products

Our certified dispensers will provide instructions on the proper administration of medical cannabis and will help patients and caregivers understand the effects of various products. Ala. Admin. Code r. 538-x-8-.03.07. Dispensers will explain potency using standardized language with objective, descriptive explanations including, levels of THC and cannabidiol CBD present; relative ratios of THC to CBD; the presence or absence of various terpenes; and absorption time. To help patients and caregivers understand the meaning of these figures, certified dispensers may use subjective explanations of potency, including relative comparisons of various products. Certified dispensers will speak in both objective and subjective terms about commonly experienced effects to provide patients and caregivers the most complete picture possible.

Certified dispensers may provide patients with administration instructions to slow the buildup of tolerance and help prevent negative side effects. Certified dispensers will explain that the onset of effects can be delayed, and to be cautious when taking cannabis products.

(iii) Customer Service

Our primary goal is that each patient and caregiver feel comfortable, respected, and cared for at our dispensary. Dispensers will be required to treat patients and caregivers with respect, particularly those who require more information and education than others, and they will be specially trained to accommodate people with disabilities. Dispensers will undergo a Responsible Vendor Training Program to become proficient in the best ways to communicate with patients and caregivers. Certified dispensers will listen patiently and respond to any questions

the patient or caregiver may have. Only then will our dispensers make recommendations within the bounds defined by the AMCC or elicit more information by asking questions to help determine their relative experience with cannabis products. For first-time users, dispensers will explain the fundamental properties of cannabis products, commonly experienced effects, and the responsible use of cannabis.

Standard consultations with certified dispensers will include a discussion of the products available for sale at the dispensary, the physical, physiological, and psychological effects of each, and any factors that may influence the patient's preferred route of administration. We will never offer medical advice regarding the safety or effectiveness of medical cannabis, the recommended daily dosage, or type of medical cannabis recommended by the registered certifying physician. Nor will dispensers describe any cannabis product as capable of diagnosing, treating, or curing any medical condition. Ala. Admin. Code r. 538-x-8-.03.07. Dispensers will direct the patient or caregiver back to the registered certifying physician to address questions or provide advice on these issues. Ala. Admin. Code r. 538-x-8-.03.07. Certified dispensers will never make unscientific claims about the efficacy of cannabis in treating symptoms or diseases. Certified dispensers will, upon request, provide patients and caregivers with oral instructions for safe and proper consumption, potential side effects, and will demonstrate how to safely and properly use products. Ala. Admin. Code r. 538-x-8-.03.07. Certified dispensers will complete the guided dispensary experience by asking a few follow-up questions. Questions such as, "Do you feel fully educated on the products you are purchasing?" and, "Is there anything I can explain to make you feel more comfortable about your purchase?" will help gauge a successful and educational purchasing experience. Ala. Admin. Code r. 538-x-8-.03.07. Dispensers will direct the patient or caregiver back to the registered certifying physician to address questions or provide advice on these issues. Ala. Admin. Code r. 538-x-8-.03.07. Certified dispensers will never make unscientific claims about the efficacy of cannabis in treating symptoms or diseases. Certified dispensers will, upon request, provide patients and caregivers with oral instructions for safe and proper

consumption, potential side effects, and will demonstrate how to safely and properly use products. Ala. Admin. Code r. 538-x-8-.03.07. Certified dispensers will complete the guided dispensary experience by asking a few follow-up questions. Questions such as, "Do you feel fully educated on the products you are purchasing?" and, "Is there anything I can explain to make you feel more comfortable about your purchase?" will help gauge a successful and educational purchasing experience.

(iv) Laws Governing Medicinal Cannabis Possession and Use

We are committed to preventing the illegal use of our products. While it is the patient's and/or caregiver's responsibility to follow Alabama law, certified dispensers will facilitate adherence to the law by explaining to patients and caregivers—in clear terms—the laws which govern use of the cannabis products sold at our dispensary. This explanation will include both activities specifically allowed by law and activities specifically disallowed by law. Patients and caregivers will also be provided with supplementary written materials explaining applicable laws, Signage at the dispensing site, including regulations promulgated by the AMCC and the Darren Wesley 'Ato' Hall Compassion Act.

By enacting rigorous, ongoing, and methodical training programs for our employees, plus regular meetings focused on curating safe, reliable, and informative patient and caregiver service interactions, we will establish a standardized and top-notch approach to providing point-of-sale education, consultation, provision of information, responses to patient and caregiver questions, and instructions for use regarding all medical cannabis products to all patients and caregivers that utilize our dispensary.

(v) *Use of the Dutchie POS system and track and trace*

Dispensers will have extensive training on the use of the software systems. Both Dutchie and METRC offer detailed training sessions for employees who work at the point of sale. Employees will be required to take these trainings, pass proficiency checks, and take periodic

refresher training any time there is a software update or at least annually. POS training includes a variety of topics including but not limited to: patient check in and verification, order creation, pricing, accessing lab results, discounts, transaction history, order creation, order release, cancelling transactions, editing days supply, entering payment type, printing and re-printing receipt, vods and handling returns, METRC training includes modules for receipt, delivery, inventory reconciliation, splitting batches, handling returns and handling waste.

SOPs – Information Technology ("IT")

Accurate Recordkeeping: We will obtain, install, and maintain our internal tracking systems through a third-party inventory system, specifically Dutchie, which will interact with, as applicable, the Alabama Medical Cannabis patient registry system, the statewide seed-to-sale tracking system, and the AMCC website. Ala. Admin. Code r. 538-x-4-.07.12.o.01. Our third-party inventory and tracking system will properly interface with the statewide seed-to-sale tracking system in real time and the patient registry system. Upon licensure we will demonstrate proof of purchasing and accessing our IT platforms, and our platforms will be regularly maintained and properly updated. Ala. Admin. Code r. 538-x-4-.07.12.o.01.

We will consistently maintain and annually review our plan for ensuring accurate recordkeeping, compliance with inventory protocols, and coordination of information and systems with vendors, patients, and others, as applicable. Ala. Admin. Code r. 538-x-4-.07.12.o.01. We will support, participate in, and contribute to the statewide seed-to-sale tracking system, and our technology and uploads to the statewide seed-to-sale tracking system will be sufficient to allow access by the AMCC, and, to the extent necessary and appropriate, patients and caregivers, qualified certifying physicians, other state agencies, vendors, other licensees, and law enforcement personnel, for all purposes as applicable. Ala. Admin. Code r. 538-x-4-.05.04. Our In-house IT specialist E. H will train all employees on their duties with our IT system and test their proficiency in the system before they begin any duties.

All employees will fulfill their assigned duties and will learn to interact appropriately with the patient registry, the AMCC website, or the statewide seed-to-sale tracking system. Ala. Admin. Code r. 538-x-4-.05.05. These individuals will undergo pre-employment and pre-commencement IT certification administered by a third-party IT provider, or another as designated by the AMCC, for each database with which they must interact, demonstrating their proficiency in respect to those databases. Ala. Admin. Code r. 538-x-4-.05.05.

Further, we will designate a tracking system liaison and provide the AMCC the identity of the individual, by name and position, for the purposes of coordinating, monitoring, and updating the Statewide Seed-to-Sale-Tracking System. Ala. Admin. Code r. 538-x-4-.05.06.

Compliance with Inventory Protocols: Upon licensure and an announced inspection, we will make our facilities, personnel, operations, and documentation available for review and auditing at the request of the AMCC inspector, and we will make available all IT files, including but not limited to our test results, any third-party inventory control and tracking systems, and the statewide seed-to-sale tracking system. Ala. Admin. Code r. 538-x-4-.02.02.b.02. Upon licensure, our third-party inventory and tracking systems will properly interface with the statewide seed-to-sale tracking system and, as appropriate, with the patient registry. Ala. Admin. Code r. 538-x-4-.05.04. Product tracking information will be updated in our databases at least daily and will be maintained for a minimum of six years (or more if requested by the AMCC, law enforcement personnel, or a court system with jurisdiction over a related matter). Ala. Admin. Code r. 538-x-4-.05.01.

During our dispensary operations, we will enter all transactions, account for all inventory, monitor patient usage, and log other relevant information as may be necessary or appropriate to our business, into the statewide seed-to-sale tracking system pursuant to applicable law. Ala. Admin. Code r. 538-x-8-.02.06.b. We will enter all transactions into the statewide seed-to-sale tracking system operated by the

AMCC.

In the event of a recall, our notification protocols will alert other licensees and the AMCC through our internal inventory system and the statewide seed-to-sale tracking system. Ala. Admin. Code r. 538-x-8-.05.03.l.04. If aspects of our IT plan contributed to unsafe conditions requiring a recall, we will analyze and adjust our IT plan and internal protocols and processes to avoid recurrence. Ala. Admin. Code r. 538-x-8-.05.03.l.07.

Coordination of Information and Systems: We will coordinate our information and systems with vendors, customers, and others based on our detailed plan to inventory and track cannabis and medical cannabis within the facility and to interface with Patient Registry, the statewide seed-to-sale tracking system. Ala. Admin. Code r. 538-x-4-.05.04. As a dispensary, we will use our access to the Alabama Medical Cannabis patient registry system to track patient and caregiver information (e.g., qualification, certification, medical cannabis card validity, and purchase limits). Ala. Code § 20-2A-35; Ala. Code § 20-2A-35(a); Ala. Code § 20-2A-35(b). We will use Electronic devices (veriscan,etc) to check the validity of patients ID and age.

Working with the statewide seed-to-sale tracking system, we will retain a record of the date, time, amount, and price of each sale or transfer of medical cannabis products to a registered qualified patient or registered caregiver, access to and coordination of which will be paid for and maintained by us. Ala. Code § 20-2A-54. At the time of dispensing medical cannabis products, our certified dispenser, or another dispensary employee under the supervision of the certified dispenser, will enter into the patient registry and the statewide seed-to-sale tracking system (and attach to the package containing the medical cannabis) the following patient-specific information: the name and medical cannabis card number of the patient—and caregiver, if applicable; the name and contact information of the registered certifying physician; the amount and type of medical cannabis being

dispensed; the physician's dosing comments and maximum daily dosage recommendation; and, the date and time the medical cannabis was dispensed. Ala. Admin. Code r. 538-x-8-.03.06.a; Ala. Admin. Code r. 538-x-8-.03.06.f.

We will adopt and use primarily a third-party inventory control and tracking system that is capable of interfacing with the statewide seed-to-sale tracking system to allow us to enter or access information in the statewide seed-to-sale tracking system as required, and we will pay for and maintain our system. We will pay all costs (where applicable) to maintain the facility compliant and safe including the Seed to sale tracking subscription, tags, inventory control, patient registry system etc. Our third-party Dutchie inventory tracking system will feature all capabilities necessary to comply with the applicable requirements and more. Ala. Code § 20-2A-60. Dutchie is an all-in-one technology platform that offers a full suite of solutions for compliant cannabis operations. Based in Oregon, Dutchie has been working with cannabis companies for more than five years and provides consumers and licensed businesses with safe and easy access to cannabis tracking. Their software is now in over 5,000 cannabis dispensaries, with thousands of available software integrations. Dutchie will also be compatible with our accounting software, Quickbooks, that will house our financial records in tandem with our accounting vendor, Treestar Solutions. Treestar Solutions are tax accounting experts that specialize in the cannabis industry and while we will house our financial records, they will serve as a backup to our financial records.

SOPs – Maintenance and Storage

We are committed to maintaining and storing medical cannabis product in a way that prevents contamination, diversion, and loss in compliance with all relevant regulations and requirements from the AMCC. Ala. Admin. Code r. 538-x-3-.05-3.m.16.b. Our dispensary facility will feature numerous process controls, protocols, and SOPs for maintaining and storing our medical cannabis safely and compliantly, and our employees will be thoroughly trained on all applicable procedures before

beginning operations.

Managers will train all employees in their respective departments on all SOPs for secure storage and recordkeeping, including SOPs for accessing the vault, secure storage areas, and any restricted access areas ("RAAs"). We will require all dispensing employees to complete training on storage SOPs and access to RAAs prior to beginning work at our facility. Our storage SOPs will integrate those for recordkeeping activities as well. Employees will be required to log all storage activities in our electronic inventory control system and statewide seed-to-sale tracking system for traceability of all medical cannabis within our facility, no matter what stage of storage or dispensing the item is undergoing.

We will teach employees that medical cannabis products should only be moved into and out of storage areas when necessary, and only when directed and accompanied by a manager. Managers will supervise all movement of medical cannabis products in and out of the vault or secure storage areas. Overnight, all medical cannabis products will remain securely locked and stored until a manager and employees arrive the next morning to begin facility operations.

We will store all medical cannabis products inside of our enclosed, locked storage room or vault within our dispensary facility. Ala. Admin. Code r. 538-x-8-.05.03.m.09. The secure storage room will also be equipped with an industry-standard commercial-grade alarm system to alert of unauthorized entry. Ala. Admin. Code r. 538-x-8-.05.03.m.01. Whenever medical cannabis products are received, individual batches of medical cannabis products being received for storage and/or dispensing will be appropriately prepared, tagged or otherwise identified, and inserted in containers at the time of receipt.

Once medical cannabis products have passed testing, undergone packaging and labeling, and are ready for sale to patients and caregivers, employees will move inventory

ready for sale from the in-process to the final product storage vault or secured, locked room. Keeping inventory ready for sale separate from other facility inventory serves several purposes to enhance product safety and inventory security. All medical cannabis products that have not passed testing for potency and contaminants will remain in untested portion of the vault, while products that have been tested, packaged, and approved for sale will be in a separate portion of vault.

We will conduct regularly scheduled maintenance and cleaning of all storage areas to ensure that they are properly maintained and kept in a clean and orderly condition, free from infestation by insects, rodents, birds, and pests. A minimum of once every two weeks, a manager with appropriate clearance will provide access to storage areas and will supervise employees as they perform all necessary maintenance and cleaning. We will perform cleaning procedures outside of business hours so as not to disturb operations.

The vault and secure storage area will be climate controlled, with specific temperature and humidity settings in place to maintain a cool, dry, and low humidity environment optimal for maintaining the integrity and quality of all medical cannabis products. These measures will also prevent conditions in the room from becoming hospitable to potential bacteria or pests, which could potentially further compromise the integrity of the products in storage. Storing our medical cannabis products within strict moisture and temperature controls will mitigate the development of mold or other contaminants on our products that could harm Alabama patients. We will also employ Integrated Pest Management ("IPM") techniques in all areas where medical cannabis is stored. Additionally, we will incorporate odor controls, such as ONA gel canisters, positive pressure air systems, and air curtains, carbon filtering, ionization of air to prevent odors from escaping storage areas or contaminating other products. All Dispensary sites will be treated (compliant treatment chemicals in Alabama and AMCC for food & pharmaceutical) from third party pest control on a monthly basis.

Limitation of Access: To mitigate theft and diversion, we will limit access (2 factor authentication) to medical cannabis storage areas to essential personnel by position, which may include our Leadership, Inventory Manager H.W., Certified Dispensers J.S., and other select authorized staff members. Our SOPs will identify the personnel with authorization to access our storage areas and will be updated pursuant to change. Furthermore, all storage areas will feature key-card access doors (2 factor authentication) with commercial-grade locks (ANSI Grade 1) and alarms(activated by motion, sound or vibration). We will post signage at the entrance to each RAA notifying personnel that access is restricted and identifying which employees are permitted to access the area, which will feature locks and alarm systems to notify our facility of any unauthorized entries. Ala. Admin. Code r. 538-x-8-.05.03.m.01. Usable medical cannabis products and cannabis related items will be highly secured and monitored to prevent theft and diversion. Our surveillance systems will provide 24/7 continuous monitoring of facility entry points and all Restricted Areas such as our usable medical cannabis storage area. Ala. Admin. Code r. 538-x-8-.05.03.m.04. We will maintain security of usable medical cannabis products and related items by maintaining a secure and locked storage area, logging the quantity and quality of all medical cannabis products into our inventory management system, limiting and restricting access to authorized personnel, and maintaining a 24/7 video surveillance of the storage area.

Quality Control / Quality Assurance Plan. ***Provided in Exhibit 23***

Contamination and Recall Plan. Rfer to SOP ***Provided in Exhibit 24***

SOPs – Criminal Activity

Our criminal activity plan details the steps we will take in the event of criminal activity related to medical cannabis products within our possession and control.

We will maintain, review, and update policies to report theft, diversion, or other loss of medical cannabis products to the AMCC and to law enforcement within 24 hours of the event or its discovery. Ala. Admin. Code r. 538-x-8-.05.03.m.15. Once discovered, management will initiate notification and investigation protocols to determine the cause of the criminal activity and will update internal procedures to mitigate any further criminal activity in the future. We will always comply with all investigations into criminal activity at our facility. We recognize the Alabama State Law Enforcement Agency, or a local law enforcement agency may search our facilities and property where there is probable cause to believe that a criminal law has been violated and the search is conducted in conformity with constitutional and state law. Ala. Code § 20-2A-7(f). We understand the AMCC may notify appropriate authorities regarding any misconduct, and we will cooperate fully in any criminal investigation that may lead to the imposition of charges and penalties against our business or any associated entity or individual. Ala. Admin. Code r. 538-x-4-.22.09. We will immediately report to the AMCC and local law enforcement any trespassing on our property or unlawful entry into our facility. If a trespasser manages to enter the facility, employees will avoid the individual and shelter-in-place by remaining where they are, locking any entrances, activating the Panic alarm located throughout the facility, and contacting 911. In the event of an armed robbery, employees will follow the procedures outlined in our Security Plan. After an event, management will conduct a diversion/theft investigation after any facility interior trespassing event to determine how the unauthorized individual gained access to the facility and if diversion or theft occurred.

Safety of Employees: We will conduct our operations to refrain from any critical violation that could pose a clear and present danger to the safety of our employees, patients, caregivers, or the public. Ala. Admin. Code r. 538-x-4-.02.04.b.01. The safety of employees and others on the premises starts with screening for individuals through a national criminal background check. Prior to appointment, employment, or service to our operations, all officers, employees, contractors, and other individuals performing work of any character who would have access to

a medical cannabis facility, or related equipment or supplies, must submit to a state and national criminal fingerprint background check. Ala. Code § 20-2A-59(a). Employees will undergo no less than ten (10) hours of continuing education of medical cannabis education and no less than five (5) hours of safety training that will include safety pertaining to criminal activity and CPR training. Ala. Admin. Code r. 538-x-4-.04.02.b. All employees will complete comprehensive safety training prior to beginning work at our facility, which will include instruction on the facility's Emergency Action Plan ("EAP"), which we will construct to comply with all applicable regulations from the Bureau and the federal Occupational Safety and Health Administration ("OSHA"). We will adhere to the AMCC's training program for dispensers that addresses proper dispensing procedures, and other topics relating to public health and safety and preventing abuse and diversion of medical cannabis.

Our highest priority in operations is the safety of all personnel and patients/caregivers at our facility. Pursuant to guidelines from OSHA, we will establish an Emergency Response Team ("ERT") composed of our Chief Operating Officer E.J.H., Chief Compliance Officer L.G., and Manager of Security G.S.. Our COO E.J.H. has developed criminal activity SOPs and trainings for compliant cannabis businesses in other states. Our Manager of Security (G.S.- Manager of Security) will be a uniquely qualified individual with experience in highly regulated industries. The ERT will: conduct research and compile our emergency and safety procedures, including general emergency response plans, a fire plan, and procedures for security breach and armed robbery response; train employees on these policies; and supervise evacuations and other emergency response activities. Our ERT will maintain and review at least annually our criminal activity plan. Ala. Admin. Code r. 538-x-3-.05.03.m.16.e.

Criminal Activity Reports: Our ERT will construct guidelines and procedures for reporting to and communication with regulatory bodies, law enforcement, and other

licensed medical cannabis organizations. We will implement and train staff on these guidelines prior to our facility beginning operations. We will develop procedures for notification of the AMCC and law enforcement in accordance with the reporting requirements. Procedures will include when notification is required, who is to be notified, how notification is to occur, and who is responsible for performing the notification. A designated manager will notify the AMCC, ALEA, and local law enforcement immediately after discovering any adverse loss, diversion, theft, criminal activity, or suspected criminal activity at our facility or from any vehicle transporting medical cannabis to or from our facility.

Our employees will be trained to contact 911 in the event of an emergency. In the event of any criminal activity, staff will contact law enforcement having jurisdiction over the area to report that a crime has been committed. We will keep lists of emergency phone numbers by all landline telephones. We will designate a member of management responsible for immediate notification of local law enforcement and notification of the AMCC within 24 hours of becoming aware of any alarm activation, an event requiring response by public safety personnel, breach of security; or failure of the security alarm system due to a loss of electrical support or mechanical malfunction that is expected to last longer than 24 hours. All notifications will include details on the reported incident and any corrective measures taken. We will maintain records of all notifications in an auditable form for at least two years after providing the notification.

Preservation of Medical Cannabis and Maintaining Access: At all times we will maintain a plan, and review at least annually, steps to be taken for the preservation of medical cannabis products and the reasonable efforts to maintain access to medical cannabis products by those who depend on it. Ala. Admin. Code r. 538-x-4-.07.12.o.04. This will include steps for maintaining secure storage of our medical cannabis stock, maintaining adequate medical cannabis stock so that patients/caregivers can receive their medicine, notification procedures to our patients/caregivers, and communication with the AMCC and all law enforcement

agencies. We will provide and maintain a plan for sufficient staffing of security guards at each facility where medical cannabis products are present to reasonably ensure the safety of employees and others on the premises; and at a minimum, provide one security guard per facility during our business/operating hours. Ala. Admin. Code r. 538-x- 8-.05.03.m.08. Our parking lot will be monitored and supported for the reasonable safety and security of customer patients and caregivers. Ala. Admin. Code r. 538-x-8-.04.02. All employees will be trained to use Duress code in the alarm system. Duress code is a code specifically created to disarm the alarm system in hold up situation, but it also silently informs the local police and Fire department of the Duress event.

We will also maintain strong relationships with supplying licensees to be able to provide medical cannabis stock in a short time frame should ours be compromised by criminal activity. After a criminal event occurs, we will notify these licensees of our need for additional medical cannabis stock immediately. We will also comply with recommendations from the Drug Enforcement Administration ("DEA") for pharmaceutical facilities handling controlled substances. For example, we will store medical cannabis products in compliance with DEA requirements for Schedule I controlled substances, using securely locked safes or steel cabinets bolted to the floor in our secure storage room. CFR 21 § 1301.71 - 1301.77. Security guard will be instructed to hold excessive overall (Large jackets) and any bags (beside small carry such as purse) at the reception counter to avoid any concealed weapons being brought in the facility. Appropriate signage regarding the weapons not permitted in the facility will be posted at the front entry door.

SOPs – Emergency Procedures or Disasters

We are committed to compliant and safe operations focused on emergency preparedness and adequately responding to emergencies to maintain employee and visitor safety and to assist in maintaining accountability of all medical cannabis products and maintaining access for those who depend on it. Ala. Admin. Code r. 538-

x-3-.05.03.m.16.f. To mitigate danger to employees and others on the premises, employees will undergo no less than five hours of safety training including safety pertaining to criminal activity. Ala. Admin. Code r. 538-x-4-.04.02.b. Our Manager of Security G.S. and Security Guards will train staff on responding to various emergencies and natural disasters before they begin work. In response to an emergency, we will conduct the following procedures: assess the nature and scope of the emergency to determine which emergency service should be notified; determine the source of the impact, such as a specific employee, process, or outside event; implement measures to minimize damage; Contain the emergency and prevent it from spreading, such as by evacuating employees; maintain detailed records of all steps taken; notify all relevant personnel, including management, IT personnel, security, law enforcement, the AMCC, and any affected individuals or businesses; identify and document the extent of the emergency; and, immediately make a forensic copy of applicable surveillance devices, which may be used for later analysis or serve as evidence.

Employees will also be trained (Including CPR) on various medical emergency situations. We will install easily accessible voice dialing phone systems, so that employees may more easily contact emergency services. We will keep emergency kits in marked locations throughout the facility for quick access in an emergency. Staff will check the emergency kit once per month to verify all contents are present, in working condition, and unexpired. We will also keep an emergency kit inside a designated "shelter in place" location in case severe weather approaches quickly and evacuation is not possible. Our "shelter-in-place" location will be an interior room or rooms within our facility, with space to take refuge. We will also designate the ERT to oversee all emergency plans and protocols at our facility. The ERT's emergency plans will include procedures for employees to follow in the event of a hazardous situation, security breach, armed robbery, or violent event. The ERT will also develop any additional procedures as required by the AMCC in response to any special security concerns.

If a potential threat or hazardous situation is present outside of the facility, any employee on site will inform other employees (via personal radio devices used for internal communication) and visitors of the threat, remain indoors, verify that all facility entrances are locked, and stay away from doors and windows. Employees will be trained to keep hazards outside and if possible, not allow any hazard or violent individual to access the inside of the facility. If a threat, hazard, or suspicious individual enters the facility, employees will contact security personnel immediately. Primarily, employees will immediately call 911 or contact law enforcement agencies in response to a potential threat or suspicious individual.

Preservation of Medical Cannabis: We have also developed specific protocols for preserving all medical cannabis products at our facility. To maintain an adequate stock of medical cannabis reserved for dispensing in case of emergency, we will regularly set aside a portion of our medical cannabis products in the secure storage area marked as "in case of emergency." Our facility and secure storage areas will feature environmental controls to preserve medical cannabis in ideal conditions. Detection equipment, including a professionally monitored fire alarm, will be present throughout the facility to alert building occupants of any emergency conditions. A qualified alarm technician will test all detection equipment at least every 30 days, and we will keep all equipment in good working order. We will strategically position fire alarms to be visible, audible, or perceivable from any location in the facility. We may use a fire sprinkler system that will provide coverage throughout the facility to immediately suppress any fire. All facility exterior doors will allow free egress by the facility's occupants in case of an emergency. Ala. Admin. Code r. 538-x-8-.05.03.m.06. Fire extinguishers will be located throughout our facility, with as many available as is feasible for our space and recommended by the local Fire Department. Our facility will also feature other fire suppression equipment, such as overhead sprinklers and partitionable HVAC systems to prevent a fire from spreading from one room of our facility to another. Alabama is at a higher risk for floods and hurricanes than the average state. In the case of flooding, we will train personnel to quickly respond to the threat to human health. Management will monitor persistent rains and storms

within one hundred miles using online weather monitoring services, and severe weather alerts will be regularly communicated to personnel via company email, phone calls, or announcements over the facility's communication system.

Reasonable Efforts to Maintain Access: Following an emergency, we are committed to taking reasonable steps to maintain access to medical cannabis for those who depend on it. We plan to develop and maintain a stock of medical cannabis reserved for dispensing in case of emergency. In the event of an emergency that might compromise the safety of the medical cannabis at our facility, proper procedures for the safe removal, secure transportation, and compliant temporary storage are paramount to preserving the integrity of our medical cannabis and protecting the safety of our Alabama patients. Though the AMCC has yet to provide guidance on permitted activities following an emergency or disaster, should an emergency or disaster occur, we will request a Temporary Variance from the AMCC and receive approval before implementing our procedures in case an emergency renders our business unable to comply with regulatory requirements. Ala. Admin. Code r. 538-x-1-.08-1; 538-x-4-.08.06. Once approved, our plan will begin by removing medical cannabis stock in an orderly and secure fashion by collecting secure storage containers and loading them into emergency transportation vehicles to an approved facility (including our other Dispensing sites). Staff will ensure that all medical cannabis products that can be preserved by removal are tracked via a handwritten removal log and the statewide seed-to-sale tracking system, if the emergency allows. Any medical cannabis products removed that were not tracked and recorded initially will be inventoried once the medical cannabis products are secure in its temporary storage location. After being removed from our primary facility, medical cannabis stock will be transported to a secure temporary storage facility (if permitted by the AMCC), from which it can be safely dispensed to patients. To the extent possible, this temporary secure storage area will meet all requirements for secure storage of medical cannabis products and will be fitted with commercial locks and alarm systems. Access protocols will remain the same and only designated employees will handle and transfer medical cannabis products between the storage area and other areas of the temporary facility. We will

provide transportation to our patients at no cost (from the affected Dispensing site to our other Dispensing sites) in an event where the Dispensing site in unable to serve patients due to disaster event.

We will also proactively communicate with our patient and caregiver base following an emergency to facilitate their access to medical cannabis. We will send out notifications via all available communication channels to inform patients and caregivers that an emergency or disaster has occurred and where and when they will be able to collect their medical cannabis following the event. However, should the AMCC provide any guidance that differs from our proposed plan, we will alter our procedures to be compliant with any applicable regulatory requirements. Though we may not be able to immediately dispense medical cannabis products following an emergency, we will take reasonable steps to dispense compliantly as soon as possible.

SOPs – Alcohol, Smoke, and Drug Free Workplace Policy

We will maintain at all times and review annually following this application process, a clear written Alcohol, Smoke, and Drug Free Workplace Policy, which will also be included in our Employee Handbook and/or Policies and Procedures Manual. Ala. Admin. Code r. 538- x-3-.05.03.m.16.g. Maintaining our workplace as alcohol, smoke, and drug free is paramount to maintaining an environment of security, safety, and health for our employees, patients, and any visitors at our medical cannabis facility. Our HR Officer J.K. will maintain records related to the policy, including the detailed written policy itself and copies of signed employee signature pages confirming understanding of and consent to the policy, all of which will be available to the AMCC.

We will require staff to sign documents stating they understand there is zero tolerance for alcohol and drug use at the workplace and that they will abstain from such use during work hours or while on our premises.

We are committed to maintaining a safe, alcohol-free, smoke-free, and drug-free work environment for all employees, agents, customers, and visitors. We will therefore explicitly prohibit the use, possession, solicitation for, or sale of personal cannabis, illegal drugs, alcohol, cigarettes, tobacco products, or prescription medication without a prescription on facility premises or while performing work-related assignments. All employees will complete training on our alcohol, smoking, and drug-free policy during onboarding and will read and consent to the policy in writing. Being impaired or under the influence of legal or illegal drugs or alcohol away from company premises, if such impairment or influence adversely affects the employee's work performance, the safety of the employee or of others, or damages our organization's reputation, may result in immediate job termination.

We will prohibit the presence of prohibited substances in employees' urine while at work on company property, or while on company business. We may therefore ask employees to submit to a drug and/or alcohol test at any time management feels that an employee may be under the influence of drugs or alcohol. Any company employee involved in an on-the-job accident or injury under circumstances that suggest the possible use or influence of drugs or alcohol in the accident or injury event may be asked to submit to a drug and/or alcohol test. If an employee is tested for drugs or alcohol outside of the employment context, such as by law enforcement, and the results show a violation of this policy, or if an employee refuses a request to submit to testing under this policy, the employee may be subject to appropriate disciplinary action, including discharge from employment. In such a case, the employee will be given an opportunity to explain the circumstances prior to any final employment action becoming effective.

At all of our facilities, we will have surveillance cameras in place to monitor and record staff activity continuously. The cameras, along with "Cameras in Use" signs will be in conspicuous areas to discourage staff from engaging in any acts which would violate the integrity of an alcohol, smoke, and drug free workplace. Management will also monitor staff conduct by observing daily operations and make explicit notes if

they suspect any activity violating this plan. We will prohibit staff from working while under the influence of any drugs or alcohol, including medical cannabis. Also, all staff are subject to random drug screenings, which can serve as a deterrent for employees.

We will have the proper SOPs in place that guarantee this zero-tolerance policy for alcohol- and drug-use which will outline the following steps and more, updated accordingly as the business begins and continues operation. Employees will be subject to testing based on observations by the supervision of apparent workplace use, possession, or impairment and a member of the management team will be consulted before sending an agent for testing. Employees will also be subject to testing when they cause or contribute to accidents that seriously damage a company vehicle, machinery, equipment, or property or result in an injury to themselves or another employee requiring offsite medical attention in which there is a reasonable basis for concluding that drugs and/or alcohol use could have contributed to the incident.

In addition to random drug tests, we will also train management accordingly to uphold our SOPs and written policies. These trainings will help those in management identify key indicators of potential violations of the alcohol, smoke, and drug free workplace and walk them through the steps to confront any individual they suspect of such violations. Management will also educate and inform staff of these SOPs and inform them of the health and safety risks associated with being intoxicated while working within the workplace. To maintain a successful alcohol, smoke, and drug free workplace, we will ask staff to submit feedback on the effectiveness of our current SOPs and see if any changes or updates are necessary, with the aim of continuous improvement.

As new staff will enter operations throughout our business' lifecycle, it is paramount they are trained at the onset not only on all our SOPs, but specifically on maintaining our alcohol, smoke, and drug free workplace. Management will regularly, and at least annually, review our policies to maintain compliance with all guidance from the

Commission and to ensure the policies reflect our company's vision for a fastidiously maintained alcohol, smoke, and drug free workplace. Our goal to have an alcohol, smoke, and drug free workplace is not only to implement such a policy but to maintain it and engrain it within our company culture. Following each of the steps outlined here, with a special emphasis on education, training, monitoring, and proper deterrence, we will be able to go above and beyond the requirements set by the State of Alabama and the AMCC for our medical cannabis workplace.

SOPs – Employee Safety

Our Employee Safety Plan will always comply with parallel OSHA Standards applicable in similar workplaces. We will aid in OSHA's mission of ensuring that employees work in a safe and healthful environment by setting and enforcing standards, and by providing training, outreach, education, and assistance. Under the Occupational Safety and Health Act of 1970, we have a responsibility as employers to provide a safe workplace. To this end, we will comply with all applicable OSHA Standards, which are the regulatory requirements established and published by OSHA pursuant to the Occupational Safety and Health Act of 1970 and subsequent laws. We will comply with the General Duty Clause of the OSHA Act, which requires employers to keep their workplace free of serious recognized hazards. 29 USC § 654. We will always follow mandatory standards for general industry and any other applicable standards, as well as any guidance specific to the cannabis industry. 29 CFR § 1910. We will comply with standards for recording and reporting occupational injuries and illnesses. 29 CFR § 1904. Since we will move and store medical cannabis products in our facility, we will also account for common hazards and solutions for warehouse workers, such as: ergonomic and musculoskeletal disorders; forklifts; materials handling; slips, trips, and falls; hazardous chemicals; emergency planning; electrical hazards; lockout/tagout; heat illness; automation and robotics; refrigerated warehousing; temporary workers; and, stress and fatigue.

We will demonstrate and maintain standard operating procedures regarding our

Employee Safety Plan in such a way that they can be readily accessed from the physical site of operations upon the request of inspectors, the AMCC, or AMCC staff. Ala. Admin. Code r. 538-x-3-.05.03.m.16.h. We will always maintain, and review at least annually, our employee safety plan that complies with parallel OSHA standards applicable to similar types of businesses (to the extent such standards can be extrapolated to fit our workplace). Ala. Admin. Code r. 538-x-4-.07.12.o.08. Our Human Resources Officer J.K. will review our Employee Safety Plan with the leadership team at least annually and adjust as needed.

Due to the potentially hazardous nature of our workplace, all team members are responsible for familiarity and compliance with OSHA, EPA, and state regulations regarding job safety and health protection. We will cooperate with all reasonable OSHA and EPA inspections and compliance reviews. We will provide training and materials explaining the applicable standards and guidelines for all employees during their initial work period, and periodically when applicable regulations are revised or added. All employees are required to participate, and a record will be maintained of all those in attendance. OSHA's Hazard Communication Standard requires that warning labels with orange and orange- red biohazard symbols be affixed to containers of regulated waste or, alternatively, red bags may be used. Employees who may come into contact with hazardous materials are required to receive information and training after the start of employment. We will maintain additional information, including a copy of the safety data sheets (“SDS”), about any chemical used or stored in the facility, which is available to employees during working hours. Staff will undergo training on how to maintain OSHA safety protocols while on premises, such as: wearing PPE; allowing rest time for staff between tasks of 10-minute breaks every two hours of work and one hour lunch break between every four hours of work; and reporting potential workplace hazards to our COO. Applicable material safety data sheets will be readily available in dispensing areas. We will use the Hazard Analysis of Critical Control Points (“HACCP”) system to identify specific safety hazards and measure and control them to ensure the safety of our products. HACCP is a science-based, systematic tool used in various industries to assess safety hazards

and establish control systems that focus on prevention rather than relying exclusively on managing collateral damage. We will use our HACCP system throughout all stages of production to avoid dangerous work environments throughout the dispensing workflow. Part of this process will be establishing Critical Control points throughout the production process and a system of measurements designed to monitor, evaluate, and control any variance or hazard to employee or visitor safety and security.

We will provide gloves, coveralls, and respirators for use in conjunction with hazardous and potentially health-afflicting materials. We will always refer to the list of registered sanitizing agents kept by the AMCC when procuring our supplies. To ensure worker and consumer safety, we will always identify, hold, and store toxic cleaning compounds, sanitizing agents, and other chemicals in a manner that protects against contamination. OSHA has identified falling and tripping as being major hazards associated with similar facilities and work environments. This is especially the case when floors are wet, damp, or otherwise coated in a way that makes them increasingly slippery. We will require employees to wear slip-resistant shoes within production areas.

While the instances of use may be rare, we want to be fully prepared and we will utilize the following PPE for our employees' safety: hand protection (e.g., protective gloves, nitrile gloves) where cut hazards or potential exposure to corrosive liquids, blood, chemicals, or other infections materials exist; head protection (e.g., hard hats) where danger of falling objects exist; eye protection (e.g., goggles or glasses) where risk of eye injuries exists, such as punctures, abrasions, contusions, or burns; face protection (e.g., face shields) where danger of flying particles or materials exist; foot protection (e.g., steel-toed boots) where risks of foot injury from corrosive, poisonous, or hot substances, or from falling objects, crushing, or penetrating actions exist; hearing protection (e.g., ear plugs) where risks of hearing damage from occupational noise exist and exceed the acceptable sound levels of the OSHA noise standard; respiratory protection (e.g., respirator, gas masks) where respiratory health risks

exist from inhaling smoke, fumes, particulate matter, etc.; and, clothing protection (e.g., plastic aprons) where risk of splashing chemicals exists.

We will also keep emergency kits in marked locations throughout the facility for quick access in an employee safety emergency. Staff will check the emergency kit once per month to verify all contents are present, in working condition, and unexpired. The emergency kit will include: a fire extinguisher; bottled water; non-perishable food; flashlights with extra batteries; first aid kit (assorted bandages, gauze, antibiotic ointment, sterile gloves, tweezers, antiseptics, cleansing wipes, scissors, and common over-the-counter medications such as Tylenol and Benadryl); a basic toolbox (wrench, pliers, screwdriver, hammer); garbage bags; hand sanitizer; face masks or coverings; buckets; a battery-powered radio; a charged cellular phone with charging cord; and, a USB battery pack.

SOPs – Cybersecurity and Confidential Information

Our team includes professionals from medical cannabis, health, IT, pharmaceutical and compliance industry, we understand patient confidentiality very well and the importance of it. Our IT manager has a bachelor's degree in Information technology, and he will be overseeing the cybersecurity and confidentiality data. We will provide effective controls and procedures to guard against unauthorized access to our electronic systems or our confidential business data. We will create and maintain a dispensing plan for maintaining confidential information and providing cybersecurity for sensitive information with respect to patients and caregivers, and we will include within that plan a set of protocols for maintaining the confidentiality of patient information in accordance with HIPAA arising from or related to our access to the patient registry and/or from any other source. Ala. Admin. Code r. 538-x-8-.05.03.e. Our controls will include methods that protect against electronic records tampering. We will take all necessary steps to confidentially maintain records with any personally identifying or private business information. Ala. Admin. Code

r. 538-x-4-.05.07. When creating policies for the security of our hardware, software, and data, we have consulted regulations found in Title 45 of the Code of Federal Regulations, including the Health Insurance Portability and Accountability Act ("HIPAA"), and the Health Information Technology for Economic and Clinical Health Act ("HITECH"). We have also adopted best practices for cybersecurity used by HIPAA-compliant medical facilities, to prevent unauthorized access or theft of our data.

Our COO E.J.H. will oversee our compliant and confidential recordkeeping system. They will also perform regular audits of our records and update standard operating procedures ("SOP") as needed to maintain compliance and accurate recording. We will work with HIPPA certified professionals to deliver trainings on HIPPA and related policies for our employees related to their job roles. Employees that interact with the patient registry, AMCC website, or seed-to-sale system will earn certifications, prior to beginning their job, for each database they will use. Ala. Admin. Code r. 538-x-4-.05.05. All employee training will include online safety, including how to create strong passwords, avoid dangerous websites, and recognize phishing emails. We will also provide staff with notices of emerging cybersecurity threats, such as software vulnerabilities or new phishing scams. Our COO E.J.H. will keep all computer systems updated with an efficient and compliant operating system, software, and firmware updates to patch potential system vulnerabilities. They will also conduct regular analysis of the information technology market to identify promising new security products and detect newly emerging cybersecurity threats.

We have an executed contract with Cure 8 to facilitate security and software updates, run cybersecurity tests, revise policies and procedures, and provide additional training for staff that is outside the scope of our executive team. Cure 8 has more than 15 years of experience in the cannabis industry. Upon licensure approval, we may contract with additional technology vendors to provide specific database training for employees. Ala. Admin. Code r. 538-x-4-.05.05. Coordination of any data with our vendors will be tracked through the state medical cannabis patient registry and seed-to-sale

systems, which we will maintain. Ala. Code § 20-2A-35.

Our network security will comply with cybersecurity standards set by the International Society of Automation ("ISA") and the International Electrotechnical Commission ("IEC") standard 62443. Ala. Admin. Code r. 538-x-4-.05-.02. We will utilize security software on all company owned devices, to eliminate malware and phishing. Our facility will have computers with different operating systems, and we will safeguard all devices appropriately. Ala. Code § 20-2A-6.

Our inventory system will be directly compatible with the state seed-to-sale system and patient registry. Ala. Admin. Code r. 538-x-4-.05-.04. Our inventory system features confidentiality and HIPAA functionality. We will use tags to facilitate our inventory tracking that include bar codes, QR codes, RFID tags, NFC tags, or other equivalent system for assigning unique numbers to cannabis products. Ala. Code § 20-2A-63(i). This process may require additional hardware specific to scanning digital codes. We will create and maintain plans for upgrading all system software and hardware throughout our dispensary facility. Ala. Admin. Code r. 538-x-4-.07.12.o.01. We will enable automatic system updates on all computers, and systems will be routinely inspected for security. Our financial plan accounts for all software and hardware purchases and their maintenance. Ala. Admin. Code r. 538-x- 4-.05.03.

Our cybersecurity plan focuses on minimizing the amount of data we retain and limiting opportunities for security breaches. We will maintain complete, accurate, and confidential records of all sales, transfers, and destruction of medical cannabis products. Each record will include the individual or medical cannabis business to whom the product is sold or transferred, and the quantity, variety, form, and cost of the cannabis items. Any interactions that we conduct with the Alabama Medical Cannabis patient registry system will be maintained confidentiality in accordance with HIPAA. Ala. Code § 20-2A-35; Ala. Admin. Code r. 538-x-4-.07.12.o.09. Our medical cannabis dispensing records will include the name of the patient; their medical cannabis card number; the name and medical cannabis card number of the

caregiver if applicable; contact information of their certified physician, and their comments, and the maximum recommended daily dosage; the amount and type of cannabis; and the date and time the medical cannabis was dispensed. Ala. Admin. Code r. 538-x-8-.03.06.a-f. All dispensing records will be maintained confidentially and securely. We will also maintain certain business records as confidential. We will keep a record of any individual that has been on our dispensary facility premises at any point in time. These records will include an individual name, time and date of entry, time and date of exit, and the reason for their presence, and we will maintain them for at least two years. Ala. Admin. Code r. 538-x-4-.07.12.o.11.i. Additionally, we will privately maintain our employee records including their personal information, resumes, references, payroll details, and job reviews. Our business records can be made available to the AMCC or law enforcement agencies as necessary.

HIPAA security is comprised of three areas for compliance: Administrative, Physical and Technical standards, which may include setting up separate networks for systems carrying confidential data, forcing log outs, and other standard security practices. 45 CFR 164. We will utilize a host of Administrative, Physical, and Technical safeguards to comply with HIPAA at our facility, including but not limited to: security management process, assigned security responsibility, workforce security, information access management, security awareness training, security incident procedures, contingency plan, evacuation, facility access control, workstation use, workstation security and device and media controls, audit controls, integrity controls, person or entity authentication and transmission security.

We will consistently utilize rigid recordkeeping practices throughout our facility, and in all business operations. Our SOPs will always be readily accessible at our dispensary facility upon the request of inspectors, the AMCC, or AMCC staff. Ala. Admin. Code r. 538-x-3-.05-.3m.16.i. We will always comply with AMCC inspections and provide access to records as necessary. Ala. Admin. Code r. 538-x-4-.02.02.b.02.

Confidentiality of Patient Information

We will protect patient confidentiality and privacy as a foundational tenet of our dispensary operations. We will restrict the collection and storage of personal information by following guidelines under the Health Insurance Portability and Accountability Act ("HIPAA"), the Health Information Technology for Economic and Clinical Health Act ("HITECH"), and by securing and preventing unauthorized access to confidential information using advanced cybersecurity technology. we have a designated patient consultation room for their privacy that can be utilized at the patient's request. Use and installation of sound masking devices will prevent verbal communication overreach other individuals nearby.

Before dispensing medical cannabis, we will query the patient registry to confirm that the patient or caregiver holds a valid, current, unexpired, and unrevoked medical cannabis card. Ala. Code § 20-2A-64(e)(3)(a). We will not collect or store any patient payment information, such as payment card numbers or bank account details. If we elect to offer non- cash payment options, we will contract with a third-party payment processor to facilitate these transactions without our dispensary retaining any patient data. While the State's Patient Registry will store relevant patient information, our Point of Sale ("POS") system will not maintain any records linking patient payment information to product purchases but will contain non-identifying proofs of purchase. Ala. Admin. Code r. 538-x-4-.07.12.o.01.

Dispensing records will include the name of the patient; their medical cannabis card number; the name and medical cannabis card number of the caregiver if applicable; contact information of their certified physician, their comments, and the maximum recommended daily dosage; the amount and type of cannabis; and the date and time the medical cannabis was dispensed. Ala. Admin. Code r. 538-x-8-.03.06.a-f. Any transaction information that we enter in the Alabama Medical Cannabis Patient Registry System will be maintained confidentially in accordance with HIPAA, and patient data will never be transferred, rented, traded, or sold. Ala. Code § 20-2A-35; Ala.

Admin. Code r. 538-x-4-.07.12.o.09. We will secure the confidentiality of information in our databases by preventing access by unauthorized persons. Ala. Code § 20-2A-60(a)(17).

Employee Training

Before work, all employees must complete comprehensive cybersecurity training and HIPAA certification, with additional education on a regular basis, as technology systems are updated, and new threats emerge. We will contract with a professional IT security company to revise policies and procedures, test cybersecurity measures, and provide additional staff training. We have an executed contract with Cure 8 to facilitate security and software updates, run cybersecurity tests, revise policies and procedures, and provide additional training for staff. Cure 8 has more than 15 years of experience in the cannabis industry, and they provide technology services to licensed cannabis businesses throughout the United States and Canada. Upon licensure, we may contract with additional technology vendors to provide pre-employment and pre-commencement database training and IT certification for employees. Ala. Admin. Code r. 538-x-4-.05.05. These certifications must demonstrate database use proficiency and must be obtained by all employees who access any system with sensitive information, including the patient registry, the AMCC website, or the Statewide Seed-to-Sale Tracking System. Ala. Admin. Code r. 538-x-4-.05.05. This IT certification will be administered by the third-party IT provider, or another, as the AMCC may designate. Ala. Admin. Code r. 538-x-4-.05.05.

We will ensure the confidentiality of the information received, maintained, and uploaded to any of the above databases. Ala. Admin. Code r. 538-x-4-.05.07. Employee training for cybersecurity will also include recognizing phishing emails; strong passwords; avoiding dangerous websites or applications; and any other cybersecurity policies. At a minimum, all staff will undergo annual retraining on cybersecurity best practices. Staff will receive notices of emerging cybersecurity threats, such as new phishing scams or software vulnerabilities. We will also prohibit the sharing of employee-specific computer access credentials. Ala. Admin. Code r. 538-x-3-

.05.03.m.16.i. Passwords will be “strong”, and remain confidential to each individual, and must be changed every 90 days. Where feasible, our systems will use multi-factor authentication measures to offer further user verification. Employees will always lock their computer screen when away from their workstation. Other policies will include instruction for employees to never open or click on unsolicited email attachments. We will mandate usage of strong spam filters to prevent phishing emails from reaching employees.

Employees will also complete training on record access in our POS. This training will include use of these systems, our policies against tampering with electronic records, and penalties for tampering with records, which will include termination of employment and reporting of any incidents to the AMCC and law enforcement. In addition to our policies against tampering, our inventory and sales software will log all access of and modifications to electronic records, including what was changed and which user changed it, providing further protection against theft or diversion facilitated or hidden by electronic records tampering. Ala. Admin. Code r. 538-x-4-.07.12.o.09.

Cybersecurity Procedures

Our network security will comply with cybersecurity standards set by the International Society of Automation (“ISA”) and the International Electrotechnical Commission (“IEC”) standard 62443. Ala. Admin. Code r. 538-x-4-.05-.02. We will utilize security software on all company owned devices to eliminate malware and phishing. Our facility will have computers with different operating systems, and we will safeguard all devices appropriately. Ala. Code§ 20-2A-6.

HIPAA security consists of three areas for compliance: Administrative, Physical and Technical standards, which may include setting up separate networks for systems carrying confidential data, forcing log outs, and other standard security practices. 45

CFR § 164. We will utilize a host of administrative, physical, and technical safeguards to comply with HIPAA at our facility, including but not limited to: security management process, assigned security responsibility, workforce security, information access management, security awareness training, security incident procedures, contingency planning, evacuation, facility access control, workstation use, workstation security, device and media controls, audit controls, integrity controls, person or entity authentication, and transmission security. All patient health information stored in our databases will be de-identified in accordance with HIPAA.

Technical Security Measures

Our Chief Technology Officer ("CTO") E.H will keep all computer systems updated with the latest operating system, software, firewall and firmware updates to patch potential system vulnerabilities. Our CTO will also create and maintain plans for upgrading all system software and hardware. Our CTO will enable automatic system updates on all computer systems, and systems will be routinely inspected to ensure that they are running the latest and most secure updates. We will designate a member of management to conduct regular inspections of the information technology market to identify promising new security products and newly emerging cybersecurity threats. An enterprise-grade router equipped with a commercial security firewall and virtual private networking will provide capability for remote access through a secure, encrypted internet pathway. Any individual devices, such as laptops or tablets, will feature internal firewalls to provide further security. To prevent introducing compromised devices onto our network, we will prohibit employees, patients, and other visitors from connecting personal devices to our network. Business- quality managed switches capable of Virtual LAN tagging will provide additional traceability and security for all network activities. Network management software will allow facility managers to monitor all network traffic to detect non-permitted network access or violations of network usage policies by employees. Through close management of network activity, management can identify potential insider security threats, such as unauthorized access/attempted access of confidential data or

connection of unauthorized devices to the network. All computer screens including point of sale will have a privacy screen installed for privacy.

Distance between point of sales and distance between each patient will be maintained and guided via floor signs. Each computer will have biometric login in required that will auto log out after 30 seconds of inactivity. Paper shredders will be placed at the reception and point of sales to discard any patient's information safely. password changes will be required every 30 days. Any patient's data communications via e-mail will be done via a secure email server.

HIPAA-compliant Microsoft Office 365 software will provide secure internal communication and secure document management. All computers connected to our network will use firewalls and high-quality anti-virus/malware software. Physical hardware encryption measures such as Bitlocker will prevent theft of data in the event of a physical computer theft or physical hard drive access. We will encrypt all sensitive data stored on our servers using 256-bit encryption to prevent unauthorized access. We will regularly backup all data to secure off-site servers to avoid data loss in the event of a system failure, ransomware attack, fire, or other disaster at the facility. All backups will also be encrypted and password-protected to prevent unauthorized access or theft. We will create and maintain plans for upgrading all system software and hardware throughout our facility. Ala. Admin. Code r. 538-x-4-.07.12.o.01. We will enable automatic system updates on all computers, and systems will be routinely inspected for security. We have a financial plan that accounts for all software and hardware purchases and their maintenance. Ala. Admin. Code r. 538-x-4-.05.03.

Incident Response

Management will work with cybersecurity contractors to respond to incidents with fixes. In response to any breach, we will: assess the nature and scope of the incident to determine if the breach was malicious or technical issue; determine the source of

the breach; identify and document the extent of the damage; make a forensic image of any affected devices; implement measures to minimize damage; contain the attack and prevent it from spreading, such as by stopping ongoing network traffic caused by the attacker and disconnecting affected devices from the network; maintain detailed records of all steps taken; and, notify all relevant personnel, including management, IT personnel, security, law enforcement, the AMCC, and any affected patients.

Data Breach Notifications

In the event of a data breach, we will provide written notice to all potentially affected individuals within 24 hours of discovering a breach, unless otherwise directed by law enforcement or the AMCC. Notice will primarily be electronic, per Section 101 of the federal Electronic Signatures 15 U.S.C. § 7001. Otherwise, notice will be a substitute notice in the form of regular U.S. mail and e-mails to all affected parties, conspicuous posting on our website, and notification to the AMCC and state-wide media. For data breach notifications, Ala. Code § 41-9-642, our procedures will define "breach of security" as any unauthorized access to data containing unencrypted personal information that compromises the security, confidentiality, or integrity of personal information. We will use the definition of "personal information" from the Personal Information Protection Act (e.g. a username and password, an individual's unencrypted name with social security number; driver's license, state identification card, or patient registry card number; bank account, credit card, or debit card number, access code, or password that could permit access to an individual's financial account(s); medical or health insurance information; or, biometric information.) SOPs

Waste Disposal

We are committed to providing a clean and safe experience for not only medical cannabis patients but for our employees and visitors as well. We will do this through a multi-faceted waste disposal plan that incorporates a culture of compliance among our staff, as well as disposal practices and waste management procedures designed

to protect the health of our customers, employees, and our local community. Therefore, we will always maintain and review at least annually, a plan for tracking and proper disposal of waste cannabis or medical cannabis, including all parts thereof, as applicable. Ala. Admin. Code r. 538-x-4-.07.12.o.10. Our plan will, at a minimum, leave no part of the disposed or waste cannabis or medical cannabis either usable or recognizable as such. Ala. Admin. Code r. 538-x-4-.07.12.o.10. Further, we will establish and maintain standards, procedures, and requirements for hazardous and chemical waste product storage and disposal, and chemical storage that comply with Alabama rules. Ala. Code 22 § 27; Ala. Code 22 § 30.

The primary objective of our waste disposal and sanitation plan is the health and safety of our customers, visitors, vendors, local community, and employees, with a particular focus on preventing the contamination of any medical cannabis products and related cannabis items. This plan includes not only the compliant disposal of waste but also the careful management of waste to ensure that medical cannabis products and related cannabis items do not contaminate the environment and extends to the entire interior and exterior of our building.

Our standard operating procedures for waste encompass our cannabis waste, non- cannabis waste, recycling program, sustainability, and efforts to limit waste. Part of our environmental and sustainability plan is an effort to reduce our facility's production of waste and consumption of resources. Our water supplies will be sufficient for our facility activities and derived from a source that is a regulated water system. Our facility structure will include plumbing that is adequate to carry sufficient quantities of water to locations through the facility and convey sewage and waste from the facility without cross contamination of potable water and waste.

Our staff will properly remove litter and waste, so they do not contribute to potential sources of contamination. Our facility will feature waste receptacles that are properly labeled and emptied at least daily. Since we are not permitted to reuse any

tags that have already been affixed to any medical cannabis products, we will be sure to compliantly sort and store these tags, so they are not reused. If we can recycle or compost the tags, we will seek to do so, with AMCC approval.

We will utilize our inventory tracking system to track all cannabis waste linked to unique identification numbers. Ala. Code § 20-2A-60(a)(1). We are committed to conservation and will strive to reduce waste in all segments of operations. Staff will follow detailed instructions related to waste disposal and transport, especially those that concern destruction and disposal of medical cannabis waste or hazardous materials. These policies and procedures will ensure maximum compliance with all requirements set forth by the AMCC and all relevant law, preventing diversion of medical cannabis waste and protecting the environment and people of Alabama from the negative effects of improper waste disposal.

We will demonstrate the ability to destroy unused or medical cannabis waste in accordance with applicable law. Ala. Code § 20-2A-62(c)(4). We may render the material unusable and unrecognizable in several ways utilizing grinders, shredders, or combining cannabis waste with non-cannabis organic waste until it is unrecognizable.

Secure disposal and destruction of recalled and unusable medical cannabis products are the final steps to assure that such products do not make their way back into the market and that associated waste and byproducts do not contaminate the environment. Prior to disposal, staff will remove medical cannabis products from their packaging and render them unrecognizable and unusable. Verification of this event will be performed by a manager and will be conducted in a restricted, secured, and surveilled access area. We will always enter these destruction and disposal records into the statewide seed to-sale tracking system. Should more information about disposal be needed, we will provide, in writing, any additional information the AMCC may request.

Whenever we dispose of or destroy medical cannabis, we will destroy it or render it unusable and will create and maintain a written record of the disposal of the medical cannabis products by our business and weigh the medical cannabis and update it in the inventory prior to disposal or destruction. The entire destruction process will be monitored, documented, and recorded; we will incorporate continuous electronic monitoring in our facility's operation, including unobstructed surveillance and monitoring of areas in which medical cannabis is destroyed. We will maintain electronic documentation of destruction and disposal for a period of at least five years, maintain detailed and accurate records of all recalls including the disposition of the medical cannabis product disposal process, and immediately implement additional changes required by future agency guidance on medical cannabis product waste management.

Our waste disposal will always comply with the associated rules set forth by the AMCC, the state, and our local jurisdiction. We will render the medical cannabis products unusable by grinding and incorporating the waste with other ground materials, so the resulting mixture is over 50% non-cannabis waste by volume. For medical cannabis waste to be disposed of in a landfill or other approved disposal method, we will mix the medical cannabis waste with mixed waste, such as paper, cardboard, plastic, soil, or other wastes approved by the AMCC (e.g., non-recyclable plastic, broken glass, and leather). If compost waste is permitted, medical cannabis waste may be mixed with food waste, yard waste, vegetable- based grease oils, agricultural materials, biodegradable products and paper, clean wood, fruits and vegetables, plant matter, compost activators, or other AMCC-approved methods. In addition to medical cannabis waste, we will also dispose of agricultural waste, such as grow media, as well as any liquid waste, such as wastewater and nutrient mixes, in a manner compliant with federal, state, and local laws.

We have developed a plan that tracks all waste material throughout our facility from generation to disposal utilizing the statewide seed-to-sale tracking system.

We are committed to conservation and will reduce waste in all segments of operations. Staff will follow detailed instructions related to waste disposal, especially those that concern destruction and disposal of medical cannabis waste, dispensary waste, or hazardous materials. These policies and procedures will ensure maximum compliance with all requirements set forth by the AMCC and all relevant law.

Quality Control and Quality Assurance

We are dedicated to establishing procedures and products that are safe and consistent. Our quality control ("QC") and quality assurance ("QA") plan defines methods within the manufacturing process that will provide consistently safe, potent, and high-quality products, including testing at different stages of production, and a plan for any failed test samples. We designed this plan identically in 3 of each dispensing sites in alignment with industry best standards, good agricultural practices, good manufacturing practices, and regulatory compliance, with a focus on employee, patient, and community safety. Our executive team will review this plan at least annually to identify areas of improvement and implement changes. Ala. Admin. Code r. 538-x-3-.05.03.m.16.d. We will promptly notify the Alabama Medical Cannabis Commission ("the AMCC") of any changes to our QA/QC plan. Our COO E.J.H. and CCO L.G. will visit each cultivation, integrated facility and processor site that we will do business with and inspect their processes and practices to ensure product quality and patient safety. We will only purchase from licensed businesses by AMCC that are practicing GMP in their product and storage process.

Internal records for our dispensary will document all product details from the cultivator and processor, which will include packaging, ingredients, and medical cannabis concentrates utilized in each production batch or product. Our records will also clearly document the chain of custody, continual storage of cannabis at our

facility, and any destruction or disposal that we partake in or order. We will maintain these records for at least two years and reference record keeping documents in the event of a recall. We will refer to the harvest or batch number previously assigned to cannabis products we receive to facilitate quick and easy identification in the event of a recall.

Quality Dispensing Processes

We will provide high quality products with consistent standards of safety, potency, stability, and lifespan. Ala. Admin. Code r. 538-x-8-.05.03.a.01. Each step of our dispensing process will include quality control. The most critical QA/QC step is ensuring dispensers provide the proper potency of product to consumers. Dispensers will be trained to double check packages and confirm with patients the product dose that they will receive.

The company will consider installing a dispensing robot to improve efficiency of the dispensary, while minimizing the potential for dispensing error. Dispensing robots are commonly used in European pharmacies and are increasingly common in the cannabis industry. Benefits of the robot are increased security, accurate dispensing, and accurate dosing.

Our Chief Compliance Officer ("CCO") L.G and our Manager of Dispensing Operations ("MDO J.S") will construct our QA/QC plan and perform reviews of the plan monthly during our first year of operations, and every six months thereafter. When creating QA/QC plans and SOPs for each area of our business, our quality staff will apply a foundational QA tool known as the PDCA cycle, short for "Plan, Do, Check, Act." The cycle begins by defining what objectives must be met to guarantee the quality of the product or process in question and developing a plan to accomplish those objectives. The next component is to carry out the plan in a small-scale, controlled environment, where outcomes can be easily measured, and real-world data gathered. After performing the plan, the plan must be checked by reviewing the results against desired outcomes and identifying differences and similarities. Finally, action will be

taken to address the root causes of undesirable outcomes identified in the previous step and adjustments will be made to the QA/QC plan to avoid these deviations in the future.

Our quality staff will continually employ the PDCA cycle to assess and improve our QA/QC plan and associated SOPs. When modifications to the QA/QC plan become necessary, the CCO L.G. will be responsible for revising the QA/QC plan and associated SOPs, and distribution of the revised plan to appropriate parties. We will also update documentation methods and associated SOPs with all regulatory changes or process changes within the facility such that there is never a lapse in the quality of our records or their proper storage.

All changes and revisions made during reviews will be implemented into our written SOPs and forwarded to all appropriate parties, allowing staff throughout our operations to keep up to date on our continuously evolving business practices. In the event a major revision to the QA/QC plan is required, each copy will be reissued to appropriate employees. A copy of the QA/QC plans can be accessible to the AMCC upon request. Employees responsible for working in storage areas will receive extensive training on storage management best practices, including First-In, First-Out (FIFO), to ensure cannabis items are being stored and released chronologically based on the order they were released from passing test. We will stock inventory to sell through in 30 days while only purchasing inventory that has at least 4 months of the expiration date from the receiving date.

Functions of QA/QC

Although QA and QC are independent, both are interrelated functions. QA is process oriented, encompassing the entire dispensing process from receipt of product through dispensing to patients and caregivers. The ultimate goal of QA is patient safety.

Conversely, QC is product oriented, composed of in-process testing and testing of the final product. The role of QC is to develop testing methods, establish product specifications (e.g., the acceptable values for product quality standards), and perform the testing for every batch received in accordance with the AMCC test parameters. Another way to view the roles of QA and QC is when there is deviation in the QC process (e.g., a test was performed by QC outside of its validated processes). In such a case, the QC team would conduct the investigation into what went wrong, while the QA team would review that investigation for compliance.

Departmental directors and managers will develop a process for each stage of operations, validate the process (e.g., repeat the process multiple times to demonstrate that the process always yields a product with the same quality standards), and establish narrow "target" ranges and wider "acceptable" ranges for every process parameter. If deviations happen outside of the narrower range, but within the wider range, QA will accept that the product is safe though not optimal. If the deviations happen outside of the wider range, QA will reject the batch, or may require additional data to support the release of the product.

Dispensing QA/QC and Audits

The MDO J.S will conduct weekly QA/QC inspections of dispensing processes to identify problems, inadequacies, or gaps in any SOPs. They will also confirm that our employees dispense all cannabis products consistently in a safe manner, to provide safety, potency, stability, lifespan, and consistency among batches, in accordance with our SOPs and applicable laws. This will include inspections of dispensing materials and cannabis products, oversight of dispensing activities, and training/retraining of dispensing staff in response to changes in SOPs, or the Rules, or repeated errors identified by the quality managers.

Our CCO L.G. and MDO J.S. each have extensive experience in compliance and quality across numerous industries, including cannabis. As an extra measure of protection

for our patients and the public, the MDO J.S will conduct dispensing line audits periodically throughout daily dispensing activities and during dispensing startup and shutdown operations. Audits will include inspections of equipment and inventory, making sure dispensing equipment is in good operating order. The inspection will also include examining dispensing materials for structural integrity, damage, or defects. Our dispensing audit also evaluates overall dispensing efficiency, downtime, and any product damage or loss caused by our dispensing SOPs. This audit will identify dispensing issues, for which we will immediately work to find solutions and incorporate them into our SOPs. We will also revise our dispensing SOPs immediately to integrate any changes to packaging laws or regulations approved by the legislature or the AMCC.

Quality on Demand Program

Dispensing high-quality medicinal cannabis products will be supported through a subscription to a comprehensive Quality-On-Demand program designed to create a culture of quality throughout the organization. This program is provided by Keystone Labs in Alberta, Canada. Keystone is itself a GMP certified facility that provides testing and quality assurance services for pharmaceutical and cannabis industries. The program supports four key pillars of the organization's own quality program and include:

1. On-going support for the library of standard operating procedures, ensuring procedures are up to date.
2. Legal and regulatory updates including a summary of the update, an impact assessment, and an implementation plan.
3. On-going training in current procedures, changes to regulations, changes to procedures, and industry best practices.
4. Annual quality review that summarizes all changes and updates made to laws, regulations, procedures, and products as well as summarizing key metrics of the quality program. This review serves to provide insight into the on-going operations and highlight areas that need improvement.

By supplementing the internal quality program with the Quality-On-Demand program, following GMPs and complying with state laws and regulations, the organization will ensure that all products meet the required standards to protect the health and well-being of consumers.

Product Handling and Sanitation Standards

This subsection provides an overview of the safety and sanitation practices we will use in our dispensing activities. We will maximize the potential of the cannabis we dispense by employing pharmaceutical and cannabis industry best practices. We will document these best practices in SOPs, which we will generate prior to commencing business. Together, the procedures outlined in this section will maintain adequate sanitation principals in all areas of operations at our facility, including receiving, transporting, segregating, preparing, dispensing, and storing of cannabis products.

Throughout training for every process, instructors will emphasize the importance of sanitation for preventing contamination. By teaching staff how to minimize the transmission of contaminants, we will operate a facility that dispenses safe, contaminate-free medical cannabis products. Other training specific to chemicals will include how to store different chemicals and cleaning compounds including their datasheets and how to sanitize equipment and facilities using any AMCC-approved sanitizing agents.. We have incorporated sanitation practices into SOPs for all activities within the facility such that every procedure concludes by returning the space in which it occurs to a clean baseline state. This will include all equipment used in the performance of such operations, such as scissors, buckets, carts, and other dispensing-related items. We will also properly dispose of all disposable items used in operations, with trash removed daily whenever they are full, and at the conclusion of activities. Collectively, these controls will help provide sanitary conditions, well maintained throughout all dispensing operations, to produce contaminate-free

medical cannabis.

During inspections, management will make our entire facility accessible to inspectors, including every area used for preparation, storage, dispensing, sale, distribution, or transportation of medical cannabis products, as well as all associated utensils, fixtures, furniture, machinery, and devices. Management and other staff will perform sanitation inspections of dispensing areas quarterly (on a staggered schedule) or after any contaminant incident. Inspections will consist of a full sweep of dispensing areas, with a particularly close eye paid to conditions within the cannabis product storage areas. During this inspection, the managing staff member will record all observed deficiencies in physical controls and order their repair or note lapses in cultural controls and order the completion of additional training. The observation of any physical deficiency or culturallapse will result in a reevaluation of SOPs relating to that area or operation. The CCO L.G. and associated management-level staff will update all existing SOPs involved with new best practices to avoid the deficiency/lapse in the future. Compliant procedures and regular inspections will guarantee that, if contaminates or pests are present within the facility, they are observed and addressed as quickly as possible. Employees will be required to comply with personal hygiene practices, introduced to all employees during Employee Onboarding Training. Employees will, at a minimum: 1) Be prohibited from eating food, chewing gum, drinking beverages, or using tobacco at the facility, , with the exception of designated break areas; 2) Avoid contacting hair or eyes while handling cannabis items; 4) Wear company-issued uniforms; 5) Store all personal belongings in employee storage and lockers; 6) Avoid working at the facility if the employee has been in contact with a sick person or has symptoms of an illness; 7) Avoid attending work with an open wound. Food and drinks are only allowed in the break room and not any other part of the Dispensing site.

Facility Sanitation Generally

Maintaining a sanitary facility is essential to preventing contamination that could lead to

diminished quality and potentially impact patient safety. We will outfit our facility, with smooth hard surfaces that are easily cleanable including all floors, walls, and ceilings, in a manner to allow for easy cleaning and sanitation by minimizing areas where unsanitary conditions may develop, keeping our facility in good repair, and making all areas of the facility readily accessible by operations and sanitation staff. Assisted by our thoughtful facility design, sanitation staff will be able to easily perform daily cleanings to maintain the entirety of our building, fixtures, and other facilities in a sanitary condition, with all dispensing and storage areas maintained free of debris. In conjunction with constructing an easily cleanable facility, we will provide adequate lighting in all areas where products are stored and dispensed, and in all areas where equipment and utensils are kept or sanitized.

Sanitation staff will perform cleanings of all contact surfaces, including utensils and equipment used for preparation, storage, and dispensing of medical cannabis products, as frequently as our company procedures deem necessary to protect against contamination. To facilitate effective and adequate cleaning, our equipment and utensils will be designed and made of material and workmanship that is suitable for sanitary handling of medical cannabis products. Further, sanitation staff will only use sanitizing agents that are approved by the AMCC and will always use agents in full accordance with instructions on the product label. All cleaning and sanitation supplies intended for general facility upkeep will be held in a cleaning closet. Sanitation staff will identify all toxic cleaning compounds and sanitizing agents and store them in a manner in accordance with manufacturer's recommendations and product labels, as well as any applicable local, state, or federal law, rule, regulation, or ordinance.

Sanitation staff will also maintain systems for waste in a manner to not constitute a source of contamination in areas where medical cannabis products are exposed. All litter, waste, and debris will be regularly disposed of in the proper waste system so as to not contribute to contamination in areas where medical

cannabis is exposed. We will diligently monitor and screen our entire facility for pests while implementing and maintaining our pest prevention tactics. Part of these tactics will include the removal of rubbish to minimize the possible development of odor and/or harborage or breeding place for pests. We will contract with a waste disposal company familiar with the needs of the cannabis industry. Our contract will include clear instructions for sanitary pickup, primary points of contact, and a schedule outline that will not infringe on any of our daily dispensary operations. The dispensing site will be constructed in a way to prevent pest access, including the sealing of all cracks and crevices into which rodents, insects and other pests may burrow. Regulation bait stations will be installed at critical locations to control rodents. The main waste disposal area will be sealed off from the rest of the facility and regularly treated with approved pest prevention measures. All interior facility entryways will feature air-tight sealing technology to maintain the quality of air and reduce odor. If extermination or pest control is necessary, it will be performed by a licensed provider, and applications will not be made in the area of any opened cannabis products to protect from contamination.The dispensing site interior and exterior will be treated every 30 days with an approved pest control method by Alabama law.

Equipment Sanitation SOP Summary:

We will maintain the sanitation of all tools and equipment to prevent contamination in accordance with approved SOPs. Protocol includes daily regular equipment cleaning and sanitation as part of opening and closing procedures with the goal of maintaining a clean, sanitary, and contamination-free facility. Equipment that comes in contact with cannabis during operations (i.e., scales, measuring devices, countertops, tables, packaging equipment, handling utensils, etc.) must be cleaned and sanitized at a minimum: 1) At the beginning of each business day; 2) Immediately after coming in to contact with cannabis; and 3) At the end of each day. We will ensure that the facility is continuously in proper working condition and maintained on a regular basis including all floors, walls, and ceilings.

Proper and routine maintenance will provide a basis for employee safety and efficiency and will reduce any potential contamination that might otherwise occur.

Cleaning Log:

All scheduled cleaning activities will be entered in a Cleaning Log which will be retained in accordance with Applicant's *SOP for Recordkeeping*. Cleaning Logs will be area specific with procedures for daily, weekly, or monthly cleaning tasks and will include signature areas for the employee performing the cleaning task and their manager.

Employee Hygiene Practices

We will create and implement strict personal hygiene procedures for all individuals at our facility who may work in direct contact with medical cannabis products. To maintain a sanitary facility, we will require that all employees bathe before coming to work, wear a clean uniform each day, and maintain adequate personal hygiene. Employees who do not comply with policies regarding attire and grooming will be subject to disciplinary action, and all our employees will maintain a high level of personal hygiene. We will prohibit employees who feel sick or display symptoms of an illness from working their scheduled shift, including handling any medical cannabis product. Employees with any illness, open lesion (e.g., boils, sores, infected wounds), or any other abnormal source of microbial contamination for which there is a reasonable possibility of contact with medical cannabis products will be required to remain at home until their condition is remedied. Employees with a combined cough and fever will also be required to stay home from work. Employees' and patients' body temperatures will be measured while entering the facility to take appropriate actions to prevent sickness from spreading.

Any employee with the following diseases or conditions will not be permitted to come to work or to handle medical cannabis products until cleared to return to work by a

physician, in accordance with regulations applicable to food handlers: Amebiasis; Enterohemorrhagic E. coli; Shigellosis; Typhoid fever or paratyphoid fever; Hepatitis A, viral hepatitis, or jaundice of unspecified etiology; or, Persistent diarrhea.

The water supply at our facility will be safe, potable, and provide an adequate supply necessary for cannabis dispensing operations. Plumbing will be carefully installed including a backflow preventer (inspected and certified yearly) and maintained, and of adequate size and design to carry sufficient quantities of water to required locations throughout the facility. Facility plumbing will properly convey sewage and liquid disposable waste away from the facility with no cross-connections between the potable water lines and wastewater lines. Our facility will also feature sufficient and readily accessible toilet facilities, which sanitation staff will maintain in a sanitary condition and in good repair, with sinks suitable for handwashing located at each toilet facility.

We will provide our employees and visitors with fully stocked and convenient handwashing facilities furnished with running water at a temperature suitable for sanitizing hands. Such facilities will be located where good sanitary practices require employees to wash and sanitize their hands and will also include effective nontoxic sanitizing cleansers and sanitary towels or suitable hand drying devices. We will require all employees to wash hands thoroughly before starting each shift (appropriate signage will be posted to remind them), before handling medical cannabis products, and anytime hands become contaminated or soiled. When employees wash hands, all exposed skin up to the elbow must be scrubbed for 10-15 seconds with particular attention given to nail beds, under fingernails, in finger webs, and the thumb. We will require that hands must be washed, at a minimum: before donning fresh gloves; after the use of the restroom; before and after handling any medical cannabis product; after disposing of any waste, dirty surface, or taking out the garbage; after touching hair, face, body, clothes, or apron; after sneezing, coughing, or using a tissue; after handling any chemicals; after

eating, drinking, smoking, chewing gum, or chewing tobacco; after handling money; and, after touching service animals.

We will train employees to use handwashing sinks only for handwashing. Other activities must occur in utility sinks. All designated handwashing facilities will feature large signs that clearly read in bold lettering, "FOR HANDWASHING ONLY." Signs with instructions for proper handwashing will be posted at handwashing stations and in restrooms to encourage proper practices, and we will include a demonstration of proper handwashing techniques in onboarding training.

Good Manufacturing Practices ("GMP")

Our approach to quality will be integrated into every stage in the dispensing process and we will prioritize quality and safety at every level of our company. Leading this effort will be our MDO J.S. They will oversee staff to confirm that our extensive operational standards, which comply with current GMP principles, are followed. All procedures, methods, and facilities will be fully prepared for an inspection from the AMCC prior to full operations and will continue to retain their integrity for subsequent inspections from the AMCC and other agencies ensuring the safety of our medical cannabis products and Alabama patients.

Through the implementation of a Pharmaceutical Quality Management System ("QMS"), we will model our approach to quality based on compliance with standards set forth by the U.S. Food and Drug Administration ("FDA"), which establish current good manufacturing practice in dealing with for products fit for human consumption, such as dietary supplements and manufactured food. 21 C.F.R. § 111. For example, we will conduct comprehensive hazard analysis with adherence to the Hazard Analysis and Critical Control Point ("HACCP") system, which reduces food safety risks by identifying and controlling potential hazards at every part of the dispensing process. 21 C.F.R. § 117.130. Examples of critical control points ("CCPs") and preventative controls in the dispensing process are food allergen cross-contamination mitigation, established

recall plans, and appropriate labeling practices. 21 C.F.R. § 117.135. We will establish facility, equipment, and personnel sanitation in accordance with top industry and OSHA standards. 21 C.F.R. §117.10, §117.35, §117.37, §117.40. Our general food safety plan integrates all of the aforementioned concerns, in addition to many others, and will sufficiently meet standards for quality and consistent medical cannabis products fit for human consumption. 21 C.F.R. §117.126. We understand these standards are changing as the industry evolves and will remain informed of such changes.

In addition to the rigorous up to date GMP standards, our operations account for aspects of safety specific to the cannabis industry. For example, one significant consideration is how cannabinoid levels are affected by the heat and moisture. We have designed the dispensing and storage process based on product requirements and specifications established for all medical cannabis products we handle and dispense. We will properly vet all source ingredients for cannabis products from outside facilities (e.g., sugar and wheat) for their own facility GMP compliance, manufacturing practices, and general safety. Further, we will store cannabis products that can support the rapid growth of undesirable microorganisms in humidity- and temperature-controlled rooms to prevent such growth. The dispensing site will have an environmental control system with 24-hour alert to maintain the recommended humidity and temperature in the areas where cannabis is stored and dispensed to maintain its quality. Our air handling system will have Hepa filtration along with ionization technology to sanitize the air within the building from mold, viruses, bacteria, etc. The dispensing site will also have a refrigerator and freezer for the items that require low-temperature storage.

Personal Protective Equipment

All employees and visitors are required to use PPE in certain areas and for specific activities within our facility. We will keep PPE readily available and adequately stocked in areas where it may be required. Staff and visitors must inspect PPE prior to each use, and staff must dispose of any damaged equipment

or mark it as damaged and send it for repair.

We will require PPE be used when participating with certain aspects of storage and dispensing. For example, when working with sanitizing agents, we will comply with the Environmental Protection Agency's ("EPA") worker protection standard guidelines for the appropriate respirator to pair with the given chemical. To maintain worker and consumer safety, we will always refer to any AMCC authorized list when selecting chemicals for sanitation and will identify, hold, and store chemicals, toxic cleaning compounds, sanitizing agents, and solvents in a manner that protects against contamination.

Each task performed within the facility will have an associated SOP, which details the PPE required for the specific task. PPE available onsite is identified in this chart:

PPE	Required Use
Hand protection (e.g., protective gloves, nitrile gloves)	Where cut hazards or potential exposure to corrosive liquids, blood, chemicals, or other infectious materials exist.
Head protection (e.g., hard hats)	Where danger of falling objects exist.
Eye protection (e.g., goggles or glasses)	Where risk of eye injuries exists, such as punctures, abrasions, contusions, or burns.
Face protection (e.g., face shields)	Where danger of flying particles or materials exist.
Foot protection (e.g., steel-toed Boots)	Where risks of foot injury from corrosive, poisonous, or hot substances, or from falling objects, crushing, or penetrating actions exist.
Hearing protection (e.g., ear plugs)	Where risks of hearing damage from occupational noise exist and exceed the acceptable sound levels of the OSHA Noise Standard.
Respiratory protection (e.g., respirator, gas masks)	Where respiratory health risks exist from inhaling smoke, fumes, particulate matter, etc.

Clothing protection (e.g., plastic aprons)	Where risk of splashing chemicals exists.
Sanitation equipment (e.g., shoe booties, hair nets, beard nets)	Where staff will be handling or manufacturing food or drugs.

In addition to PPE, we will conspicuously place OSHA spill kits and first aid kits throughout our facility as required. CFR 29 § 1960; et all.

Testing

Production Testing

Product testing in the medical cannabis industry is crucial to patient safety, and we will follow all required AMCC testing protocols and utilize AMCC-approved state testing laboratories to assess the quality and safety of the medical cannabis products we dispense. Batches that have had samples submitted for testing will be retained and delegated to specific compartments or storage areas until the given batch has passed all mandatory testing. Finished products will not be released for sale until all testing has been completed, passed, and product specifications have been met. Quality control personnel will review these documents and release the appropriate products into the dispensing product stream.

We will conduct testing at distinct points between receipt of products and the dispensing and sale of those products. Ala. Admin. Code r. 538-x-8-.05.03.a.02. Before labeling a product for sale, we will officially test our products through a licensed state laboratory. We will log all testing results in the state seed-to-sale tracking system. Ala. Code § 20-2A-60(a). These practices will allow us to provide the highest quality medical cannabis products.

The MDO J.S will work with management to develop a process for each cannabis

product, validate the process, and establish narrow target ranges for every process parameter. In the event of nonconformance, the QA team will use a root cause analysis method. The QA team will then review the investigation and make appropriate changes and validate the process to guarantee compliance with regulations.

Licensed Testing

We will conduct a variety of tests on our products, including in house testing and official testing through a state testing laboratory. Ala. Admin. Code r. 538-x-8-.05.03.a.03. We will make our medical cannabis products available to an independent, third-party cannabis testing laboratory approved by the AMCC for purposes of sampling for safety and ingredient testing. We will select an AMCC-approved, accredited, independent laboratory that has adopted an SOP with a validated method to test cannabis products. All testing laboratories we contract with must be able to provide accurate and validated test results for: cannabinoid content and potency, terpene profiles, heavy metals, chemical contamination, microbials, mycotoxins, residual pesticides, residual solvents, and any other testing protocols as established by the AMCC. Ala. Admin. Code r. 538-x-10-.04.06.a-i. We will only work with testing laboratories that comply with all laboratory standards outlined by the AMCC. Ala. Admin. Code r. 538-x-10-.05.

We will always conduct testing through a licensed state testing laboratory and adhere to all testing regulations and requirements before dispensing any medical cannabis products from our facility. Ala. Admin. Code r. 538-x-10-.01. Batches that have had samples submitted to testing labs or the AMCC will be retained and delegated to a storage area in the intermediate vault until the given batch has passed all mandatory third-party testing. Finished products will not be released for sale until all testing has been completed, passed, and product specifications have been met. QC personnel will review these documents and release the appropriate

products for sale.

All samples collected for testing will be derived from a single batch and will comprise at least ten grams and no more than thirty grams; a sample of medical cannabis will be derived from a single batch and must be the lesser of one percent of the total product weight of the production run or ten units of product. Ala. Admin. Code r. 538-x-4-.07.12.o.03.b. All samples will be homogenized before testing. Ala. Admin. Code r. 538-x-4-.07.12.o.03.b. Under no circumstances will we sell or transfer the cannabis or medical cannabis to another licensee, patient, or caregiver, unless and until the state testing laboratory clears us to do so based on the written results of successfully completed testing. Ala. Admin. Code r. 538-x-4-.07.12.o.03.c. All samples collected from our facility will be done in a manner that complies with applicable regulation from the AMCC. Ala. Admin. Code r. 538-x-10-.03.03. We will work closely with the state testing laboratory performing the testing to facilitate smooth and efficient testing procedures of all our medical cannabis and cannabis products. We will never use more than one state testing laboratory to perform official testing on the same batch or sample of cannabis or medical cannabis except as expressly provided applicable law. Ala. Admin. Code r. 538-x-10-.03.04.b.

Internal Testing

We will go above and beyond the required testing by developing internal testing protocols. This will serve to further patient safety, provide more insight into the exact point in the storage or dispensing process at which quality failures occur, and enable us to perform research on the relationship between environmental control parameters/process specification parameters and the resulting changes in genotypic and phenotypic qualities of the product (e.g., CBD content, THC content, and terpene profile).

We may will partner with Orange Photonics or another similar portable testing device company to analyze our products for potency. We will utilize high performance liquid

chromatography ("HPLC") equipment and standard equipment such as an incubator, petri dishes, a sterile hood, and growth media, to analyze products for contaminants such as molds, insects, debris. We may also purchase ICP-MS equipment to analyze its products for heavy metals and GC-MS equipment to analyze products for residual solvents. We will perform all internal testing in designated rooms, which will be under constant video surveillance, with access limited to authorized personnel who require access for their job responsibilities.

For internal testing, we may perform random batch sampling, and homogenous sampling, our methodologies will follow testing laboratory protocols for collection of valid samples. When we take samples, we will create duplicate samples and store them securely for retention. We will maintain these samples for no less than one year past the set expiration date. Sampling will be conducted after receipt of finished products and at further points if necessary due to contamination or long storage durations. These retained samples will provide for adequate product testing in the case a complaint is filed by a patient or another medical cannabis establishment.

Cannabis product specifications will be based on the potency levels intended for each product. We will utilize internal potency testing assays to correctly label finished products and set product specifications.. We will not use this testing to supplant approved testing laboratory analysis, rather, internal testing will serve as an additional tool to guarantee the correct formulation of products. These tests will allow us to identify improperly formulated products and determine product potency matches the set product specifications.

Returns and Remediation

Products will have been tested before they are brought into inventory in the dispensary. However, aAny additionaltest failure will be recorded in the seed-to-sale inventory system (Metrc ,etc) and will result in the entire cannabis batch associated with that result being quarantined. Ala. Admin. Code r. 538-x-8-.05.03.a.04; 538-x-

10-.08.06. Within seven business days of test failure notification, we will communicate with the testing laboratory our acceptance of the result, or we will file to retest, challenge, or request remediation of the result. Ala. Admin. Code r. 538-x-10-.08.05.a-d. When each sample is collected, we will guarantee that at least three samples are collected and stored in tamper-resistant containers, to maintain the ability to challenge or request a retest for failed laboratory test results. Ala. Admin. Code r. 538-x-10-.08.06. Furthermore, one of the samples will be taken by the state testing laboratory for testing and we will place the other two samples in a secure quarantine storage area at our facility for further retesting by a secondary state testing laboratory. Ala. Admin. Code r. 538- x-10-.08.06. We may choose to conduct business for retesting and remediation with a different licensed testing laboratory. Ala. Admin. Code r. 538-x-10-.08.02.a. If at any time, further testing cannot be performed due to the lack of available state testing laboratories to conduct further or additional tests, or the lack of viable samples from which to perform retesting, tiebreak testing, or challenge testing, we will have no choice but to accept the result of the failed test and destroy or attempt remediation of the batch as required under the Rules. Ala. Admin. Code r. 538-x-10-.08.06.a-b. If a sample failed testing based on AMCC pesticide standards, we will immediately recall cannabis and products from that batch. Ala. Admin. Code r. 538-x-10-.04.06.g.03. Product will not be sold unless it completes all required testing.

If a sample provided for retesting meets product standards, we will process, package, label, or sell that product, as notated in the statewide seed-to-sale tracking system and communicated by testing certificate. Ala. Admin. Code r. 538-x-10-.08.10. If, upon retesting, the sample fails the same official test, we will destroy and dispose of the entire batch. Ala. Admin. Code r. 538-x-10-.08.09. We will simultaneously initiate a recall on any cannabis from that batch or associated products that are no longer within our possession.

We will utilize all available resources to retrieve unsafe products as soon as possible. We may be in contact with the AMCC for communication about community safety

and resolution of the issue. Our recall tracking will include how much product from the recalled batch has been sold, product that is still available for sale, product that is in the process of transfer, product being processed, postharvest raw product, and all returned products. Ala. Code § 20-2A-60(a)(6)(a-e). We will coordinate transportation as needed between any other licensed cannabis businesses with impacted product and provide related documentation. Staff will receive all products returned to the facility, log them into the electronic inventory system, and immediately secure and quarantine them in a Restricted Area, physically separated from all other products within our facility.

Upon completion of a recall, we will summarize details of the recall, record it in the digital inventory system, and submit a report to the AMCC. Our report will include: the total amount of recalled cannabis, including types, forms, batches, and lots; for whom the recalled cannabis was received; the means of transport of the recalled cannabis; the reason for the recall; the number of recalled samples, types, forms, and batched, there were sent to laboratories, and the dates of testing and results; the manner of disposal of recalled cannabis including who oversaw the disposal, method of disposal, date of disposal, and the amount disposed of by types, forms, and batched. We will submit inventory data to the AMCC prior to destruction of any recalled cannabis. Ala. Code § 20-2A-60(a)(4). We will also include any additional information deemed relevant by the AMCC.

Whenever a sample fails any testing, the MDO J.S and CCO L.G will initiate an investigation to determine when the contamination may have occurred, how it occurred, and whether company procedures to avoid such contamination were properly followed during production. If the investigation reveals that dispensing staff did not fully comply with our existing SOPs, our executive team and departmental directors/managers will retrain and discipline employees as necessary and, if possible, amend SOPs to avoid future misunderstandings of the correct procedures or to provide additional oversight to enforce the SOPs. If the investigation reveals any flaws or gaps in our SOPs that contributed to its

occurrence, the MDO J.S will work with management to revise relevant SOPs to avoid similar issues in the future.

Product Identification, Retrieval, Return and Quarantine: The operations manager will identify the location of all cannabis involved in the recall, through the use of traceable identifiers established in the Seed to sale tracking system (STS). The STS will be utilized to determine the location of any affected cannabis product in the facility for immediate quarantine. Any pending transport of affected cannabis will be canceled and pending receiving cannabis businesses will be refunded. The STS will assist in the generation of a list of cannabis businesses that received the recalled product. The Recall Team will create a list of all affected product including: Product identity (description of the product being recalled), Batch number, Quantity, Reason for the recall (nature of defect), Recall classification, Contact at the AMCC to whom details of the recall are relayed; and Testing and transport records, if applicable. The quarantine bins will be utilized as single-purpose, dedicated units for the secure storage of specific types of quarantined products and will be labeled accordingly.

Employees executing the quarantine procedures must wear appropriate protective gear (e.g., pharmaceutical-grade nitrile gloves, eye goggles, smocks/lab coats, etc.).

All cannabis items identified for recall will be quarantined immediately in the dispensing site and labeled with a red 'QUARANTINE' label, affixed to the product container on four sides. The label will also include the name and employee Identification number of the person placing the product in quarantine. Product will be stored in a locked Quarantine Container, away from other saleable items, to prevent cross-contamination. When contaminated products arrive back to the dispensing site from patients, they will be immediately recorded within the STS and will be transferred to a Quarantine Container.

Policy for Destruction and Disposal of Cannabis

All affected products will be placed in quarantine and will be available for testing in-

case it is needed and to be destructed upon department's approval. we will implement the following Policy for the Destruction and disposal of cannabis:

a) Certified Dispenser will make sure that all waste and the unusable product is weighed, recorded, and entered into the seed to sale tracking system before rendering it unusable.
b) All waste and unusable cannabis products shall be recorded and entered into the seed to sale tracking system before rendering it unusable. Verification of this event will be performed in an area with video surveillance.
c) Electronic and paper documentation of destruction and disposal will be maintained for a period of at least 5 years.
h) The applicant will contract out the facility that can manage the solid waste that can comply with Acceptable permitted solid waste facilities

Onsite Disposal

If the applicant is unable to identify a waste disposal company that will support destruction of cannabis products, applicant will proceed disposal on-site. We will schedule all onsite disposal procedures and provide the operations manager with a minimum 1 business day notice. The operation manager will notify the department of such an event. All waste will be tracked in the seed to sale system. Records will include: 1) Written record of the date; 2) Quantity disposed of; 3) Manner of disposal; and 4) Persons present during the disposal, with their signatures. Returned products may never be re- dispensed to another patient under any circumstances. Cannabis waste will be rendered unusable and unrecognizable through either thermal treatment or melting, shredding, grinding or tearing or incorporating the cannabis waste with other municipal waste such as Mulched cardboard, used plant soils or other non-toxic inert materials. The destructed products will be sealed in a tamper evident bag for further steps based on AMCC. Documentation of disposal will be maintained for at least five years after the disposal.

Product QA/QC - Conclusion

While QA/QC programs are more commonly associated with production and cultivation facilites, it is equally important that quality is measured at the point of provision to customers. Accuracy in dispensing is paramount. The biggest risk is human error. This is why we endeavor to automate as much as possible, while also implementing a robust QAQC plan to support continuous improvement.

Product testing and QA/QC in the cannabis industry are crucial to patient and public safety, and we are dedicated to following all required AMCC testing protocols, implementation of internal testing standards and methods, and utilizing approved state testing laboratories to assess the quality and safety of the medical cannabis products we dispense. Together, the testing standards set by our knowledgeable and experienced team will help us to provide safe and high-quality products with consistent potency, stability, and lifespan among batches of the same product. These processes are based upon AMCC guidelines and industry best practices to exceed the requirements for product quality and safety.

Product Safety – Contamination and Recall Procedures

We designed this plan in alignment with industry best standards and regulatory compliance, with a focus on safety. Our recall plan defines methods for adverse event notification, product returns, and investigative steps to be taken in the event a recall is required. Our executive team will review this plan at least annually to identify areas of improvement and implement changes. Ala. Admin Code. r. 538-x-3-.05.03.m.16.d. We will promptly notify the Alabama Medical Cannabis Commission ("the AMCC") of any changes to this plan. We will always regard complaints and adverse events related to our medical cannabis products with the utmost importance and urgency.

Internal record keeping will document details for each production batch, storage of cannabis, and any destruction or disposal. We will maintain these records for at least two years and reference record keeping documents in the event of a recall. A harvest or batch number will be assigned to cannabis products for facilitation of quick and easy identification in the event of a recall.

Our recall and contamination plan also accounts for the safety of employees and others on the premises, notification of proper authorities, exploring the possibility of retesting or remediation, proper disposal of contaminated cannabis and medical cannabis, steps to be taken for the preservation of cannabis or medical cannabis, and the reasonable efforts to maintain access to medical cannabis by those who depend on it. Ala. Admin Code. r. 538-x-4-.07.12.o.04.

Provisions for Chain of Custody Notification

Our complete documentation of the product chain of custody allows us to track the movement of medical cannabis and medical cannabis products at every step of the process. We will utilize seed-to-sale inventory tracking systems to identify the originating licensed cultivator, processor, or integrated facility in the chain of custody related to an adverse event. Metrc will allow us to contact any cannabis businesses that may have cultivated, processed, tested, or otherwise interacted with our products related to an adverse event. The recall committee is comprised of the Chief Compliance Officer L.G., Manager of Dispensing Operations J.S., Manager of Security G.S., and certified dispensers within their respective locations. The recall committee is tasked with chain of custody documentation related to contaminated or recalled product and providing notification to the appropriate parties. Our notification will include our business name, license number, details of the contamination, and product return information. The recall committee will coordinate transportation as needed between any other licensed cannabis businesses with impacted product, and they will provide related documentation. The recall

committee will also encourage other licensees involved in the chain of custody delineation to stay in contact with the recall committee - we have designated the CCO L.G. as the main point of contact. After confirming the chain of custody and affected products, we will offer to provide reimbursement for recalled product and record subsequent refunds in our inventory system. Ala. Code § 20-2A-60(a)(10).

Factors for Recall

We will provide several avenues for individuals to report adverse effects experienced with our products. Ala. Code § 20-2A-60(a)(9). Physicians, caregivers, and patients will be able to report issues with product by directly contacting our facilities. Methods for this will include in person to any employee or electronically through our website. Certifying physicians can make updates directly to Metrc and the patient registry. We prefer and will encourage direct contact from our patients. For all product complaints, regardless of severity, we will respond to the individual within one business day - if the individual desires a response and provides contact information. Upon receiving a complaint or notification considered to be an adverse event, our Manager of Dispensing Operations J.S. will alert the CCO L.G. who will notify the AMCC.

We will log all testing results in the state seed-to-sale tracking system. Ala. Code § 20-2A-60(a). Any test failure will result in the entire cannabis batch associated with that result being quarantined. Ala. Admin Code. r. 538-x-10-.08.06. Upon notification of a failed test, our managerial team will work with a state testing laboratory and either accept, retest, challenge, or request remediation of the result within seven days. Ala. Admin Code. r. 538-x-10-.08.05(a-d). If a sample failed testing based on Alabama Department of Agriculture pesticide standards, we will immediately recall cannabis and products from that batch. Ala. Admin Code. r. 538-x-10-.04.06.g.03.

If a sample provided for retesting meets products standards, we will process,

package, label, or sell that product, as notated in the statewide seed-to-sake tracking system and communicated by testing certificate. Ala. Admin Code. r. 538-x-10-.08.10. If at any time, further testing cannot be performed due to the lack of available state testing laboratories or the lack of viable samples, we will accept the result of the failed test and destroy or attempt remediation of the batch as required under the rules. Ala. Admin Code. r. 538-x-10-.08.06(a- b). If, upon retesting, the sample fails the same official test, we will destroy and dispose of the entire batch and document this action in the statewide seed-to-sale tracking system. Ala. Admin Code. r. 538-x-10-.08.09. We will simultaneously initiate a recall on any cannabis from that batch or associated products that are no longer within our possession. We understand the AMCC may, at its discretion, order our business to undertake a recall and we will always comply and cooperate with any recalls ordered by the AMCC. In addition to our adherence of all regulations, if for any reason we may suspect medical cannabis products we sell may jeopardize patient safety, we will initiate a recall.

Responsible Roles

We will train every employee at our facilities on our recall procedures. Our CCO L.G. will plan and conduct these staff trainings. Our MOS G.S. will proactively introduce themselves to local police departments and first responders and communicate their contact information, along with contact details for our business generally. In the event of a recall, the MOS G.S. will notify these regulatory entities.

The CCO L.G. will oversee recall procedures. They will preside over the collection and record of our recalled products. Although Quality Assurance ("QA") and Quality Control ("QC") departments are independent, both are interrelated functions, and both have a role in recall and contamination procedures. Departmental directors and managers will develop a process for each stage of operations, validate the process (e.g., repeat the process multiple times to demonstrate that the process always yields a product with the same quality standards), and establish narrow "target" ranges and wider "acceptable" ranges for every process parameter. If deviations happen outside

of the narrower range, but within the wider range, QA will accept that the product is safe though not optimal. If the deviations happen

outside of the wider range, QA will reject the batch, or may require additional data to support the release of the product.

QA is process oriented, encompassing the entire production process including sales. The ultimate goal of QA is patient safety. Conversely, QC is product oriented, composed of in- process testing and testing of the final product. The role of QC is to develop testing methods, establish product specifications (e.g., the acceptable values for product quality standards), and perform randomized testing for every. Another way to view the roles of QA and QC is when there is deviation in the QC process, e.g., a test was performed by QC outside of its validated processes. In such a case, the QC team would conduct the investigation into what went wrong, while the QA team would review that investigation for compliance.

We will have several methods for the AMCC, patients, caregivers, or physicians to notify us of an adverse event. Our Manager of Dispensing Operations J.S. will monitor our systems for complaints, review each report, and determine the necessary next steps. They will work with the CCO L.G. and MOS G.S. to initiate a recall when needed. Vendors that may support our recall resolution include food safety professionals, legal counsel, and our regular testing laboratory.

Seed-to-Sale Notification Protocols

We will establish a procedure to publicly communicate a recall of usable cannabis or cannabis products that present a probability of serious adverse health consequences with exposure. This will include a mechanism to contact all patients who have, or could have, obtained contaminated products from our facility, with clear instructions on product return. We will offer to provide reimbursement for the recalled product through our chain of custody process, and we will record subsequent refunds in the seed-to-sale system. Ala. Code § 20-2A-60(a)(10). Our internal record keeping will document all

product details available from the originating facilities, all testing results, storage of cannabis, and any destruction or disposal of product in our custody. Dutchie is our inventory and tracking system, and it will properly interface with Metrc to better allow us to accurately track cannabis products. Ala. Admin Code. r. 538-x-4-.05.04. We have a thorough record keeping system and we will maintain these records for at least two years and reference record keeping documents in the event of a recall. We will maintain product identification with harvest or batch numbers that are assigned to cannabis products, helping to facilitate quick and easy identification in the event of a recall.

We will log all testing results in the state seed-to-sale tracking system. Ala. Code § 20-2A.60(a). Any test failure will result in the entire cannabis batch associated with that result being quarantined. Ala. Code § 20-2A-60(a)(6). We will diligently record where products are in our lifecycle, including which have been sold, are available for sale, are being transferred or processed into a new form, and any product that is in postharvest raw form. Ala. Code § 20-2A-60(a)(6)(a-e). If we find any adverse results related to our released products, we will contact individuals that purchased our products as soon as possible and we will take the additional step of noting the recall in the patient registry so the patient's certifying physician is aware and may advise on patient health accordingly. We will operate with complete transparency during any contamination or recall event to protect public health.

Returns and Remediation Process

We will utilize all available resources to retrieve recalled products back to our dispensing facilities as soon as possible. Upon receipt of recalled medical cannabis and products, our QC team will review the product, track it in inventory control, and segregate it in our designated quarantine area until the AMCC authorizes disposal. We will clearly mark the recalled cannabis or products, making them easily distinguishable from sellable products. We will submit inventory data to the AMCC prior to destruction of any quarantined cannabis. Ala. Code § 20-2A-60(a)(4). We

will also work with regulatory agencies as needed to protect public health during the disposal process. If directed to do so by the AMCC, we may submit products for retesting or remediation.

Utilizing our meticulous inventory procedures, we will track which products remain to recall. All data associated with a recall will be recorded in our inventory system, including inventory of medical cannabis and products at our facility, the location of medical cannabis when it is sold, and the documentation of any cannabis products that were destroyed or disposed of at our facility. Staff will utilize invoices, transportation manifests, shipping logs, and sales records to confirm the accuracy of inventory system records.

Our recall tracking will include how much product from the recalled batch has been sold, product that is still available for sale, product that is in the process of transfer, product being processed, postharvest raw product, and all returned products. Ala. Code § 20-2A- 60(a)(6)(a-e). In the event a recall was performed not due to health hazards but due to a business process like that of mislabeled medical cannabis products, we will consult with the AMCC before confirming if we may make the recalled, but safe, medical cannabis products available for sale to patients. Above all else we will adhere to all applicable regulations.

Crisis Reports to Regulatory Bodies

We will promptly and efficiently notify proper authorities in the event of discovery of product contamination. We will designate our CCO L.G. to initiate and implement a recall, maintain records of our recall activities, and provide communication with the AMCC, testing facilities, and other licensees as needed. Our MOS G.S. will develop proactive relationships with local authorities and will contact them directly in the event of a recall. Within 24 hours of discovery, we will notify the AMCC by phone, email, or certified mail. Our notification will include our business name, license

number, details of the contamination, and recall procedures performed, if any. We may also be in contact with the Alabama Department of Agriculture or Department of Health for communication about community safety and resolution of the issue.

Upon completion of the recall, the recall committee will summarize the details, record it in the digital inventory system, and submit a report to the AMCC. Our report will include: the total amount of recalled cannabis, including types, forms, batches, and lots; the names of the recall committee members; for whom the recalled cannabis was received; the means of transport of the recalled cannabis; the reason for the recall; the number of recalled samples, types, forms, and batched, that were sent to laboratories; the dates of testing and results; and the manner of disposal of recalled cannabis including who oversaw the disposal, method of disposal, date of disposal, and the amount disposed of by types, forms, and batched. We will submit inventory data to the AMCC prior to destruction of any recalled cannabis. Ala. Code § 20-2A-60(a)(4). We will also include any additional information deemed relevant by the AMCC.

Preserve and Protect Products

We will maintain our medical cannabis product integrity through proper storage and preservation techniques, based on industry standards. In the event of contamination or recall, we will implement additional procedures for the safe removal, secure transportation, and compliant temporary storage of our medical cannabis. This process will allow us to provide products to our patients while we resolve the contamination or recall. We may apply for a temporary variance to support these recall procedures. Ala. Admin. Code. r. 538-x-4-.08.06. Our standard operating procedures for dispensing will be followed as closely as possible and modified by our Manager of Dispensing Operations J.S. as needed, while at a temporary facility. All products will be diligently tracked in the state seed-to-sale system.

We have defined QC and QA procedures to efficiently identify contamination and recall

situations as they develop. Before they begin work at our facility, all staff will complete training on a spectrum of potential hazards and materials that may influence a recall. We will also train staff on proper packaging, labeling, and storage conditions to prevent contamination by impurities or foreign substances. These trainings will help to protect our products.

Once identified, managers will notify employees to activate recall procedures. We will isolate affected cannabis products immediately upon notification of a recall and sequester unaffected products in a safe area. Staff will remove safe cannabis stock from sales floors in an orderly and secure manner. Staff will then collect storage containers of our products and load them into compliant transportation vehicles. Simultaneously, staff will log these products in the seed-to-sale tracking system. We will then transport our cannabis products to a secure, AMCC approved, temporary storage facility. At this facility medical cannabis products will be made available for safe dispersal to patients.

We will provide continual access to medical cannabis for our patients. If our dispensing facility is impacted by a recall, we will sequester safe cannabis for continual medical access. This may include the transportation of medical cannabis products to an AMCC-approved auxiliary site, for safe dispersal to patients in emergency situations.

If all products under our control and in our possession are recalled or otherwise impacted by contamination, we will work with the AMCC and another licensed medical cannabis business to meet the continual needs of our patients. In all recall related events, we will actively communicate updates to our patients and their caregivers.

Investigation and Analysis

Any legitimized adverse event claim will undergo a rigorous investigation to

determine the root cause – whether within our facility or beyond. Our CCO L.G. will oversee and audit this investigation, which will be conducted by our Manager of Dispensing Operations J.S.. Data from the initial contamination complaint will be utilized and formalized in our records during this process. Reliable recordkeeping in all aspects of business will provide a solid foundation for claim investigation. We will utilize inventory systems to link a potential product defect to associated batches, personnel, equipment, storage, and procedures.

The CCO L.G. will work with the Manager of Dispensing Operations J.S. to develop a process for each product, validate the process, and establish narrow target ranges for every process parameter. In the instance of nonconformance or deviations from the standard, the QA team will use a root cause analysis method. The QA team will then review the investigation and make appropriate changes and validate the process to guarantee compliance with regulations. Our executive team will communicate with other impacted licensees to guarantee all factors are included in our investigation. We will revise our standard operating procedures immediately to integrate any changes and conduct additional staff training as necessary. All analysis results will be included in our recall report to the AMCC. Corrective actions will be reviewed for effectiveness for at least a year following closure of the investigation.

Marketing and Advertising Procedures

Proposed logos, branding, messaging, or other marketing or advertising communications

As a license applicant of medical dispensary business in the introductory Alabama medical marijuana market, we have developed the following marketing plan (MP) to educate prospective registered patients through education and community outreach. This comprehensive, yet non-intrusive approach will not only inform the Alabama medical marijuana market of our products but will communicate to registered patients and the community a message of safety, compassion, compliance, education, and community involvement.

We have prepared this marketing plan (MP) as part of a holistic business plan for a medical dispensary, multi-location company. In all cases, we will develop a brand that emphasizes our goal of being perceived as a safe and trusted source of cannabis products. Specifically, we plan to cultivate a brand that captures our passion for education and therapy through the cannabis industry.

Responsibilities

The Marketing and PR Director B.P.M. is responsible for implementing, auditing, and amending the strategy and tactics in this MP. Their duties include working closely with the product management team to define marketing materials and programs, developing, and delivering marketing, education to the customers and communications strategies for the company, and undertaking continuous analysis of competitive environment and patient purchasing trends.

The Marketing and PR Director B.P.M. will perform monthly audits of the MP to ensure tactic efficacy. They will implement new strategies as opportunities arise and will phase out obsolete or ineffective efforts.

The CCO L.G. will work closely with the Marketing and PR Director to ensure that marketing strategies and tactics are in compliance with all rules and guidance set forth by the Department, including Rule 538-x-4-.17 of Chapter 4 of these Rules. All marketing initiatives will be reviewed by the CCO L.G. before implementation.

Target Market

Our marketing tactics will carefully target a patient base within a 150-mile radius of the dispensary facility, ensuring individuals under the age of 21 are excluded. This distance was based on national historic data and the threshold for which patients would travel to visit our dispensaries over our competitors. However, because we consider ourselves a part of a community, most of our marketing,

outreach and educational efforts will be concentrated in our immediate neighborhood.

Market Size and Trends

The recently passed medical cannabis bill will allow for qualifying patients over the age of eighteen to purchase cannabis in the state. Amongst the state's population of 4.89 million people, 3.32 million of them (74.7%) are over the age of 21 (infoplease.com). While not all will purchase cannabis as part of the state's medical program, taking consumption rates from other states with medical programs would put an estimate of the Alabama total market size around 498,000 people (Colorado's cannabis use rate amongst adults was 15.5% in 2017).

Marketing through Community Outreach

The backbone of this MP is the use of cause-related marketing as a communication tool. Cause-related marketing is communicating with potential patients that we are committed to positively impacting the community. Our goal with this approach is to attract patients that want to align with elements of our Social Corporate Responsibility mission. We will cultivate a brand awareness that is closely related to our partnerships with non-profit companies and community outreach efforts and reflects the values that guide our business.

To facilitate this cause-related marketing strategy and as part of our Community Impact Plan, we will establish a Community Impact Fund in which a percentage of profits will be deposited and allocated to promote positive community outreach.

The Marketing and PR Director B.P.M. will promote upcoming community involvement and partnerships on our website, through social media, and on printed material available to patients at the dispensary facility.

Our marketing campaign will also promote the advancement of healthy patient lifestyles and the use of medical cannabis as only one aspect of improved health

and wellbeing.

Marketing material, such as printed dispensary waiting room leaflets and website pages, will emphasize healthy diets and exercise and will provide contact information for nutritionists and personal trainers with which we will partner.

We also plan to establish a community-involved business, by not only providing important medicine to our community but also educating patients and the population at large about the benefits of cannabis, we can create an impactful business whose ripples will be felt for years to come.

Marketing Delivery Methods

We will employ the following marketing tools to reach registered patients. As previously stated, our marketing materials will focus on our positive impact to the community and improving health and lifestyles outside of medical cannabis usage.

Printed Materials

We will utilize printed marketing materials for patients to read while in our Waiting Area. Printed materials will include product sheets that will provide information about our strains and products. Materials will include tips on healthy living such as improving diet and beginning an exercise regimen. Patient facing materials will only subtly feature our logo, but otherwise will not make claims as to medical marijuana's health benefits.

Proposed Logo for Emerald Standard, LLC. & Branding Guide

EMERALD STANDARD

MEDICAL DISPENSARY

Proposed Brochure for Emerald Standard, LLC.

FRONT

COMMON TERMS

Cannabinoids - a class of chemical compounds that activate specific receptors in the brain. At least 85 have been isolated from the cannabis plant alone, all exhibiting varying effects; THC is the most well-known.

CBD (Cannabidiol) - non-psychoactive cannabinoid known for its cancer-fighting properties as well as relief of epilepsy, inflammation, anxiety, cough, congestion, and nausea. CBD can be used to modulate the psychoactivity of THC, reducing anxiety and paranoia but amplifying the medicinal benefit.

Decarboxylation - the process of removing a carbon molecule from CBDA/THCA thereby "activating" it into CBD/THC for cannabinoid receptors. Decarboxylation happens when cannabis is heated by smoking or vaporizing, and when cannabis is cooked into oil/butter.

Terpenes - compounds that modify the effects of THC and other cannabinoids, and impact the medicinal effect of the strain as well as smell and taste characteristics. Terpenes are being used to fingerprint and identify each strain to better understand their physiological impacts.

THC (Tetrahydrocannabinol) - cannabinoid known for its psychoactive properties and relief of pain and insomnia.

MEDICAL USEFULNESS OF THE MAJOR CANNABINOIDS

	CBN	THC	CBG	THCV	CBD	CBC
Protects against cancer		+	+		+	+
Reduces nausea		+			+	
Pain reliever	+	+			+	
Sleep aid	+				+	
Increases appetite		+				
Decreases appetite				+		
Relieves spasms	+	+			+	
Decreases seizures				+	+	
Reduces anxiety					+	
Muscle relaxant		+			+	
Antimicrobial		+				
Antibacterial					+	+
Antifungal			+			+
Protects nervous system		+			+	
Anti-diabetic					+	
Improves circulation					+	
Lowers blood pressure			+			
Relieves psoriasis					+	
Relieve Crohn's disease		+			+	
Anti-inflammatory	+	+	+		+	+
Bone stimulant			+	+	+	+
Relieves rheumatoid arthritis					+	
Antioxidant	+	+			+	

FUN FACT

Did you know the US Dept. of Health and Humans Services holds a patent on CANNABINOIDS AS ANTIOXIDANTS & NEUROPROTECTANTS? US Pat. No. 6,630, 507

EMERALD STANDARD

MEDICAL DISPENSARY

Even if you have never touched cannabis, there are cannabinoids in your body. Your endocannabinoid system is a central regulatory system that affects a wide range of biological processes, including appetite, pain sensation, mood, sleep, and memory. Experts believe that the main overall function of this system is to regulate homeostasis.

Homeostasis is a key element in the biology of all living things and is best described as the ability to maintain stable internal conditions that are necessary for survival. Most of the clinical conditions patients present are a result of a failure to achieve homeostasis, making the endocannabinoid system a unique target for medical applications. Thus cannabis has the *potential* to treat not only the symptoms of disease, but the disease itself.

RECIEVE GUIDANCE, SUPPORT, & RECOMMENDATIONS FROM OUR EXPERIENCED STAFF. WE WELCOME YOU!

Cannabis Education Classes on Facebook

Join us each Wednesday evening at 6:00 p.m for our informative sessions about medical cannabis.

www.emeraldstandarddispencery.com

@emeraldstandard @emeraldstandard_

BACK

BEYOND THC: THE OTHER CANNABINOIDS

Marijuana contains its own inherent cannabinoids that mimic and enhance those in the body with varying, complex effects. These phytocannabinoids and their sidekicks, the terpenes and flavonoids, create an "entourage effect," a team effort that regulates, modulates, stimulates and protects virtually every cell in the body.

Recent findings based on pre-clinical and human studies show that Cannabidiol, or CBD, has anti-inflammatory, antipsychotic, anticonvulsant, anti-tumorigenic and pain- relieving properties. CBD has also been shown to be effective against cancer, Alzheimer's, Parkinson's, Huntington's and multiple sclerosis. It helps prevent and treat heart disease, diabetes, and stroke. It even appears to improve psychological conditions like schizophrenia, social anxiety disorder, depression and ADHD, often working better than the drugs typically prescribed, and with virtually no side effects. CBD has even been shown in vitro to be effective against the difficult-to-treat bacterial infection MRSA (methicillin-resistant Staphylococcus aureus).

Another non-psychoactive cannabinoid, Cannabigerol (CBG) has been shown to relieve interocular pressure, making it useful against glaucoma. Its anti-inflammatory properties have been shown effective against gastrointestinal disorders like IBS.

MEDICAL EFFECTS: SATIVA VS. INDICA

SATIVA

- Mind dominant
- Anti-anxiety
- Anti-depressant Activating
- Uplifting
- Increase Alertness
- Energy Enhance Creativity

INDICA

- Body dominant
- Sedating
- Relaxing
- Muscle Relaxant
- Treats acute pain
- Reduce nausea
- Increase appetite
- Increase dopamine

Note this is a gereral guide; terpenes, methods of consumption, and even time ofharvest will all alter cannabis effects on the mind and body.

BEYOND THE BUD: METHODS OF MEDICATION

Some patients are apprehensive about smoking, but studies have shown that marijuana smoke alone is not associated with lung disease. However, there are a number of alternative ways to medicate that are healthier and more discreet.

Vaporizing - Vaporizers gently heat the plant matter or concentrate without combustion, so there is no smoke and vastly reduced odors. There are many types of vaporizer, ask a dispensary professional for guidance on which is right for you.

Edibles - Edibles are an excellent option, offering more precise dosage control and longer-lasting relief. It may take up to two hours to feel the effects, so use caution until you know what works. Once the dosage is right, edibles are safe, effective and discreet.

Tinchures - Tinctures, drinkables, and other sublingual applications have the advantages of an edible, but are much faster-acting. Juicing raw cannabis leaves is another option.

Concentrates - Concentrates (hash, wax, oil) are a higher potency alternative which can be "dabbed" alone or on top of flowers. Most require specialized equipment, please consult a dispensary professional to learn more.

We believe that the purchaser's education and support are essential to achieving an overall customer experience while doing our diligence in ensuring consumers understand what they are consuming.

The applicant has formed an Education and Training Committee (ETC), consisting of:

- Education and Community Outreach Officer – a company created to foster a purpose-driven cannabis community. Offering resources to recenter communities, just values and improve business practices.
- Chief Operating Officer – Developing a Dispensing Agent Certificate Program while ensuring compliance with all state laws, administrative rules, safety and security policies and procedures within the retail stores.
- Chief Medical Officer – a M.D. who is currently practicing Orthopedic medicine, with and additional degree is chemistry
- Chief Compliance Officer –a senior executive with over 30 years' experience in various fields, including as a company founder and COO, a "big-4" consultant, and a technology product manager.

How to Best Present Product Offerings

We plan to make employee and patient knowledge and education a cornerstone of our medical dispensary operation. To do so, we will provide employees with detailed education on regulations, cannabis, and product offerings. Management and executives will develop employee training materials and will oversee the training process. Training will be ongoing for every employee. Even after an employee starts work, there will be requirements for continuing education and for evaluation and retraining to ensure that all staff members possess up-to-date cannabis knowledge and understand their duties.

Prior to beginning work, all staff will complete foundational education on cannabis,

including: the lifecycle of the cannabis plant; different strains and their effects; cannabinoids including THC and CBD; strains that we offer and how to properly and safely use cannabis; safe storage; identifying, preventing, and responding to accidental ingestion; intended effects and side-effects; substance abuse signs, symptoms, and resources; and, advancements and developments in cannabis science and research. Staff will periodically undergo retraining, such as when major scientific advances related to cannabis are made.

Sales Strategy

To cultivate a brand that is connected to our community and locale, we plan to focus our sales strategy and efforts on initiating and maintaining lasting relationships with local suppliers and distributors. We will implement this strategy in two ways. We have utilized our local connections with other prospective cannabis licensees in the area and established relations. Once licenses are issued, we will enter into agreements with regional licensee partners, creating a supportive business network in the Northern region of the state.

Second, we will seek out partnerships with other cannabis single-state operator licenses, including cultivators, manufacturers, wholesalers, and distributors to support those businesses, such as ours, which may face stiff competition from larger, multi-state businesses. This will similarly cultivate a network of businesses which support each other, helping to grow the cannabis industry and to promote safety and quality in our communities and the state of Alabama. We will also leverage our favorable location to attract partnerships from those other licensees who want to sell their product and build their brand.

Planned media outlets and platforms utilization

To comply with advertising guidelines, we do not plan to advertise or market our company to the general public in any mass media outlets or platforms following the

guidelines of the AMCC. We will post corporate, educational, and informational materials on our website pages and the website will be designed in compliance with AMCC rules, including Section 538-X-4-.17 – Marketing And Advertising.

Planned media outlets, social media platform, or third-party individual or entity utilization
The company will comply with requirements that strictly limit advertising. Therefore, at this time the company will not maintain social media accounts and will only maintain the proposed website:
https://www.emeraldstandarddispensary.com/

Website and Online Marketing

We share the State's interest in ensuring that any advertising or marketing campaign related to or involving medical cannabis does not encourage, promote, or otherwise create any impression that cannabis is legal, therapeutic, or beneficial, except as specifically authorized by AMCC. The website will be maintained by the licensee for the exclusive use of patients and caregivers.

The dispensary will develop its online presence in accordance with AMCC rules, including Section 538-X-4-.17 - Marketing and Advertising.

Specifically, the website will:

- not appeal to minors
- not use a name, logo, sign, advertisement, or other marketing campaign or program unless the same, including all related materials, have been submitted to the Commission Materials that must be submitted to the Commission shall include, but are not limited to, the following:

- will not include reference to, or be accompanied by, any image bearing a resemblance to a cartoon character or of any individual (actual or fictional) more than fifteen percent (15%) of whose audience is, or should be reasonably anticipated to be, composed of minors;
- not market, distribute, offer, sell, license, or cause to be marketed, distributed, offered, sold, or licensed, any apparel or other merchandise related to the sale of medical cannabis;
- not suggest, by direct or indirect reference, a relationship to edibles (including candy, cookies, brownies, cakes, and the like) or beverages;
- not include designs or other presentational effects that are commonly used to target minors;
- not suggest or otherwise indicate that the product or entity in the advertisement has been approved or endorsed by the Commission, the State of Alabama or any person, entity or agency associated with the State of Alabama;
- not advertise in a manner that is inconsistent with the medicinal and approved use of medical cannabis;
- not encourage the use of medical cannabis for a condition other than a qualifying medical condition;
- not contain any statement, design, representation, picture, or illustration that contains or communicates: 1. False or misleading statements; 2. Names other than the registered name of the licensee's registered business name or an approved d/b/a, or the registered name of medical cannabis or related products; 3. A depiction of cannabis plants or any part thereof, except with respect to:

Statements as to the health benefits or therapeutic benefits of cannabis or medical cannabis, and statements as to the safety or efficacy of cannabis or medical cannabis unless supported by substantial clinical data.

The website may advertise the name, business address, contact information, and services provided by the licensee. A licensee's website shall require each user's affirmation that the user is not a minor before access to the website is granted.

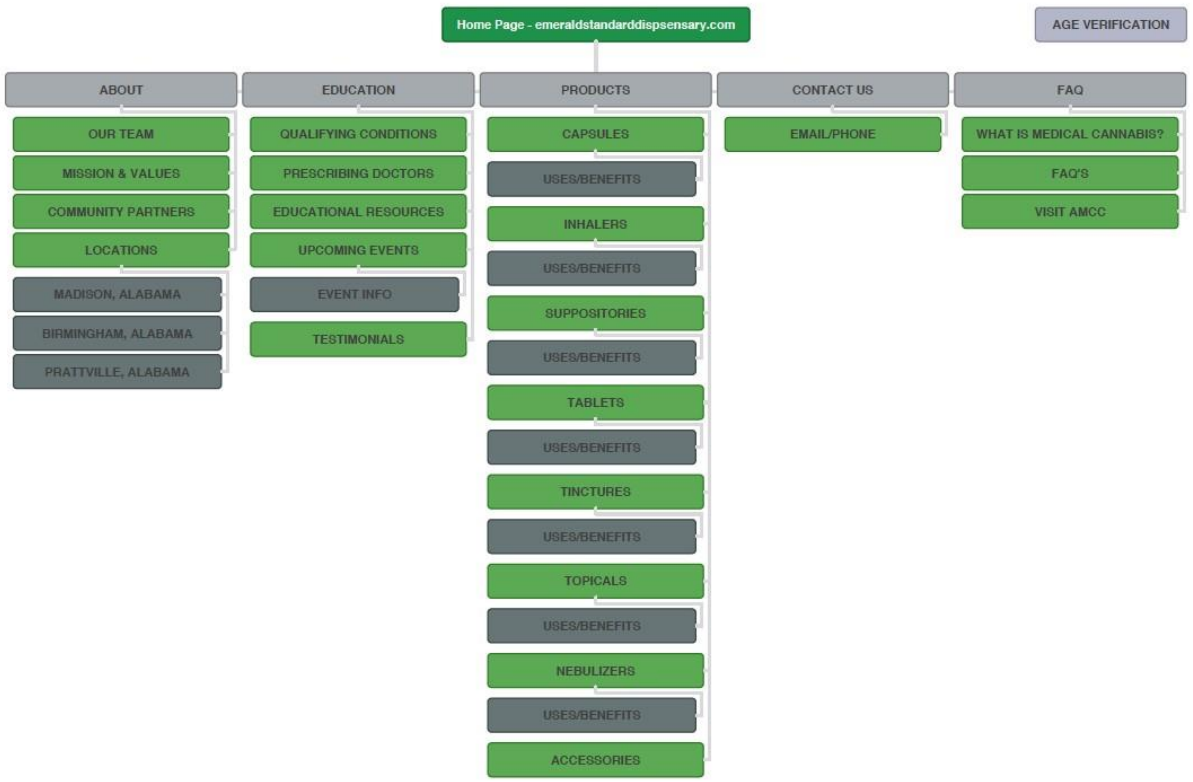
The website shall not:

(a) Allow for direct engagement between or among consumers or consumer-generated content including but not limited to consumer reviews or testimonials; notwithstanding the foregoing, licensees are not prohibited from seeking or obtaining direct patient feedback or sharing actual unsolicited statements made by consumers to the licensee, so long as the content of the statement does not otherwise violate any prohibitions contained in this Rule.
(b) Provide a medium for website users to transmit website content to minors;
(c) Target a consumer group with a high likelihood of reaching or appealing to minors; (d) Display or otherwise post content that has not been submitted to the Commission for review.
(e) Transact business or otherwise facilitate a sales transaction to consumers or businesses; or
(f) Maintain a web presence that would otherwise violate the Act or these Rules.

Site map of each website

We will create an age-restricted, medically focused, user-friendly website that will include up to date information regarding the latest scientific and medical discoveries and extensive descriptions of our medical marijuana products. Our website will be a repository for general health and wellness strategies, including modified exercise options and lifestyle options to help patients improve their health outlook.

The company's proposed site map is:



Web address of each page, social media page, or other online site

The company will comply with requirements that strictly limit advertising. Therefore, at this time the company will not maintain social media accounts. Our proposed website is: https://www.emeraldstandarddispensary.com/

The company's policies and procedures will continuously be evolving and made available to the commission upon request.

Exhibit 17 – Receiving and Shipping Plan

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	3/21/2023
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

Introduction

Our medical cannabis dispensary is committed to receiving and shipping plans and procedures that maintain patient and product safety, efficient operations, and compliance with all relevant requirements and guidance from the Alabama Medical Cannabis Commission ("AMCC"). Proper receiving and shipping of medical cannabis is paramount to the effectiveness of the medical cannabis program and the safety of our Alabama patients. We have crafted this plan to properly track all medical cannabis being received and shipped by our facility. Ala. Admin. Code r. 538-x-8-.05.03.j. Partnerships that we develop with secure transporters ([redacted]), other licensees, and state testing laboratories will conform to this secure and compliant plan.

Manifests will be maintained in the Dutchie POS system. Dutchie offers the data infrastructure, process, and training necessary to track product receipts and deliveries, and integrates with METRC for complete traceability.

Shipping and Receiving Team

As part of our vision to supply Alabama patients with safe medical cannabis, we have assembled a security and shipping team of industry experts. They will guide our receiving, shipping, and security operations, and develop standard operating procedures ("SOPs") which keep our employees, products, and patients safe.

Our Inventory Manager ("IM") H.W with over 4 years of cannabis inventory management and compliance experience will oversee our inventory procedures, including quality control for shipped and received products. They will also conduct internal inventory audits as part of our product safety and security plan. Our IM brings a host of applicable experiences in the cannabis industry related to inventory and process management around product tracking, receiving, and shipping to our Alabama team. She not only has over four years of management experience and an additional three years of experience utilizing cannabis industry-standard CRMs, but also has maintained positive client and vendor relations for nearly a decade. These traits will help our business establish strong relationships with our secure transport and other licensee partners. Our IM will also train and streamline inventory staff on new procedures and policies and has performed cross-platform audits in

Leaflogix/Dutchie and retail locations. She is well versed in regulatory compliance and will help instill a culture of compliance at our Alabama dispensary.

Our IM will also oversee our transportation operations. We will only work with secure transport drivers who are properly trained and licensed by AMCC. Our Chief Compliance Officer ("CCO") will also train staff on regulatory compliance and will create checklists for adherence to proper shipping and receiving procedures and regulations. We will verify that all vehicles and drivers maintain compliance with all applicable laws.

17.1 – Security of Received Products

All individual batches of cannabis received by our facility will undergo inspection to confirm they were appropriately prepared at their origin. This inspection will include verification that all cannabis received for storage and/or dispensing was appropriately prepared, packaged, and labeled at the time of receipt, and has been QR coded or otherwise digitally coded to identify, at a minimum, the processor or integrated facility, the facility of origin, date of processing, packaging, and labeling, and the date of the processors or integrated facility's state laboratory testing approval. Ala. Admin. Code r. 538-x-8-.05.03.j.01.

Receiving Area

Deliveries of cannabis will only be accepted at the specified receiving entrance of our facility, accessible only with an authorized keycard. We will employ a security guard to patrol the interior of the building during business hours, and when we receive a delivery, they will supervise this process. Our building will feature continuous video surveillance monitoring of the receiving area, the exterior of our facility near the area, and the entrance to the product vault. All areas will be appropriately lit to aid video surveillance. Received products may only be removed from the receiving area once the facility's receiving door is closed and locked. The exterior receiving door may not be opened while products are being moved.

Process for Receiving

To request a shipment of cannabis, our facility will communicate with a licensed medical Cultivator, Processor, or Integrated Facility in Alabama to place an order. Our facility and the originating facility will decide an expected time of delivery. All deliveries must be scheduled for secure receipt. When the secure transport team arrives at our premises, they will communicate with our security team for facility access. A security guard will then move to

the specified receiving entrance of our facility where shipments are received. Our IM will open the receiving door of the facility and will inspect the delivery for accuracy and safety. This includes examination of secure transport containers and digital codes. If the delivery is not accurate, or is otherwise unsecured, we will communicate the inaccuracy to the delivering and originating licensee and reject the delivery. If we verify the shipment is secure and accurately labeled, we will sign for the shipment. This action will transfer product responsibility to us in the chain of custody. Once the shipment is received and our facility is locked and fully secured, we will move the products to our receiving area for further quality assurance inspections.

17.2 – Receiving Manifest

All cannabis received and accepted at our facility will be accompanied by the Secure Transporter manifest, and other appropriate documentation. When receiving products, a management team member will open the receiving door of the facility and inspect the manifest for accuracy. All manifest information will be accurate and duly executed by all appropriate parties. Ala. Admin. Code r. 538-x-8-.05.03.j.02.

A manifest is created once we request an order from another licensee. The originating licensee will securely transmit the shipping manifest to our facility prior to products leaving their facility. The originating licensee will coordinate with the Secure Transporter to provide all necessary secure transport details within the manifest. We will keep digital and physical copies of all manifests we receive for two years after the date of delivery. Manifests will be made immediately available to the AMCC upon request.

Rejecting Receipt

Despite all efforts, it could be the case that we must reject a delivery. Our CCO will create a mandatory compliance checklist for use by staff receiving shipments of cannabis inventory at our facility. If an incoming shipment in any way does not comply with shipping preparation, digital coding, tagging, packaging, labeling, recordkeeping, or other compliance items from our checklist, we will reject the shipment. If any product in the order is rejected, we will reject the entire order. Our inventory team will be fully trained on how to handle any shipment that is rejected during the shipping and receiving process.

We will immediately contact the secure transporter and originating facility regarding the details of the rejection. An estimated return time of rejected product will be determined and communicated between all involved entities. We will place rejected products in tamper proof containers that are shut and sealed by an authorized employee. After the package has been made tamper-evident, the manifest will be documented by both parties as a rejection. A documented and signed manifest will be left with each party. The time rejected products depart our facility and return to the originating facility will be recorded in the manifest. The originating facility must accept the rejected products and will conduct their own quality control investigation.

17.3 – Received Product Labels & Packaging

All batches and containers that arrive at our facility will be digitally coded to identify all applicable information relating to the incoming medical cannabis, as well as the date and time of arrival, will be logged into the statewide-seed-to-sale tracking system. Ala. Admin. Code r. 538-x-8-.05.03.j.03. All digitally coded data will be entered into our inventory system. Inventory manager H.W will inspect all packaging/labeling of the product being received does not contain prohibited items, (1) Any product bearing any resemblance to a cartoon character, fictional character whose target audience is children or youth, or pop culture figure. (2) Any product bearing a reasonable resemblance to a product available for consumption as a commercially available candy. (3) Any product whose design resembles, by any means, another object commonly recognized as appealing to, or intended for use by, children. (4) Any product whose shape bears the likeness or contains characteristics of a realistic or fictional human, animal, or fruit, including artistic, caricature, or cartoon rendering. (5) Labels, packages, and containers shall not be attractive to minors and may not contain any content that reasonably appears to target children, including toys, cartoon characters, and similar images. (6) Any advertisement and any package or label may not contain any false statement or statement that advertises health benefits or therapeutic benefits of medical cannabis.

Inventory manager H.W will verify that the Packages should be designed to minimize appeal to children, must contain a label that (1)reads: "Keep out of reach of children." and, (2) packaged in child-resistant, tamper-evident containers. (3). Lot and batch numbers. (4)A

license identification number for the cultivator and a license identification number for the processor. (5) Cannabinoids content and potency. (6) The universal state symbol printed in color at least one-half inch by one-half inch in size. (7) The commission's approved one universal flavor for all gelatinous cube, cuboid, and lozenge medical cannabis products. (8) if space permits, or as an insert within the package: "WARNING: This product may make you drowsy or dizzy. Do not drink alcohol with this product. Use care when operating a vehicle or other machinery. Taking this product with medication may lead to harmful side effects or complications. Consult your physician before taking this product with any medication. Women who are breastfeeding, pregnant, or plan to become pregnant should discuss medical cannabis use with their physicians."

(9) Testing lab results to include the information as described in Chapter 10 of the Rules and the company's QA/QC plan including cannabinoid and terpene profiles and contaminants.

17.4 –Received Products

All medical cannabis products being received by our dispensary by means of a secure transporter will be inspected and confirmed to be appropriately packaged, labeled, and inserted in containers prior to transport. Ala. Admin. Code r. 538-x-8-.05.03.j.04.

When all the received products are in our secure facility, our IM will record the manifest in the seed-to-sale tracking system. Acquired products will be recorded by scanning each QR code. All information from the QR code relating to the incoming medical cannabis, as well as the date and time of arrival, will be logged into the statewide-seed-to-sale tracking system. Ala. Admin. Code r. 538-x-8-.05.03.j.03. All details on rejected products will also be recorded in the statewide tracking system.

17.5 – Labeling of Products and Chain of Custody

Individual batches and containers being shipped by our dispensary to or from another licensee's facility or to or from another of our own facilities will be QR coded or otherwise digitally coded to identify, at a minimum, the cultivator, processor or integrated facility from which the medical cannabis was sourced, the facility or facilities of origin, type of product, date of processing and packaging, expiration date (or, if no expiration date, a notation that

the expiration date does not apply), the date of the processor's state laboratory testing approval, and the Alabama Poison Control contact information as provided on the AMCC website. Ala. Admin. Code r. 538-x-8-.05.03.j.05. Further, medical cannabis, related products, and packaging thereof, will never be visible or recognizable outside the secure transport vehicle and may not bear markings or other indications that it is carrying cannabis or medical cannabis. Ala. Admin. Code r. 538-x-7-.03.02.e.vi.

Shipping Area

Deliveries of cannabis will only be shipped from the specified receiving entrance of our facility, accessible only with an authorized keycard. We will employ a security guard to patrol the interior of the building during business hours, and when we are preparing a shipment, they will supervise this process. Our building will feature continuous video surveillance monitoring of the shipping area, the exterior of our facility near the area, and the entrance to the product vault. All areas will be appropriately lit to aid video surveillance.

Chain of Custody

Acceptable transfer of responsibility requires that both the secure transporter staff and our inventory staff come to a full agreement that all products declared to be present, are in fact present. The accurate execution of this step is critical to secure a compliant shipment and delivery. Both parties will perform a detailed inventory check. First, our IM will present the shipping containers to the secure transport staff. Next, the secure transport staff will compare the QR codes affixed to the shipping container against the serialized numbers printed on the manifest. Once correlation is confirmed, secure transport staff will review the manifest to confirm that all the driver's information has been correctly recorded. When each staff member has full confidence that each manifested item is in fact present, and that all information is accurately displayed on the manifest, they will sign their name on the manifest. Once all related staff have verified with their signature, full responsibility of the product is transferred to the secure transporter.

Once custody is assumed by secure transport staff, they will load the shipping containers into the cargo area of the secure transportation vehicle. All loading and unloading activities will occur at our licensed premises under constant video surveillance, located in our fenced-in vehicle area with security lighting and cameras. Transportation staff will only load and unload shipments within view of surveillance cameras. Video surveillance will create an

evidentiary record of all loading and unloading. Transporting agents will not open any shipping containers for any reason. Once shipping containers have been packed and sealed for transport, only secure transporter management, the licensed business establishment accepting the delivery, or law enforcement officials will be allowed to open the container.

Secure Packaging

We will securely package all medical cannabis or cannabis product that leaves our facility to prevent tampering. All shipments will be placed inside a designated and secure container within the secure transport vehicle. Each container will be tamper-evident with a digital code on the exterior associated with the product inside. Our employees will refuse to release shipments that have not been properly or securely packaged and labeled.

17.6 – Shipping Manifest

All outgoing medical cannabis from our dispensary facility will be accompanied by a secure transporter's manifest and other appropriate documentation. Information thereon will be accurate and duly executed by all appropriate parties. Ala. Admin. Code r. 538-x-8-.05.03.j.06. At a minimum, manifests used for secure transport will include: name of the driver and any other individuals onboard; name of the requesting licensee; the address of the destination facility; weight and description of each individual package in the shipment, and the total number of individual packages; handling and storage instructions; date and time the medical cannabis shipment is placed into the transport vehicle; date and time the shipment is accepted at the delivery destination; the identity of the employee with custody of the medical cannabis; and, the circumstances, duration, and disposition of any other person who had custody or control of the shipment. Ala. Admin. Code r. 538-x-7-.07.03.a-g.

Our IM will coordinate with the secure transporter to generate a shipping manifest for each delivery. The IM will provide all necessary product details, including tag information, for the shipment. Our IM will work with the secure transporter to assist in configuring a secure and efficient route with delivery software. We will always log product and transport details in our inventory system. Ala. Admin. Code r. 538-x-7-.02.03.b. This will create a virtual record of each delivery prior to shipment. A digital copy of the manifest will be transmitted to the receiving licensee. No products will ever be shipped from our facility without a complete and compliant secure transporter's manifest.

A physical copy of the manifest will be provided to the driver of the secure transport vehicle. This paper manifest will be proof of authorization to transport medical cannabis products in Alabama. The driver will also be provided with physical and digital copies of the transportation route, which they will follow. If an alternate route is necessary in an emergency, the driver will contact the security office for advisement. All changes and reasons necessitating the change will be documented. Ala. Admin. Code r. 538-x-7-.03.02.e.xiii.

Delivery Procedures

Upon arriving at the delivery location, secure transporter staff will communicate with the receiving facility employees. A security guard and authorized employee from the receiving facility will assist in safely and securely unloading cannabis containers from the cargo area of the transportation vehicle. The driver will always remain with the vehicle and any products within. Secure transporter staff will record their arrival time on the transportation manifest. After the receiving agent confirms that the transported products are identical to the items stated on the manifest, the receiving agent will sign both manifests and assume custody of the product. Completed manifests will contain signatures of the secure transportation employee and the signature of the receiving agent who assumed custody of the product. One copy of the signed manifest will remain with the receiving location agent. The other copy will return to our facility.

Once the manifests are complete, our staff will again review the delivery details. In this final review, we will make sure all packages that were previously confirmed as delivered, have indeed been delivered. Once this confirmation has been made, our employee will then change the shipment status to "delivered" within the inventory tracking system. This will create a virtual record, which will be kept permanently by our IM, along with the manifest, as proof of delivery.

Shipment Rejection

In the case of a business licensee rejecting a delivery originating at our facility, a rejecting licensee employee must reseal all products in a tamper proof manner. After the package has been made tamper-evident, the manifest will be documented by both parties as a rejection. A documented and signed manifest will be left with the rejecting party, and one copy will return with the product to our facility. Upon notification of a rejected shipment, our IM will begin preparation for the rejected product. We will mandate that all product is securely

transported or returned to the confines of the licensed facility from which it originated. When products are returned to us, we will launch a quality control investigation into the root cause.

17.7 – Records of Shipped Products

All information from the QR code related to outgoing medical cannabis, as well as the date and time of shipment, will be recorded into the statewide seed-to-sale tracking system. Ala. Admin. Code r. 538-x-8-.05.03.j.07. All QR codes will be integrated with our point of sales software, Dutchie, to allow efficient and accurate record keeping. The statewide seed-to-sale tracking system will serve as our master log for all cannabis inventory. We will also input all route plans, manifests, transport logs, freight bills, bills of lading, any free-on-board ("FOB") terms of sale documents, maintenance records, repair records, and insurance documentation into the seed-to-sale tracking system. All records will be kept for at least two years, and longer upon the request of the AMCC or law enforcement. Ala. Admin. Code r. 538-x-7-.03.02.e.xv. Transportation and related documents will be made available to the AMCC or its representatives during inspections and other official visits. Ala. Admin. Code r. 538-x-7-.03.02.e.xvi.

Visual Inspection of Cannabis & Sanitation: The packaging and labeling process will occur on stainless-steel tables while wearing gloves and mask (to prevent contamination) in the Receiving Room under video surveillance so that all weighing (NTEP certified scale), packaging, and labeling activities are in clear view of surveillance cameras. The Inventory manager (IM) H.W will inspect all packaging materials for hazards, including broken lids, or contaminants within the containers, such as dust or debris. On a daily basis, the Receiving Room will be cleaned of any r packaging debris from the floors and walls, and work surfaces will be sanitized using a food-safe sanitation solution. A full cleaning and sanitation of the Receiving Room will occur weekly. The IM will be responsible for training the team in proper cleaning and sanitizing techniques.

Exhibit 18 – Facilities

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	3/21/2023
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

Facility and Attachment Table of Contents

Emerald Standard – Birmingham (Dispensary Site) **4-13**

18.1 – Facility Name and Type **4**

18.2 – Physical Address & GPS Coordinates of Facility **4**

18.3 – Aerial Photograph of Facility **4**

18.4 – Proof of Authorization to Occupy Property **6**

18.5 – Local Jurisdiction Approvals **6**

18.6 – Blueprint of Facility **6**

18.7 – Facility Timetable **11**

18.8 – Public Access to the Facility **12**

18.9 – Facility Hours of Operation / After Hours Contact **13**

Emerald Standard – Killen (Dispensary Site) **13-24**

18.1 – Facility Name and Type **13**

18.2 – Physical Address & GPS Coordinates of Facility **13**

18.3 – Aerial Photograph of Facility **13**

18.4 – Proof of Authorization to Occupy Property **16**

18.5 – Local Jurisdiction Approvals **16**

18.6 – Blueprint of Facility **16**

18.7 – Facility Timetable **23**

18.8 – Public Access to the Facility **24**

18.9 – Facility Hours of Operation / After Hours Contact **24**

Emerald Standard – Russellville (Dispensary Site) 24-35

18.1 – Facility Name and Type 24

18.2 – Physical Address & GPS Coordinates of Facility 24

18.3 – Aerial Photograph of Facility 24

18.4 – Proof of Authorization to Occupy Property 27

18.5 – Local Jurisdiction Approvals 27

18.6 – Blueprint of Facility 27

18.7 – Facility Timetable 33

18.8 – Public Access to the Facility 34

18.9 – Facility Hours of Operation / After Hours Contact 35

Letter of Lease Intent–Attachment to Exhibit 18

Emerald Standard – Birmingham (Dispensary Site),

Section 18.4 – BIRMINGHAM **36**

Local Ordinance–Attachment to Exhibit 18

Emerald Standard – Birmingham (Dispensary Site),

Section 18.5– BIRMINGHAM **37**

Local Zoning Approval Letter–Attachment to

Exhibit 18 Emerald Standard – Birmingham

(Dispensary Site), Section 18.5– BIRMINGHAM **40**

Letter of Purchase Intent–Attachment to Exhibit 18
Emerald Standard – Killen (Dispensary Site),
Section 18.4 – KILLEN **42**

Local Ordinance–Attachment to Exhibit 18
Emerald Standard – Killen (Dispensary Site),
Section 18.5– KILLEN 4**5**

Letter of Lease Intent–Attachment to Exhibit 18
Emerald Standard – Russellville (Dispensary Site),
Section 18.4 – RUSSELLVILLE **46**

Local Ordinance–Attachment to Exhibit 18
Emerald Standard – Russellville (Dispensary Site),
Section 18.5– RUSSELLVILLE **48**

Local Zoning Approval Letter–Attachment to
Exhibit 18 Emerald Standard – Russellville
(Dispensary Site), Section 18.5– RUSSELLVILLE **49**

Emerald Standard – Birmingham (Dispensing Site)

18.1 Facility Name and Type

Facility Name: Emerald Standard - Birmingham

Facility Type: Dispensing Site (Retail Facility)

18.2 Physical Address & GPS Coordinates of Facility

Physical Address: [redacted] BIRMINGHAM, AL, 35222

GPS Coordinates: [redacted]

18.3 Aerial Photograph of Facility

Below is an aerial view of the Applicants proposed site. Note there are no schools, daycares, or childcare facilities located within 1,000 feet.

1 PROXIMITY MAP
1" = 400'-0"

NORTH

*There are no schools, day cares, or childcare facilities located within 1,000 feet of the proposed dispensary location[1]

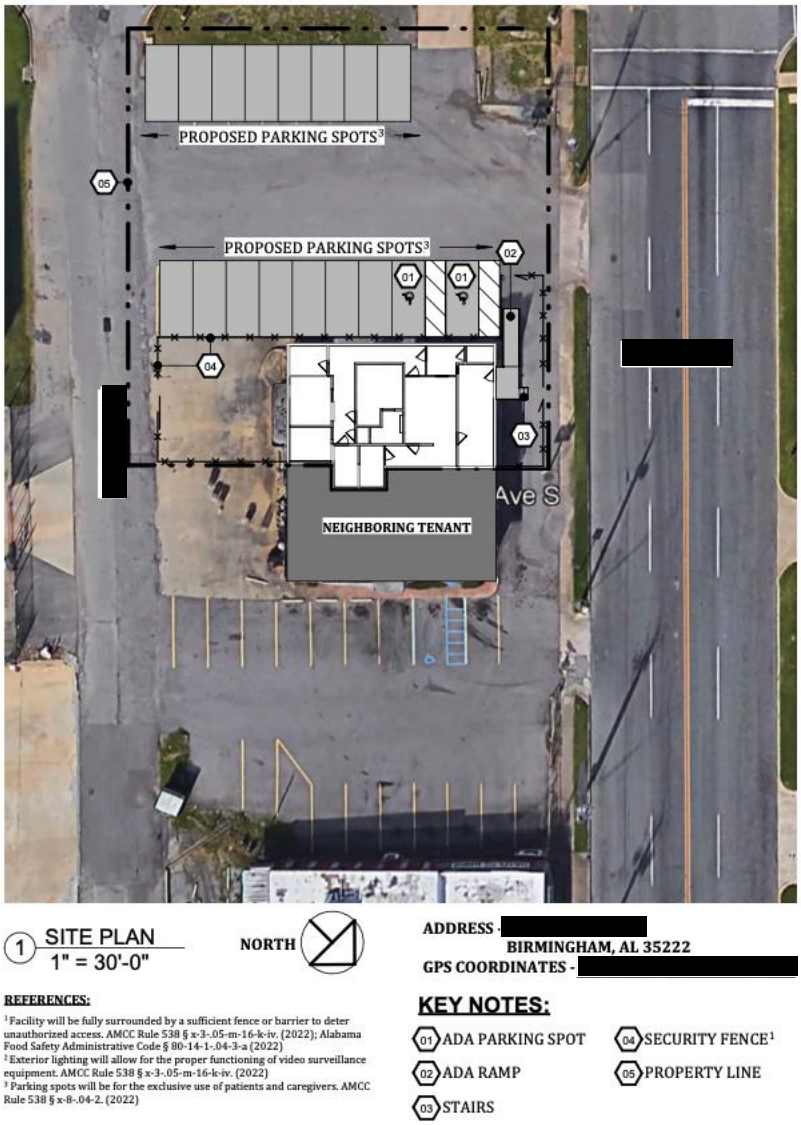
0 200' 400' 600' 800'
SCALE: 1" = 400'

KEYNOTES:

[1] AMCC 583-x-8-.04.3.a. The location of any dispensing site must be at least 1000 feet from any school, day care, or childcare facility.

DIMENSIONS ARE APPROXIMATE IN NATURE.

In addition to this high-level aerial photo of the facility, below is a more detailed aerial view showing clear boundaries of the facility against the neighboring tenant, proposed parking spots, ADA parking spots and ramps, security fences, and stairs.



Due to the high traffic volume on [redacted], this facility location provides easy access for patients to the parking lot from the main road and a safe exit onto [redacted] behind the building so as to not have to cross or merge into oncoming traffic. There is also a crosswalk and stop sign located directly to the NW of the facility for safe passage of patients that come

on foot, bike, or take public transportation via the bus stop 0.3miles away on [redacted] and [redacted]

18.4 Proof of Authorization to Occupy Property

The Applicant has signed a Letter of Intent (LOI) from the current property to lease the property identified in 18.1 above. See attached lease LOI identified as "Letter of Lease Intent–Attachment to Exhibit 18 Emerald Standard – Birmingham (Dispensary Site), Section 18.4 – BIRMINGHAM".

18.5 – Local Jurisdiction Approvals

The Applicant has both the local, certified ordinance as well as a zoning approval letter from the City of Birmingham stating it's permission for this entity to operate within the zoning district M-1 at the address provided in 18.1 above. See attached local ordinance identified as "Local Ordinance–Attachment to Exhibit 18 Emerald Standard – Birmingham (Dispensary Site), Section 18.5– BIRMINGHAM" and the attached local zoning approval letter identified as "Local Zoning Approval Letter–Attachment to Exhibit 18 Emerald Standard – Birmingham (Dispensary Site), Section 18.5– BIRMINGHAM".

18.6 – Blueprint of Facility

The rendered floor plan for the facility identified in 18.1 above is shown below with clear delineations of areas, their sizes, functions, etc.

[redacted]

Exhibit 18 – Facilities

ROOM FUNCTIONS

- FULFILLMENT AREA: INVENTORY EMPLOYEE WILL FULFILL PATIENT OR CAREGIVER'S ORDER AND PASS PRODUCT THROUGH THE PASS-THROUGH WINDOW (IF APPLICABLE) TO AN EMPLOYEE WHO WILL COMPLETE THE TRANSACTION.
- SECURED VAULT: ALL CANNABIS PRODUCTS WILL BE STORED IN THIS VAULT,
- RECEIVING AREA: DESIGNATED AREA FOR ALL INCOMING INVENTORY AND OUTGOING RECALLED PRODUCT AND WASTE.
- MANAGER'S OFFICE/OFFICE: OFFICE DEDICATED TO MANAGERIAL DUTIES
- IT/IT ROOM: TO HOUSE ALL THE ALARM, CAMERA AND ACCESS CONTROL SYSTEMS
- QUARANTINE AREA: TO STORE ITEMS BEING QUANRANTINED AND RECALLED
- BREAK: BREAK ROOM FOR THE EMPLOYEES TO TAKE BREAK
- WAITING ROOM: RECEPTIONIST WILL VERIFY PATIENT OR CAREGIVER'S ID AND MEDICAL REGISTRATIONS. SALES EMPLOYEE WILL BRING PATIENT OR CAREGIVER TO THE SALES FLOOR FOR TRANSACTION.
- SALES FLOOR: SALES EMPLOYEE WILL GUIDE PATIENT OR CAREGIVER THROUGH PRODUCT OPTIONS. SALES EMPLOYEE WILL COMPLETE SALES TRANSACTION.
- MECHANICAL ROOM: FOR ELECTRICAL AND MECHANIAL EQUIPMENT SUCH AS HVAC
- WASTE STORAGE: HOLD RENDERED CANNABIS WASTE UNTIL DISPOSED
- SECURITY/RECEPTION: CHECK IN PATIENTS/ CARE GIVERS AND ANY AUTHORIZED PERSON
- SECURE EXIT: TO EXIT THE DISPENSING SITE
- SECURE ENTRY: TO ENTER THE DISPENSING SITE
- PATIENT CONSULTATION: IF PATIENT WANTS TO COMMUNICATE IN PRIVATE AREA
- WORK AREA: GENERAL WORK AREA

In addition to these general floor plans, we also have rendered floor plans showing alarm systems/panic button site plans, security camera site plans, and IT/ WAPS site plans attached hereto:

[redacted]

[redacted]

Exhibit 18 – Facilities

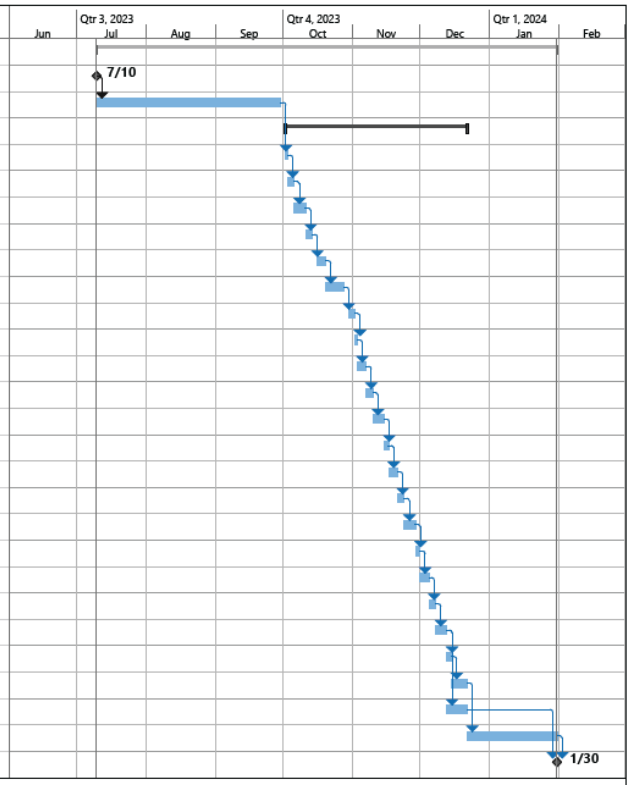
[redacted]

18.7 – Facility Timetable

The Applicant estimates that it will commence operations 147 days after license approval from AMCC. Within these 147 days, the Applicant will remodel the facility to meet safety, security, and local requirements/guidelines and train its staff in parallel to construction as

to not lose any time before commencement. Below is a visual timetable with time estimations for major steps in the process:

ID	Task Mode	Task Name	Duration	Start	Finish
0		Emerald Standard LLC Dispensary Timeline	147 days	Mon 7/10/23	Tue 1/30/24
1		License(s) Awarded	0 days	Mon 7/10/23	Mon 7/10/23
2		Planning and Zoning	60 days	Mon 7/10/23	Fri 9/29/23
3		Building Remodel	59 days	Mon 10/2/23	Thu 12/21/23
4		Mobilization	1 day	Mon 10/2/23	Mon 10/2/23
5		Demo	3 days	Tue 10/3/23	Thu 10/5/23
6		Saw/Remove Concrete Floors	3 days	Fri 10/6/23	Tue 10/10/23
7		Underground Mechanicals	3 days	Wed 10/11/23	Fri 10/13/23
8		Pour Concrete Trenches	4 days	Mon 10/16/23	Thu 10/19/23
9		Metal Stud Framing	6 days	Fri 10/20/23	Fri 10/27/23
10		2nd Rough Mechanicals	3 days	Mon 10/30/23	Wed 11/1/23
11		Install HM Frames	1 day	Thu 11/2/23	Thu 11/2/23
12		Insulation	2 days	Fri 11/3/23	Mon 11/6/23
13		Drywall	3 days	Tue 11/7/23	Thu 11/9/23
14		Install Vault	3 days	Fri 11/10/23	Tue 11/14/23
15		Prime Walls	2 days	Wed 11/15/23	Thu 11/16/23
16		Accoustical Ceiling Grid	2 days	Fri 11/17/23	Mon 11/20/23
17		Drop Lights and Registers	3 days	Tue 11/21/23	Thu 11/23/23
18		Pad Out Ceiling	3 days	Fri 11/24/23	Tue 11/28/23
19		Flooring	2 days	Wed 11/29/23	Thu 11/30/23
20		Casework	2 days	Fri 12/1/23	Mon 12/4/23
21		Electric, Plumbing, HVAC	3 days	Tue 12/5/23	Thu 12/7/23
22		Install Doors & Door Hardware	3 days	Fri 12/8/23	Tue 12/12/23
23		Final Paint	2 days	Wed 12/13/23	Thu 12/14/23
24		Final Inspection	5 days	Fri 12/15/23	Thu 12/21/23
25		Training	7 days	Wed 12/13/23	Thu 12/21/23
26		Commencement/Document Review	28 days	Fri 12/22/23	Tue 1/30/24
27		Opening	0 days	Tue 1/30/24	Tue 1/30/24



EMERALD STANDARD
MEDICAL DISPENSARY

Task | Split | Milestone | Summary | Project Summary | Inactive Task | Inactive Milestone | Inactive Summary | Manual Task | Duration-only | Manual Summary Rollup | Manual Summary | Start-only | Finish-only | External Tasks | External Milestone | Deadline | Progress | Manual Progress

Remodel Project Timeline

Page 1

Please note these timelines are created to the best of our knowledge and are based on previous experience with such practices but are subject to (and not limited to) availability of labor, materials, and permitting. Any delays in the timetable will have permission requested and amended after affirmation from AMCC.

18.8 – Public Access to Facility

In accordance with 538-x-08-.05-3.m.11 members of the public, other than patients and caregivers holding a valid, unexpired, unrevoked medical cannabis card, are not allowed inside a dispensing site.

18.9 – Facility Hours of Operation / After Hours Contact

The facility will be open 7 days a week from 9:00AM to 8:00PM. All after hours contact will be forwarded to our Manager of Security.

Emerald Standard – Killen (Dispensing Site)

Effective March, 2023, the the Killen property, which is in Lauderdale county has not yet received a letter of approval although an ordinance has passed, and we anticipate receiving permission to operate in the county upon award of license.

18.1 Facility Name and Type

Facility Name: Emerald Standard - Killen

Facility Type: Dispensing Site (Retail Facility)

18.2 Physical Address & GPS Coordinates of Facility

Physical Address: [redacted]

Due to this being land without utilities, there is no address for the facility.

Not Started, but completion expected 60 days after award of license.

GPS Coordinates: [redacted]

18.3 Aerial Photograph of Facility

Below is an aerial view of the Applicants proposed site. Note there are no schools, daycares, or childcare facilities located within 1,000 feet.

PROXIMITY MAP

SCALE: 1" = 400'

GPS COORDINATES: [redacted]

There are no schools, day cares, or childcare facilities located within 1,000 feet of the proposed dispensary location[1] .

REFERENCES:

[1]AMCC Rule 538 § x-8-.04-3-a(2022). The location of any dispensing site must be at least 1,000 feet from any school, day care, or childcare facility.

In addition to this high-level aerial photo of the facility, below is an aerial view showing clear boundaries of the facility, proposed parking spots, ADA parking spots and ramps, and security fences.

SITE PLAN

1 FP-01

SCALE: 1" = 60'

GPS COORDINATES: [redacted]

REFERENCES:

[1]Facility will be fully surrounded by a sufficient fence or barrier to deter unauthorized access. AMCC Rule 538 § x-3-.05-m-16-k-iv.(2022); Alabama Food Safety Administrative Code § 80-14-1-.04-3-a (2022)

[2]Exterior lighting will allow for the proper functioning of video surveillance equipment. AMCC Rule 538 § x-3-.05-m-16-k-iv.(2022)

[3] Parking spots will be for the exclusive use of patients and caregivers. AMCC Rule 538 § x-8-.0-2. (2022)

KEY NOTES:

01 ADA PARKING SPOT
02 ADA RAMP
03 SECURITY FENCE[1]
04 PROPERTY LINE

This photo is zoomed out to show surrounding areas:

Exhibit 18 – Facilities

18.4 Proof of Authorization to Occupy Property

The Applicant has signed a Letter of Intent (LOI) from the current owner to purchase the property identified in 18.1 above. See attached lease LOI identified as "Letter of Purchase Intent–Attachment to Exhibit 18 Emerald Standard – Killen (Dispensary Site), Section 18.4 – KILLEN".

18.5 – Local Jurisdiction Approvals

The Applicant has the certified ordinance from the County of Lauderdale stating its permission for this entity to operate within the address provided in 18.1 above. See attached local ordinance identified as "Local Ordinance–Attachment to Exhibit 18 Emerald Standard – Killen (Dispensary Site), Section 18.5– KILLEN".

The Applicant was unable to receive a local zoning approval letter from the County due to the refusal of the Clerk to provide such. In their statement to the Applicant, the local ordinance should be enough. Based on this guidance, we are operating with that as verbal approval.

18.6 – Blueprint of Facility

The rendered floor plan for the facility identified in 18.1 above is shown below with clear delineations of areas, their sizes, functions, etc.

[redacted]

ROOM FUNCTIONS

- FULFILLMENT AREA: INVENTORY EMPLOYEE WILL FULFILL PATIENT OR CAREGIVER'S ORDER AND PASS PRODUCT THROUGH THE PASS-THROUGH WINDOW (IF APPLICABLE) TO AN EMPLOYEE WHO WILL COMPLETE THE TRANSACTION.
- SECURED VAULT: ALL CANNABIS PRODUCTS WILL BE STORED IN THIS VAULT,
- RECEIVING AREA: DESIGNATED AREA FOR ALL INCOMING INVENTORY AND OUTGOING RECALLED PRODUCT AND WASTE.
- MANAGER'S OFFICE/OFFICE: OFFICE DEDICATED TO MANAGERIAL DUTIES
- IT/IT ROOM: TO HOUSE ALL THE ALARM, CAMERA AND ACCESS CONTROL SYSTEMS
- QUARANTINE AREA: TO STORE ITEMS BEING QUANRANTINED AND RECALLED
- BREAK: BREAK ROOM FOR THE EMPLOYEES TO TAKE BREAK
- WAITING ROOM: RECEPTIONIST WILL VERIFY PATIENT OR CAREGIVER'S ID AND MEDICAL REGISTRATIONS. SALES EMPLOYEE WILL BRING PATIENT OR CAREGIVER TO THE SALES FLOOR FOR TRANSACTION.
- SALES FLOOR: SALES EMPLOYEE WILL GUIDE PATIENT OR CAREGIVER THROUGH PRODUCT OPTIONS. SALES EMPLOYEE WILL COMPLETE SALES TRANSACTION.
- MECHANICAL ROOM: FOR ELECTRICAL AND MECHANIAL EQUIPMENT SUCH AS HVAC
- WASTE STORAGE: HOLD RENDERED CANNABIS WASTE UNTIL DISPOSED
- SECURITY/RECEPTION: CHECK IN PATIENTS/ CARE GIVERS AND ANY AUTHORIZED PERSON
- SECURE EXIT: TO EXIT THE DISPENSING SITE
- SECURE ENTRY: TO ENTER THE DISPENSING SITE
- PATIENT CONSULTATION: IF PATIENT WANTS TO COMMUNICATE IN PRIVATE AREA
- WORK AREA: GENERAL WORK AREA

In addition to these general floor plans, we also have rendered floor plans showing alarm systems/panic button site plans, surveillance site plans, and access controls site plans attached hereto:

[redacted]

Exhibit 18 – Facilities

[redacted]

Exhibit 18 – Facilities

[redacted]

Exhibit 18 – Facilities

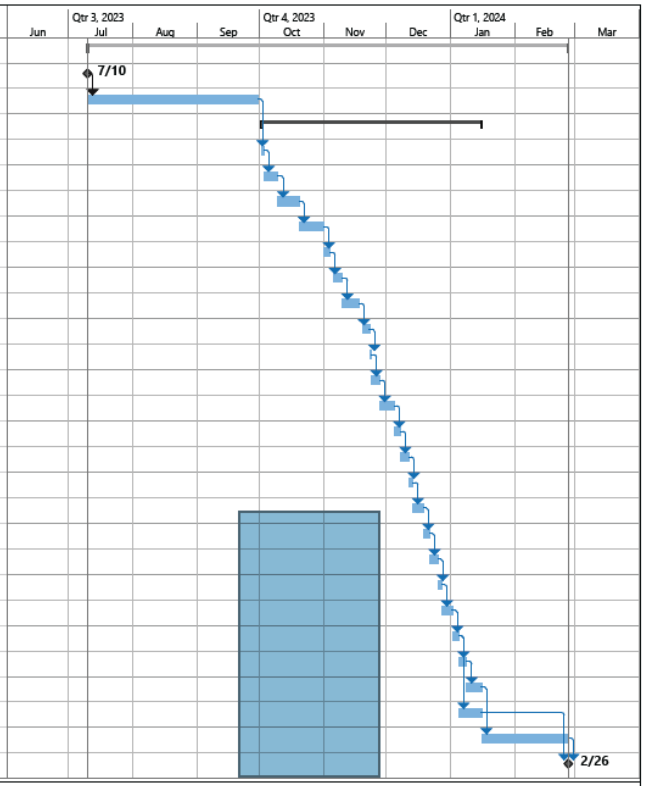
[redacted]

Exhibit 18 – Facilities

18.7 – Facility Timetable

The Applicant estimates that it will be commencing operations a total of 166 days after license approval from AMCC. Within these 166 days, the Applicant will execute new construction of the facility to meet safety, security, and local requirements/guidelines and train its staff in parallel to construction as to not lose any time before commencement. Below is a visual timetable with time estimations for major steps in the process:

ID	Task Mode	Task Name	Duration	Start	Finish
0		**Emerald Standard LLC Dispensary Timeline**	**166 days**	**Mon 7/10/23**	**Mon 2/26/24**
1		License(s) Awarded	0 days	Mon 7/10/23	Mon 7/10/23
2		Planning and Zoning	60 days	Mon 7/10/23	Fri 9/29/23
3		**Building Construction**	**76 days**	**Mon 10/2/23**	**Mon 1/15/24**
4		Mobilization	1 day	Mon 10/2/23	Mon 10/2/23
5		Site Preparation	5 days	Tue 10/3/23	Mon 10/9/23
6		Site Work	8 days	Tue 10/10/23	Thu 10/19/23
7		Foundation Construction	8 days	Fri 10/20/23	Tue 10/31/23
8		Underground Mechanicals	3 days	Wed 11/1/23	Fri 11/3/23
9		Pour Concrete Trenches	4 days	Mon 11/6/23	Thu 11/9/23
10		Metal Stud Framing	6 days	Fri 11/10/23	Fri 11/17/23
11		2nd Rough Mechanicals	3 days	Mon 11/20/23	Wed 11/22/23
12		Install HM Frames	1 day	Thu 11/23/23	Thu 11/23/23
13		Insulation	2 days	Fri 11/24/23	Mon 11/27/23
14		Drywall	5 days	Tue 11/28/23	Mon 12/4/23
15		Install Vault	3 days	Tue 12/5/23	Thu 12/7/23
16		Prime Walls	2 days	Fri 12/8/23	Mon 12/11/23
17		Accoustical Ceiling Grid	2 days	Tue 12/12/23	Wed 12/13/23
18		Drop Lights and Registers	3 days	Thu 12/14/23	Mon 12/18/23
19		Pad Out Ceiling	3 days	Tue 12/19/23	Thu 12/21/23
20		Flooring	2 days	Fri 12/22/23	Mon 12/25/23
21		Casework	2 days	Tue 12/26/23	Wed 12/27/23
22		Electric, Plumbing, HVAC	3 days	Thu 12/28/23	Mon 1/1/24
23		Install Doors & Door Hardware	3 days	Tue 1/2/24	Thu 1/4/24
24		Final Paint	2 days	Fri 1/5/24	Mon 1/8/24
25		Final Inspection	5 days	Tue 1/9/24	Mon 1/15/24
26		Training	7 days	Fri 1/5/24	Mon 1/15/24
27		Commencement/Document Review	30 days	Tue 1/16/24	Mon 2/26/24
28		Opening	0 days	Mon 2/26/24	Mon 2/26/24



EMERALD STANDARD
MEDICAL DISPENSARY

Task | Split | Milestone | Summary | Project Summary | Inactive Task | Inactive Milestone | Inactive Summary | Manual Task | Duration-only | Manual Summary Rollup | Manual Summary | Start-only | Finish-only | External Tasks | External Milestone | Deadline | Progress | Manual Progress

New Construction Project Timeline

Page 1

Please note these timelines are created to the best of our knowledge and are based on previous experience with such practices but are subject to (and not limited to) availability of labor, materials, and permitting. Any delays in the timetable will have permission requested and amended after affirmation from AMCC.

18.8 – Public Access to Facility

In accordance with 538-x-08-.05-3.m.11 members of the public, other than patients and caregivers holding a valid, unexpired, unrevoked medical cannabis card, are not allowed inside a dispensing site.

18.9 – Facility Hours of Operation / After Hours Contact

The facility will be open 7 days a week from 9:00AM to 8:00PM. All after hours contact will be forwarded to our Manager of Security.

Emerald Standard – Russellville (Dispensing Site)

18.1 Facility Name and Type

Facility Name: Emerald Standard - Russell

Facility Type: Dispensing Site (Retail Facility)

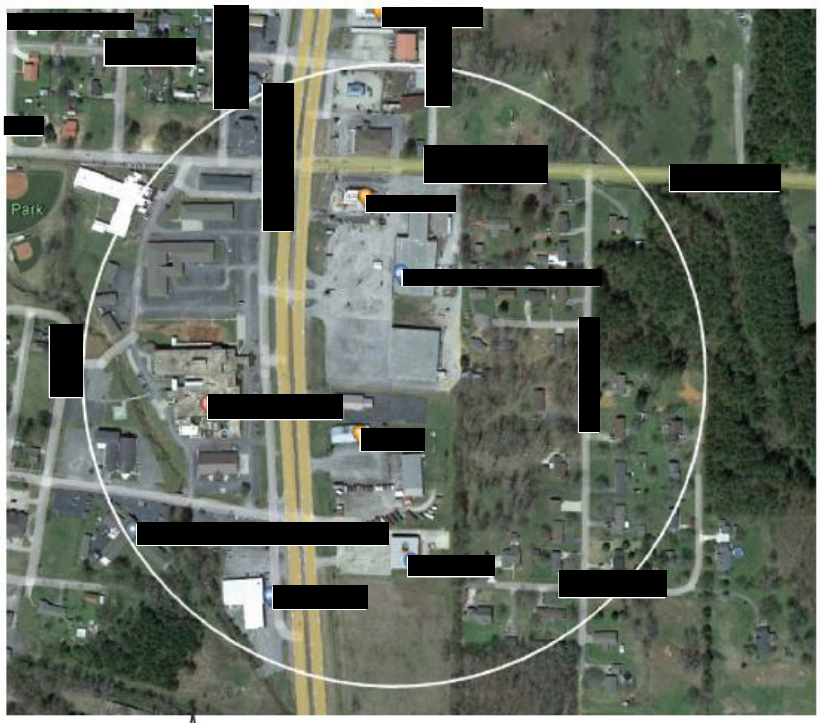
18.2 Physical Address & GPS Coordinates of Facility

Physical Address: [redacted] RUSSELLVILLE, AL 35653

GPS Coordinates: [redacted]

18.3 Aerial Photograph of Facility

Below is an aerial view of the Applicants proposed site. Note there are no schools, daycares, or childcare facilities located within 1,000 feet.



PROXIMITY MAP

SCALE: 1" = 400'

ADDRESS: [redacted]
RUSSELLVILLE, AL 35653
GPS COORDINATES: [redacted]

There are no schools, day cares, or childcare facilities located within 1,000 feet of the proposed dispensary location[1].

REFERENCES:
[1]AMCC Rule 538 § x-8-.04-3-a(2022). The location of any dispensing site must be at least 1,000 feet from any school, day care, or childcare facility.

In addition to this high-level aerial photo of the facility, below is an detailed aerial view showing clear boundaries of the facility against the neighboring tenant, proposed parking spots, ADA parking spots and ramps, security fences, and stairs.

1 FP-01

SITE PLAN

SCALE: 1" = 60'

ADDRESS: [redacted]
RUSSELLVILLE, AL 35653

GPS COORDINATES: [redacted]

KEY NOTES:

- 01 ADA PARKING SPOT
- 02 ADA RAMP
- 03 SECURITY FENCE[1]
- 04 PROPERTY LINE

REFERENCES:

[1]Facility will be fully surrounded by a sufficient fence or barrier to deter unauthorized access. AMCC Rule 538 § x-3-.05-m-16-k-iv.(2022); Alabama Food Safety Administrative Code § 80-14-1-.04-3-a (2022)

[2]Exterior lighting will allow for the proper functioning of video surveillance equipment. AMCC Rule 538 § x-3-.05-m-16-k-iv.(2022)

[3] Parking spots will be for the exclusive use of patients and caregivers. AMCC Rule 538 § x-8-.0-2. (2022)

Due to the high traffic volume on HWY 43, this facility location provides easy access for patients to the parking lot from the main road and a safe exit in front of the neighboring building out to Underwood Rd.

18.4 Proof of Authorization to Occupy Property

The Applicant has signed a Letter of Intent (LOI) from the current property to lease the property identified in 18.1 above. See attached lease LOI identified as "Letter of Lease Intent–Attachment to Exhibit 18 Emerald Standard – Russellville (Dispensary Site), Section 18.4 – RUSSELLVILLE".

18.5 – Local Jurisdiction Approvals

The Applicant has both the local, certified ordinance as well as a zoning approval letter from the City of Birmingham stating it's permission for this entity to operate within the zoning district B-2 at the address provided in 18.1 above. See attached local ordinance identified as "Local Ordinance–Attachment to Exhibit 18 Emerald Standard – Russellville (Dispensary Site), Section 18.5– RUSSELLVILLE" and the attached local zoning approval letter identified as "Local Zoning Approval Letter–Attachment to Exhibit 18 Emerald Standard – Russellville (Dispensary Site), Section 18.5– RUSSELLVILLE".

18.6 – Blueprint of Facility

The rendered floor plan for the facility identified in 18.1 above is shown below with clear delineations of areas, their sizes, functions, etc.

[redacted]

Exhibit 18 – Facilities

ROOM FUNCTIONS

- FULFILLMENT AREA: INVENTORY EMPLOYEE WILL FULFILL PATIENT OR CAREGIVER'S ORDER AND PASS PRODUCT THROUGH THE PASS-THROUGH WINDOW (IF APPLICABLE) TO AN EMPLOYEE WHO WILL COMPLETE THE TRANSACTION.
- SECURED VAULT: ALL CANNABIS PRODUCTS WILL BE STORED IN THIS VAULT,
- RECEIVING AREA: DESIGNATED AREA FOR ALL INCOMING INVENTORY AND OUTGOING RECALLED PRODUCT AND WASTE.
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- IT/IT ROOM: TO HOUSE ALL THE ALARM, CAMERA AND ACCESS CONTROL SYSTEMS
- QUARANTINE AREA: TO STORE ITEMS BEING QUANRANTINED AND RECALLED
- BREAK: BREAK ROOM FOR THE EMPLOYEES TO TAKE BREAK
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- SECURE EXIT: TO EXIT THE DISPENSING SITE
- SECURE ENTRY: TO ENTER THE DISPENSING SITE
- PATIENT CONSULTATION: IF PATIENT WANTS TO COMMUNICATE IN PRIVATE AREA
- WORK AREA: GENERAL WORK AREA

In addition to these general floor plans, we also have rendered floor plans showing alarm systems/panic button site plans, security camera site plans, and IT/ WAPS site plans attached hereto:

[redacted]

Exhibit 18 – Facilities

[redacted]

Exhibit 18 – Facilities

[redacted]

Exhibit 18 – Facilities

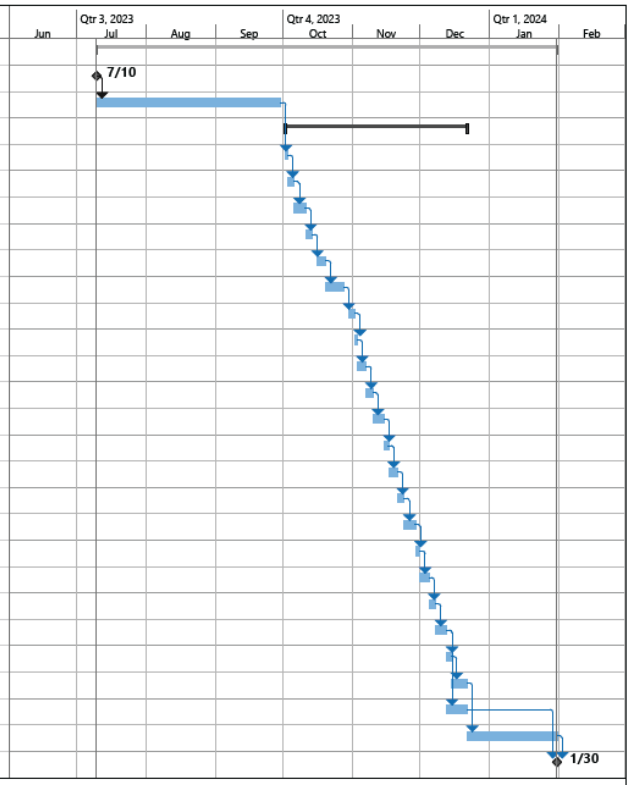
[redacted]

18.7 – Facility Timetable

The Applicant estimates that it will be commencing operations a total of 147 days after license approval from AMCC. Within these 147 days, the Applicant will remodel the facility to meet safety, security, and local requirements/guidelines and train its staff in parallel to

construction as to not lose any time before commencement. Below is a visual timetable with time estimations for major steps in the process:

ID	Task Mode	Task Name	Duration	Start	Finish
0		Emerald Standard LLC Dispensary Timeline	147 days	Mon 7/10/23	Tue 1/30/24
1		License(s) Awarded	0 days	Mon 7/10/23	Mon 7/10/23
2		Planning and Zoning	60 days	Mon 7/10/23	Fri 9/29/23
3		Building Remodel	59 days	Mon 10/2/23	Thu 12/21/23
4		Mobilization	1 day	Mon 10/2/23	Mon 10/2/23
5		Demo	3 days	Tue 10/3/23	Thu 10/5/23
6		Saw/Remove Concrete Floors	3 days	Fri 10/6/23	Tue 10/10/23
7		Underground Mechanicals	3 days	Wed 10/11/23	Fri 10/13/23
8		Pour Concrete Trenches	4 days	Mon 10/16/23	Thu 10/19/23
9		Metal Stud Framing	6 days	Fri 10/20/23	Fri 10/27/23
10		2nd Rough Mechanicals	3 days	Mon 10/30/23	Wed 11/1/23
11		Install HM Frames	1 day	Thu 11/2/23	Thu 11/2/23
12		Insulation	2 days	Fri 11/3/23	Mon 11/6/23
13		Drywall	3 days	Tue 11/7/23	Thu 11/9/23
14		Install Vault	3 days	Fri 11/10/23	Tue 11/14/23
15		Prime Walls	2 days	Wed 11/15/23	Thu 11/16/23
16		Accoustical Ceiling Grid	2 days	Fri 11/17/23	Mon 11/20/23
17		Drop Lights and Registers	3 days	Tue 11/21/23	Thu 11/23/23
18		Pad Out Ceiling	3 days	Fri 11/24/23	Tue 11/28/23
19		Flooring	2 days	Wed 11/29/23	Thu 11/30/23
20		Casework	2 days	Fri 12/1/23	Mon 12/4/23
21		Electric, Plumbing, HVAC	3 days	Tue 12/5/23	Thu 12/7/23
22		Install Doors & Door Hardware	3 days	Fri 12/8/23	Tue 12/12/23
23		Final Paint	2 days	Wed 12/13/23	Thu 12/14/23
24		Final Inspection	5 days	Fri 12/15/23	Thu 12/21/23
25		Training	7 days	Wed 12/13/23	Thu 12/21/23
26		Commencement/Document Review	28 days	Fri 12/22/23	Tue 1/30/24
27		Opening	0 days	Tue 1/30/24	Tue 1/30/24



EMERALD STANDARD
MEDICAL DISPENSARY

Task | Split | Milestone | Summary | Project Summary | Inactive Task | Inactive Milestone | Inactive Summary | Manual Task | Duration-only | Manual Summary Rollup | Manual Summary | Start-only | Finish-only | External Tasks | External Milestone | Deadline | Progress | Manual Progress

Remodel Project Timeline Page 1

Please note these timelines are created to the best of our knowledge and are based on previous experience with such practices but are subject to (and not limited to) availability of labor, materials, and permitting. Any delays in the timetable will have permission requested and amended after affirmation from AMCC.

18.8 – Public Access to Facility

In accordance with 538-x-08-.05-3.m.11 members of the public, other than patients and caregivers holding a valid, unexpired, unrevoked medical cannabis card, are not allowed inside a dispensing site.

18.9 – Facility Hours of Operation / After Hours Contact

The facility will be open 7 days a week from 9:00AM to 8:00PM. All after hours contact will be forwarded to our Manager of Security.

Additional Notes on Exhibit 18:

The information contained in this exhibit is based on the best available knowledge to the applicant at the time of submission. The applicant will update or amend any information in this exhibit that may change. The applicant does not propose any additional facilities.

Letter of Lease Intent–Attachment to Exhibit 18 Emerald Standard – Birmingham (Dispensary Site), Section 18.4 – BIRMINGHAM

Landlord:	[redacted]
Tenant:	Emerald Standard Dispensary (guaranty will be provided).
Premises:	[redacted] Birmingham, AL 35203
Size:	1,500 square feet approximately
Use:	Medical Marijuana Dispensary
Lease Term:	Ten (10) years and four (4) months
Base Rental:	$3,000.00 per month, increasing annually by 3% per year.
Lease Inception Date:	July 1, 2023* (after six month due diligence period expires)
Rental Inception Date:	November 1, 2023 (tenant shall have four months rent-free)
Additional Rental:	Lessee shall be responsible for property taxes and property insurance and all expenses associated with the operation of the Premises.
Tenant Improvements:	Tenant accepts Premises in "as-is, where-is" condition. All improvements to Premises shall be borne solely by Tenant. Lessor shall provide three (3) months rent-free in order to offset Tenant's build-out expense.
Due Diligence Period:	Beginning January 1, 2023 and expiring June 30, 2023, Tenant shall have this six (6)-month Due Diligence Period to acquire the proper permitting and authority to open business for said Use. During this period, Landlord agrees to hold and reserve the Premises for Tenant. In exchange for this reservation Tenant shall pay to Landlord an amount equal to $1,000.00 each month as "rent."
Option to Renew:	Tenant shall have a one-time option to extend the Lease for a period of five (5) years. All terms and conditions of said option shall be the same as the original lease agreement to include 3% annual increases in the base rental.

ACCEPTED BY:

[redacted]

BY: [redacted]
Its:

Date: 12-01-2022

Emerald Standard Dispensary

BY: [redacted]
Its:

Date: 11/30/2022

Local Ordinance–Attachment to Exhibit 18 Emerald Standard – Birmingham (Dispensary Site), Section 18.5– BIRMINGHAM

Oc04oca28jb.o1

RECOMMENDED BY: THE MAYOR AND THE PUBLIC SAFETY COMMITTEE

SUBMITTED BY: THE CITY ATTORNEY

ORDINANCE NO. 22-142

AN ORDINANCE TO AUTHORIZE THE OPERATION OF MEDICAL CANNABIS DISPENSARIES WITHIN THE CORPORATE LIMITS OF THE CITY OF BIRMINGHAM, PURSUANT TO ALA. CODE, 1975, § 20-2A-51(c).

WHEREAS, the Darren Wesley "Ato" Hall Compassion Act, codified at Ala. Code, 1975, § 20-2A-1, et seq. (the Act), authorizes the cultivation, processing, and sales of cannabis products for medical use by patients with a qualifying medical condition and a valid medical cannabis card; and

WHEREAS, the Act requires the Alabama Medical Cannabis Commission to adopt rules that ensure safety, security, and integrity of the operation of medical cannabis facilities and protect the health, safety, and security of the public, thus heavily regulating all aspects of the medical cannabis industry, including dispensary operations, (See §§ 20-2A-50 – 20-2A-68, Code of Alabama); and,

WHEREAS, the Alabama Medical Cannabis Commission published its rules adopted pursuant to Ala. Code, 1975, § 20-2A-53 on August 31, 2022, and will accept applications from October 31 through December 30, 2022; and,

WHEREAS, the Commission will deem applications complete and submitted on or about April, 13, 2023, and will open a public comment period on or about April 14, 2023 to accept comments from the public on all pending applications and may set a public hearing, at the Commission's discretion, before the issuance of licenses on or after July 10, 2023; and,

WHEREAS, the number of licenses for dispensary facilities to be issued by the Commission is limited as follows:

Integrated Facilities – up to 5 licenses; each licensee authorized up to 5 dispensing sites located in separate counties

Dispensary – up to 4 licenses; each licensee authorized up to 3 dispensing sites located in separate counties

1

WHEREAS, a dispensary, including a dispensary under an Integrated Facility License may only operate in a municipality if the municipality has passed an ordinance authorizing the operation of dispensaries within the municipality's corporate limits; and

WHEREAS, the health care industry is a highly valued segment of the City of Birmingham's economy and includes world-renown health care resources, including hospitals, clinics, education, and research facilities and the valued professionals and support staff who care for patients from within the state, the nation, and from abroad; and,

WHEREAS, the operation of a medical cannabis dispensary within the corporate limits of the City of Birmingham will further expand opportunities for the City's flourishing health care industry and provide new options to care for patients with qualifying medical conditions; and,

WHEREAS, the operation of a medial cannabis dispensary will provide new economic and employment opportunities and new revenue through business licensing and other taxes; and,

WHEREAS, the Council of the City of Birmingham finds that it is in the best interest of the public health, safety, and welfare to authorize the operation of medical cannabis dispensing facilities within the City of Birmingham, subject to the strict regulation and oversight of the Alabama Medical Cannabis Commission.

NOW THEREFORE, BE IT ORDAINED by the Council of the City of Birmingham as follows:

SECTION 1.

(a) The operation of medical cannabis dispensaries within the corporate limits of the City of Birmingham is authorized, subject to any applicable zoning restrictions the City may adopt pursuant to Ala. Code, 1975, § 20-2A-51(c)(3).

(b) The operation of any facility, regardless of type, licensed by the Alabama Medical Cannabis Commission within the City of Birmingham shall comply with the Commission's strict regulation and oversight and shall comply with all laws and ordinances for the operation of a business within the City, including, but not limited to business licensing and other required taxes, and with all applicable ordinances and codes for location, construction, and sanitation of business premises within the City of Birmingham.

(c) This ordinance shall be interpreted with respect to the Darren Wesley "Ato" Hall Compassion Act, Ala. Code, 1975, § 20-2A-1, et seq. and the rules of the Alabama Medical Cannabis Commission, as either is now or may hereafter be amended.

SECTION 2.

A certified copy of this ordinance shall be submitted within seven days of its adoption to the Alabama Medical Cannabis Commission by the City Clerk, as provided in Ala. Code, 1975, § 20-2A-51(c)(2). The City Clerk and the Director of the Department of Innovation and Economic Opportunity may cooperate to ensure and record the submittal of the ordinance.

SECTION 3. SEVERABILITY. The provisions of this ordinance are severable. If any part of this ordinance is determined by a court of competent jurisdiction to be invalid, unenforceable or unconstitutional, such determination shall not affect any other part of this ordinance.

SECTION 4. EFFECTIVE DATE. This ordinance shall be effective when published as required by law.

Adopted by the Council October 4, 2022 and Approved by the Mayor October 6, 2022

A CERTIFIED COPY
Lee Frazier, City Clerk
Birmingham, Al

3

Local Zoning Approval Letter–Attachment to Exhibit 18 Emerald Standard – Birmingham (Dispensary Site), Section 18.5– BIRMINGHAM

CITY OF BIRMINGHAM

Department Of Planning, Engineering & Permits
710 North 20th Street
City Hall | Room 210
Birmingham, Alabama 35203

PUTTING PEOPLE FIRST

RANDALL L. WOODFIN
MAYOR

KATRINA THOMAS
DIRECTOR

December 16, 2022

Emerald Standard, LLC
[redacted]
Florence, AL 35630

RE: [redacted] **Birmingham, AL 35222** **PID#** [redacted]

ZCL#2022-00191

To Whom It May Concern:

The property located at [redacted] is zoned M-1, Light Manufacturing District. Properties to the north, south, east & west are also zoned M-1. The property is located in the Forest Park Neighborhood and Council District 3. According to the City of Birmingham's Geographic Information System (GIS), the property is not located in a regulated floodplain or Commercial Revitalization/Historic District.

A Medical Cannabis Dispensary is permitted with conditions in this zoning district. The conditions include:

1. The applicant submits a statement from the Alabama Medical Cannabis Commission, AMCC, that the proposed facility meets requirements for operation and that all applicable and/or appropriate license or licenses have been obtained. If no license or licenses are needed or required for operation from the above listed agency, a letter stating that fact from this agency must be provided.
2. Medical Cannabis Dispensaries authorized pursuant to Ala. Code, 1975, § 20-2A-64, as now or hereafter amended, shall operate with the provisions of Ala. Code, 1975, § 20-2A-1, et seq., as now or hereafter amended, and shall comply with all Rules and Regulations of the Alabama Medical Cannabis Commission, AMCC. Except as specifically provided in this Section, Dispensaries shall be governed by the Medical Cannabis Act and the AMCC Rules.

The City of Birmingham's Zoning Ordinance is available online at www.birminghamal.gov

This letter is only to confirm zoning information for the location provided in your request but does not verify that the location meets the spacing required for a dispensary. This letter does not approve the use of the medical cannabis dispensary at the above-mentioned address. All medical cannabis related uses in the City of Birmingham must be approved by the Alabama Medical Cannabis Commission and shall comply with the Rules and Regulations of the Alabama Medical Cannabis Commission.

Sincerely,

Kimberly D. Speorl
Zoning Administrator

The City of Birmingham's Zoning Ordinance is available online at www.birminghamal.gov

Letter of Purchase Intent–Attachment to Exhibit 18 Emerald Standard – Killen (Dispensary Site), Section 18.4 – KILLEN

LETTER OF INTENT

Date	December 26, 2022
Seller	[redacted]
Tenant	Emerald Standard, LLC d/b/a Emerald Standard Dispensary
Premises	[redacted]Killen, Alabama

This Letter of Intent expresses Purchaser's intent to purchase from Seller, and Seller's intent to sell to Purchase, a parcel of land measuring one (1) square acre (the "Property") in the Southwest corner of the real property described on Exhibit "A" attached hereto and incorporated herein by reference according to the following terms and conditions:

Purchase Price	To be negotiated by the Parties at the end of the Due Diligence Period based on an appraisal of the Property.
Due Diligence Period	Purchaser shall have a due diligence period of six (6) months, beginning January 1, 2023, and ending June 30, 2023. During this Due Diligence Period, Purchaser shall have the right to conduct inspections of the Property, acquire proper permitting and authority for the intended use of the Property, survey the Property, and to conduct such other feasibility and due diligence work relative to the Purchaser's intended use of the Property.
Closing	Within forty-five (45) days of the end of the Due Diligence Period.
Property Taxes	Real property taxes shall be prorated at closing according to the local customary proration method.
Contingencies	Purchaser's obligations under this Letter of Intent are contingent upon Purchaser's acquisition of a dispensary license from the Alabama Medical Cannabis Commission. Purchaser's obligation under this Letter of Intent is further contingent upon Purchaser's ability to obtain acquisition financing equal to seventy-five percent (75%) of the Purchase Price.

This Letter of Intent is not designed to and does NOT create a binding agreement between the Parties. The purpose of this letter is to set forth the primary terms and conditions upon which to execute a formal Real Estate Purchase and Sale Agreement ("Purchase Agreement"). All other terms and conditions shall be negotiated by the Parties and incorporated in the Purchase Agreement.

This letter of intent is open for acceptance until December 31, 2022.

Sincerely,

Emerald Standard, LLC

[redacted]

[redacted]
For the Company

Accepted by:

[redacted] 12/26/2022
Date
Its: Authorized Member

EXHIBIT A

Local Ordinance–Attachment to Exhibit 18 Emerald Standard – Killen (Dispensary Site), Section 18.5– KILLEN

STATE OF ALABAMA §

LAUDERDALE COUNTY §

RESOLUTION

NOW THEREFORE BE IT RESOLVED by the Lauderdale County Commission that, pursuant to Section 20-2A-51 of the Code of Alabama 1975, as amended, a holder of a license granted by the State of Alabama pursuant to Chapter 2A of Title 20 of the Code of Alabama, 1975, as amended, is hereby authorized to operate a medical cannabis dispensing site or sites within the unincorporated areas of Lauderdale County, subject to the provisions of said Chapter 2A and any relevant provisions of the code of Alabama.

BE IT FURTHER RESOLVED that the Lauderdale County Commission authorizes the Commission Chairman to sign all related paperwork.

Done this the 12th day of December, 2022.

LAUDERDALE COUNTY COMMISSION

Danny Pettus, Chairman

Roger Garner, Commissioner

Brad Black, Commissioner

Fay Parker, Commissioner

Joe Hackworth, Commissioner

ATTEST:

Brenda Bryant, County Administrator

Letter of Lease Intent–Attachment to Exhibit 18 Emerald Standard – Russellville (Dispensary Site), Section 18.4 – RUSSELLVILLE

LETTER OF INTENT

Date	December _27_, 2022
Landlord	[redacted]
Tenant	Emerald Standard, LLC d/b/a Emerald Standard Dispensary
Premises	[redacted] Russellville, Alabama 35653

This Letter of Intent expresses Tenant's intent to lease the Premises from the Landlord on the following terms and conditions:

Proposed Use	Medical marijuana dispensary
Size of Space	5,000 square feet, more or less
Due Diligence Period	Tenant shall have a period of six (6) months, beginning January 1, 2023, and ending June 30, 2023. During this Due Diligence Period, Tenant shall have the right to conduct inspections of the Premises, acquire proper permitting and authority to open business for the Proposed Use, and to conduct such other feasibility and due diligence work relative to the Proposed Use
Commencement Date	July 1, 2023 (after the expiration of the Due Diligence Period)
Lease Payments	Beginning April 1, 2023, Tenant shall make monthly lease payments to Landlord in the amount of $_____. The lease payments shall be subject to a three percent (3%) annual increase.
Taxes and Insurance	During the initial term of the Lease and any option periods, Tenant shall be responsible for the payment of property taxes, hazard insurance premiums, and all expenses associated with the operation and routine maintenance of the Premises, except that Landlord shall be responsible for maintenance and/or replacement of the roof, and outside walls.
Tenant Improvements	Tenant shall be responsible for the costs to build-out and construct any improvements required or desired by Tenant to make the Premises suitable for the Proposed Use.
Option to Renew	Tenant shall have the option to extend the Lease for one (1) additional period of five (5) years. All terms and conditions of the original lease term shall apply to the option term, including the three percent (3%) annual increase in the lease payments.

This Letter of Intent is not designed to and does NOT create a binding agreement between the Parties. The purpose of this letter is to set forth the primary terms and conditions upon which to execute a formal Commercial Real Estate Lease ("Lease"). All other terms and conditions shall be negotiated by the Parties and incorporated in the Lease.

Sincerely,

Emerald Standard, LLC

[redacted]

For the Company

Accepted by:

Landlord

[redacted] 12-27-2022

Date

HB

Local Ordinance–Attachment to Exhibit 18 Emerald Standard – Russellville (Dispensary Site), Section 18.5– RUSSELLVILLE

CITY OF RUSSELLVILLE
ORDINANCE NO. 2022-102

TO AUTHORIZE THE OPERATION OF MEDICAL CANNABIS DISPENSING SITES WITHIN THE CORPORATE LIMITS OF THE CITY OF RUSSELLVILLE

WHEREAS, during the 2021 Regular Session of the Alabama Legislature, Act 2021-450 was enacted and codified in Title 20, 2A, Code of Alabama 1975, to create within Alabama a wholly interstate system of the cultivation, processing, and distribution of medical cannabis; and

WHEREAS, Act 2021-450 defines a "dispensary" as an entity licensed by the Alabama Medical Cannabis Commission to dispense and sell medical cannabis at the dispensing sites to registered, qualified patients and registered caregivers; and

WHEREAS, Act 2021-450 defines an "integrated facility" as an entity licensed to perform the functions of a cultivator, processor, secure transporter, and dispensary; and

WHEREAS, Act 2021-450 defines a "dispensing site" as a site operated by a dispensary licensee or an integrated facility licensee; and

WHEREAS, Act 2021-450 states that a dispensary licensee or integrated facility licensee may not operate a dispensing site within a municipality unless the governing body of that municipality has authorized, by ordinance, the operation of dispensing sites within its jurisdictional boundary; and

WHEREAS, Act 2021-450 states that a program providing for the administration of cannabis derivatives for medical use in this state will not only benefit patients by providing relief from pain and other debilitating symptoms but will also provide opportunities for patients with debilitating conditions to function and have a better quality of life and provide employment and business opportunities for farmers; and

WHEREAS, the City Council believes it is in the public's interest to authorize the operation of dispensing sites within the corporate limits of the City of Russellville.

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF RUSSELLVILLE, ALABAMA, AS FOLLOWS:

1. The Russellville City Council does hereby authorize the operation of medical cannabis dispensing sites by dispensary licensees and integrated facility licensees within the corporate limits of the City of Russellville.

2. The City Clerk or designee is hereby directed to forward a copy of this ordinance to the Alabama Medical Cannabis Commission within seven calendar days after its adoption.

3. Each and every provision of this Ordinance is hereby declared to be an independent provision and the holding of any provision hereof to be void or invalid for any reason shall not affect any other provision hereof, and it is hereby declared that the other provisions of this Ordinance would have been enacted regardless of any provisions which might have been invalid.

4. This ordinance shall become effective upon its passage and publication as required by law.

ADOPTED BY THE CITY COUNCIL this the day of September 6, 2022.

David R. Grissom, Mayor

ATTEST:

Belinda Miller, City Clerk

Local Zoning Approval Letter–Attachment to Exhibit 18 Emerald Standard – Russellville (Dispensary Site), Section 18.5– RUSSELLVILLE

P.O. Box 1000
Russellville, Alabama
35653

Phone
(256) 332-6060

Fax
(256) 332-8777

December 21, 2022

Emerald Standard, LLC
[redacted]
Florence, AL 35634

Dear [redacted]

The City of Russellville adopted ordinance number 2022-102 on September 6, 2022, which allows for Medical Cannabis Dispensaries to be located inside the city limits of the City of Russellville, Alabama.

The address at [redacted] is currently zoned as B-2, General Business; and, therefore, a Medical Cannabis Dispensary is permitted based on our City of Russellville zoning ordinance, subject to the State of Alabama restrictions and regulations regarding locations.

Sincerely,

Bill C. Fuller, Jr.
Building, License and Zoning Official

David Reed Grissom
Mayor

Belinda Miller, CMC
City Clerk/Treasurer

City Council:

David Palmer
District 1

Darren Woodruff
District 2

Gary Cummings
District 3/Mayor Pro-Tempore

Dexter Hamilton
District 4

Jamie Harris
District 5

Exhibit 19 – Security Plan

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	3/21/2023
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

Introduction

We are foundationally committed to providing safe medical cannabis products in a secure manner to registered patients. We will provide effective controls and procedures to guard against theft and diversion of cannabis, unauthorized access to our premises, and unauthorized access to our electronic systems. Our controls will also include but are not limited to systems to protect against electronic records tampering. We will install, maintain in good working order, and operate a safety and security alarm system at our dispensing site that will provide suitable protection against theft and diversion. We will implement appropriate security and safety measures including barriers and rigid fencing to deter and prevent unauthorized entrance into areas containing cannabis and the theft of cannabis. We will also implement security measures that protect the premises, patients, our personnel, and our neighbors and community members.

Upon license award, company representatives in each community will reach out to the local police and fire authorities to discuss the company's safety and security plans and we will maintain a transparent and collaborative relationship.

19.1 – Twenty-Four-Hour Alarm Systems

We will equip our facilities with 24-hour intrusion detection hardware and technology, including a commercial-grade, professionally monitored security alarm system installed by an Alabama-licensed private alarm contractor or private alarm contractor agency. Ala. Admin Code. r. 538-x-8-.05.03.m.01. This complex but highly customizable system will always remain operational, actively securing all points of ingress and egress, and all windows. We will also maintain a backup system that activates immediately and automatically upon a loss of electrical support and that immediately issues either automatic or electronic notification to the Alabama Law Enforcement Agency ("ALEA") or local police agencies of the loss of electrical support. Our security system will include the use of professional-grade motion detectors, pressure switches, panic alarms, specialized security lighting, video cameras, and other equipment working in tandem to protect all areas where cannabis or medical cannabis products are delivered, received, handled, stored, prepared, tested, packaged, labeled, readied for transport, or sold.

Our alarm system will feature immediate automatic or electronic notification to alert cannabis business personnel and local police agencies to an unauthorized breach of security or an alarm or system failure at our facility. If a failure of our security alarm system due to a loss of electrical support or mechanical malfunction is expected to last longer than eight hours, we will notify the Alabama Medical Cannabis Commission ("the AMCC"), implement alternative security measures approved by the AMCC, or close the authorized physical addresses impacted by the failure or malfunction until the security alarm system is restored to full operation.

Our alarm systems will feature multiple different types of alarms for use under different emergency circumstances. Our alarms will include both silent "duress/holdup alarms" and audible "panic button alarms." Ala. Admin Code. r. 538-x-8-.05.03.m.02. The alarm systems will be connected to the facility fire detection system to facilitate warnings for any emergencies. All audible alarms will feature different and distinct alert sounds for each alarm type, and management will train employees on how to react to each alarm.

We will use a DMP XR550 Alarm Panel or similar as our alarm panel to integrate intrusion detection, video surveillance, and access control functions, providing a fully integrated security solution. Throughout the facility, these DMP XR550 Alarm Panels or similar will allow for alarm system control. Alarms will interact with the video surveillance system to provide live video from each camera associated with an alarm. Alarms will provide full coverage of our facility to detect any unauthorized entry attempt. Intrusion detection alarm systems will secure our facility against unauthorized access 24-hours-per-day, 7-days-per-week. Intrusion sensors will include the following, or similar: Bosch DS1101i glass break detectors or similar on all windows; Optex FX-360 PIR motion detectors or similar throughout the facility using passive infrared, with adjustable sensitivity and wall-to-wall coverage; heat/smoke detectors; carbon monoxide detectors; and other environmental sensors. Facility doors will also feature DMP 335 indoor sirens, DMP MET-44WG-18 overhead contacts, and DMP 263LTE-V/381-2 LTE cellular communicators to facilitate intrusion detection and alert.

19.2 – Alarms

Our security systems will feature multiple types of alarms for use under different emergency circumstances. Our alarms will include both silent "duress/holdup alarms" and audible "panic button alarms." Ala. Admin Code. r. 538-x-8-.05.03.m.02. The alarm systems will be connected to the facility fire detection system to facilitate warnings for any emergencies. All audible alarms will feature different and distinct alert sounds for each alarm type, and management will train employees on how to react to each alarm. Panic and duress alarms will be strategically located in reception areas and at all ingress and egress points. Trained personnel will activate alarms in the event of unauthorized facility access. Renderings of these security systems and alarms are located in Exhibit 18 - Facilities for each dispensary site.

19.3 – Broadcast Communication Devices

Multiple types of professional broadcast communication devices will form the backbone of our ability to respond to any threat quickly and efficiently. We will utilize two-way radio transmitters such as the Garmin Rino 750 or similar two-way handheld radio systems for communication within our facility. All communication systems and devices will be capable of transmitting information clearly to anyone within earshot of the employee or device receiving the communication. Ala. Admin Code. r. 538-x-8-.05.03.m.03.c. We will conduct regular system tests to guarantee audibility and clarity.

Hardwired communication devices will be installed or available at all ingress and egress points, reception areas, and the security office, while mobile communication devices will be carried by each employee. Ala. Admin Code. r. 538-x-8-.05.03.m.03.a. All employees will be trained in the correct operation of all communication systems. These policies and practices are documented in our Employee Handbook and our Policies and Procedures Manual, which all employees and managers must read and provide written acknowledgement of receipt and understanding prior to beginning their duties.

19.4 – Audio and Visual Surveillance

We will professionally affix and position video cameras and other security equipment in sufficient quantity and quality to cover all areas of our premises. We will install all cameras

so that lenses will not be obstructed, easily tampered with, or disabled, such as by placing cameras high enough to be out of reach without a ladder. We will instruct our surveillance system installers to position cameras in locations that maximize the quality of facial images, bodily images, vehicle descriptions, and license plate numbers, while avoiding backlights and physical obstructions.

Video surveillance cameras will operate 24-hours-per-day, 7-days-per-week to record all activity both inside and outside of our facilities. Ala. Admin Code. r. 538-x-8-.05.03.m.04; Ala. Admin Code. r. 538-x-3-.05.03.m.16.k.iii. For the safety of our personnel, patients, and premises, we will also record the exterior of our facility, including parking lots and transportation areas. Our video surveillance system ("VSS") will include the use of specialized lighting, high-definition video cameras, and security monitors, to record all areas where cannabis or medical cannabis products are received, prepared, delivered, handled, stored, tested, packaged, labeled, or sold. The VSS will provide a clear, non-obstructive, date and time stamp on all monitors and recordings. Our lighting strategies, technology, and equipment will increase during dusk and dawn, and in all other ambient light environments. This lighting technology will extend to the interior of our facilities, where all areas occupied by staff, and all areas that contain cannabis, will feature lighting sufficient to identify any person and activities captured via still images and video.

All original tapes or digital pictures produced by this system will be stored in a safe place with a 60-day archive. We will specifically safeguard audio and video recordings of potential diversion events for at least two years, or until the diversion incident has been fully resolved, including the prosecution and disciplinary action of any persons involved in the incident. At the request of the AMCC, its inspectors, or law enforcement officials, we will store any digital recordings for a longer period.

We will utilize digital archiving devices, including a QNAP network attached storage ("NAS") device or similar. Our VSS system will feature the ability to capture audio at multiple locations throughout our facility, allowing us to monitor and record audio including conversations and other audible activities within camera range to a level of 20 decibels. Ala. Ala. Admin Code. r. 538-x-3-.05.03.m.16.k.iii. We will use cameras, such as the Illumivue 5MP dome camera, Illumivue 5MP bullet camera, Illumivue 8MP bullet camera, and Hanwha 360° fisheye cameras including: 3mm lens, intrusion, and line cross, temperature exception alarm,

fire detection, audio and alarm I/O (G.711U, G.711A, with a bit rate of 64 Kbps), or similar. Our cameras will have motion activated capabilities.

Continuously operating surveillance cameras will clearly monitor all critical control activities of the cannabis business. For the AMCC, our VSS will have direct feed and login capabilities, allowing real-time access, monitoring, and reverse functionality, via a secure internet connection. Law enforcement agencies including ALEA may also be given this accessibility, as directed by the AMCC. Surveillance cameras will interface with our facility intrusion detection alarm system to show live or recorded video or switch any camera in the system to a live monitor automatically, based on an alarm trigger. We will install adequate lighting to surveil interior and exterior areas of the property at all times of night or day, which will illuminate all entrances and exits. Security personnel will conduct regular verifications of lighting equipment to keep interior and exterior lighting in good working order and to make sure that wattage is sufficient for security cameras to capture facial and vehicular details. We will strategically locate interior and exterior lighting to provide illumination sufficient to deter nuisance and criminal activity and facilitate surveillance, and we will make reasonable efforts to not disturb surrounding businesses or neighbors. Renderings of these camera systems are located in Exhibit 18 - Facilities for each dispensary site.

19.5 – Perimeter Protection

We will carefully plan our exterior landscaping and lighting to maintain the area around the facility in ideal conditions for video and visual surveillance. We will keep the immediate area surrounding the facility clean and clear of visual obstructions, including bushes and trees. Keeping this area clear will allow for effective visual and video surveillance, while also preventing intruders from using terrain and vegetation for concealment. We will strategically locate interior and exterior lighting to provide illumination sufficient to deter nuisance and criminal activity and facilitate surveillance. We will make reasonable efforts to not disturb surrounding businesses and neighbors with our security lighting.

The security lighting systems that we will deploy and maintain will provide ample lighting to permit all video surveillance equipment to function properly 24 hours per day and provide images of such clarity that any persons and activities filmed can be identified,

including on the perimeter or borders of our property. Ala. Admin Code. r. 538-x-3-.05.03.m.16.k.iv.

Even though the regulation does not require it, we intend to add fencing around the dispensing sites to provide extra intrusion protection during the hours the facility is not in operation. The fence gates will be open during business hours for authorized entry and closed once the business day completes.

19.6 – Exterior Doors

All exterior doors at our facility will be designed and reinforced to withstand unlawful forcible entry. Panic bars with electric latch retraction will serve to secure our doors in most scenarios, but we may also make use of electric strikes, latch retraction kits, wireless locks, or maglocks. Windows and doors will be kept to the minimum number necessary for safe and efficient facility operation. Certain doors may feature multi-point locking systems that include bolts and mechanics that secure each door at multiple points around the door frame to prevent doors from being pried open. All doors will comply with fire code specifications, such as 'fall bars' that will open all locks so that escape in the event of a fire will not be hindered. Triggering such safety measures will trigger special alarms to alert security personnel of the situation, who can then take appropriate measures.

Facility doors, windows, and points of entry will always remain locked, protecting against outside intruders while allowing free egress by the facility's occupants in the event of an emergency. All doors will be self-closing and self-locking. All traditional facility locks will be UL-listed commercial grade, nonresidential door locks with burglary resistant lock cylinders designed to prevent lock-picking. We will also install keycard locks, which will permit ingress to employees and other appropriate persons only. We will institute strict policies prohibiting keys from being left in locks, doors being left open, and keys or keycards being left in areas where they could be accessible to unauthorized persons.

19.7 – Exterior Walls

We will construct and retrofit our facility to reinforce our exterior walls and windows in accordance with all requirements from the AMCC. We will also minimize the number of windows at our facility, to limit security risks. Reinforced walls and windows will prevent

forcible entry, deter unlawful entry, and help maintain the overall safety and security of our staff, our cannabis, and our Alabama patients. Ala. Admin Code. r. 538-x-3-.05.03.m.16.k.vi. We will work with a licensed contractor to reinforce our exterior walls according to industry best practices and comply with applicable construction codes. We will also regularly inspect and review our exterior walls for security and compliance. Any changes or updates will be performed promptly, as needed, to conform with updated guidance from the AMCC and other local governing bodies.

Our dispensing facilities will be housed in a stand-alone building or within a multi-use structure,. The entirety of the area housing our dispensing site will be self-contained and will not be accessible to nor usable by any neighbors, other businesses, contractors, or any individuals not employed by our company. Our dispensing facility will feature separate points of ingress and egress accessible only by keycard. Access for patients, caregivers, and authorized visitors into our dispensary will be strictly structured by security staff. Areas that contain cannabis will be electronically protected, for use strictly by authorized individuals including our employees, AMCC representatives and their agents or guests, and other qualified individuals.

19.8 – Security Staffing

We will maintain a plan for sufficient security staff at our dispensary where cannabis and medical cannabis is present, to reasonably protect our products stored therein. We will determine adequate security personnel based on the location, number of individuals with access, the quantity of cannabis stored, the inventory system capabilities, and the findings of root cause analyses for security breaches or inventory discrepancies. Alongside the VSS and alarm system, our Security Officers will be the first line of safety.

We will designate a Manager of Security G.S. with responsibility for overall facility security, including adherence to security requirements; conducting semi-annual audits of security measures to identify areas of needed improvements; implementation of corrective actions related to safety; employee training on security measures and controls; and the prevention of theft of cannabis, or cannabis products. Security officers will report to the MOS G.S. and have a variety of responsibilities, which will include open and close of the facility each day with a manager; checking valid identification for individuals on our premises;

maintaining a vigilant and pleasant presence; reporting possible security risks to the MOS G.S., COO E.J.H., or another manager immediately; carefully observing staff and visitor actions; and overall security of our facilities.

At least one security officer will be on the premises during operating hours, and any onsite security officer will be thoroughly trained in hazard, burglary, and emergency situations to protect the facility and community. Our security personnel will regularly patrol the perimeter of the facility to identify signs of intrusion and to confirm that all perimeter security equipment is in good condition and functioning properly. All security officers employed at our facility will be properly licensed by state and local authorities and possess any necessary valid identification whenever they are on the premises.

Security officers will only allow authorized staff, patients, and visitors who have a legitimate purpose or legal authorization and valid, government-issued identification verifying their identity and age to enter the facility. As part of their daily duties, security officers will retrieve the visitor log at the start of business and return it at the end of the day, requiring all visitors to sign in and out. Security officers will respond to suspicious or emergency situations and coordinate with law enforcement to write incident reports, including an accurate daily activity log with time, location, and detailed descriptions of security issues. Other daily procedures will be dictated by the MOS G.S. once the facility is licensed and will be followed closely by security officers and all employees.

19.9 – Strict Access Controls

We will distinguish and safeguard areas of our facility demarcated as limited access areas ("LA") and restricted access areas ("RA"). We will implement strict access controls to protect areas where cannabis or medical cannabis is handled or stored – in a secured and locked vault. Ala. Admin Code. r. 538-x-3-.05.03.m.16.k.viiii. Throughout our facility, we will use access control equipment from RS2, Open Options, or Paxton, with at least a keypad and up to multifactor authentication (which features both a keycard and a pin). We will limit access to cannabis storage areas to the minimum number of authorized personnel necessary to maintain safe and orderly operations.

Our management team will maintain a list of authorized individuals for each area. In our business records and inventory system, we will identify authorization access of our

personnel, including any revocation of previous authorizations. All handling and storage of our daily monetary receipts and other confidential business documents will be conducted in enclosed, secured, locked RAs, under constant video surveillance with electronic keycard access for authorized individuals.

At a minimum, we will employ the following storage areas, which will be LA or RA and segregated from each other: for business records, including daily monetary receipts; for newly received usable cannabis; for batches of usable cannabis awaiting release for sale pending written reports confirming they meet specifications; quarantined space for any usable cannabis suspected but not yet confirmed to be contaminated, including usable cannabis returned as part of a complaint or recall process; and, a waste disposal room for cannabis waste and products awaiting destruction. We will store our medicinal cannabis in a pharmaceutical grade vault compliant that meets DEA regulations. 21 C.F.R. § 1301.72 (a)(3).

All storage activities will occur in enclosed, locked RAs at our licensed premises, under constant video surveillance, with electronic keycard access control systems limiting access to employees whose duties necessitate such access. All sales will also be monitored under video surveillance. Staff will log all activities involving cannabis in our inventory system. All cannabis related activities will take place indoors in our enclosed, locked, secure facility, which is closed to the public and out of view from the exterior of our building. When it is necessary for visitors to be present in or passthrough cannabis item storage areas, we will provide observation of the area by our personnel whom we have specifically authorized by policy or job description to supervise the activity.

19.10 – Open and Close Protocols

Protecting cash and medicinal cannabis inventory is vital to protection of our community. A member of management will begin their shift with a security officer, between 30 and 60 minutes before the arrival of other employees. At the beginning of the morning shift, the manager and security officer will conduct a walkthrough of the facility exterior to look for signs of trespassing or anything out of the ordinary. The security officer and manager will then conduct a similar inspection of the facility interior. Once these inspections are complete,

they will unlock the primary entrance, and the security officer will wait to greet and check-in employees and visitors.

The manager on duty will enter the secure storage area, retrieve medical cannabis products from the locked safes and secure storage containers, then place the products onto a lockable rolling cart. Once the cart is stocked with the minimum quantity of products sufficient for daily operations, the manager will lock the cart and roll it into the dispensing area. This entire process will be completed while under video surveillance. Dispensary employees will retrieve products from the cart to fill patient orders, reducing movement in and out of the secure storage area. The cart will feature a keycard, number pad, or physical key lock, and the cart will always remain locked when dispensary staff are not accessing it. Staff will always keep the cart behind the sales counter, and under no circumstances will patients or caregivers ever be permitted behind the sales counter. Additionally, the cart will always remain in view of video surveillance cameras. If it is necessary to refill the cart during business hours, employees will notify the manager on duty, who will move the cart back to the secure storage area for resupply. The door connecting the dispensing area to the secure storage area will be located behind the sales counter, preventing unauthorized personnel from following staff into restricted areas of the facility. The manager will then retrieve medical cannabis and cannabis products from the appropriate safes or containers, re-lock and secure the safe, and then return to the dispensing area.

To start the business day, the manager on duty will retrieve the cash deposit from the cash safe in the vault and perform cash deposit verification. Cash deposit verification steps include the recount and verification of the cash deposit prepared at the end of the close of business the previous day through analyzation of sales records and standard tills. Once confirmed, the manager will send the deposit to the financial institution. Next, they will prepare each cash register to begin daily operations with a $200 starting till. Each till will have a distribution of ones, fives, tens, and twenty-dollar bills, and sufficient coinage, including pennies, nickels, dimes, and quarters. Any non-standard bills or coin denominations, such as half-dollar coins, fifty-dollar bills, or two-dollar bills, will be deposited to our financial institution. Finally, the manager on duty will secure the starting tills in the locking cash register drawers. During business operational hours, a manager will always be available to dispensary staff for cash verification, or if additional bills or change

are needed. At the end of the business day, a manager on duty will collect all tills, count the cash present, and verify this against the daily inventory sales records. All cash will be recorded on our daily monetary receipts and then placed in our secure cash vault. Any interactions with cash, whether by a manager or dispensing technician, will be done under full video surveillance.

At the end of the business day, the manager on duty will roll the cart containing cannabis products back into the secure storage area and return all medical cannabis to its designated container. Products dispensed to patients and caregivers will be stored in sealed packages to prevent and detect any tampering. A certified dispenser will oversee all dispersal of medical cannabis and related products. Ala. Admin Code. r. 538-x-8-.03. Dispensary employees will provide opaque exit bags for patients or caregivers to transport their medical cannabis and paraphernalia out of the facility. If a transaction is cancelled, the dispensary employee will return the cannabis, in its sealed packaging, to the cart behind the counter.

At the end of the day, a manager will conduct another walkthrough of the facility interior to verify that all doors and windows are secure and locked. Security personnel will wait near the entrance to perform checks of employee backpacks or bags as the employees exit the facility. No employee will be permitted to leave the facility until his or her baggage has been inspected by security staff. Once all employees have exited the facility building, the manager will activate the facility alarm and exit through and lock the primary entrance. After all employees have left the facility property, the manager will lock the perimeter gate and depart.

19.11 – No Public

Our security staff will check the identification of any individuals on our premises and will not allow loitering. We will strictly adhere to a policy of "no public access." This policy includes all areas of our property, including the dispensary parking lot. Our policies will further prohibit anyone under the age of 19 from being present anywhere on our licensed premises, even in the lobby/waiting area. Ala. Admin Code. r. 538-x-2-.03.04.a.

All employees will be required to wear business identification while on the premises. Only patients and caregivers with a valid medical cannabis card, staff, and authorized visitors will be allowed inside our dispensing site. Ala. Admin Code. r. 538-x-8-.05.03.m.11; Ala.

Admin Code. r. 538-x-2-.07.05. For verified individuals, our anticipated business operational hours will be 9:00am-8:00pm, every day of the week, unless otherwise expressed by local municipalities. Ala. Admin Code. r. 538-x-3-.05.03.m.12.g.

We will prominently display at each entrance to our dispensing property, and in at least one location in the sales area of the dispensing site, signs stating: "WARNING: This facility is monitored at all times using audio and video surveillance. Entry to this business and purchases within are strictly prohibited except as to registered patients and caregivers presenting valid identification as required by law." Ala. Admin Code. r. 538-x-8-.05.03.m.18.

Any area within the facility that does not allow patients or caregivers will be clearly marked as a LA or RA. All areas of our secure facility will feature keycard access locks, which will prohibit unauthorized entry while recording all access attempts.

19.12 – Records

We will constantly update a list of all persons on our premises and maintain these records for at least two years. Our report will include all employees, vendors, transporters, other licensees, AMCC officials, and all others. Each record will indicate the individual's name, the date, time of entry, time of exit, and reason for their presence.

We will maintain all company records electronically on internally linked, secure business management platforms ("BMP"). We also will maintain physical records where required by statute, regulations, or AMCC request. We will maintain physical records in a locking filing cabinet within the manager's office, an RA. The COO E.J.H. will set up and monitor BMPs on desktop computers located throughout our proposed facility. If requested by the AMCC, we will maintain records securely and confidentially indefinitely.

The BMPs present on each individual desktop will vary depending upon its location within the proposed facility and its primary user. Through physical documentation review for all procedures requiring input into a BMP, we will faultlessly transfer information. SOPs will also outline the proper storage and filing of all original documents once staff have entered them into BMPs.

19.13 – Employee Identification

We will issue identification badges to employees, which will also be their keycard. Employees will wear identification badges that clearly identify them as employees while on duty and while on our premises. Facial images and ID cards will be constantly visible to video surveillance systems. Ala. Admin Code. r. 538-x-3-.05.03.m.16.k.iii. If an employee reports to work without his or her identification badge, we will not allow the employee to enter the facility. Additionally, if an employee fails to renew their issued identification badge prior to its expiration, we will not allow the employee to resume work for us until they have obtained a renewed identification badge from our organization. We will establish procedures for employees to report lost or stolen identification badges, which will include immediate notification of ALEA and the AMCC upon discovery of the loss or theft, as well as digital revocation of the access permissions of that badge.

19.14 – Visitor Identification

Visitors, including AMCC members, inspection personnel, or other representatives, will constantly wear a "visitor pass" or "AMCC Official" pass, as applicable, while on our premises. Our security team has procedures for receiving visitors, and visitors will always be accompanied by a staff escort. All visitors will enter the facility through the designated primary entrance, which we will clearly mark with signage to facilitate easy identification by visitors. Signs will also include a notice of audio-visual recording and denote exclusive entry for authorized individuals only. Management will also provide appropriate access for vendors and AMCC-authorized individuals, including inspectors.

19.15 – Report Theft or Diversion

We will report theft, diversion, or other loss of cannabis or medical cannabis to the AMCC and ALEA within 24 hours of the event, or its discovery. We will create and maintain good relationships with open lines of communication with the AMCC and local law enforcement, and we will abide by all AMCC rules regarding communication and incident reporting. Our MOS G.S. will personally introduce themselves to local law enforcement prior to the commencement of our operations. They will also provide contact information, for themselves and for our business generally.

To prevent our cannabis inventory from entering the grey market through diversion, we will implement comprehensive investigation and reporting protocols for suspected diversion. Any discrepancy in weekly inventories, abnormality in random inventory audits, reports by employees of suspicious activity, a security breach, or unauthorized access of an RA, will trigger an investigation. A Manager will lead all investigations and work with the Inventory Manager H.W., COO E.J.H., MOS G.S., and MDO J.S. to improve policies concerning diversion. Following any investigations, our management will conduct an assessment to determine the root cause and additional safeguards to prevent subsequent security breaches. As part of our reporting procedures, we will also seek feedback from the AMCC and implement any additional safeguards deemed necessary.

Employee Diversion Prevention Training

Employee training will include instruction on our policies regarding diversion prevention, including our zero-tolerance policy toward diversion or theft of cannabis. The employee handbook will detail these policies, which we will require all employees to read and sign to confirm their understanding of, and agreement to, all diversion prevention policies, prior to beginning work at the facility.

Part of employee diversion training will involve instruction on how to identify diversion, including signs of possible diversion, suspicious activities, and discrepancies in inventory records. Our policy requires employees to report any suspected diversion or suspicious activities to a member of management, who will then initiate an investigation. To encourage and facilitate prompt and accurate reporting, we will implement an anonymous reporting system that will allow employees to report potential diversion without disclosing their identity. All employees will be trained on these policies, including transportation personnel.

Anti-Pilferage Procedures

All cannabis handling activities will occur indoors and within view of surveillance cameras. In cannabis production areas, we will require staff to wear pocketless Tyvek or similar coveralls or similar garments to prevent concealment of cannabis in pockets. We will only permit employees to use clear drinking receptacles, such as clear plastic bottles, so that cannabis may not be hidden within them. We will prohibit employees from carrying bags or

backpacks into RAs; employees must secure any bags or backpacks in the employee storage lockers located inside the facility, directly next to the rear employee entrance. At the end of every workday, a member of management will search all employee bags or backpacks under view of a surveillance camera prior to allowing employees to depart. All employees will agree to this search when signing the employee handbook.

Whenever possible, employees will work in groups of at least two, for accountability. To limit opportunities for internal diversion, the COO E.J.H. and departmental managers will arrange shifts so that a manager is always on duty at the facility during operating hours and so that no non-management employee is ever left alone at the facility.

19.16 – Applicant's Verification: Security Plan Availability

The undersigned verifies that we will make available to the AMCC or its inspectors all information relating to our security plan. This includes security alarm system data, monitor recordings, alarm activity, maps of camera locations, maps of camera coverage, audio footage, video footage, surveillance equipment maintenance logs, authorized use lists, and operation instructions. We will also make available any other security-related information deemed relevant by the AMCC, its inspectors, or ALEA.

[redacted]	Founder/Stakeholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	3/21/2023
Signature of Verifying Individual	**Verification Date**

19.17 – Applicant's Verification: Signage

The undersigned verifies that we will prominently display in our sales area, and at each entrance point to our dispensing site, a sign that states in large and legible text: **"WARNING: This facility is monitored at all times using audio and video surveillance. Entry to this business and purchases within are strictly prohibited except as to registered patients and caregivers presenting valid identification as required by law."**

[redacted] _____	Founder/Stakeholder _____
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted] _____	3/21/2023 _____
Signature of Verifying Individual	**Verification Date**

Conclusion

We view the AMCC as well as local law enforcement as partners in our endeavor to operate a safe and compliant medical cannabis business. A crucial component of our role in this partnership is the creation and implementation of strong security procedures, along with rigorous staff trainings. We will make all information related to our security plan available to the AMCC. Upon licensure, our business will provide rapid and efficacious assistance to the AMCC in its mission to maintain the safety and integrity of the regulated medical cannabis market in Alabama.

In March, 2023, this security plan was reviewed by the company's multistate operating partner, which has years of experience with dispensary security for both retail and medical facilities in other states. The plan presented here exceeds the level of safety and security contemplated by our operating partners in other states.

Exhibit 20 – Personnel

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	12/30/2022
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

20.1 Personnel Roster & Verification

The Personnel Roster and Verification affiliated with the Applicant, listed on "FORM G", are attached hereto and identified as "FORM G: Personnel Roster and Verification – Emerald Standard Dispensary Facilities – Attachment to Exhibit 20, Section 20.1"

FORM G: Personnel Roster and Verification – Emerald Standard Dispensary Facilities – Attachment to Exhibit 20, Section 20.1

FORM G: PERSONNEL ROSTER & VERIFICATION

Emerald Standard, LLC	Dispensary
Business License Applicant Name	License Type

Complete the following information, current to within thirty (30) days prior to the date of application, for all personnel (each leader and employee) affiliated with the Applicant. Attach additional forms if necessary.

[redacted]		Human Resource Officer
Leader/Employee Name		Title/Position
[redacted]	[redacted]	[redacted]xpress@gmail.com
SSN	Telephone	Email
[redacted]		
Street Address		
Columbia	MO	65202
City	State	Zip

[redacted]		District Trainer/Store Manager
Leader/Employee Name		Title/Position
[redacted]	[redacted]	[redacted]431@gmail.com
SSN	Telephone	Email
[redacted]		
Street Address		
Columbia	MO	65202
City	State	Zip

[redacted]		Inventory Manager / Store Manager
Leader/Employee Name		Title/Position
[redacted]	[redacted]	[redacted]@gmail.com
SSN	Telephone	Email
[redacted]		
Street Address		
Columbia	MO	65203
City	State	Zip

[redacted]
Leader/Employee Name

Marketing/PR Director
Title/Position

[redacted]
SSN

[redacted]
Telephone

[redacted]@cartermdesign.com
Email

[redacted]
Street Address

Florence
City

AL
State

35634
Zip

[redacted]
Leader/Employee Name

CFO
Title/Position

[redacted]
SSN

[redacted]
Telephone

[redacted]@sprylawfirm.com
Email

[redacted]
Street Address

Florence
City

AL
State

35634
Zip

[redacted]
Leader/Employee Name

Medical Director
Title/Position

[redacted]
SSN

[redacted]
Telephone

[redacted]@aol.com
Email

[redacted]
Street Address

Huntsville
City

AL
State

35802
Zip

[redacted]
Leader/Employee Name

CEO
Title/Position

[redacted]
SSN

[redacted]
Telephone

[redacted]1955@gmail.com
Email

[redacted]
Street Address

COLUMBIA
City

MO
State

65201
Zip

Form G: Personnel Roster & Verification
Page 2

[redacted]
Leader/Employee Name

COO
Title/Position

[redacted]
SSN

[redacted]
Telephone

[redacted]@yahoo.com
Email

[redacted]
Street Address

West Peoria
City

IL
State

61604
Zip

[redacted]
Leader/Employee Name

CCO
Title/Position

[redacted]
SSN

[redacted]
Telephone

[redacted]@aywick.com
Email

[redacted]
Street Address

Costa Mesa
City

CA
State

92627
Zip

[redacted]
Leader/Employee Name

Manager of Dispensing Operations
Title/Position

[redacted]
SSN

[redacted]
Telephone

[redacted]RX@gmail.com
Email

[redacted]
Street Address

Florence,
City

AL
State

35634
Zip

[redacted]
Leader/Employee Name

Manager of Security
Title/Position

[redacted]
SSN

[redacted]
Telephone

[redacted]@gmail.com
Email

[redacted]
Street Address

Florence
City

AL
State

35630
Zip

Form G: Personnel Roster & Verification
Page 2

[redacted]
Leader/Employee Name

Certified Dispenser
Title/Position

[redacted]
SSN

[redacted]
Telephone

[redacted]47@gmail.com
Email

[redacted]
Street Address

Birmingham
City

AL
State

35208
Zip

[redacted]
Leader/Employee Name

Certified Dispenser
Title/Position

[redacted]
SSN

[redacted]
Telephone

[redacted]74@icloud.com
Email

[redacted]
Street Address

Birmingham,
City

AL
State

35207
Zip

Leader/Employee Name

Title/Position

SSN

Telephone

Email

Street Address

City

State

Zip

Applicant Verification: The undersigned hereby verifies that the information provided hereinabove (and attached, as necessary) constitutes a complete and accurate roster of personnel of the Applicant. The undersigned further verifies that, if the Applicant is issued a business license, each individual listed hereinabove (and attached, as necessary) will be registered to the AMCC website and will undergo appropriate pre-employment background checks.

[redacted]
Printed Name of Verifying Individual

Founder/Shareholder
Title of Verifying Individual

[redacted]
Signature of Verifying Individual

12/30/2022
Verification Date

Form G: Personnel Roster & Verification
Page 3

Exhibit 21 – Business Leadership Credentials

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	12/30/2022
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

21.1 – Curriculum Vitae

Our most valuable and important asset is our people, and we will clearly demonstrate and substantiate this value within our business. Our team offers an exceptional level of relevant experience for serving the medical cannabis patients in Alabama, and in this section, we will quantify the extraordinary skills, resources, and service level of our company by describing the education, work experience, and professional certifications, associations, and accolades of our leadership and employees. Ala. Admin Code. r. 538-x-8-.05.03.b.01.

We will employ Managing Dispenser of Operations, a store manager and certified dispenser(s), which are managing employees of our dispensary who have undergone training and certification as required by the Commission to oversee the dispensing of medical cannabis to a registered qualified patient or registered caregiver at our dispensing site. 583-x-8-.03.1. Our certified dispenser(s) will have, at a minimum, two years of education or experience in the fields of biology, biochemistry, chemistry, physiology, pharmacology, medicine, medical cannabis, nursing, pharmaceuticals, or a similar field. 583-x-8-.03.3. Our team also includes Science- and Engineering- Based Employees, as well as Employees with a Business Background in accounting, finance, managing, marketing, advertising, and public relations.

Certified Dispenser(s)

Our first Certified Dispenser is [redacted], a resident of Alabama, [redacted] has over 9 years of experience in the medical field and has a National Pharmacy Technician Certification. She has worked with filling prescriptions, medication dosages, compounded products, prescription insurance claims and billing information as well as excellent customer service and dedicated assistance.

She will report to the Store Manager, [redacted]. [redacted] has extraordinary experience in the medical cannabis industry with over five years of practical experience. She has managed a medical cannabis dispensary in Missouri for five years, and on a daily basis, she speaks with patients about cannabis and discusses what types of cannabis is best for their medical ailments. Not only does she have direct patient experience, but she also has been the General Manager of [redacted] for over two years and has guided the company into a stable and profitable organization. With her experience, she has

trained over 20 employees, teaching them how to interact with patients and provide patients with information on medical cannabis.

Our second Certified Dispenser is [redacted], a resident of Alabama, [redacted] has over 3 years of experience in the medical field and a National Pharmacy Technician Certification. She has excellent customer service and conflict management skills. She identifies individual therapeutic requirements to care for personal needs, safety and comfort of patients and promotes positive physical, social and psychological environments.

[redacted] is our second Store and Inventory Manager and like her colleague Savannah, she has over five years of medical cannabis experience through working at medical cannabis dispensaries. [redacted] current role is as District Manager of the medical cannabis dispensary, [redacted] in Missouri and her responsibilities include training staff to interact with patients. She has an extraordinary knowledge of different cultivars of cannabis and their practical application for medicinal use. Not only does [redacted] have experience managing dispensaries and patient interaction, but she also oversees inventory at the dispensaries using Missouri's statewide seed to sale tracking system. As Certified Dispensers are ultimately responsible for the dispensing of medical cannabis, the maintenance of the patient registry, and updating the statewide seed to sale system, Holly will be a natural fit for this role since she has been successfully carrying out these responsibilities for many years. We pride ourselves on the quality of our Certified Dispensers, focusing on their practical experience and outstanding record of patient safety.

Employees with a Scientific Background

Dr. [redacted] is our Medical Director, and he will faithfully execute his role with the first priority being the safety of patients. Dr. [redacted] has over five decades of medical experience starting with his admission into the University of Alabama School of Medicine in 1969. In 1979 Dr. [redacted] was certified by the American Board of Orthopedic Surgery and initiated his own medical practice, the Orthopedic Center, in Huntsville, Alabama, and the medical office is still in practice to date. Dr. [redacted] is a part of seven different professional medical affiliations and has been president of the Alabama Orthopedic Society, the Madison County Medical Society, the Medical Association of the State of Alabama, and the Alamo Orthopedic Society. Dr. Miller has also sat as a board member to the Surgery Center of Huntsville from

1990 to 2020 and acted as the chairman for 13 years. Dr. [redacted] is a strong advocate of medicinal cannabis and given his long tenure as a practicing medical surgeon, as well as his 30-year experience sitting on the Board of Directors, he is the ideal Medical Director.

Employees with a Business Background

Accounting and Finance

Our CFO [redacted] is well versed in Accounting and Law, he received a BSBA and Master of Accounting from Auburn University College of Business and went on to receive his law degree from the University of Tennessee College of Law. He has worked with multiple Fortune 500 companies advising and counseling clients regarding labor and employment, employee benefits and executive compensation as well as general corporate and real estate matters. He is now the Principal and owner of Spry Law Firm where he is licensed in Alabama and Tennessee. He advises clients regarding all facets of commercial and residential real estate transactions, general corporate matters, estate planning, estate administration and elder law. He is Director of Shoals Habitat for Humanity, Member Lauderdale County, Alabama Bar Association, Member, Tennessee Bar Association, Member, American Bar Association, Member, Florence Rotary Club and a Trustee of Riverhill School Board of Trustees.

Management Experience

Our CEO [redacted] is an industry leading executive demonstrated through 14 years of retail experience and has overseen medical cannabis operations in states including Ohio, Missouri, and Illinois. [redacted] leads seven successful dispensaries due to his ability to implement the vision of the company and his outstanding managerial skills. He has a proven track record of coaching senior managers to act independently, and this is also demonstrated through his existing relationship with our Certified Dispensers. Before making his main focus on the cannabis industry, [redacted] started a hospitality business in 2005 and this began his experience working in a regulated industry and maintaining compliance with the Health Department. Following his time in hospitality, in 2008 [redacted] became the owner of a beverage company overseeing 16 retail locations throughout the middle of Missouri and managed inventory with over 4,000 product SKUs and over $22 million in

yearly revenue. [redacted] holds a Bachelor of Science degree as well as a Master's Degree in Science and Mathematics.

Our COO, [redacted], is a well tenured operations executive. Since 2017, he has held leadership positions that focus on company operations, overseeing more than 50 employees in up to 18 different locations. [redacted] is a master at taking the vision of a company and organizing daily operations to reflect and work towards that vision. Since 2019, [redacted] has managed cannabis operations and consistently trained employees, set up Key Performance Indicators ("KPI") to track operations growth, monitored inventory and updated the statewide seed to sale tracking system, mentored the next level of management, and has worked closely with the compliance team to stay in compliance with all applicable laws. Our COO's cannabis retail and compliance experience is so strong, Illinois Central College has agreed to teach a Dispensary Agent Certificate program that our COO has created.

Our CCO [redacted], is a senior executive with over 30 years of experience in various fields, including as a company founder and COO, a "big-4" consultant, and a technology product manager. Since 2014, she has been a leader in the cannabis industry in the US, Canada, and Europe, working on the licensing, accreditation, buildout, development, purchase, operations, and sale of many cannabis licenses. She has key skills in areas such as strategy, business planning, compliance and policy, project management, leadership of diverse/remote teams, corporate finance, startup, M&A, change management, and business process definition and alignment. She has held various leadership positions, including CEO, COO, and Managing Director, and has successfully grown companies from scratch to operate in multiple locations. Leveraging her experience, [redacted] will work with all team members to run a compliant operation.

The role of Marketing and PR Director will be filled by [redacted]. As part owner of the award-winning industrial design firm Carter McGuyer Design Group, [redacted] has the experience of designing for the best companies and most talked-about brands. This includes 20 years of building strong relationships with manufacturers and winning such accolades as Good Design, Best in Show, Housewares Best of the Best, and the coveted Red Dot Award six times. Through [redacted] marketing and sales expertise, she was able to set record sales numbers that were never experienced at a local kitchenware company, and this is due to her strength of representing the values of the company. [redacted] is a steward of her community

and through authentic communication she will represent Emerald Standard and build relationships with the communities where our company will operate.

21.2 – Leadership Roles

Chief Executive Officer ("CEO")

Our CEO D.P. is responsible for delegating and directing agendas, driving profitability, managing company organizational structure and strategy, and communicating with the board and management, all while providing inspiring leadership companywide. The Chief Executive Officer has authority over the senior planning and leadership teams to execute the strategic direction of the company and guides efforts toward achieving company objectives and defined goals. The CEO D.P. provides oversight for the company, continuously works to develop a company culture in line with the company mission and manages the fiscal and operational performance of the company. It is the responsibility of the CEO D.P. to set the tone and establish precedent of decision making for the business, particularly as it relates to making our vision actionable and achievable.

Chief Operating Officer ("COO")

The COO E.J.H. manages operations, including development of standard operating procedures and staff training programs that are responsive and adaptable based on compliance with applicable law. The COO E.J.H. must analyze current and future market trends to help to achieve the company's profitability goals and other objectives. The COO E.J.H. works with branch executive teams to create and implement production plans; select equipment and materials; and assist in selecting vendors and outsourced services. Guaranteeing the smoothness in day-to-day operations for not only patients and caregivers but staff and our community while adjusting strategic plans for long-term aims will be a guiding priority for the COO E.J.H.

Chief Compliance Officer ("CCO")

The CCO L.G. ensures daily operational compliance by developing, maintaining, and continuously improving upon a comprehensive compliance program designed to ensure that every level of the operation acts within the applicable federal, state, and municipal

regulations. The CCO L.G. also acts as the communication link between our business and the regulators when implementing rule changes or reporting to the state or local jurisdiction. The CCO L.G. manages licensing, bond, and renewal processes; monitors regulatory updates to verify or amend all standard operating procedures in compliance with local, state, and applicable federal regulations; audits inventory, systems, and reports; and creates, manages, and delivers compliance-based education and training.

Chief Financial Officer ("CFO")

The CFO D.S. is responsible for all financial activities including building the core financial practices to meet the needs of the company's expanding operations, The CFO D.S. must have the ability to integrate the finance function effectively into the Company's operations, maintaining the strong financial infrastructure required to support continued change. Our CFO D.S. will also obtain and manage all accounting personnel and third-party accounting, tax preparation, and financial services vendors. The CFO D.S.: Oversees all financial functions of the company; Creates and implements a company budget and departmental budgets; Develops and maintains relationships with financial institutions; Audits the work of outside Bookkeeper and Accountant for accuracy and consistency with Generally Accepted Accounting Practices (GAAP) or other financial reporting standards.

Certified Dispenser ("CD")

Certified Dispensers J.S. will serve as the main point of contact and oversight for each dispensary. The Certified Dispenser J.S. holds one of the most critical roles for customer service by overseeing and signing off on all sales of medical cannabis and holding ultimate responsibility for each transaction. Ala. Admin. Code r. 538-x-8-.03.02. The Certified Dispenser J.S. is responsible for educating staff, patients, and caregivers on products and services offered; monitoring staff and visitors and their behavior to ensure compliance with our safe and healthy workplace policies; and, offering goods and products to patients and caregivers in a compliant and safe environment. Certified Dispensers J.S. will work closely with the Inventory Manager H.W. and Manager of Dispensing Operations J.S. regarding procurement and efficacy of our medical cannabis products offered. The CD J.S. plans, directs, and coordinates the administrative functions of the dispensary. They will manage all Sales

Associates and any receptionists, making sure all are appropriately trained and comfortable interacting with patients and caregivers. The CD J.S. is also responsible for supervising and communicating with executive leadership on daily operations, inventory tracking and reporting, position retention, scheduling, product display, and point of sale. A CD J.S. will, at a minimum, have two years of education or experience in the fields of biology, biochemistry, chemistry, physiology, pharmacology, medicine, medical cannabis, nursing, pharmaceuticals, or a similar field. Ala. Admin. Code r. 538-x-8-.03.03. The CD J.S. will: lead customer-facing operations; serve as a resource for dispensary staff regarding SOPs and medical cannabis products available; and oversee educational programs for staff, patients/caregivers, and the general public.

Inventory Manager

To maintain product safety, integrity, and availability, we will employ an Inventory Manager H.W. to compliantly track every aspect of our product inventory in our internal systems and report to the state's seed to sale tracking system, METRC. The Inventory Manager H.W. creates, implements, and audits processes, protocols, and key performance indicators associated with inventory management to ensure inbound and outbound inventory workflow meets daily sales and operational demands.

Marketing & PR Director

Our Marketing & PR Director B.P.M will guide our marketing, advertising, and public relations in compliance with all relevant laws. The Marketing & PR Director B.P.M works to manage and maintain our brand and company image, devise marketing strategies, create advertising materials, drive traffic and sales, and oversee content creation. The Marketing & PR Director B.P.M is also responsible for all messages and communications to the general public about the business itself or statements to the press, especially concerning recall or other sensitive situations. The Marketing & PR Director B.P.M will manage any social media presence and ensure integrity of online branding; prepare quarterly marketing plans and reports for executive leadership; develop and approve marketing materials within the marketing budget and department; and send materials for approval by the state, as required.

Human Resource Officer

Our Human Resource Officer J.K. will drive overall operational improvements in problem solving, improving customer satisfaction, and consistently saving costs while increasing profits. Our Human Resource Officer J.K. will manage all employees between 3 organizations, negotiate agreements between employees to clarify misunderstood directions and resolve conflicts affecting performance. They will maintain work structure by updating job requirements and job descriptions for all positions. Develop disaster and recovery strategies to prepare our company for hazardous weather conditions, nuclear accidents and terrorist attacks. They will work alongside global business leaders to deploy new training strategies and maximize team knowledge and productivity by effective training, monitoring and directing employees in application of best practices and regulatory protocols. They will communicate with potential hires to provide clarity on expected tasks, compensations and policies. Our Human Resource Officer J.K. will maintain company compliance with all local, state and federal laws, in addition to establishing organizational standards, as well as maintain current understanding of state and federal policies such as EEO and ADA.

To maintain product safety, integrity, and availability, we will employ an Inventory Manager H.W. to compliantly track every aspect of our product inventory in our internal systems and report to the state's seed to sale tracking system, METRC. The Inventory Manager creates, implements, and audits processes, protocols, and key performance indicators associated with inventory management to ensure inbound and outbound inventory workflow meets daily sales and operational demands.

21.3 – Hiring Plan

We developed a 5-year hiring plan for our employees, which identifies the types, positions, required education, required experience, and expected roles of our personnel. 538-x-8-.05.03.b.03. We will strive to hire personnel with diverse backgrounds and qualifications, and we plan to gradually increase our staff year over year as we naturally expand our business. Our employee positions fall into several types: Executive, Dispensary, and Support Staff—and for hiring purposes, our required education and experience are based on these types. Executive-level positions will require a college degree (and ideally beyond), with significant relevant leadership experience, and a severe dedication to

compliance, business strategy, and patient service. Dispensary-level management positions will require a college degree, at least 5 years of relevant management experience, and skills in multi-level communication, problem-solving in highly regulated industries, and leading compliant operations and teams. Support-level positions require a high-school or commensurate degree, at least 3 years of relevant experience, as well as a deep respect for medical cannabis, patient wellbeing, our company's culture of compliance, and adherence to our standard processes. All employees must pass the background screening and be able to satisfactorily complete state-mandated and internal trainings before beginning work.

Year 1: In our first year, we will staff all critical positions and roles in our leadership and management, as well as all our base-level of staff employees. At the end of Year 1, our staff will total approximately 36 people, including:

- Type: Leadership, Position: One Chief Executive Officer (Role Description in 21.2, above)
- Type: Leadership, Position: One Chief Operations Officer (Role Description in 21.2, above)
- Type: Leadership, Position: One Chief Financial Officer (Role Description in 21.2, above)
- Type: Leadership, Position: One Chief Compliance Officer (Role Description in 21.2 above)
- Type: Leadership, Position: One Marketing & PR Director (Role Description in 21.2 above)
- Type: Leadership, Position: One Human Resource Officer (Role Description in 21.2 above)
- Type: Manager, Position: One Inventory Manager (Role Description in 21.2 above)
- Type: Manager, Position: Five Certified Dispensers (Role Description in 21.2 above)
- Type: Staff, Position: 15 Sales Associates (dispenses medical cannabis products to patients in a compliant manner, educates patients and caregivers on our selection, monitors visitors and their behavior to ensure compliance with our safe and healthy workplace policies)

- Type: Staff, Position: Four Receptionists (serve as the first level of screening and interaction for individuals wishing to enter our premises)
- Type: Staff, Position: Five Security Officers (physically secures the facility, overseeing visitors, preventing diversion, and mitigating crimes or misconduct)

Year 2: In our second year, we will not add new roles but will add six jobs to our company, including the roles of: Certified Dispenser, Sales Associate, and Receptionist.

- Type: Manager, Position: One Certified Dispenser
- Type: Staff, Position: Three Sales Associates
- Type: Staff, Position: One Receptionist
- Type: Staff, Position: One Security Officer

Year 3: In our third year, we will add three jobs to our company, for a total of 44 jobs created, including the roles of Certified Dispensers, Receptionists, and Security Officers.

- Type: Manager, Position: One Certified Dispenser
- Type: Staff, Position: One Receptionist
- Type: Staff, Position: One Security Officer

Year 4: In our fourth year, we do not plan to add any additional roles as we expect to be fully staffed however, we will remain flexible to the demands of the market and our business.

Year 5: In our fifth year, we do not plan to add any additional roles as we expect to be fully staffed however, we will remain flexible to the demands of the market and our business.

Conclusion

Our business credentials and hiring plan firmly identifies our company as an inclusive, intensively professional, and consistently compliant group of talented individuals. Our team's main goal is to provide medical cannabis for patients in a safe and compliant manner and with the team we have assembled we are certain beyond doubt we will accomplish this goal.

Exhibit 22 – Employee Handbook

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	2/25/2023
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

A verified copy of the Applicant's proposed Employee Handbook, if available, including, but not limited to, safety policies, including personnel safety and crime prevention techniques.

Employee Handbook - Summary

In response to the AMCC Dispensary application, this document provides a summary of the Company's Employee Handbook, which is currently more than 50 pages long. Therefore, a 5-page summary of the handbook is provided herein. Contents of the Employee Handbook are shown in *italic font.*

Employees are provided an Employee Handbook upon hire. The Company's Handbook includes the following sections:

1. *Introduction*
2. *Employment*
3. *Conduct and Behavior*
4. *Compensation*
5. *Benefits*
6. *Attendance and Time Off*
7. *Continuing Education*
8. *Workplace Safety*
9. *Security*
10. *Crime Prevention*
11. *Workplace Guidelines*
12. *Health, Safety and Security*
13. *Workplace Guidelines*
14. *Employment Separation*

Every employee is required to acknowledge through signature that they have read and understand the company's employee handbook. Employees are also required to sign any time the handbook is updated. Summary of each of the sections and its subsections is listed below.

1. Introduction

The introductory chapter of the handbook provides a welcome to new employees. It also describes the history, mission, and vision of the company. It also describes the purpose of the Handbook.

2. Employment

The employment section of the Handbook begins with new employee orientation and follows with sections describing the company's employment policies including:

- *New Employee Orientation*
- *At-Will Notice*
- *Employment Classifications*
- *Background Checks*
- *Introductory Period*
- *Anniversary Date and Seniority*
- *Personnel Records*
- *Job Transfers*
- *Employee References*
- *Employment of Relatives*
- *Equal Employment*
- *Immigration Law Compliance*

3. Employee Conduct and Behavior

The handbook explains the company's expectations of and disciplinary action taken in case of conduct violations. The sections include:

- *General Conduct Guidelines*
- *Confidentiality*
- *Dress Code*
- *Sexual and Other Unlawful Harassment*
- *Abusive Conduct*
- *Complaint Procedure*
- *Anti-Retaliation and Whistleblower Policy*
- *Discipline*

4. Compensation

This section describes compensation policies including:

- *Pay Periods*
- *Timekeeping*
- *Overtime*
- *Payroll Deductions*
- *Pay Adjustments*
- *Promotions and Demotions*
- *Performance Evaluation*
- *Work Assignments*
- *Expense Reimbursement*

5. Benefits

This section describes company's benefits program:

- *Health and Welfare Benefits*
- *Continuation of Benefits*

6. Attendance and Time Off

This section describes company's attendance policies:

- *Attendance and Punctuality*
- *Holidays*
- *Sick Leave*
- *Vacation*
- *Unpaid Family & Medical Leave*
- *Temporary Disability Leave*
- *Military Leave*
- *Military Family Leave*
- *Jury Service Leave*
- *Victims of Domestic Violence, Sexual Assault & Stalking Leave*
- *Personal Leave of Absence*
- *Bereavement Leave*

7. Continuing Education

This section describes company's policies on continuing education:

- *Continuing Education*
- *Seminars and Conferences*

8. Workplace Safety

This section of the handbook explains workplace safety policies and training requirements.

- *Office Safety*
- *Non-Smoking Policy*
- *Drug and Alcohol Policy*
- *Reasonable Accommodations*
- *Workplace Violence*
- *Driving Safety*
- *Safety & Health Program Training*
- *Inclement Weather*
- *Injury and Accident Response and Reporting*
- *Workers' Compensation*
- *Reporting Customers*
- *Panic Buttons*

9. Crime Prevention

The Handbook includes the company's policies on crime prevention.

- *Crime Prevention Policies*
- *Min. Number of Employees Onsite*
- *Security Systems Required*
- *Access Control*
- *Reporting Criminal Activity*
- *No Diversion*
- *Lighting*
- *Securing Facility*
- *Robbery Awareness Training*
- *Security Training*

10. Security

The Handbook includes the company's policies on security.

- *Security Policies, Procedures and Training*
- *Keys and Access*
- *Video and Audio Surveillance*
- *Motion Detection*
- *Visitor Requirement*

11. Communications and Technology Guidelines

The Handbook also includes other general workplace guidelines:

- *Communicating with Media*
- *Communicating with Officials*
- *Corporate Communications*
- *Telephones*
- *Personal Telephone Calls*
- *Computers*
- *Guidelines for Communication on the Corporate Network*
- *Internet Policy*
- *Expectation of Privacy*
- *Bringing Your Own Device*
- *Social Media*

12. Workplace Guidelines

The Handbook also includes other general workplace guidelines:

- *Hours of Work*
- *Off-the-Clock Work*
- *Meal Periods*
- *Rest Periods*
- *Workplace Privacy*
- *Lactation Accommodation*
- *Attendance and Tardiness*
- *Professional Image*
- *Confidentiality*
- *Health Insurance Portability and Accountability Act (HIPAA)*
- *Conflict of Interest*
- *Business Gifts*
- *Outside Activities*
- *Outside Employment*
- *Reporting Irregularities*

- *Inspections and Searches*
- *Personal Property*
- *Parking*

13. Employment Separation

The Handbook specifies policies for separation and termination:

- *Resignation*
- *Exit Interview*
- *Termination*
- *Personal Possessions and Return of Company Property*
- *Post Termination Security*

Note:

AL 538-x-08-.05 Applications and Licensing Procedures as to Dispensaries Generally.

2.C(11)Self-Reporting. In the Event of an Incident Involving Injury, Loss, or Material Breach of Policies and Procedures. As provided in Chapter 3 of these Rules, any "injury," "loss," or a "material breach" of the licensee's policies and procedures shall be promptly reported to the Commission, and, as applicable, law enforcement, and in no event more than 24 hours after its occurrence or discovery, to allow for swift investigation and, as necessary, remediation.

TABLE OF CONTENTS

1. INTRODUCTION 10

2. THE BASICS OF YOUR EMPLOYMENT 11

Resume 12

Background Checks 12

Employment Eligibility Verification 12

Wage Notice 13

New Hire Reporting 13

Getting Acquainted Period 13

Regular Employment Classifications 14

Maintenance of Personnel Records 15

Parking 16

Team Meetings 16

Office Supplies and Equipment 17

Gifts to the Company 17

3. PROFESSIONAL CONDUCT 17

Ideal Team Member 17

Accountability Rules for the Team 17

Telephone Personality 18

Addressing Others with Respect 18

Unprofessional Conduct 19

4. COMPENSATION 20

Paychecks 20

Paycheck Errors 21

Expense Reimbursement 22

Garnishment 22

Exempt Employees 22

Overtime 23

Call-Back/Report-in-Pay 23

5. BENEFITS 23

Health Benefits 23
Unemployment Insurance 24
Workers' Compensation 24
Performance Bonuses 24
Bonus Eligibility 25
6. WORK SCHEDULE 25
Attendance and Promptness Policies 26
Inclement Weather 26
Breaks and Meal Periods 26
Recording Your Time 27
Nursing Mothers in the Workplace 28
7. DRESS CODE 29
8. ANTI-HARASSMENT POLICIES 30
Equal Employment Opportunity 30
Duty to Report and Prohibition Against Retaliation 31
Definition of Workplace Harassment 31
Definition of Sexual Harassment 32
Harassment By Others 33
Employees with Disabilities 33
9. PROGRESSIVE CORRECTIVE COACHING 34
10. PERFORMANCE EVALUATIONS 36
11. ATTENDANCE AND TIME OFF 37
Holidays 37
Eligibility for Holiday Pay 38
Paid Time Off 38
Taking Time Off 39
Leave of Absence 40
Reinstatement after Leave 42
Reinstatement from Personal Leave of Absence 42
Bereavement Leave 42
Election Day 43

Family and Medical Leave 43
Jury Duty 43
Witness Leave 44
Military Leave of Absence 44
Required Documentation on Application for Reemployment 45
12.CONTINUING EDUCATION 45
Verification of Licenses and Certificates 47
13. WORKPLACE SAFETY 47
General Safety and Health Policies 47
Notice of Potential Exposure to Hazardous Material 49
OSHA Compliance 49
Hazard Communication Standard 50
Fire Prevention and Reporting 50
Security of Personal Items 51
Violence in the Workplace 51
Smoke-Free Environment 52
Drugs and Alcohol 52
Substance Rules and Prohibitions 53
Inspections and Investigation 54
Required Testing 54
14. CRIME PREVENTION 55
15. SECURITY 56
Security Policies, Procedures and Training 56
Keys and Access 57
Video and Audio Surveillance 57
Motion Detection 57
Visitor Policy 57
16. COMMUNICATION AND TECHNOLOGY 58
Personal Devices and Phones 58
Email and the Internet 58
Programs and Passwords 60

Social Media 61
Privacy 62
17. WORKPLACE GUIDELINES 62
Business Travel 62
Cooperation with Internal and External Investigations 64
Company Information Confidentiality 64
Solicitation 65
Dating Policy 65
Outside Employment 66
Employee Concern Reporting Policy 67
Employee Concern Form 70
18. EMPLOYMENT SEPARATION 71
Company Property 71
Exit Interview 71
Employment References 72

License Type: Dispensary

1. INTRODUCTION

Emerald Standard LLC welcomes you as a new member. Each employee is provided with a cover letter that informs you of your specific employer. We welcome you as a new team member. We are excited that you agreed to join our team. This Handbook is an informational guide and summary of the policies, benefits, and working conditions related to your employment. Particular procedures or methods for performing assigned tasks will be addressed elsewhere. The purpose of this Handbook is to let you know what's expected of you and what you can expect from Emerald Standard LLC. Please read and become familiar with these policies and refer to them as needed during your employment.

Our company is an applicant from a medical cannabis dispensary. We have a team of highly experienced business and community leaders who plan to open three dispensaries to sell a curated variety of medical cannabis products to Alabama's future medical cannabis patients. Our medical cannabis dispensary will support medical cannabis patients and the mission of the Alabama Medical Cannabis Commission ("AMCC") with compliant and secure access to medical cannabis. The state of Alabama passed the Darren Wesley 'Ato' Hall Compassion Act, which legalized medical cannabis, in 2021. Rulemaking authority was given to the AMCC, who now have 13 commission members who represent the full spectrum of needs addressed in the Darren Wesley 'Ato' Hall Compassion Act and medical cannabis at large. The AMCC includes medical professionals to farmers to law enforcement agents, all of whom can and do offer invaluable insight into the process of establishing and regulating a medical cannabis marketplace.

It is Emerald Standard LLC's intention to offer safe work conditions and fair wages that are competitive as well as compliant with applicable laws. Nothing in this Handbook is intended to act as a waiver of any right employees may have by law. If any applicable state, federal, or local law conflicts with a policy in this Handbook, the policy or law providing the greater protection or benefit for the employee will apply. If employees have concerns about any policy, work condition, or compensation, they are strongly encouraged to communicate

these concerns to their direct manager. Whenever employees have concerns related directly to that manager or feel uncomfortable going to their manager with any matter, they can bring their concerns to your manager.

These policies supersede all previous statements of procedures, standards, and policies of Emerald Standard LLC, whether written or oral, that conflict with the policies provided here. These policies are not intended to and do not create a contract or contractual rights, expressed or implied. Because Emerald Standard LLC is a developing and changing organization, Emerald Standard LLC may modify or clarify the Core Policies from time to time, will notify employees of any changes in writing, and will keep an updated copy accessible to the team.

2. EMPLOYMENT

In rare circumstances, we may enter into an agreement for a fixed term of employment, but such arrangements will be clearly written in a contract signed by both you and an authorized representative of Emerald Standard LLC. Otherwise, all of our employees are classified as "at-will," which is the default relationship between employers and employees under the law.

At-will employment means that either you or Emerald Standard LLC may terminate your employment at any time, with or without reason, and with or without notice. Because our employment relationship is at-will, no contract or promise of continued employment, either verbal or implied, is created now or at any time during your employment with Emerald Standard LLC, unless your employment terms are modified through a written agreement signed by an authorized representative of Emerald Standard LLC. No other representative of Emerald Standard LLC has the authority to enter into any agreement contrary to the foregoing at-will employment relationship

Resume

Emerald Standard LLC relies upon the accuracy of information provided during the hiring process. Any misrepresentation, falsification, or material omission by an applicant or employee may result in exclusion from further consideration for employment, or, if already hired, termination of employment.

Background Checks

Emerald Standard LLC complies with all local, state, and federal requirements for conducting background checks on applicants and employees. These laws and regulations may prohibit Emerald Standard LLC from hiring an applicant, continuing to employ an individual, or from transferring a current employee to a new position, based upon certain criminal offenses.

Any misrepresentation, falsification, or material omission by an applicant or employee relating to the background check process, or refusal to consent to a required background check process, may result in exclusion from further consideration for employment, or, if already hired, termination of employment.

If Emerald Standard LLC learns that a current employee has a history of an offense that would preclude employment, Emerald Standard LLC may be required to terminate the employee or put the employee on administrative leave pending the results of further investigation.

Employment Eligibility Verification

Emerald Standard LLC complies with the Immigration and Control Act of 1986 by hiring only those individuals who are lawfully authorized to work in the United States. All new employees will be required to complete an Employment Eligibility Verification Form I-9 and present documentation establishing identity and current eligibility to work in the US. All offers of employment and continued employment are contingent upon presentation of proof of eligibility to work. Employees are required to update eligibility documents during

employment in the event of expiration. Emerald Standard LLC does not discriminate based upon citizenship or national origin. Accepting a position at Emerald Standard LLC may have a negative impact on certain eligibility verification cards.

Wage Notice

Emerald Standard LLC provides written wage notices at the time of hire, and in advance of any changes in pay arrangements, which details the agreed upon pay rate, and the usual place and time of payment. This wage notice must be signed by both management and the employee. Emerald Standard LLC intends that all employees be paid accurately and fairly for all hours worked. If you have questions about your wages or compensation, please ask. Emerald Standard LLC does not retaliate against employees for complaining or questioning our compliance with wage and hour laws.

New Hire Reporting

Emerald Standard LLC complies with state and federal law requiring us to report the name, address, and Social Security number of each newly hired worker within twenty (20) days of start of work. These reports are matched by the state agency with child support records at the state and national levels to locate parents who owe child support and can result in a garnishment of wages.

Getting Acquainted Period

Your first ninety (90) days of employment is a "getting acquainted" period. It is not a guarantee of employment for this entire period. Rather, this time provides both you and Emerald Standard LLC an at-will opportunity to consider and assess your work performance and whether you are a fit for our team. During this time, you will be assessed on productivity, cooperation, attendance, attitude, punctuality, and quality of work, among other things. Other than required benefits such as workers' compensation, Medicare, and Social Security, you may not be eligible for employee benefits during this period.

At the end of the getting acquainted period, we may choose to designate you as a regular employee, or we may choose to terminate our employment relationship with you. Additionally, we may extend your getting acquainted period up to an additional thirty (30)

days at our sole discretion. Successful completion of the getting acquainted period does not alter the at-will nature of your employment.

Once the getting acquainted period has ended, and we have mutually determined that you are a good fit for our team, you will become eligible for regular employment. We may also choose to review your getting acquainted period with you and offer suggestions or points for improvement.

Regular Employment Classifications

Positions are classified as full-time, part-time, or temporary, based on the needs of the business, and are subject to change. At no time is an employee guaranteed a full-time or part-time position. Your position determines the average number of hours you can expect to be scheduled each week of employment, as well as eligibility for certain benefits, subject to the terms for each benefit program. Part-time and temporary employees are not eligible for voluntary employment benefits unless otherwise stated in the specific benefit section, or other authorized writing.

Regular full-time employees work at least thirty (30) hours per week on average.

Regular part-time employees work fewer than the full-time minimum hours per week on average.

"Regular" employment simply means the employee filling the position has completed the getting acquainted period. Classifications are based on the average number of hours worked per week during the past six (6) months. Classification changes are not automatic and must be in writing and signed by management to be effective. Part-time and temporary employees who change to full-time status are required to meet the same waiting periods as a new employee to receive benefits.

Employee positions are also classified as one of the following, according to the Fair Labor Standards Act ("FLSA") and applicable state equivalents:

Non-Exempt Employees are eligible for minimum wage and overtime and are required to keep an accurate record of their time worked. Non-exempt status is reliant on the job description and additional factors related to performance of job duties.

Exempt Employees are not eligible for minimum wage and overtime. Exempt status depends on various factors established by the FLSA and applicable law, including the job duties of the position, as well as the method and amount of pay.

Maintenance of Personnel Records

Employee records are maintained for each employee and retained for at least 5 years. These files are the property of Emerald Standard LLC, and access is restricted. These records may include application materials, emergency contact information, performance reviews, corrective action records, manager notes, etc. Employees are not permitted to view the contents of any other employee's personnel file, unless legitimately necessary due to the person's position and responsibilities.

This file is available for review by current employees and former employees up to two (2) years from the employee's termination. The files are available for review within seven (7) working days of a written request and under the supervision of management. Employees may complete the file review on their own free time or during regular business hours. Employees may copy the documents in the file in accordance with state law, or otherwise with the permission of Emerald Standard LLC.

If you believe any records or information in your file is inaccurate, you may submit a written request to have them corrected. Management will either correct the disputed information or explain in writing why your request was not granted. Your request, as long as it is factual and directly relevant to your performance and/or qualifications, will be kept in your file.

Confidential information such as business records, workers' compensation documents, pre- employment reference letters, legal documents, investigatory materials, and other documents which are sensitive in nature will be kept in a separate file and are not accessible except to those persons we specifically authorize on a "need-to-know" basis. I-9 forms for all

employees may be kept together in a single file and should be updated if identifying documents expire.

Employees are responsible for notifying management of any changes to contact and/or personal information, including name, telephone number(s), personal email address, home address, marital status, number of dependents, withholding/deductions, emergency contact information, and any other pertinent information.

Parking

Employee parking is available on a first come, first-serve basis away from the main entrance of the building. Parking closest to the main entrance should be left open to allow our customers easy access to our business.

Team Meetings

The goal of team meetings is to maintain open communication between management and all team members, to motivate our team, and to provide an uninterrupted time when the entire team can get together to problem solve, set goals, modify Company policy or procedures, and brainstorm ideas for improved procedures and processes. The input of each team member is very important to the growth of our business. Team meetings will be held four (4) times a month or as needed.

These meetings should be positive and constructive. If you see a problem, please bring 2 to 3 suggestions for solving the problem. At least one of the suggestions should not involve spending money. Apply active listening in problem solving and look to the past only to see how we can improve going forward. Finding fault or placing blame is rarely productive communication.

Attendance at team meetings is mandatory. All employees will be paid for their time, whether or not the meeting is held during the employee's scheduled work hours.

Daily Huddles: Emerald Standard LLC may hold morning and afternoon huddles, each lasting less than 20 minutes. This time is used to review our vision and the day's schedule including tasks that need attention. Each team member must be on time, prepared, and engaged for this meeting.

Office Supplies and Equipment

Use of office supplies and equipment for personal use is not permitted without the express permission from management.

Gifts to Emerald Standard LLC

Promotional items, gifts received by Emerald Standard LLC, "free gifts" that are received with supply orders, etc., shall be the property of Emerald Standard LLC, and will be shared or distributed at the sole discretion of management.

3. CONDUCT AND BEHAVIOR

Ideal Team Member

The ideal team member is action-oriented, has a sincere enthusiasm for their work, and courage to learn new things. Ideal team members are humble, happy, and hardworking regarding the working environment. By taking ownership of each task performed, the ideal employee approaches each day with determination and confidence, demonstrating an unspoken commitment to self and to doing the best job possible. The ideal employee works ethically without cutting corners, joyfully assists others, and takes the initiative to achieve goals even when not assigned. Cooperation and communication are key elements of the ideal team. Members of the ideal team understand the importance of their personal contribution to the whole. There are no obstacles we cannot overcome if we work as an integrated team pursuing the same goals.

Accountability Rules for the Team

- Do your job and do it to the best of your ability. It is okay not to like part of your job, but it is NOT okay to not do your job.
- Prove your value each day. Tenure, credentials, and years of experience are not a substitute for results.
- Be accountable for your part in conflict. By focusing on what you can control, you avoid the powerlessness of a "victim" mindset. You are responsible for your attitude, regardless of what happens around you.

- Be a gift to those around you, even if you are having a bad day.
- Lead by personal example.
- Look for ways to add value to the team and the business as a whole. Be a giver, not a taker.
- Practice the Golden Rule ("Do unto others…") without exception.
- You are expected to become brilliant in the basics of your job. You do not have to do anything extraordinary on a daily basis. Just do ordinary things extra-ordinarily well.
- You are expected to become an "and then some" person. Do what is required, "and then some." Hit your numbers, "and then some." Keep your promises, "and then some."
- Not only are you expected to perform the technical part of your job well, but we also expect you to align your decisions with Emerald Standard LLC's core values. Highly talented people with their hearts in the wrong place have no place here.

Telephone Personality

Courtesy and consideration are required during all phone calls, regardless of your mood or how busy you may be. Every time you answer the phone you should be eager to assist the caller in any way possible and to offer the caller an opportunity to experience the best of our service.

Addressing Others with Respect

Employees are expected to be courteous and professional in your interaction with team members. Employees are to be referred to in the manner that they prefer, either by first name, nickname, by last name preceded by the appropriate title (Mr., Mrs., Miss, Dr., etc.), or other appropriate option.

When communicating with co-workers, all employees are expected to project a professional and positive image of Emerald Standard LLC, to avoid rude or over-personal commentary, and to keep conversations focused on work. If you detect discomfort or get into a topic that may cause someone to feel uncomfortable, politely change the subject.

Unprofessional Conduct

The success of our business is dependent upon the professional conduct of every team member. Unprofessional conduct can take any number of forms. Though it would be difficult to list every type of unprofessional activity, we offer the following guidelines for the type of behavior that is considered unprofessional in case there is any question as to what is expected. Any of the following actions constitutes a violation of policy and may subject an employee to corrective action, up to and including immediate termination:

- Habitual or excessive absences or tardiness;
- Unauthorized use of company telephones, email, computers, or other company equipment;
- Attending to personal business while scheduled to be working, including use of personal cell phones, smart watches, or other devices, unless otherwise authorized;
- Working unauthorized overtime;
- Destruction, misuse, or abuse of Company property;
- Refusal to work scheduled or emergency overtime;
- Mishandling, misappropriation, or unauthorized removal or possession of funds or property of Emerald Standard LLC, other employees, or vendors;
- Threatening, intimidating, bullying, coercing, or otherwise interfering with the job performance of fellow employees;
- Unsatisfactory work performance;
- Unauthorized use or release of confidential business information or records;
- Unauthorized use or disclosure of confidential or proprietary information of Emerald Standard LLC, such as, without limitation, vendor lists, Emerald Standard LLC's financial information, treatment methodologies, or strategic plans;
- Falsifying, destroying, or altering any record, including your application for employment;

- Insubordination, including refusal of a legal request from management, such as refusal to sign a corrective action notice, performance review, or other acknowledgment of company policy;
- Failure to use good hygiene, to properly use safety equipment, or to follow safe practices;
- Illegal activity of any kind;
- Possession of firearms, drugs, or alcohol at the workplace, unless otherwise authorized by management or law;
- Engaging in work that, in our opinion, is competing with Emerald Standard LLC or creates a conflict of interest;
- Any conduct that is a violation of rules or ethical standards of any governing board or agency;
- Unprofessional communications, examples of which may include: threats of harm, mental or verbal abuse, maliciously false statements, gossiping about an employee, vendor, or competitor, any communication deemed in violation of the anti-harassment or non-discrimination policies of Emerald Standard LLC, rudeness or disrespectful communications towards a vendor or customer, and/or disparaging Emerald Standard LLC's services;
- Retaliation against anyone participating in bringing an employee concern to our attention; and
- Lying to a member of management, including the falsification of any time record, or entering time for another employee.
- Any conduct deemed in violation of the anti-harassment or non-discrimination policies of Emerald Standard LLC.

4. COMPENSATION

Paychecks

You will receive your paycheck every other Friday as compensation for the previous pay period. Employees will be paid by check or direct deposit, if elected. Payroll may issue live checks instead of direct deposit per banking and payroll needs. For payroll and overtime purposes the workweek starts on Monday and ends on Sunday. If the normal payday falls on a non-workday, you will be paid the last workday preceding. Payroll advances will not be made under any circumstances.

Your check will consist of your hourly wages or salary, minus deductions required by law. All deductions will be itemized on an attachment to your check, and then totaled each year for you on your W-2 Form, Wage and Tax Statement. Employers are not required and do not check the validity or accuracy of employee withholdings and exemptions as reported on W-4 forms or state equivalents. Employees are solely responsible for reviewing their withholdings each pay period and for updating their W-4 and equivalent state forms as necessary. It may take 2-4 weeks to process any revisions to tax withholdings. Employees will be provided a written advance notice of any changes in the amount or method of payment.

All deductions from an employee's wages shall be in accordance with applicable law, and when required, the employee's consent. Other than withholding for federal, state, or local taxes, including FICA, no deduction from an employee's wages for any period shall cause the employee's wages for any such period to be less than minimum wage for non-exempt employees.

In the event of termination, final paychecks will be paid in accordance with State Law. Deductions will not be made except where expressly provided by law, or with the express written consent of employee.

As with any other policy, paycheck policies may be changed at any time. All employees are encouraged to deal with management directly regarding any concern about wages, hours, or other terms and conditions of employment. However, nothing in this handbook is intended to restrict non-supervisory employees from exercising their right to communicate with fellow employees about such matters, and Emerald Standard LLC prohibits retaliation against any employee for the exercise of such rights.

Paycheck Errors

All employees are asked to review your paycheck carefully for errors. If you find a mistake, report it to your manager and Accounting as soon as possible, but no later than thirty (30) days after receiving your check, so that we may take the steps necessary to correct the error. Errors in payroll will be corrected and paid in the next scheduled paycheck after we receive notice. After thirty (30) days, we will assume there are no errors, and your recourse may be limited or unavailable.

Expense Reimbursement

Most employees will not be incurring expenses on behalf of Emerald Standard LLC, and any business expenses should be approved in advance. However, Emerald Standard LLC will reimburse all reasonable and necessary employment related expenses that are authorized in advance. This includes such costs as business mileage (not regular commuting) and course registration fees. Unauthorized expenses, such as working from home or using personal devices for work without advance approval, are generally not reimbursable. Emerald Standard LLC will not reimburse you for expenses or losses that are the result of normal wear, theft, or negligence.

Reimbursement requests must be submitted to your manager within thirty (30) days of the expense. Each request should be accompanied by a receipt. If no receipt is available, let management know so we can consider alternative documentation.

Garnishment

Emerald Standard LLC is required to comply with any court ordered garnishment of your wages. If you are hired by Emerald Standard LLC and currently subject to such a court order, you must supply the appropriate documentation and nature of the action immediately. If Emerald Standard LLC must garnish your wages, you may be charged a fee for administrative expenses in the amount permitted by state law.

Exempt Employees

It is our policy to comply with FLSA salary-basis requirements, and therefore Emerald Standard LLC prohibits improper deductions from salaries of exempt employees. Improper deductions include those for less than a full day of work and for absences due to sickness or

injury, except that deductions for sickness or injury related absences can be made from accrued PTO benefits, if available. When any such benefits have been exhausted, the weekly salary may be prorated in full day increments for absences of a full day or more. Deductions may be made for absences of a full day or more due to personal reasons unrelated to sickness or injury, for unpaid disciplinary suspensions, and to prorate for the first and last week of work. If the business is closed due to a holiday or other company needs that are not the fault of the employee, no PTO or salary deduction will be made.

Overtime

At times, you may be requested to work overtime in order to meet the needs of Emerald Standard LLC. However, working overtime is generally discouraged. Exempt employees are not eligible for overtime pay. Non-exempt employees must have all overtime approved in advance and reported on the time sheet for that pay period. Non-exempt employees will be paid at their regular rate plus one-half for hours actually worked in excess of 40 hours in a work week, or as otherwise prescribed by state law. Paid time off and holiday pay does not count towards overtime.

Call-Back/Report-in-Pay

Employees reporting for a scheduled shift of three (3) or more hours will be paid for a minimum of three (3) hours at no less than minimum wage, even if the employee is not provided with the scheduled number of hours of work. You will be paid for any travel time to and from work performed for the employer's benefit after being called to work outside of regular work hours.

5. BENEFITS

Health Benefits

Eligible employees may participate in Emerald Standard LLC's group health plan on the first day of work. Employee's spouse, domestic partner, and eligible dependents may be added to coverage at the employee's sole expense. Upon separation of employment, health care coverage (COBRA) may be extended to former employees. Employees should consult

the separate materials prepared directly by Emerald Standard LLC's health care insurance company for details of the plan and any Company provided contributions.

Unemployment Insurance

Upon separation from employment, you may be entitled to state and federal unemployment compensation benefits. These laws generally provide eligible unemployed persons weekly cash benefits for a designated number of weeks or until such time as they are able to obtain employment. While we have no desire to deny unemployment benefits to any person who is legally entitled to them, it is Emerald Standard LLC's policy to contest all unemployment claims and apply for relief from charges for those claims that do not comply with the requirements of the law.

Workers' Compensation

Emerald Standard LLC provides workers' compensation insurance covering injuries or illnesses occurring in the course of your employment at no cost to you. State law, not Emerald Standard LLC, determines employee eligibility to receive workers' compensation benefits. It is our policy to comply with state laws and to aid any employee whose injury or illness is determined to be compensable under the provisions of the state's workers' compensation act, as well as other applicable laws, which may include FMLA, ADA, OSHA, and/or HIPAA.

All employees must report complete and immediate information to management regarding any accident that occurs on Emerald Standard LLC premises in which you are involved, no matter how slight the resulting injury or illness. Failure to do so may jeopardize your eligibility to receive benefits and subject you to discipline. Generally, coverage does not include injuries received traveling to or from work or during the lunch period, nor does it cover incidents caused by an employee's intoxication or other flagrant abuse of Company policies. Periodically, you may be asked to confirm in writing whether you have had any work-related injuries or illnesses.

Performance Bonuses

No common goal can be reached if it is not collectively defined and pursued. In order to achieve the level of performance and success we know is possible, Emerald Standard LLC incentivizes its team members with performance-based bonus programs. Performance bonuses are awarded to eligible employees at the sole discretion of management and based on a combination of objective and subjective criteria, further described in materials to be distributed as individual programs are implemented or updated. Bonus programs are not a guarantee of wages but are intended to reward the team for going the "extra mile." Bonuses may be withdrawn or changed at any time but will not be retroactively withdrawn once the earning criteria have been met. All bonuses will be subject to the standard deductions required by law and are included in the calculation of the regular rate of pay for overtime for non-exempt employees.

Bonus Eligibility

Eligibility requirements for bonuses may vary from program to program. Note that adhering to Company policies and procedures and satisfactory performance of assigned tasks is required for your employment and is merely a threshold for eligibility to earn a bonus. At the discretion of Emerald Standard LLC, employees who are determined to be out of compliance with company policies or standards of performance may be ineligible for a designated period of time until performance and compliance improves.

Excessive absence during an incentive period may result in loss of eligibility or a prorated eligibility amount of bonus, at the discretion of management. Employees who terminate their employment before the end of the incentive period are not eligible for any portion of the incentive for that period. Therefore, you must be employed on the first and last day of the period to earn and be paid a bonus for that period.

6. WORK SCHEDULE

Because of the nature of our business, your work schedule may vary depending on your job position. Our normal business hours vary depending on the location. You will receive the specific business hours in your on-boarding materials. Your manager will determine your

work schedule and communicate it to you. Employees' hours may be earlier or later than normal business hours to meet the needs of Emerald Standard LLC. Non-exempt employees will be given one (1) day of rest in each consecutive seven (7) day period. Your schedule and/or number of hours may be changed at any time, with as much notice to you as possible. Employees are responsible for knowing their schedule, transportation to and from work, and being available for work when required.

Regardless of specific shift or break schedules that have been provided, employees are responsible for ensuring that there is always sufficient employee coverage at the facility. At no time can there be fewer than two (2) employees working at the facility. If any employee has concerns regarding adequate coverage, contact your manager.

Attendance and Promptness Policies

Employees are expected to arrive on time as scheduled, and to notify management as soon as possible in the event you are unable to show up for a scheduled shift. Notifying management should be done in accordance with the call out procedures indicated in the Time Off section later in this Handbook. Excessive unexcused tardiness or absence is grounds for corrective action. Employees who fail to call in or show up for a scheduled shift without proper notification for two consecutive shifts will be considered to have abandoned their position. For the care and safety of our team and facilities, leaving in the middle of a shift without permission from management is considered an unexcused absence and subject to corrective action.

Inclement Weather

In the event of inclement weather, natural disaster, or power outage causing the business to be closed or the hours of operation to change, we will attempt to contact each employee with the new schedule. Hours non-exempt employees do not work due to the business being closed are not paid. Employees are expected to make every effort to show up as scheduled and as safety permits. The final decision of whether to drive to work must be made by each individual employee. Follow standard procedure for notifying management as soon as you know of any absence or tardiness due to weather.

Breaks and Meal Periods

Employees are permitted to take brief breaks as necessary during the workday for hygiene, to use the restroom, or for a quick snack. Employees are expected to keep such breaks to a minimum, and so as to not disrupt operations. Taking excessive breaks disrupts our production and is likely to result in corrective action. Break periods may not be used to cover late arrival or early departure.

Non-exempt employees are provided one unpaid meal break of at least thirty (30) minutes for each work shift lasting 7.5 hours or more. This break should be taken no later than five (5) hours into the work shift. Please clock in and out for any break lasting 20 minutes or longer, and if you leave the premises, bear in mind that your meal period includes travel time, so please plan accordingly. Your lunch period will be scheduled to accommodate a productive flow of the workday. If you are required to work during your lunch break, please notify your supervisor or Human Resources so that you can be properly compensated.

An employee break area has been provided for your use. All employees are responsible for cleaning up after themselves immediately following the use of Company amenities, including kitchen appliances, restroom, break room, utensils, and plates. No food or drink is permitted in an area that would risk damaging Emerald Standard LLC's product. Food should be stored and consumed in the break area, kept out of view and drinks must be covered near computers or office equipment.

Recording Your Time

Non-exempt employees are required to keep an accurate record of time worked so that Emerald Standard LLC can accurately calculate pay and benefits eligibility and to comply with state regulations. Time entries should be made as you start and end your work to ensure accuracy. If hours are not recorded properly, you may not be paid in a timely manner. Time records are reviewed for accuracy and approval, but it is the responsibility of the employee to keep track of their compensable time worked. All changes to time entries must be approved by management.

Non-exempt employees may not work any time that is not authorized by management. It is a violation of our policy for anyone to instruct or encourage another employee to work "off

the clock." All time worked must be recorded. Altering, falsifying, and/or tampering with time records, or recording time on another employee's record is prohibited. If anyone directs or encourages you to incorrectly report your hours worked, or to alter another employee's time records, please report the incident immediately to management.

You must be ready to work at the time you clock in and clock out when your duties are complete. "Padding the clock" by attending to personal matters while clocked in, or unnecessarily delaying clocking out, is considered a form of fraud and grounds for termination.

Non-exempt employees may not clock in more than ten (10) minutes prior to their scheduled start time.

Nursing Mothers in the Workplace

Emerald Standard LLC provides reasonable break time for an employee to express breast milk for her nursing child. This break time, if possible, will run concurrently with regular paid or unpaid break times, with reasonable additional unpaid time as needed. To make a request for lactation breaks and/or a lactation space, please notify your manager. Emerald Standard LLC will provide a response to your request within five (5) business days and will engage in the interactive process with you as necessary.

It is Emerald Standard LLC's intent to provide employees with a break area that is a location other than a bathroom or closet, free from intrusion with a door that locks from the inside, shielded from view, safe, clean, and free of toxic or hazardous materials. It will contain a place to sit, a surface to place a breast pump and personal items and have access to electricity. Employees will be granted access to a sink with running water and a refrigerator in close proximity to their work area.

Due to physical constraints and other factors, Emerald Standard LLC may not be able to provide a break area that is solely dedicated for lactation breaks. However, if the break area is also used for other purposes, all employees will be put on notice that lactation breaks take priority. If Emerald Standard LLC is unable to provide accommodations, or if the lactation

space that is provided does not meet the requirements of the law, Emerald Standard LLC will provide you with a written explanation. Employees will not be retaliated against for exercising their rights under this policy but can raise any concerns with management.

7. DRESS CODE

A professional and clean appearance plays a vital role in how we are perceived by our vendors, customer, visitors, and each other. Employees are expected to dress tastefully and professionally.

All team members are to wear casual business attire and any required personal protective equipment (PPE), with clean closed-toed shoes with low heels.

- All clothing must be clean, well-fitting (not overly tight) and in good repair
- No facial or tongue piercing (small nose piercing acceptable at discretion of management)
- No T-shirts or jeans that appear worn or contain holes
- No shorts, sweats, or leggings
- No tank tops or other overly revealing tops
- No flip-flops
- Skirts and dresses must be no shorter than four fingers above the knee
- Undergarments must not be visible
- Perfumes, cologne, and other scented products must be worn with restraint
- Fingernails should be well-manicured and professional length
- Jewelry must be simple, discreet, and worn in moderation
- Beards, mustaches, goatees, etc. must be kept clean and trimmed
- Hygiene must be maintained in an exemplary fashion
- Identification cards must be worn where they are clearly visible
- Personal protective equipment must be worn when required by management or law

Employees who show up for work dressed inappropriately are subject to corrective action and/or may be sent home. Dress codes may be modified at management's discretion per the business needs of Emerald Standard LLC. If there are any questions about what attire is appropriate, please ask.

8. ANTI-HARASSMENT POLICIES

Emerald Standard LLC is committed to maintaining a work environment that encourages and fosters appropriate conduct and respect for individuals. Emerald Standard LLC has adopted this policy in order to create a work environment free from unlawful discrimination of any kind, including sexual harassment and harassment based upon any other protected category. Emerald Standard LLC has zero tolerance for harassment.

Equal Employment Opportunity

Emerald Standard LLC is an equal opportunity employer. This means that Emerald Standard LLC will base its employment decisions on merit, qualifications, competence, and business needs as determined by management, and without regard to an employee's protected category, or an employee's association with a person in a protected category.

Protected categories include actual or perceived: age, race, color, religion, national origin (including unlawful language proficiency requirements), ancestry, citizenship status, sex (including gender, gender identity, and gender expression), sexual orientation, pregnancy, disability or handicap, current or prior uniformed service (to include the reserves, guard, and any other component as defined by law), marital status, familial status, civil union status, order of protection status, genetic information, recipients of GED certificates in place of diplomas or any other legally protected class.

Accordingly, Emerald Standard LLC strictly prohibits all forms of unlawful harassment, including discrimination or retaliation based on any protected category listed above. Any employee, (e.g., supervisor, manager, or co-worker), or non-employee third parties (i.e., intern, independent contractor, vendor) found to be engaging in unlawful discrimination, harassment, or retaliation against any other employee or non-employee will be subject to

corrective action, up to and including termination of employment or other appropriate remedial action.

Duty to Report and Prohibition Against Retaliation

Employees are encouraged to inform a harasser directly that the conduct is unwelcome and must stop. However, Emerald Standard LLC cannot address issues if we do not know about them. If you feel that you have experienced or witnessed unlawful discrimination, harassment, or retaliation, you must report such conduct to a member of management immediately (orally or in writing), utilizing the procedures set forth in the Employee Concern Reporting Policy outlined at the end of these policies. Managers are required to report any complaints of unlawful discrimination or harassment to the owners of Emerald Standard LLC.

In addition to harassment, Employees are encouraged to report any unlawful employment practice, and to cooperate in any investigations of the same. Emerald Standard LLC prohibits any form of retaliation against any employee who reports a violation of Company policy, files a complaint, assists in a complaint investigation, or otherwise opposes an unlawful employment practice.

If you believe that you have suffered unlawful retaliation in violation of this policy, please follow the Employee Concern Reporting Policy.

Emerald Standard LLC will take reasonable steps to investigate concerns in a fair, timely, and thorough manner, to document progress, to take appropriate remedial action (if misconduct is found), and to communicate a resolution in writing. Confidentiality will be kept by Emerald Standard LLC, to the extent possible.

Definition of Workplace Harassment

Harassment is unwelcome verbal or physical conduct that denigrates or shows hostility or aversion toward an individual because of the individual's protected category (see list above). Harassment becomes unlawful when enduring the conduct becomes a condition of

continued employment, or when the conduct is severe or pervasive enough to create a working environment that a reasonable person would consider intimidating, hostile, or abusive. Petty slights, annoyances, and minor isolated incidents would not typically rise to the level of illegality.

Harassing conduct may include, but is not limited to the following:

- Communications such as offensive jokes, insults, slurs, epithets, ridicule, mockery, or name- calling, and physical threats, assaults, intimidation, or hostile acts, which relate to or are based on any protected category; and/or
- Displays of any kind, whether electronic or in hard-copy form, of objects, pictures, writings, recordings, or videos that are vulgar or sexually suggestive, or that are offensive or degrading to a person or group of persons based on any protected category.

A harasser may be a manager, co-worker, or non-employee. A victim need not be the person harassed but can be anyone affected by the offensive conduct, including a non-employee, such as a vendor or contractor.

Definition of Sexual Harassment

Sexual harassment is defined as unwanted sexual advances, such as requests for sexual favors, or other unwelcome verbal or physical conduct of a sexual nature. Sexual harassment is unlawful when such conduct is made a condition of continued employment, or unreasonably interferes with the employee's work performance or creates a sexually hostile, intimidating, or offensive work environment. Examples of sexual harassment include, but are not limited to:

- Requesting sexual favors in exchange for favorable reviews, assignments, promotions, continued employment or promises of the same;

- Comments or questions about an individual's body, sexual preference, sexual prowess, or sexual deficiencies, and the use of sexually degrading or vulgar words to describe an individual;
- Sending sexually explicit, suggestive, or offensive communications via electronic means, such as email, text, or social media;
- Touching, leering, whistling at or brushing against the body, or sexually suggestive, insulting, or obscene comments or gestures; and
- Display or communication of any kind, whether electronic or in hard-copy form, of objects, pictures, writings, recordings, or videos that are vulgar or sexually suggestive or that are offensive in a sexual manner.

Emerald Standard LLC recognizes that sometimes it can be difficult to know what constitutes sexual harassment, since people and their perceptions and sensibilities are different and can change. Comments, jokes, or personal advances that you may perceive as innocent or funny may be offensive to a coworker. You should know that even innocent intentions do not excuse actions that are unwelcome and that are reasonably perceived as threatening or offensive. Therefore, Emerald Standard LLC encourages you to avoid misunderstandings by acting professionally, treating others with respect, and avoiding conduct that others could misinterpret either as welcoming of inappropriate conduct or as being inappropriate conduct.

Harassment By Others

Harassment by third parties, like vendors, customers, or visitors, is also prohibited when directed against our employees. If any employee feels uncomfortable, threatened, or harassed by a visitor to our facility, that employee should immediately report the situation so that appropriate action can be undertaken. Remedies for these types of situations may include but are not limited to asking the person to refrain from the offensive behavior, removing the employee from direct interaction with the person, and/or asking the person not to return.

Employees with Disabilities

Emerald Standard LLC complies with applicable state and federal laws that provide for nondiscrimination in the terms and conditions of employment and the provision of reasonable accommodations for qualified individuals with disabilities, and for employees who are pregnant or have a condition related to pregnancy, including lactation or the need to express breastmilk. You may be a qualified individual with a disability if you have a qualifying physical or mental disability or medical condition and can perform the essential functions of your job with or without a reasonable accommodation.

Emerald Standard LLC is committed to providing qualified persons with disabilities an equal opportunity to participate in and benefit from all programs and services offered by Emerald Standard LLC. Reasonable accommodations for program accessibility and employment are made on an individual. If you feel you are a qualified individual with a disability and need an accommodation to perform your job, you should make such an accommodation request to management. Likewise, if management becomes aware of the need for an accommodation by observation or from a third party, we may initiate an interactive process with you to determine if a reasonable accommodation is needed and/or can be made.

During the interactive process, management will work with you to seek a reasonable accommodation that enables you to perform the essential functions of your job, as long as such accommodation does not pose an undue hardship on Emerald Standard LLC. After proper assessment, management will advise you of our decision on your accommodation request.

Management may require proper documentation about the need for a reasonable accommodation. If your request relates to pregnancy or any related condition, then no documentation is required for the following accommodations: (1) more frequent restroom, food, or water breaks; (2) seating; (3) limits on lifting more than twenty (20) pounds; and, (4) private non-bathroom space for expressing breast milk.

All medical-related information is maintained in separate confidential files and will be kept confidential to the extent possible. While Emerald Standard LLC strives to allow only those persons with a need-to-know purpose be apprised of any medical or other information

concerning your accommodation request, it should be noted that some disabilities or accommodations may be apparent to other team members.

9. Progressive Corrective Coaching

Progressive Corrective Coaching is a process designed to identify and correct problems or behaviors that negatively affect an employee's work performance and/or the overall performance of Emerald Standard LLC. It is the intent of Emerald Standard LLC to handle this process as consistently as is practical, with two goals in mind. One goal is to create a record of an employee's progress and performance to assist with performance assessment. The second is to clearly communicate the expectations of Emerald Standard LLC to the employee so that the employee has an opportunity to self-correct any issues, and to have the opportunity to ask for help to overcome any obstacles. The steps of the Progressive Corrective Coaching policy are outlined below. Emerald Standard LLC, may repeat, combine, modify, or skip any step based on the facts of the specific situation and the nature of the offense. Relevant factors may include the employee's work record, the severity of the conduct, whether the behavior has repeated despite past coaching or training, and the impact the behavior has had on Emerald Standard LLC, other employees, vendors, or customers.

When documentation is made of the coaching, employees will be permitted to provide their notes or comments, and then are required to sign the document to acknowledge receipt of the coaching. It is important to understand that an employee's *agreement* with the written counseling is not required; a signature *acknowledging receipt* is required, and refusal to sign is grounds for termination. Corrective action records, even if verbal, may be kept in an employee's file indefinitely. Nothing in this process modifies the at-will status of employment. The corrective action process may include any or all of the following steps:

1. **Verbal Counseling -** A manager and employee verbally discuss the nature of the problem or violation of policy, as well as the expectations of the employee moving forward. A written record may be made at the discretion of management.

2. **Written Counseling –** A formal meeting between a manager and employee is scheduled in which any prior relevant coaching is reviewed, continuing performance issues are discussed, and consequences for continued failure to meet expectations are explained.

3. **Final Written Counseling –** When performance or an incident is so problematic as to warrant potential termination, a final counseling with a warning may be issued to clarify that any further failure to meet the expectations of management will likely result in termination.

4. **Suspension –** Suspension is reserved for serious infractions requiring further investigation, or where the safety of the employee, company assets, or others are at risk. Suspensions are usually without pay, and paid time off may not be used. Employees may be reinstated or terminated after a suspension. Employees who are excused or exonerated may be paid for time missed.

5. **Termination -** Terminations may occur either immediately without prior notice, or after written counseling has not resulted in improvement of the performance or behavioral problems.

10. Performance Evaluations

Both management and employees are strongly encouraged to discuss job performance and goals on an informal, day-to-day basis. Additional formal performance reviews may also be conducted to provide management and employees a more formal opportunity to discuss job tasks, identify and correct weaknesses, encourage, and recognize strengths, and discuss goals. Employees should expect to be evaluated during and after their getting acquainted period. Formal performance evaluations are generally scheduled annually, subject to change at the discretion of Emerald Standard LLC.

Feedback goes both ways. Evaluations of employees are intended to be participatory in nature, involving the employees' input as much as that of management, thereby helping employees to contribute to the betterment of Emerald Standard LLC. To make your review more successful, you may wish to review your job description beforehand, and come prepared with a list of your accomplishments from the last year and goals for the coming year. Finally, it is also a good time ask questions about company goals, discuss challenges you may be facing, or to make suggestions for company improvements. Note that reviews do not automatically lead to an increase in pay and Emerald Standard LLC does not give a routine annual cost of living increase.

Emerald Standard LLC will attempt to give employees notice prior to the evaluation time in order for both parties to prepare and thereby make the evaluation session more productive and meaningful. Nothing stated during an evaluation alters your at-will status, nor creates an obligation to continue your employment, unless an agreement to do so is put in writing and signed by an authorized representative of Emerald Standard LLC.

11. ATTENDANCE AND TIME OFF

Holidays

Emerald Standard LLC sets its holiday schedule each year depending upon where the holiday falls in the work week. When a holiday falls on a weekend, we may close the Friday prior or Monday after a holiday, or choose not to close at all, at the discretion of management. We normally observe the following holidays:

- New Years
- Martin Luther King, Jr. Day
- Presidents' Day
- Memorial Day
- Independence Day
- Labor Day

- Thanksgiving Day
- Day After Thanksgiving
- Christmas Eve
- Christmas Day

Our facilities will be open on holidays based on plant health and production needs.

Eligibility for Holiday Pay

Full time and part time employees are eligible to receive holiday pay. Holidays are only paid when the employee is scheduled to work on the holiday. All other holidays other than those listed herein will be unpaid. Non- exempt employees scheduled to work during the holiday will be paid one and a half (1.5) times their regular rate of pay. Employees on a leave of absence are not eligible for holiday pay.

Employees who want to take religious holidays that are not observed by Emerald Standard LLC are permitted to take time off without pay, or use eligible paid time off, as long as reasonable notice is provided.

Paid Time Off

Emerald Standard LLC understands that we all need time away from work from time to time, and therefore provides a generous Paid Time Off ("PTO") policy to all employees. Our PTO policy is an all-purpose time off benefit that can be used for <u>any</u> reason, including vacation, illness, and personal activities.3

<u>Amount of PTO</u>. Accrual of PTO begins on the date of hire, and time is available for use once it accrues. There is no cap on the amount of accrued PTO that can be used per year.

PTO is accrued based on the employee's anniversary year and accrues on a per pay period basis. The amount of PTO that can be accrued is based on full-time or part-time status, as well as length of employment, in accordance with the following charts:4

Number of years worked	Accrued PTO
1-2 Years	40 hours (5 days)
2-4 Years	60 hours (7.5 days)
5-8 Years	80 hours (10 days)
8-10 Years	120 hours (15 days)
10+ Years	160 hours (20 days)

In the above charts, one (1) PTO day is equal to eight (8) hours. Note, however, that accrued PTO does not need to be used in full-day increments. For employee convenience, available PTO amounts, amounts of PTO used, the amount of pay the employee has received through PTO that year, and each employee's hire date will be listed on employee pay statements.5

Unused PTO Balances. If you have accrued but unused PTO at the end of the benefit year, it will roll over into the following benefit year, up to a maximum of three hundred (300) hours. Once you reach the maximum, you will no longer accrue PTO until you use some or all of what you have accrued. Upon separation from employment, any accrued, unused PTO in your personal accrual balance will be paid out to you.

Non-Discrimination Policy. Emerald Standard LLC prohibits discrimination or retaliation against an employee for lawful use of PTO as protected sick leave, or any exercise of sick leave rights. If you believe that you have been discriminated or retaliated against, use the Employee Concern Reporting Policy to notify management about the situation.

Taking Time Off

PTO can be used in one (1) hour increments. PTO is paid at the employee's normal hourly wage, unless otherwise required by law. PTO is not used in the calculation of overtime.

Scheduled Time Off. When using PTO for vacation or similar purposes, your request should be submitted by formal request through or HR Information System and by notifying your manager with as much notice as possible but no later than two (2) weeks in advance. Emerald Standard LLC understands that not all uses of PTO are known that far in advance. Therefore, if you are requesting to use PTO for sick leave purposes (i.e., scheduled doctor's appointment), please provide reasonable advance notice to management when practical.

Limits and Minimums. Absences of longer than five (5) days may require an approved leave of absence unless you were approved for an extended vacation absence. Accrued PTO benefits must be used before unpaid time off may be taken. In other words, you may not take unpaid days to "save up" for a later vacation. Taking excessive unpaid time off, unless part of an approved leave of absence, may subject employees to corrective action.

Unscheduled Time Off. For unforeseeable absences (i.e., illness), you should contact your manager and HR as soon as possible, preferably no later than one (1) hour before your scheduled start time. If possible, your notification should include the expected duration of your absence.

Requests for Doctor's Notes. You may be asked to provide a doctor's note for absences related to illness exceeding five (5) consecutive workdays, unless doing so would cause you to incur additional costs or lost wages, in which case, Emerald Standard LLC, at its discretion, may pay such costs or withdraw the request. Any information you provide to Emerald Standard LLC regarding your health or illnesses will be kept confidential.

Leave of Absence

A leave of absence is defined as an excused absence without pay. Emerald Standard LLC grants leaves of absence for an employee's own medical condition and/or for parents to bond with newborns or newly adopted children. To be eligible, employees must be classified as regular full-time, have been employed for the preceding twelve-month period, and otherwise be meeting performance and attendance standards. Personal leaves of absence are granted at the sole discretion of management for reasons acceptable to Emerald Standard LLC and depending on our staffing needs.

Employees must turn in their registered agent card at the end of their last shift before a scheduled leave of absence.

All leaves of absence must be pre-approved by management. Medical and pregnancy-related leaves may require a doctor's certification as to the inability to continue working. Employees anticipating a leave of absence must submit a request no less than thirty (30) days prior to the requested start of leave. When leave is not foreseeable, employees are required to provide as much notice as is practical.

Employees may request up to four (4) workweeks of unpaid leave in one twelve-month period. Extensions for up to thirty (30) days may be granted upon request at the discretion of Emerald Standard LLC based upon an employee's medical need and the needs of Emerald Standard LLC. Employees are required to use any accrued paid leave time concurrently with any approved unpaid leave and are not eligible for holiday pay during a leave. Seniority and paid leave time do not continue to accrue during a leave. Requests for part-time or light duty will be considered. Emerald Standard LLC reserves the right to require an examination by a physician of its choice if there is a question regarding the employee's physical ability to start or remain on a medical leave status.

Leaves must have a specific duration and return-to-work date approved at the time the leave is granted. Employees on leave are expected to keep Emerald Standard LLC advised as to their status and their intent to return to work. Failure to return to work on the specified date without an approved extension will be considered job abandonment and treated as a voluntary resignation.

Employees on leave are expected to comply with applicable company policies, such as confidentiality and conflict-of-interest policies. Failure to comply with applicable policies

may lead to corrective action during or upon return from any leave. Accepting outside or supplemental employment while on a leave is prohibited.

Any employer-sponsored insurance benefits (such as health insurance premiums) will be paid by Emerald Standard LLC for the first two (2) full calendar months of the leave of absence. Thereafter, health insurance premiums must be continued at the sole expense of the employee to remain in force. Employees are responsible for paying Emerald Standard LLC directly for any portion of premiums that is the employee's responsibility when there are insufficient wages from which to deduct. Employees may pay such premiums through increased payroll deductions before an anticipated leave begins, or, through direct payment to Emerald Standard LLC.

Reinstatement after Leave

To resume expected job duties after a medical-related leave, an employee returning to work may be required to provide a written release from a licensed physician listing any restrictions. All medical information will be maintained in confidence. Leaves for pregnancy are treated like a medical leave.

An employee returning to work on the approved date a medical or pregnancy leave ends, and who otherwise complies with this policy, will be reinstated to their previous job status or to an open position comparable in status and pay and for which the employee is qualified.

However, employees on leave have no greater right to employment than if they had been continuously at work. Changes in hours, schedules, duties, jobs, benefits, pay, and the like may occur because of business needs, or for failure to comply with Emerald Standard LLC's leave policy. Furthermore, employees on leave are subject to any layoff or reduction in force as permitted by applicable law.

Reinstatement from Personal Leave of Absence

Reinstatement cannot be guaranteed to any employee returning from family or personal leave. We endeavor, however, to place employees returning from leave in their former positions if available or in positions comparable in status and pay, subject to budgetary

restrictions, available vacancies, state and federal regulations and guidelines, and our ability to find qualified temporary replacements.

Bereavement Leave

You may use PTO to attend the funeral of a member of your immediate family. If you have exhausted your PTO, you may take up to two (2) days off without pay, with additional time at the discretion of Emerald Standard LLC. You must speak to the manager-on-duty by phone or in person to notify us of the need for leave, and your anticipated return to work date, as soon as possible. You may be required to provide proof of death and familial relationship.

Election Day

You are encouraged to vote. We ask that you make every attempt to vote by mail, or outside normal business hours. If this cannot be done, and you do not have at least three (3) consecutive hours of available polling time before or after your work shift, you may request additional paid time off to vote. Management will adjust your scheduled hours so that you have at least (3) hours of polling time either before or after your shift. Requests for time off must be submitted no later than the day before election day.

Family and Medical Leave

The Family and Medical Leave Act ("FMLA") entitles eligible employees to take unpaid, job-protected leave for specified family and medical reasons with continuation of applicable insurance coverage under the same terms and conditions as if the employee had not taken leave. Eligible employees are entitled to twelve (12) work weeks of leave in a rolling 12-month period for the following qualifying reasons:

- An employee's serious health condition,
- A serious health condition of the employee's spouse, parent, or child,
- Bonding with the employee's newborn child or placement of a child with the employee for adoption or foster care,

- Any qualifying exigency arising out of the fact that the employee's spouse, parent, or child is on active duty, or
- Military caregiver leave, to care for a covered service member with a serious injury or illness, for up to twenty-six (26) work weeks

Family and Medical Leave may be taken on a Consecutive, Continuous, or Intermittent basis, subject to certain conditions and requirements

Jury Duty

Employees will be allowed the necessary time off to serve jury duty. All employees will be compensated for jury duty for the first three (3) days at your regular rate of pay. You are expected to report to work whenever the court schedule permits and should call in if you are released from duty during your regular work schedule to see if you are needed. Please provide management with the notification of your jury duty or summons as soon as possible so that arrangements may be made to accommodate your absence. Upon your return to work after jury duty, you must show proof of length of service.

Witness Leave

Employees are given the necessary time off without pay to attend or participate in a court proceeding when subpoenaed in accordance with law. We ask that you notify management of the need to take witness leave as far in advance as is possible. Exempt employees may be provided time off with pay when necessary to comply with state and federal wage and hour laws.

Military Leave of Absence

Veterans may request Veterans Day or Memorial Day off to participate in an exercise, parade, or service each year with at least thirty (30) days' notice in writing. You may be asked to provide proof of your veteran status at Emerald Standard LLC discretion.

Emerald Standard LLC is committed to protecting the rights of members of the Uniformed Services of the United States, including the Armed Forces, National Guard,

commissioned Corps of the PHS, or any other category designated by law. No employee will be subjected to any form of discrimination or retaliation on the basis of that person's membership or obligation for service in the Uniformed Services, or a result of exercising rights under the Uniformed Services Employment and Reemployment Rights Act (USERRA).

Employees going on military leave must notify Emerald Standard LLC as soon as possible after you receive military orders, furnish Emerald Standard LLC with any official papers you receive regarding your orders, and provide Emerald Standard LLC with any required documentation for re-employment upon completion of service. If you are a member of the armed services or a reserve component of the United States armed forces, active service orders are not required for absences of up to seventeen (17) days per year to attend training, drills, or parades.

Military leave will be granted up to a maximum of five (5) years of actual service time, and employees will be entitled to reinstatement upon return, provided you apply for re-employment (written or verbal) within the applicable time limits of returning, and the discharge was honorable, as required by law. Reinstatement will be made by the employer as soon as practical.

In accordance with USERRA, employees may also be granted leave under this policy for time off needed to receive military supplemented healthcare treatment for a condition, injury, or illness sustained during a period of active service.

Your benefits, if any, during and after military leave, will be calculated and provided to employees consistent with applicable laws. Emerald Standard LLC will continue any group health benefits in place at time of the leave start date for the first thirty (30) days of such leave at no cost to you. Thereafter, you may be required to pay the employee's portion of the premiums, if any. Seniority rights continue to accrue during a leave, but accrual of paid time off is suspended during a leave. You may use any accrued paid time off during a leave. Otherwise, military leave is unpaid.

Required Documentation on Application for Reemployment – Employees will be reemployed in the job position he or she would have attained with reasonable certainty if not for the absence. Application for reemployment must be submitted for leaves exceeding thirty (30) days. Please ask management for further details on required documentation of

leave, timing of reemployment (which depends on the length of leave), benefits, or other details regarding leave or eligibility for reinstatement. Employees returning from military leave extending between 31 and 180 days will not be terminated for any reason other than cause for up to six (6) months following reinstatement, and for military leaves in excess of 180 days you will not be terminated for other than cause for up to twelve (12) months following reinstatement.

12. CONTINUING EDUCATION

We believe in investing in our employees' professional development. Accordingly, Emerald Standard LLC will from time to time make educational events available to the team, some of which will be mandatory, and some will be optional. For non-exempt employees, time spent in training is considered work time, and thus will be paid time, when any of the following are true:

- Attendance is approved during the employee's regular working hours;
- Attendance is mandatory or strongly encouraged;
- The training is intended to help the employee perform their current job better or more effectively; or
- The employee performs actual productive work during attendance.

Note that maintaining continuing education credits required by the state for licensure is the sole responsibility of the licensed individual, and unless required by management to attend a specific event, should be scheduled outside regular hours, and is not considered compensable time. Any employee interested in attending a training not sponsored by management should present the syllabus to management for review. Expenses may be compensated at the discretion of Emerald Standard LLC.

Non-exempt employees may be paid a reduced rate of pay for travel and training time when no productive work is being performed. Employees must track and record all

compensable travel and training time, which includes the time actually spent in training (not leisure or break time), and for related travel.

Time spent traveling to and from the location of the compensable training event will be paid, except that time spent traveling as a passenger for an overnight trip is only paid if it cuts across normal working hours (regardless of day of the week). Emerald Standard LLC will also reimburse employees for all approved necessary expenditures incurred by employees while participating in compensable training events, upon submission of valid receipts.

Employees are expected to maintain the image of Emerald Standard LLC at all training events, including being present both physically and mentally at all required functions. Please be mindful to limit your recreational activities so that you are well rested and able to give your full attention to the educational opportunity provided.

Verification of Licenses and Certificates

Emerald Standard LLC is not responsible for providing any notification or reminders to licensed individuals prior to the renewal date of such licenses or credentials. Employees should make every attempt to schedule any required classes for certifications to take place during non-work hours. Emerald Standard LLC requires that you provide current licensure and certifications at the time of hire and annually.

Failure to keep your licenses and certifications current could result in disciplinary action, including suspension, pending reinstatement of necessary licensure and credentials, and/or termination.

13. WORKPLACE SAFETY

The safety of our employees and visitors to our facility is of the utmost importance. All team members are expected to maintain safe and healthful working conditions and to adhere to all policies and procedures designed to prevent injuries and illness. By working together and staying aware of our surroundings we can achieve a safe and healthy environment.

Emerald Standard LLC attempts to provide for the safety of the staff and community by continuous efforts to maintain buildings, grounds, equipment, and by encouraging safe

operating practices. All employees are responsible for contributing to safety by performing their job in the safest manner possible and by reporting to the supervisor any unsafe or dangerous conditions observed. Typical examples of unsafe conditions include slippery floors, improper or defective electrical wiring, careless handling of equipment, defective or broken equipment, and equipment left in such a manner as to be a safety hazard.

Security policies at Emerald Standard LLC are designed to create a safe workplace for our employees, property, data, and systems. Employees are expected to know, understand, and follow safety and security policies as well as to report any potential concerns in order to maintain a safe and secure workplace.

Employees are responsible for knowing best safety practices, thinking defensively, anticipating unsafe situations, and reporting unsafe conditions immediately.

In addition, please observe the following precautions:

- Notify management of any emergency situation. If you are injured or become sick at work, no matter how slightly, you must inform management immediately. Failure to make a timely notification to Emerald Standard LLC can lead to possible workers' compensation disqualification.
- Notify management if you are taking any prescription medication that either the prescribing entity, or you, believe may affect your ability to safely perform the essential functions of your position as it relates to the potential safety of others.
- The use of alcoholic beverages or illegal substances during working hours will not be tolerated.
- Use, adjust, and repair machines and equipment only if you are trained and qualified.
- Always perform a review of any equipment or machinery for its condition and make sure any moving parts are free of foreign objects prior to usage.
- Get help when lifting or pushing heavy objects.
- If you are not sure of the safe procedure, do not guess. Ask.
- Know the locations, contents, and use of first aid and firefighting equipment.

- Wear personal protective equipment in accordance with the job you are performing.
- Report the need for repair or replacement of your work materials or the premises (such as light outages, or uneven flooring) as soon as discovered.
- Store materials and equipment safely and neatly; avoid clutter that might cause accidents.
- Always exercise universal precautions while sterilizing equipment, cleaning the premises, and carrying out other tasks that have a potential for infection, contamination, or exposure to harmful chemicals or bio-hazardous materials.
- Know the location of building exits, fire-fighting equipment, and other emergency equipment.

Emerald Standard LLC reserves the right to request that you leave the premises if, in our judgment, your injury or illness poses a direct threat to the health and safety of you or others in the workplace. In most circumstances, you may not refuse to work because you are afraid of contracting a life- threatening illness from a co-worker. Employees concerned about being infected with a serious disease by a co-worker, customer, or other person, should report such concern to management.

Notice of Potential Exposure to Hazardous Material

Studies have shown that some of the processes and substances used in our business may have a harmful effect on women who are pregnant or planning to become pregnant. For example, exposure to volatile organic compounds (VOCs) has been noted as potentially posing a risk to the health of a mother or fetus.

In making your decision whether to continue working during your pregnancy, we encourage you to seek out education and guidance from your own physician regarding working in a cannabis facility while pregnant. It is your responsibility to request any accommodations needed to perform your job duties during your pregnancy. You may

request a leave of absence if you or your physician feels that continued performance of your responsibilities may present a hazard to you or the child, or if you are unable to perform the essential functions of your job.

OSHA Compliance

Due to the potentially hazardous nature of our workplace, all team members are responsible for familiarity and compliance with OSHA, EPA, and state regulations regarding job safety and health protection. Emerald Standard LLC cooperates with all reasonable OSHA and EPA inspections and compliance reviews. Emerald Standard LLC provides training and materials explaining the applicable standards and guidelines for all employees during the initial getting acquainted period, and periodically when applicable regulations are revised or added. All employees are required to participate, and a record will be maintained of all those in attendance. Missed meetings and training exercises must be made up within a reasonable time to avoid disciplinary action.

Please contact management with any questions about safety supplies, training, or applicable standards.

Hazard Communication Standard

OSHA's Hazard Communication Standard requires that warning labels with orange and orange- red biohazard symbols be affixed to containers of regulated waste or, alternatively, red bags may be used. Employees who may come into contact with hazardous materials are required to receive information and training after the start of employment. Emerald Standard LLC maintains additional information (including a copy of the SDS) about any chemical used or stored in the facility, which is available to employees during working hours.

Fire Prevention and Reporting

Fire hazards or suspected fire conditions observed by an employee should be reported immediately to Company management and Emergency Authorities. Examples of hazards that should be reported are:

- Flammable liquids or materials left uncovered after use or improperly stored
- Accumulations of paper, oily rags, etc. in storage or other enclosed areas
- Defective wiring or electrical devices
- Fire doors left open

Emerald Standard LLC has established fire prevention and evacuation plans to protect employees and other personnel and property on our grounds. Employees should become familiar with the plan for their particular area. All reports of fires, including non-emergency situations, is important, particularly if you are unsure whether management may already be aware.

Security of Personal Items

Emerald Standard LLC shall not be held responsible for the personal effects of employees while in the building. Employees are responsible for keeping any personal effects secure.

Violence in the Workplace

In order to minimize the potential risk of personal injuries at work and to reduce the possibility of damage to Company property, neither violence nor threats of violence will be tolerated.

Employees may not bring weapons (including, without limitation, any type of handgun, automatic weapon, rifle, toxic substance, or knives not designated for Company use) into the facility for any reason, regardless of whether they are properly licensed. Employees with

licenses to carry a concealed weapon are not prohibited from having a firearm in their locked vehicle on company property. Personal defense items such as mace, stun guns, or tear gas are also prohibited without the express permission of management and under the conditions set thereby.

If you receive or overhear any threatening communications from an employee or third party or become aware of an employee in violation of the no-weapons policy above, report it to management at once. Do not engage in either physical or verbal confrontation with a potentially violent individual. If you feel you are in immediate danger or you encounter an individual who is threatening immediate harm to an employee or visitor to our premises, contact an emergency agency (such as 911) immediately. All reports of work-related threats will be kept confidential to the extent possible, investigated, and documented. Employees are expected to report and cooperate in an investigation of any suspected or actual cases of workplace violence.

Violations of this policy, including refusal to cooperate in Emerald Standard LLC's investigation, will result in corrective action, up to and including termination of employment.

Smoke-Free Environment

For the health and comfort of our team and visitors, smoking tobacco products, including e-cigarettes and other vape products, is not permitted. Smoking by team members is only allowed during authorized breaks and outside the building in designated smoking areas. Employees may not smoke in view of vendors and customers. Smokers are not entitled to extra breaks because they smoke. In addition, employees are encouraged to refrain from smoking before and during work hours to ensure that clothing, hair, hands, and breath are smoke-free.

Emerald Standard LLC may prevent any employee who smells like smoke from clocking in for their work shift or may ask such an employee to leave. Employees who violate this policy are subject to corrective action and may be held responsible for any fines assessed to Emerald Standard LLC as a result of a violation.

Drugs and Alcohol

Emerald Standard LLC is committed to providing a safe, quality-oriented, and productive work environment, consistent with the standards of our community. Alcohol and drug abuse poses a threat to the health and safety of our employees and to the security of our equipment and facilities. For these reasons, it is the policy of Emerald Standard LLC to provide an alcohol and drug- free work environment.

Emerald Standard LLC does not discriminate against registered cardholding medical-marijuana users, and no registered cardholder will be denied employment based on a positive pre-employment drug test. However, employers are not required to accommodate the medical use of marijuana in the workplace. Therefore, any employee whose work is impaired by the drug or whose use of the drug poses a safety risk, is subject to corrective action and/or termination, like any other lawfully prescribed drug that may impair an employee's functioning at work.

Substance abuse have many serious health and safety consequences. Emerald Standard LLC does not desire to intrude into the private lives of its employees but recognizes that employees' off-the- job involvement with drugs and alcohol may have an impact on the workplace. Therefore, Emerald Standard LLC reserves the right to take appropriate corrective action for drug use, sale, or distribution while off company premises. All employees who are convicted of, plead guilty to, or are sentenced for a crime involving drugs are required to report the conviction, plea, or sentence to management within five days. Failure to comply can be expected to result in termination of employment. A report may result in suspension without pay to allow management to review the nature of the charges and the employee's past record.

If you would like information or assistance to address drug or alcohol problems, please contact HR. Employees who voluntarily seek help for such problems before becoming subject to corrective action or drug testing will be supported to the extent possible by permitting

use of paid time off and/or leaves of absence for treatment, as well as provided other reasonable accommodation as required by law. Employees may be required to document that they are successfully following prescribed treatment.

Substance Rules and Prohibitions

Employees are prohibited from reporting to work, working, or being present on company property unless they are fit for duty and free from any adverse effects of drugs or alcohol. This policy does not prohibit employees from the lawful use and possession of prescribed medications or over the counter medication. Employees must, however, consult with their doctors about the medications' effect on their ability to perform their essential job duties and ability to work safely, and they must promptly disclose any work restrictions to their manager.

Prescribed medications must be carried in the container labeled by a licensed pharmacist and employees must be prepared to produce it if requested.

Whenever employees are working, present on Company property, operating a vehicle on Company business, or working at an off-site event, the use, possession, purchase, sale, manufacture, distribution, dispensation, concealment, receipt, transportation, or being under the influence of a prohibited substance is not permitted. Prohibited substances (including trace quantities) include the following:

- Drugs, controlled substances, intoxicants (legal or illegal), "look-alike" substances, designer drugs, counterfeit or synthetic drugs, inhalants, and any other drugs or substances that will, in any way, affect safety, work ability, alertness, coordination, judgment, response, or the safety of others on the job
- Alcoholic beverages
- Prescription medication unless prescribed by an authorized medical practitioner.

Inspections and Investigation

Because of the importance of this policy, Emerald Standard LLC reserves the right to inspect all areas of its premises for prohibited substances. Employees may be asked to cooperate in inspections of their persons, work areas, and property that might conceal prohibited substances and contraband. All employees are expected to cooperate with any investigation. Failure to cooperate, providing false information, or omission of relevant information may subject any employee to corrective action up to and including termination of employment.

Required Testing

A search and inspection will include and require employees to submit to a Urine Drug Screen Test and/or Blood Test or other examination. Tests/inspections may be required under the following circumstances:

- During pre-employment examinations;
- When management has reasonable suspicion based on observation of apparent workplace use, possession, or impairment. In such cases, under no circumstances will the employee be allowed to drive to the testing facility; or
- Within two hours of an accident resulting in an on-the-job injury if there is reasonable basis to believe prohibited substances may have been a contributing factor to the accident. In such cases, under no circumstances will the employee be allowed to drive to the testing facility.

All testing will be performed at a designated drug-testing facility. Time spent in alcohol or drug testing will be paid time. An employee may then be suspended pending the results of the drug or alcohol test. Information and records relating to positive test results, drug and alcohol dependencies, and any medical explanations provided by the employee will be kept confidential to the extent required by law and maintained in secure files separate from normal personnel files. Employees who have tested positive, refuse to cooperate, or

otherwise violated this policy, are subject to corrective action, up to and including termination.

Emerald Standard LLC may refuse to let an employee clock in, or require the employee clock out, if the employee's ability to safely perform their job duties is impaired by prescribed medication, over the counter medication, alcohol, or drugs.

Any employee who is subjected to discipline on the basis that the employee is under the influence or impaired by cannabis will be afforded a reasonable opportunity to contest the basis of that determination.

14. CRIME PREVENTION

Emerald Standard LLC is committed to promoting a safe, respectful, and non-threatening environment that provides an attractive place free from all forms of violence. Acts of violence are strictly prohibited.

Members of Emerald Standard LLC are expected to immediately report any emergency situation involving behavior by an employee, patient, or visitor that would be considered a high level of concern (possession of weapon/bomb materials, physical assault, or attempted assault), and/or when there is a serious and imminent threat of violence to one's safety or the safety of others. Any other situation that involves a threat of violence or alarming/disruptive behavior that is not within the emergency situation should be reported to the appropriate Company personnel.

Emerald Standard LLC prohibits the possession, transportation, and use of unauthorized firearms and other dangerous weapons on our grounds. The Dangerous Weapons & Firearms Policy applies to all persons, including staff, contractors, patients, and visitors.

Current employees are required to self-disclose to the Department of Human Resources post-employment criminal convictions, other than minor traffic violations. Disclosure must be made within one business day of the conviction. Such disclosure shall be made in writing to the Director of Human Resources. Failure to timely disclose a criminal conviction may result in discipline, up to and including termination.

Persons on our facility grounds and in violation of our policy are trespassers and may be dealt with accordingly, including, but not limited to, being removed from the grounds and receiving a written directive to remain off of our grounds. Contractors and vendors are expected to comply with policy and contract terms. Violations of state law may be dealt with by appropriate law enforcement. Employee violations may be resolved in accordance with employer policies, up to and including termination.

15. SECURITY

Security Policies, Procedures and Training

Emerald Standard LLC maintains a detailed security plan and operating procedures that will identify the security policies, procedures and training required for Emerald Standard LLC.

Keys and Access

Employees who receive security access to the building, internal offices, or company property, are responsible for keeping access items such as keys and codes secure. You may only permit access to authorized individuals. Lost or stolen keys must be reported to management immediately. Employees are required to return keys upon termination of employment, or otherwise on demand by the employer. Employees who fail to return keys upon demand may be required to pay the cost of rekeying the facility.

Video and Audio Surveillance

Our Company uses video surveillance cameras and Audio surveillance in compliance with state law to lawfully monitor activities that take place within the private property of Emerald Standard LLC. Employees are put on notice they have no expectation of privacy in any area where cameras are installed. Employees agree to video monitoring by nature of their employment. Refer to the security plan for more details.

Motion Detection

The facility will have motion detection and glass breaks also in compliance with company policy and state law. Refer to the security plan for details of the motion detection system. The security plan will remain up to date with any changes to the motion sensors, and the sensors will be tested periodically according to the security plan.

Visitor Policy

In order to prevent the disruption of the flow of our workday, for safety, and to protect confidentiality, security protocols must be followed for anyone seeking access to our business premises. This includes visits from former employees, friends, and family members. Proper licensure or regulatory credentials will need to be shown to enter, and no exceptions can be made without authorization from management.

All visitors must enter through the main reception area and be escorted at all times. Visitors are not to be given access to restricted areas, generally any area beyond the lobby and Cultivation rooms, unless authorized and accompanied by management. Visitors may not handle or smell the medicine. At the management's discretion, visitors may be asked to leave, or asked not to return during working hours.

16. COMMUNICATION AND TECHNOLOGY

Personal Devices and Phones

In order to preserve the privacy, safety, and protection of our production facilities, as well as to keep our focus on plant care during work hours, the use of personal devices such as smart phones, tablets, smart watches, and the like, is not permitted during work time, and never in view. Personal devices should be stored with your personal belongings during work time. You may use your personal devices during off duty breaks, as long as you are in an area that you will not disturb or disrupt the work of a team member. In cases of emergency, family members may call the main phone number of the facility. Nothing in this policy limits an employee's right to engage in protected concerted activity.

For the privacy and protection of our team and facilities, employees must obtain consent from the Facility Manager before taking or transmitting a picture or video from inside the facility. If you see anyone taking or transmitting a picture or video without the obvious consent of the Facility Manager, please notify a manager immediately. Employees are asked to report any violation of this policy.

Email and the Internet

All technology provided by Emerald Standard LLC, including computer systems, communication networks, and electronic records are the property of Emerald Standard LLC, and use is intended for work-related purposes. Unacceptable use can place Emerald Standard LLC at risk. Use of Company technology such as computers and the internet are not intended for personal use. Personal use of Company email is not permitted during work hours and is always subject to monitoring. Employees have no right or expectation of privacy in information transmitted through Company technology. Personal access to Emerald Standard LLC's Wi-fi must be limited to off-duty breaks.

All employees are required to keep company passwords private and are otherwise expected to protect the integrity of any security measures put in place to keep company records and files secure.

As part of Emerald Standard LLC's maintenance and security procedures, Emerald Standard LLC reserves the right to monitor, access, retrieve, store, and review all e-mail/Internet activity as it deems appropriate, and to disclose the nature and content of any such activity to law enforcement officials or to other third parties without any prior notice to the employee. As a condition of initial and continued employment, all employees must consent to Emerald Standard LLC's review and disclosure of e-mail messages and Internet records.

Email communications reflect on Emerald Standard LLC as well as the individual, and thus must adhere to professional business standards and the policies of Emerald Standard LLC.

- E-mail and Internet activities on or through company computers and networks must be used in an appropriate, legal, ethical, and professional manner.

- E-mail and instant messaging should not be used, and files should not be downloaded/viewed/played, in any manner that would violate or contravene any policy of Emerald Standard LLC, including without limitation the Anti-Harassment Policy;

- Employees may not download software applications from the Internet or from any other source without approval in writing from management;

- E-mail, instant messaging, the Web, or the Internet should not be used in a manner that is likely to compromise the integrity of Emerald Standard LLC's computer systems or in a manner that is likely to compromise any confidential information;

- Business-related e-mail should be treated similarly to other forms of business-related correspondence, i.e., appropriate business correspondence style should be used and, where appropriate, copies should be printed and kept in the appropriate file;

- Pornography in any form is strictly prohibited from being sent, viewed, downloaded, or broadcasted from within or to company network or computer systems. Violations of the policy will not be tolerated. Emerald Standard LLC will report illegal activity to appropriate authorities.

Again, you should not assume that e-mail or instant messaging communications are private, secure, or innocuous. Despite system features that give the appearance of privacy, including passwords and the apparent ability to delete messages, data and information sent or received via e-mail are not necessarily safe for many reasons.

Programs and Passwords

Employees of Emerald Standard LLC will be given access to equipment and other secured systems on an as-needed basis at the discretion of Emerald Standard LLC. *Employees may not share or use a "universal" password or one that is assigned to others.* For security and various other accounting reasons, employees needing computer access must be issued unique passwords for their own use with company equipment and/or programs installed on Emerald Standard LLC computers and other security systems. Employees found using the passwords of others are subject to discipline up to and including immediate dismissal.

Employees are encouraged to please help us identify any area where any team member is in violation of this policy, whether intentionally or by mistake, including owners and managers. If you are directed to use a universal password or someone else's password, please inform the owner or manager as soon as possible.

Further, employees may not access company computers or programs from outside of the workplace unless specifically permitted by Emerald Standard LLC. Passwords and security settings are not to be changed unless approved by Emerald Standard LLC Administrator in writing, and employees are required to relinquish to Emerald Standard LLC all access to company programs and/or equipment at time of separation from employment.

Social Media

Employees are encouraged to use the internet responsibly, especially interactive social media, personal blogs, message boards, and the like, both during and outside work hours. When communicating publicly, it is important that you take care to ensure that your

statements cannot be construed as a representation of Emerald Standard LLC's official position, unless you have authorization from management. Harassing or discriminatory comments, threats of violence, or other similar conduct that would violate a policy of Emerald Standard LLC is discouraged in general and is never allowed while using Company equipment or during your working time. Furthermore, employees are urged not to post information regarding Emerald Standard LLC or other employees which you know to be false, or which could detrimentally affect the business of Emerald Standard LLC.

In general, it is wise to remember that what you say in social media can often be seen by anyone. Accordingly, employees should never defame or libel fellow professionals, employees, vendors, customers, or competitors. Employees may not knowingly make false representations about their credentials or their work. Employees are expected to respect copyright, trademark, and similar laws and use such protected information within applicable legal standards. Employees are prohibited from disclosing trade secrets or proprietary Company information.

Employees are expected to know and follow this policy. Nothing in this policy is, however, intended to prevent employees from engaging in concerted activity protected by law. If you have any questions about this policy, please ask a member of management before acting.

Privacy

We follow all Federal and State guidelines regarding privacy and Internet use in the workplace. As stated, our Internet systems and any and all other equipment issued by Emerald Standard LLC (including telephones, computers, laptops, servers, smart phones, tablets, pagers, and/or cell phones) are company property and are monitored to protect company assets. Be aware that Emerald Standard LLC may audit a specific computer, email, instant messages, text messages, Internet usage, and any other electronic communication stored on, communicated through or by Emerald Standard LLC's equipment or network. We have the right to review any communications stored on Company equipment, even if those communications or files are personal, and were sent through an employee's password-

protected email account. Therefore, you should have no expectation of privacy in any personal documents or communications sent through or stored on Company equipment or network. By using Emerald Standard LLC email system and/or equipment you are consenting to this monitoring. With this in mind, please be advised not to keep any personal items on your work computers or any storage device owned by Emerald Standard LLC in order to maintain your privacy.

17. WORKPLACE GUIDELINES

Business Travel

Non-exempt employees will be compensated for time spent traveling on required Company business, when an employee is required to drive their own vehicle to a company sponsored event or alternate work assignment, and any time a passenger in another's vehicle performs work while travelling. Business travel time may be compensated at a reduced rate of pay.

Employees are expected to comply with all travel and expense reimbursement policies using the Expensify management system. All training and travel arrangements must be approved by management in advance, and all approved expenses must be submitted pursuant to the Expense Reimbursement Policy in this Handbook upon return. Travel time, including any overtime pay, will be paid as required by applicable law. Employees driving their own vehicle for required business travel may be reimbursed for mileage at the current IRS mileage reimbursement rate, if such expenses are approved in advance. Employees must keep track of all miles traveled in relation to required business travel.

Employees required to travel for business must maintain their own auto insurance and be able to provide proof of such insurance to management. It is against the law to drive without insurance. Driving under the influence, talking on a cell phone, or texting while driving for business are prohibited.

TRAFFIC VIOLATIONS – Any fines incurred for traffic violations while you are driving on Company business are your responsibility. Employees must speak with management if they experience problems that they feel were not their fault. Note that parking violations are always considered to be the fault of the driver.

If you drive for business purposes, and your license is suspended, revoked or canceled, you must report it to management immediately. If you report it confidentially and give Emerald Standard LLC an opportunity to remove driving from your list of job duties, depending on the circumstances and your general work performance and attendance, your job may not be in jeopardy. Failure to report loss or suspension of license will likely result in corrective action, up to and including immediate dismissal.

VEHICLE ACCIDENT REPORTING – In the event of an accident while traveling on Company business, follow the steps outlined below. While Emerald Standard LLC expects employees to take care to avoid accidents, prompt attention to an accident that nonetheless occurs will reduce costs and losses.

When An Accident Happens:

- Notify the police immediately.
- Write down the names, addresses, telephone numbers and license numbers of persons involved and any witnesses.
- Take pictures if possible.
- Report all non-emergency accidents to management promptly.
- Report emergency accidents to management as soon as possible.
- Limit discussion of the accident to the police, your insurance or legal representative, and management.

COOPERATION WITH INTERNAL AND EXTERNAL INVESTIGATIONS

Emerald Standard LLC will occasionally need to authorize or conduct an investigation into possible work rule violations. In such cases, employees are expected to fully and honestly cooperate with a request to participate in such an investigation. Failure to cooperate in an investigation, and/or to report knowledge of theft, embezzlement, or other violation of company policy, may result in corrective action, including but not limited to termination of employment. Employees providing information related to an investigation will not be subject to retaliation or disciplinary action as a result of their participation, provided the employee is not found to have violated company policy and otherwise participates in good faith.

Company Information - Confidentiality

Emerald Standard LLC prohibits the unlawful or unauthorized access, use, or disclosure of confidential and/or proprietary information of Emerald Standard LLC obtained during the course of your employment to those outside Emerald Standard LLC, unless authorized by management, or when disclosed in connection with a workplace grievance to your attorney or representative of an investigative governing agency. Emerald Standard LLC's confidentiality policy applies to information maintained or transmitted in any form, including verbally, in writing, or in any electronic form and any transmission, whether intentional or otherwise. The confidentiality policy is effective both during and after your employment ends. In addition, as a condition of employment, employees are required to read and sign the *Confidentiality and Nondisclosure Agreement or similar provisions in the Employment Agreement, as applicable.*

Solicitation

Solicitation by an employee of another employee is prohibited while either person is on working time. For these purposes, solicitation means requesting or entreating another's support, investment, or purchase in relation to an outside business or charity. Working time is all time when an employee should be engaged in work tasks; it does not include an employee's own time, such as meal periods, or time before or after work. Solicitation,

distribution of literature, or trespassing by non-employees is always prohibited on company premises, including parking lots.

Dating Policy

Emerald Standard LLC requires that all employees uphold appropriate boundaries between personal and business relationships. Please know that Emerald Standard LLC's Anti-Harassment Policies and Employee Concern Reporting Policy strictly apply to dating between employees.

In order to maintain the high professional and ethical standards set by Emerald Standard LLC, and to avoid favoritism, discrimination or conflicts of interest, intimate behavior or relationships between management and subordinate employees is strictly prohibited, regardless of the directness or indirectness of the chain of command. Consensual dating or intimate relationships between other coworkers may be permissible only when such relationships are fully disclosed to management, consensual, and each employee provides a signed Consensual Relationship Agreement to management. Each employee in such a relationship must acknowledge that they will abide by Emerald Standard LLC's Anti-Harassment Policies, agree to act professionally by not engaging in conduct that might be offensive to other employees, and further agree to hold Emerald Standard LLC harmless from any damages or claims arising from the relationship, regardless of whether such conduct arises during or after the relationship. Finally, even employees in disclosed consensual relationships are subject to discipline for conduct deemed inappropriate for the workplace. Employees are required to disclose any romantic or dating relationship with a co- worker to HR, so that steps can be taken to ensure the employee and Emerald Standard LLC's interests are protected. Failure to disclose such relationships is grounds for termination.

Outside Employment

Emerald Standard LLC permits employees to take outside work to meet personal economic needs when the employee is meeting the demands of their job at Emerald Standard

LLC, including availability to work overtime or to occasionally cover shifts for others, and when such work is not in conflict with the interests of Emerald Standard LLC, such as working for a direct competitor of Emerald Standard LLC.

Employees are required to notify Emerald Standard LLC before taking on outside work. Notifications of outside employment should include the name of the outside employer, the hours required, as well as any restrictions upon the employee's availability to work for Emerald Standard LLC. The employee's obligations of confidentiality and respect for Emerald Standard LLC's interests are still in effect when working outside of Emerald Standard LLC.

Soliciting customers from one company and referring them to another is a conflict of interest and is not allowed. Furthermore, employees may not receive any income or material gain from individuals outside Emerald Standard LLC for materials produced or purchased on behalf of Emerald Standard LLC, or for services rendered while performing your job with Emerald Standard LLC. This policy is not intended to discourage or prohibit employees from participating in any protected activity.

Even if Emerald Standard LLC is notified of outside employment, if outside work begins to interfere with job performance or creates a conflict of interest for Emerald Standard LLC, Emerald Standard LLC may request that you terminate or modify the terms of any outside work. It will then be the employee's choice whether to continue employment with Emerald Standard LLC under the requested terms.

Employee Concern Reporting Policy

Emerald Standard LLC strives to create an atmosphere in which any problem, concern, or complaint, can be resolved by open and frank discussion. This Employee Concern Reporting Policy is designed to provide employees with a process to report any concerns or complaints about a policy, Company or condition of their employment, or about unjust treatment by a co-worker, management, or third party associated with our business. While this policy is not limited to complaints about discrimination or harassment, it is our intent to

take reasonable steps to prevent and promptly correct any discriminatory or harassing conduct or retaliation. Employees are encouraged to make use of this process for any concern relating to your employment.

Employees are asked to report any serious concerns to management as soon as they arise. Complaints and related materials will be kept confidential, to the extent possible. All complaints will receive a timely response and will be investigated as reasonably necessary based on the circumstances. Employees may discontinue the process at any step. Employees will not be penalized or retaliated against for reporting concerns or participating in this process. Please note that Emerald Standard LLC has also implemented an Alternative Dispute Resolution Agreement ("ADRA"). The two policies are not mutually exclusive, but rather are meant to cover all disputes, both small and large, the goal being to resolve any conflict or concern as early on as possible. Where outside counsel is involved, or if there is a conflict between the two policies, the ADRA will control, and the procedures therein should be followed.

Step One. If you are comfortable doing so, discuss the issue, as calmly and respectfully as possible, with the other person or people involved. Try to listen to the other side, and clarify the source of the conflict, which may not be the immediate issue or events at hand. If you can't agree to a resolution, try to seek areas of agreement, or potential solutions, rather than repeatedly reviewing past events.

Step Two. If the issue hasn't been resolved, bring the matter to the attention of management, starting with your manager whenever possible. If you are not comfortable discussing the issue with your direct manager, you may report the issue, orally or in writing, to HR.

Be prepared to describe your concern, and what outcome you hope to see. Management may request that employees put their concerns in writing for clarity and record-keeping. An Employee Concern Form is provided with the Handbook and may be obtained at any time from management. Members of management are required to report to Emerald Standard

LLC owner(s) any serious grievance, including but not limited to those involving harassment, discrimination, misconduct and wage and hour concerns. At this stage, management will attempt to resolve the issue internally if possible.

Management may determine no valid grievance exists, implement a resolution or corrective action, or escalate to the next level of the process. The outcome of the issue will be documented, provided to those concerned, and kept in a confidential file.

Step Three. If the issue is not resolved, the matter should then be reported to senior management for a thorough, fair, and timely investigation and final resolution. We are committed to providing due process for all parties. Employees will be asked to provide all relevant information in writing. Management will then investigate in a timely manner and may choose to involve an outside investigator. Employees will be notified of the progress of any ongoing complaint process. During the investigation, employees are encouraged to provide any additional relevant information. Once the investigation is concluded, a written response will be provided, and if misconduct is found, appropriate corrective actions will be taken. All matters will be addressed in reasonably timely manner. If the investigation results in a finding of misconduct, appropriate remedial measures will be taken.

EMPLOYEE CONCERN FORM

This form is to be used by employees to report problems in the workplace, both large and small, so that we may determine an appropriate response or resolution. For urgent issues or problems involving safety, always report the problem to a member of management immediately. Please attach additional pages as necessary.

1. **Describe your concern, giving all the information you think is important, including detailed dates, times, and locations where possible. Attach additional sheets as necessary.**

2. **Is the concern unique or recurring? If the problem is recurring, what do you believe are the consequences if your concern remains unresolved?**

3. **Where or to who else have you discussed or reported this concern?**

4. **Please propose your suggestion for a resolution.**

Date:_____

Employee Signature: _____

Employee Print Name: _____

License Type: Dispensary

18. EMPLOYMENT SEPARATION

Because your employment with the Emerald Standard LLC is at-will, you or we are free to terminate your employment at any time, with or without cause. We retain the right to dismiss an employee immediately and without notice.

Notwithstanding your at-will status, we ask that employees provide Emerald Standard LLC with at least two (2) weeks prior notice of the intent to resign, to ensure that all matters relating to your employment are addressed prior to your final day of work. Emerald Standard LLC reserves the right to accept your resignation effective immediately. Employees who are eligible for rehire will be considered new employees from the effective date of re-employment and will be required to enter a new getting acquainted period in the event of return. Finally, it is important to keep Emerald Standard LLC apprised of any changes in address for the remainder of the calendar year, so that we may forward your tax information to the proper location.

Company Property

On or before your last day of work, you are required to return all Emerald Standard LLC property, such as your registered agent card, keys, records, company-provided uniforms, computers, other company equipment, and any other property you received as a result of your employment.

Exit Interview

At the time of separation, employees are asked to complete an exit interview form or to participate in an exit interview as an opportunity for you to provide feedback regarding your experience while working here. You are encouraged to provide us with information that may help us improve, and if you have not already done so, to report any concerns you have about your experience with Emerald Standard LLC.

License Type: Dispensary

Employment References

No employment references will be provided regarding former employees except to confirm factual information such as dates of employment and job title upon request, or as otherwise required by law, such as under lawful subpoena. No other employment data will be released without a written authorization and release signed by the employee naming the entity to which information may be disclosed. If we have a reasonable belief that an employee has engaged in illegal or negligent activity, harassment, or violence while employed by us and we are asked for this information by a prospective employer, we may elect to provide factual information in that regard when it can be substantiated by Emerald Standard LLC's records.

License Type: Dispensary

ACKNOWLEDGMENT OF RECEIPT BY EMPLOYEE

By signing below, I acknowledge that I have received a copy of the Employee Handbook containing my employer's Core Policies. I confirm that I have been provided time for which I have been compensated to read the Handbook and that I have read it. I understand that it is my responsibility to abide by all of the rules, policies and standards set forth herein.

I understand that my employment is at-will, is not for a specified period of time, and can be terminated at any time for any reason, with or without cause or notice, by me or by Emerald Standard LLC. I acknowledge that only a separate written agreement signed by an authorized representative of Emerald Standard LLC can alter my at-will status.

I also acknowledge that Emerald Standard LLC reserves the right to revise, delete and add to the provisions of this Handbook. If I have questions regarding the content or interpretation of these policies, I will bring them to the attention of my manager.

_____
Employee Signature

_____
Employee Printed Name

_____
Date

Exhibit 23 – Quality Control and Quality Assurance

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	3/21/2023
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

Introduction

We are dedicated to providing products to patients that are safe and consistent, and products dispensed are what the clients expect. Our quality control ("QC") and quality assurance ("QA") plan defines the methods we employ that will provide consistently safe, and high-quality products. Our QA/QC program begins with inspecting our supply, and relies heavily on routine inspections of our suppliers sites and methods, ensuring product was properly tested and all tests pass and a plan for rejecting product that does not meet our quality standards.

We also ensure that product dispensed is indeed the product consumers request. It is important that product is properly dispensed, and in particular, the potency is verified by the dispenser.

We designed this plan identically in 3 of each dispensing sites in alignment with industry best standards, and regulatory compliance, with a focus on employee, patient, and community safety. Our executive team will review this plan at least annually to identify areas of improvement and implement changes. Ala. Admin. Code r. 538-x-3-.05.03.m.16.d. We will promptly notify the Alabama Medical Cannabis Commission ("the AMCC") of any changes to our QA/QC plan. Our COO E.J.H. and CCO L.G. will visit each cultivation, integrated facility and processor site that we will do business with and inspect their processes and practices to ensure product quality and patient safety. We will only purchase from licensed businesses by AMCC that are practicing GMP in their product and storage process.

We will document all product details from our cultivator and processor suppliers, which will include packaging, medical cannabis concentrates and other ingredients used in each production batch or product. Our records will also clearly document the chain of custody, continual storage of cannabis at our facility, and any destruction or disposal that we partake in or order. We will maintain these records for at least two years and reference record keeping documents in the event of a recall. We will refer to the harvest or batch number previously assigned to cannabis products we receive to facilitate quick and easy identification in the event of a recall.

23.1 - Quality Dispensing Processes

We will provide high quality products with consistent standards of safety, potency, stability, and lifespan. Ala. Admin. Code r. 538-x-8-.05.03.a.01. Each step of our dispensing process will include quality control. The most critical QA/QC step is ensuring dispensers provide the proper potency of product to consumers. Dispensers will be trained to double check packages and confirm with patients the product dose that they will receive.

The company will consider installing a dispensing robot to improve efficiency of the dispensary, while minimizing the potential for dispensing error. Dispensing robots are commonly used in European pharmacies and are increasingly common in the cannabis industry. Benefits of the robot are increased security, accurate dispensing, and accurate dosing.

Our Chief Compliance Officer ("CCO") L.G and our Manager of Dispensing Operations ("MDO J.S") will construct our QA/QC plan and perform reviews of the plan monthly during our first year of operations, and every six months thereafter. When creating QA/QC plans and SOPs for each area of our business, our quality staff will apply a foundational QA tool known as the PDCA cycle, short for "Plan, Do, Check, Act." The cycle begins by defining what objectives must be met to guarantee the quality of the product or process in question and developing a plan to accomplish those objectives. The next component is to carry out the plan in a small-scale, controlled environment, where outcomes can be easily measured, and real-world data gathered. After performing the plan, the plan must be checked by reviewing the results against desired outcomes and identifying differences and similarities. Finally, action will be taken to address the root causes of undesirable outcomes identified in the previous step and adjustments will be made to the QA/QC plan to avoid these deviations in the future.

Our quality staff will continually employ the PDCA cycle to assess and improve our QA/QC plan and associated SOPs. When modifications to the QA/QC plan become necessary, the CCO L.G. will be responsible for revising the QA/QC plan and associated SOPs, and distribution of the revised plan to appropriate parties. We will also update documentation methods and associated SOPs with all regulatory changes or process changes within the facility such that there is never a lapse in the quality of our records or their proper storage.

All changes and revisions made during reviews will be implemented into our written SOPs and forwarded to all appropriate parties, allowing staff throughout our operations to keep up to date on our continuously evolving business practices. In the event a major revision to the QA/QC plan is required, each copy will be reissued to appropriate employees. A copy of the QA/QC plans can be accessible to the AMCC upon request. Employees responsible for working in storage areas will receive extensive training on storage management best practices, including First-In, First-Out (FIFO), to ensure cannabis items are being stored and released chronologically based on the order they were released from passing test. We will stock inventory to sell through in 30 days while only purchasing inventory that has at least 4 months of the expiration date from the receiving date.

Functions of QA/QC

Although QA and QC are independent, both are interrelated functions. QA is process oriented, encompassing the entire dispensing process from receipt of product through dispensing to patients and caregivers. The ultimate goal of QA is patient safety.

Conversely, QC is product oriented, composed of in-process testing and testing of the final product. The role of QC is to develop testing methods, establish product specifications (e.g., the acceptable values for product quality standards), and perform the testing for every batch received in accordance with the AMCC test parameters. Another way to view the roles of QA and QC is when there is deviation in the QC process (e.g., a test was performed by QC outside of its validated processes). In such a case, the QC team would conduct the investigation into what went wrong, while the QA team would review that investigation for compliance.

Departmental directors and managers will develop a process for each stage of operations, validate the process (e.g., repeat the process multiple times to demonstrate that the process always yields a product with the same quality standards), and establish narrow "target" ranges and wider "acceptable" ranges for every process parameter. If deviations happen outside of the narrower range, but within the wider range, QA will accept that the product is safe though not optimal. If the deviations happen outside of the wider range, QA will reject the batch, or may require additional data to support the release of the product.

Dispensing QA/QC and Audits

The MDO J.S will conduct QA/QC inspections of dispensing processes to identify problems, inadequacies, or gaps in any SOPs. They will also confirm that our employees dispense all cannabis products consistently in a safe manner, to confirm safety, potency, stability, lifespan, and consistency among batches, in accordance with our SOPs and applicable laws. This will include inspections of dispensing materials and cannabis products, oversight of dispensing activities, and training/retraining of dispensing staff in response to changes in SOPs, or the Rules, or repeated errors identified by the quality managers. Data will also be reviewed and corrective actions implemented in case of any data entry errors.

Our CCO L.G. and MDO J.S. each have extensive experience in compliance and quality across numerous industries, including cannabis. As an extra measure of protection for our patients and the public, the MDO J.S will conduct dispensing line audits periodically throughout daily dispensing activities and during dispensing startup and shutdown operations. Audits will include inspections of equipment and inventory, making sure dispensing equipment such as automated dispensers, if used, are in good operating order. The inspection will also include examining dispensing materials for structural integrity, damage, or defects. Our dispensing audit also evaluates overall dispensing efficiency, downtime, and any product damage or loss caused by our dispensing SOPs. This audit will identify dispensing issues, for which we will immediately work to find solutions and incorporate them into our SOPs. We will also revise our dispensing SOPs immediately to integrate any changes to packaging laws or regulations approved by the legislature or the AMCC.

Quality on Demand Program

Dispensing high-quality medicinal cannabis products will be supported through a subscription to a comprehensive Quality-On-Demand program designed to create a culture of quality throughout the organization. This program is provided by Keystone Labs in Alberta, Canada. Keystone is itself a GMP certified facility that provides testing and quality assurance services for pharmaceutical and cannabis industries. The program supports four key pillars of the organization's own quality program and include:

1. On-going support for the library of standard operating procedures, ensuring procedures are up to date.
2. Legal and regulatory updates including a summary of the update, an impact assessment, and an implementation plan.
3. On-going training in current procedures, changes to regulations, changes to procedures, and industry best practices.
4. Annual quality review that summarizes all changes and updates made to laws, regulations, procedures, and products as well as summarizing key metrics of the quality program. This review serves to provide insight into the on-going operations and highlight areas that need improvement.

By supplementing the internal quality program with the Quality-On-Demand program, following GMPs and complying with state laws and regulations, the organization will ensure that all products meet the required standards to protect the health and well-being of consumers.

Product Handling and Sanitation Standards

The procedures outlined in this section will maintain adequate sanitation principals in all areas of operations at our facility, including receiving, transporting, segregating, preparing, dispensing, and storing of cannabis products.

Throughout training for every process, instructors will emphasize the importance of sanitation for preventing contamination. By teaching staff how to minimize the transmission of contaminants, we will operate a facility that dispenses safe, contaminate-free medical cannabis products. Other training specific to chemicals will include how to store different chemicals and cleaning compounds including their datasheets and how to sanitize equipment and facilities using any AMCC-approved sanitizing agents. We have incorporated sanitation practices into SOPs for all activities within the facility such that every procedure concludes by returning the space in which it occurs to a clean baseline state. This will include all equipment used in the performance of such operations, such as scissors, buckets, carts, and other dispensing-related items. We will also properly dispose of all disposable items used in operations, with trash removed daily whenever they are full, and at the conclusion of activities. Collectively, these controls will help provide sanitary conditions, well

maintained throughout all dispensing operations, to produce contaminate-free medical cannabis.

During pre-operating and periodic inspections, management will make our entire facility accessible to inspectors, including every area used for preparation, storage, dispensing, sale, distribution, or transportation of medical cannabis products, as well as any associated utensils, fixtures, furniture, machinery, and devices. Management and other staff will perform sanitation inspections of dispensing areas quarterly (on a staggered schedule) or after any contaminant incident. Inspections will consist of a full sweep of dispensing areas, with a particularly close eye paid to conditions within the cannabis product storage areas. During this inspection, the managing staff member will record any observed deficiencies in physical controls and order their repair or note lapses in cultural controls and order the completion of additional training. The observation of any physical deficiency or cultural lapse will result in corrective action and as appropriate, update all existing SOPs involved with new best practices to avoid the deficiency/lapse in the future. Compliant procedures and regular inspections will guarantee that, if contaminates or pests are present within the facility, they are observed and addressed as quickly as possible. Employees will be required to comply with personal hygiene practices, introduced to all employees during Employee Onboarding Training. Employees will, at a minimum: 1) Be prohibited from eating food, chewing gum, drinking beverages, or using tobacco at the facility, with the exception of designated break areas; 2) Avoid contacting hair or eyes while handling cannabis items;; 3) Store all personal belongings in employee storage and lockers; 4) Avoid working at the facility if the employee has been in contact with a sick person or has symptoms of an illness; 5) Avoid attending work with an open wound. Food and drinks are only allowed in the break room and not any other part of the Dispensing site.

Facility Sanitation Generally

Maintaining a sanitary facility is essential to preventing contamination that could lead to diminished quality and potentially impact patient safety. We will outfit our facility, with smooth hard surfaces that are easily cleanable including all floors, walls, and ceilings, in a manner to allow for easy cleaning and sanitation by minimizing areas where unsanitary conditions may develop, keeping our facility in good repair, and making all areas of the

facility readily accessible by operations and sanitation staff. Assisted by our thoughtful facility design, sanitation staff will be able to easily perform daily cleanings to maintain the entirety of our building, fixtures, and other facilities in a sanitary condition, with all dispensing and storage areas maintained free of debris. In conjunction with constructing an easily cleanable facility, we will provide adequate lighting in all areas where products are stored and dispensed, and in all areas where equipment and utensils are kept or sanitized.

Sanitation staff will perform cleanings of all contact surfaces, including any utensils and equipment used for preparation, storage, and dispensing of medical cannabis products, as frequently as our company procedures deem necessary to protect against contamination. To facilitate effective and adequate cleaning, our equipment and utensils will be designed and made of material and workmanship that is suitable for sanitary handling of medical cannabis products. Further, sanitation staff will only use sanitizing agents that are approved by the AMCC and will always use agents in full accordance with instructions on the product label. All cleaning and sanitation supplies intended for general facility upkeep will be held in a cleaning closet. Sanitation staff will identify all toxic cleaning compounds and sanitizing agents and store them in a manner in accordance with manufacturer's recommendations and product labels, as well as any applicable local, state, or federal law, rule, regulation, or ordinance.

Sanitation staff will also maintain systems for waste in a manner to not constitute a source of contamination in areas where medical cannabis products are exposed. All litter, waste, and debris will be regularly disposed of in the proper waste system so as to not contribute to contamination in areas where medical cannabis is exposed. We will diligently monitor and screen our entire facility for pests while implementing and maintaining our pest prevention tactics. Part of these tactics will include the removal of non-cannabis rubbish to minimize the possible development of odor and/or harborage or breeding place for pests. Cannabis waste will be disposed securely, and in accordance with our waste management plan. Our contract will include clear instructions for sanitary pickup, primary points of contact, and a schedule outline that will not infringe on any of our daily dispensary operations. The dispensing site will be constructed in a way to prevent pest access, including the sealing of all cracks and crevices into which rodents, insects and other pests may burrow. Regulation bait stations will be installed at critical locations to control rodents. The main

waste disposal area will be sealed off from the rest of the facility and regularly treated with approved pest prevention measures. All interior facility entryways will feature air-tight sealing technology to maintain the quality of air and reduce odor. If extermination or pest control is necessary, it will be performed by a licensed provider, and applications will not be made in the area of any opened cannabis products to protect from contamination.

Equipment Sanitation SOP Summary:

We will maintain the sanitation of all tools and equipment to prevent contamination in accordance with approved SOPs. Protocol includes daily regular equipment cleaning and sanitation as part of opening and closing procedures with the goal of maintaining a clean, sanitary, and contamination-free facility. Equipment that comes in contact with cannabis during operations (i.e., scales, measuring devices, countertops, tables, packaging equipment, handling utensils, etc.) must be cleaned and sanitized at a minimum: 1) At the beginning of each business day; 2) Immediately after coming in to contact with cannabis; and 3) At the end of each day. We will ensure that the facility is continuously in proper working condition and maintained on a regular basis including all floors, walls, and ceilings. Proper and routine maintenance will provide a basis for employee safety and efficiency and will reduce any potential contamination that might otherwise occur.

Cleaning Log:

All scheduled cleaning activities will be entered in a Cleaning Log which will be retained in accordance with Applicant's *SOP for Recordkeeping*. Cleaning Logs will be area specific with procedures for daily, weekly, or monthly cleaning tasks and will include signature areas for the employee performing the cleaning task and their manager.

Employee Hygiene Practices

We will create and implement strict personal hygiene procedures for all individuals at our facility who may work in direct contact with medical cannabis products. To maintain a sanitary facility, we will require that all employees wear a clean uniform each day, and maintain adequate personal hygiene. Employees who do not comply with policies regarding attire and grooming will be subject to disciplinary action, and all our employees will maintain

a high level of personal hygiene. We will prohibit employees who feel sick or display symptoms of an illness from working their scheduled shift, including handling any medical cannabis product. Employees with any illness, open lesion (e.g., boils, sores, infected wounds), or any other abnormal source of microbial contamination for which there is a reasonable possibility of contact with medical cannabis products will be required to remain at home until their condition is remedied. Employees with a combined cough and fever will also be required to stay home from work. Employees' and patients' body temperatures will be measured while entering the facility to take appropriate actions to prevent sickness from spreading.

Any employee with the following diseases or conditions will not be permitted to come to work or to handle medical cannabis products until cleared to return to work by a physician, in accordance with regulations applicable to food handlers: Amebiasis; Enterohemorrhagic E. coli; Shigellosis; Typhoid fever or paratyphoid fever; Hepatitis A, viral hepatitis, or jaundice of unspecified etiology; or, Persistent diarrhea.

The water supply at our facility will be safe, potable, and provide an adequate supply necessary for cannabis dispensing operations. Plumbing will be carefully installed including a backflow preventer (inspected and certified yearly) and maintained, and of adequate size and design to carry sufficient quantities of water to required locations throughout the facility. Facility plumbing will properly convey sewage and liquid disposable waste away from the facility with no cross-connections between the potable water lines and wastewater lines. Our facility will also feature sufficient and readily accessible toilet facilities, which sanitation staff will maintain in a sanitary condition and in good repair, with sinks suitable for handwashing located at each toilet facility.

We will provide our employees and visitors with fully stocked and convenient handwashing facilities furnished with running water at a temperature suitable for sanitizing hands. Such facilities will be located where good sanitary practices require employees to wash and sanitize their hands and will also include effective nontoxic sanitizing cleansers and sanitary towels or suitable hand drying devices. We will require all employees to wash hands thoroughly before starting each shift (appropriate signage will be posted to remind them), before handling medical cannabis products, and anytime hands become contaminated or soiled. When employees wash hands, all exposed skin up to the elbow must

be scrubbed for 10-15 seconds with particular attention given to nail beds, under fingernails, in finger webs, and the thumb. We will require that hands must be washed, at a minimum: before donning fresh gloves; after the use of the restroom; before and after handling any medical cannabis product; after disposing of any waste, dirty surface, or taking out the garbage; after touching hair, face, body, clothes, or apron; after sneezing, coughing, or using a tissue; after handling any chemicals; after eating, drinking, smoking, chewing gum, or chewing tobacco; after handling money; and, after touching service animals.

We will train employees to use handwashing sinks only for handwashing. Other activities must occur in utility sinks. All designated handwashing facilities will feature large signs that clearly read in bold lettering, "FOR HANDWASHING ONLY." Signs with instructions for proper handwashing will be posted at handwashing stations and in restrooms to encourage proper practices, and we will include a demonstration of proper handwashing techniques in onboarding training.

Good Manufacturing Practices ("GMP")

Our approach to quality will be integrated into every stage in the dispensing process and we will prioritize quality and safety at every level of our company. Leading this effort will be our MDO J.S. They will oversee staff to confirm that our extensive operational standards, which comply with current GMP principles, are followed. All procedures, methods, and facilities will be fully prepared for an inspection from the AMCC prior to full operations and will continue to retain their integrity for subsequent inspections from the AMCC and other agencies ensuring the safety of our medical cannabis products and Alabama patients.

Through the implementation of a Pharmaceutical Quality Management System ("QMS"), we will model our approach to quality based on compliance with standards set forth by the U.S. Food and Drug Administration ("FDA"), which establish current good manufacturing practice in dealing with for products fit for human consumption, such as dietary supplements and manufactured food. 21 C.F.R. § 111. For example, we will conduct comprehensive hazard analysis with adherence to the Hazard Analysis and Critical Control Point ("HACCP") system, which reduces food safety risks by identifying and controlling potential hazards at every part of the dispensing process. 21 C.F.R. § 117.130. Examples of critical control points ("CCPs") and preventative controls in the dispensing process are food allergen cross-contamination

mitigation, established recall plans, and appropriate labeling practices. 21 C.F.R. § 117.135. We will establish facility, equipment, and personnel sanitation in accordance with top industry and OSHA standards. 21 C.F.R. §117.10, §117.35, §117.37, §117.40. Our general food safety plan integrates all of the aforementioned concerns, in addition to many others, and will sufficiently meet standards for quality and consistent medical cannabis products fit for human consumption. 21 C.F.R. §117.126. We understand these standards are changing as the industry evolves and will remain informed of such changes.

In addition to the rigorous up to datestandards, our operations account for aspects of safety specific to the cannabis industry. For example, one significant consideration is how cannabinoid levels are affected by the heat and moisture. We will dispense and stoe products based on product requirements and specifications established for all medical cannabis products we handle and dispense. Further, we will store cannabis products that can support the rapid growth of undesirable microorganisms in humidity- and temperature-controlled rooms to prevent such growth. The dispensary site will have an environmental control system with 24-hour alert to maintain the recommended humidity and temperature in the areas where cannabis is stored and dispensed to maintain its quality. Our air handling system may have Hepa filtration along with ionization technology to sanitize the air within the building from mold, viruses, bacteria, etc. The dispensing site will also have a refrigerator and freezer for the items that require low-temperature storage.

Personal Protective Equipment

Employees and visitors may require the use of are required to use PPE in certain areas and for specific activities within our facility. We will keep PPE such as gloves and masks readily available and adequately stocked in areas where it may be required. Additional PPE may be required during building construction or renovation. Staff and visitors must inspect PPE prior to each use, and staff must dispose of any damaged equipment or mark it as damaged and send it for repair. We will require PPE be used when participating with certain aspects of storage and dispensing. For example, when working with sanitizing agents, we will comply with the Environmental Protection Agency's ("EPA") worker protection standard guidelines for the appropriate respirator to pair with the given chemical. To maintain worker and consumer safety, we will always refer to any AMCC authorized list

when selecting chemicals for sanitation and will identify, hold, and store chemicals, toxic cleaning compounds, sanitizing agents, and solvents in a manner that protects against contamination.

Each task performed within the facility will have an associated SOP, which details the PPE required for the specific task. PPE available onsite is identified in this chart:

PPE	Required Use
Hand protection (e.g., protective gloves, nitrile gloves)	Where cut hazards or potential exposure to corrosive liquids, blood, chemicals, or other infectious materials exist.
Head protection (e.g., hard hats)	Where danger of falling objects exist.
Eye protection (e.g., goggles or glasses)	Where risk of eye injuries exists, such as punctures, abrasions, contusions, or burns.
Face protection (e.g., face shields)	Where danger of flying particles or materials exist.
Foot protection (e.g., steel-toed Boots)	Where risks of foot injury from corrosive, poisonous, or hot substances, or from falling objects, crushing, or penetrating actions exist.
Hearing protection (e.g., ear plugs)	Where risks of hearing damage from occupational noise exist and exceed the acceptable sound levels of the OSHA Noise Standard.
Respiratory protection (e.g., respirator, gas masks)	Where respiratory health risks exist from inhaling smoke, fumes, particulate matter, etc.
Clothing protection (e.g., plastic aprons)	Where risk of splashing chemicals exists.
Sanitation equipment (e.g., shoe booties, hair nets, beard nets)	Where staff will be handling or manufacturing food or drugs.

In addition to PPE, we will conspicuously place OSHA spill kits and first aid kits throughout our facility as required. CFR 29 § 1960; et al.

23.2 - Testing

Production Testing

Product testing in the medical cannabis industry is crucial to patient safety, and we will follow all required AMCC testing protocols and utilize AMCC-approved state testing laboratories to assess the quality and safety of the medical cannabis products we dispense. Batches that have had samples submitted for testing will be retained and delegated to specific compartments or storage areas until the given batch has passed all mandatory testing. Finished products will not be released for sale until all testing has been completed, passed, and product specifications have been met. Quality control personnel will review these documents and release the appropriate products into the dispensing product stream.

We will conduct testing at distinct points between receipt of products and the dispensing and sale of those products. Ala. Admin. Code r. 538-x-8-.05.03.a.02. Before labeling a product for sale, we will officially test our products through a licensed state laboratory. We will log all testing results in the state seed-to-sale tracking system. Ala. Code § 20-2A-60(a). These practices will allow us to provide the highest quality medical cannabis products.

The MDO J.S will work with management to develop a process for each cannabis product, validate the process, and establish narrow target ranges for every process parameter. In the event of nonconformance, the QA team will use a root cause analysis method. The QA team will then review the investigation and make appropriate changes and validate the process to guarantee compliance with regulations.

Licensed Testing

We will conduct a variety of tests on our products, including in house testing and official testing through a state testing laboratory. Ala. Admin. Code r. 538-x-8-.05.03.a.03. We will make our medical cannabis products available to an independent, third-party cannabis testing laboratory approved by the AMCC for purposes of sampling for safety and ingredient testing. We will select an AMCC-approved, accredited, independent laboratory that has adopted an SOP with a validated method to test cannabis products. All testing laboratories we contract with must be able to provide accurate and validated test results for: cannabinoid

content and potency, terpene profiles, heavy metals, chemical contamination, microbials, mycotoxins, residual pesticides, residual solvents, and any other testing protocols as established by the AMCC. Ala. Admin. Code r. 538-x-10-.04.06.a-i. We will only work with testing laboratories that comply with all laboratory standards outlined by the AMCC. Ala. Admin. Code r. 538-x-10-.05.

We will always conduct testing through a licensed state testing laboratory and adhere to all testing regulations and requirements before dispensing any medical cannabis products from our facility. Ala. Admin. Code r. 538-x-10-.01. Batches that have had samples submitted to testing labs or the AMCC will be retained and delegated to a storage area in the intermediate vault until the given batch has passed all mandatory third-party testing. Finished products will not be released for sale until all testing has been completed, passed, and product specifications have been met. QC personnel will review these documents and release the appropriate products for sale.

All samples collected for testing will be derived from a single batch and will comprise at least ten grams and no more than thirty grams; a sample of medical cannabis will be derived from a single batch and must be the lesser of one percent of the total product weight of the production run or ten units of product. Ala. Admin. Code r. 538-x-4-.07.12.o.03.b. All samples will be homogenized before testing. Ala. Admin. Code r. 538-x-4-.07.12.o.03.b. Under no circumstances will we sell or transfer the cannabis or medical cannabis to another licensee, patient, or caregiver, unless and until the state testing laboratory clears us to do so based on the written results of successfully completed testing. Ala. Admin. Code r. 538-x-4-.07.12.o.03.c. All samples collected from our facility will be done in a manner that complies with applicable regulation from the AMCC. Ala. Admin. Code r. 538-x-10-.03.03. We will work closely with the state testing laboratory performing the testing to facilitate smooth and efficient testing procedures of all our medical cannabis and cannabis products. We will never use more than one state testing laboratory to perform official testing on the same batch or sample of cannabis or medical cannabis except as expressly provided applicable law. Ala. Admin. Code r. 538-x-10-.03.04.b.

Internal Testing

We will go above and beyond the required testing by developing internal testing protocols. This will serve to further patient safety, provide more insight into the exact point in the storage or dispensing process at which quality failures occur, and enable us to perform research on the relationship between environmental control parameters/process specification parameters and the resulting changes in genotypic and phenotypic qualities of the product (e.g., CBD content, THC content, and terpene profile).

We may partner with Orange Photonics or another similar portable testing device company to analyze our products for potency. We will perform all internal testing in designated rooms, which will be under constant video surveillance, with access limited to authorized personnel who require access for their job responsibilities.

For internal testing, we may perform random batch sampling, and homogenous sampling, our methodologies will follow testing laboratory protocols for collection of valid samples. When we take samples, we will create duplicate samples and store them securely for retention. We will maintain these samples for no less than one year past the set expiration date. Sampling will be conducted after receipt of finished products and at further points if necessary due to contamination or long storage durations. These retained samples will provide for adequate product testing in the case a complaint is filed by a patient or another medical cannabis establishment.

Cannabis product specifications will be based on the potency levels intended for each product. We will utilize internal potency testing assays to confirm finished products and set product specifications. We will not use this testing to supplant approved testing laboratory analysis, rather, internal testing will serve as an additional tool to support the correct formulation of products. These tests will allow us to identify improperly formulated products and determine product potency matches the set product specifications.

23.3 - Returns and Remediation

Products will have been tested before they are brought into inventory in the dispensary. However, any additional test failure will be recorded in the seed-to-sale inventory system (Metrc ,etc) and will result in the entire cannabis batch associated with that result being quarantined. Ala. Admin. Code r. 538-x-8-.05.03.a.04; 538-x-10-.08.06. Within seven

business days of test failure notification, we will communicate with the testing laboratory our acceptance of the result, or we will file to retest, challenge, or request remediation of the result. Ala. Admin. Code r. 538-x-10-.08.05.a-d. When each sample is collected, we will guarantee that at least three samples are collected and stored in tamper-resistant containers, to maintain the ability to challenge or request a retest for failed laboratory test results. Ala. Admin. Code r. 538-x-10-.08.06. Furthermore, one of the samples will be taken by the state testing laboratory for testing and we will place the other two samples in a secure quarantine storage area at our facility for further retesting by a secondary state testing laboratory. Ala. Admin. Code r. 538-x-10-.08.06. We may choose to conduct business for retesting and remediation with a different licensed testing laboratory. Ala. Admin. Code r. 538-x-10-.08.02.a. If at any time, further testing cannot be performed due to the lack of available state testing laboratories to conduct further or additional tests, or the lack of viable samples from which to perform retesting, tiebreak testing, or challenge testing, we will have no choice but to accept the result of the failed test and destroy or attempt remediation of the batch as required under the Rules. Ala. Admin. Code r. 538-x-10-.08.06.a-b. If a sample failed testing based on AMCC pesticide standards, we will immediately recall cannabis and products from that batch. Ala. Admin. Code r. 538-x-10-.04.06.g.03. Product will not be sold unless it completes all required testing.

If a sample provided for retesting meets product standards, we will process, package, label, or sell that product, as notated in the statewide seed-to-sale tracking system and communicated by testing certificate. Ala. Admin. Code r. 538-x-10-.08.10. If, upon retesting, the sample fails the same official test, we will destroy and dispose of the entire batch. Ala. Admin. Code r. 538-x-10-.08.09. We will simultaneously initiate a recall on any cannabis from that batch or associated products that are no longer within our possession.

We will utilize all available resources to retrieve unsafe products as soon as possible and in accordance with our recall plan. We may be in contact with the AMCC for communication about community safety and resolution of the issue. Our recall tracking will include how much product from the recalled batch has been sold, product that is still available for sale, product that is in the process of transfer, product being processed, postharvest raw product, and all returned products. Ala. Code § 20-2A-60(a)(6)(a-e). We will coordinate transportation as needed between any other licensed cannabis businesses with impacted

product and provide related documentation. Staff will receive all products returned to the facility, log them into the electronic inventory system, and immediately secure and quarantine them in a Restricted Area, physically separated from all other products within our facility.

Upon completion of a recall, we will summarize details of the recall, record it in the digital inventory system, and submit a report to the AMCC. Our report will include: the total amount of recalled cannabis, including types, forms, batches, and lots; for whom the recalled cannabis was received; the means of transport of the recalled cannabis; the reason for the recall; the number of recalled samples, types, forms, and batched, there were sent to laboratories, and the dates of testing and results; the manner of disposal of recalled cannabis including who oversaw the disposal, method of disposal, date of disposal, and the amount disposed of by types, forms, and batched. We will submit inventory data to the AMCC prior to destruction of any recalled cannabis. Ala. Code § 20-2A-60(a)(4). We will also include any additional information deemed relevant by the AMCC.

Whenever a sample fails any testing after it has arrived at the dispensary, the MDO J.S and CCO L.G will initiate an investigation to determine when the contamination may have occurred, how it occurred, and whether company procedures to avoid such contamination were properly followed. If the investigation reveals that dispensing staff did not fully comply with our existing SOPs, our executive team and departmental directors/managers will retrain and discipline employees as necessary and, if possible, amend SOPs to avoid future misunderstandings of the correct procedures or to provide additional oversight to enforce the SOPs. If the investigation reveals any flaws or gaps in our SOPs that contributed to its occurrence, the MDO J.S will work with management to revise relevant SOPs to avoid similar issues in the future.

Product Identification, Retrieval, Return and Quarantine: The operations manager will identify the location of all cannabis involved in the recall, through the use of traceable identifiers established in the Seed to sale tracking system (STS). The STS will be utilized to determine the location of any affected cannabis product in the facility for immediate quarantine. Any pending transport of affected cannabis will be canceled and pending receiving cannabis businesses will be refunded. The STS will assist in the generation of a list

of cannabis businesses that received the recalled product. The Recall Team will create a list of all affected product including: Product identity (description of the product being recalled), Batch number, Quantity, Reason for the recall (nature of defect), Recall classification, Contact at the AMCC to whom details of the recall are relayed; and Testing and transport records, if applicable. The quarantine bins will be utilized as single-purpose, dedicated units for the secure storage of specific types of quarantined products and will be labeled accordingly. Employees executing the quarantine procedures must wear appropriate protective gear (e.g., pharmaceutical-grade nitrile gloves, eye goggles, smocks/lab coats, etc.).

All cannabis items identified for recall will be quarantined immediately in the dispensing site and labeled with a 'QUARANTINE' label, affixed to the product container on four sides. The label will also include the name and employee Identification number of the person placing the product in quarantine. Product will be stored in a locked Quarantine Container, away from other saleable items, to prevent cross-contamination. When contaminated products arrive back to the dispensing site from patients, they will be immediately recorded within the STS and will be transferred to a Quarantine Container.

Policy for Destruction and Disposal of Cannabis

All affected products will be placed in quarantine and will be available for testing in- case it is needed and to be destructed upon department's approval. we will implement the following Policy for the Destruction and disposal of cannabis:

a) Certified Dispenser will make sure that all waste and the unusable product is weighed, recorded, and entered into the seed to sale tracking system before rendering it unusable.
b) All waste and unusable cannabis products shall be recorded and entered into the seed to sale tracking system before rendering it unusable. Verification of this event will be performed in an area with video surveillance.
c) Electronic and paper documentation of destruction and disposal will be maintained for a period of at least 5 years.
h) The applicant will contract out the facility that can manage the solid waste that can comply with Acceptable permitted solid waste facilities

Onsite Disposal

If the applicant is unable to identify a waste disposal company that will support destruction of cannabis products, applicant will proceed disposal on-site. We will schedule all onsite disposal procedures and provide the operations manager with a minimum 1 business day notice. The operation manager will notify the department of such an event. All waste will be tracked in the seed to sale system. Records will include: 1) Written record of the date; 2) Quantity disposed of; 3) Manner of disposal; and 4) Persons present during the disposal, with their signatures. Returned products may never be re-dispensed to another patient under any circumstances. Cannabis waste will be rendered unusable and unrecognizable through either thermal treatment or melting, shredding, grinding or tearing or incorporating the cannabis waste with other municipal waste such as Mulched cardboard, used plant soils or other non-toxic inert materials. The destructed products will be sealed in a tamper evident bag for further steps based on AMCC. Documentation of disposal will be maintained for at least five years after the disposal

Conclusion

While QA/QC programs are more commonly associated with production and cultivation facilites, it is equally important that quality is measured at the point of provision to customers. Accuracy in dispensing is paramount. The biggest risk is human error. This is why we endeavor to automate as much as possible, while also implementing a robust QAQC plan to support continuous improvement.

Product testing and QA/QC in the cannabis industry are crucial to patient and public safety, and we are dedicated to following all required AMCC testing protocols, implementation of internal testing standards and methods, and utilizing approved state testing laboratories to assess the quality and safety of the medical cannabis products we dispense. Together, the testing standards set by our knowledgeable and experienced team will help us to provide safe and high-quality products with consistent potency, stability, and lifespan among batches of the same product. These processes are based upon AMCC guidelines and industry best practices to exceed the requirements for product quality and safety.

Exhibit 24 – Contamination and Recall Plan

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	3/21/2023
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

Introduction

We designed this plan in alignment with industry best standards and regulatory compliance, with a focus on safety. Our recall plan defines methods for adverse event notification, product returns, and investigative steps to be taken in the event a recall is required. Our executive team will review this plan at least annually to identify areas of improvement and implement changes. Ala. Admin Code. r. 538-x-3-.05.03.m.16.d. We will promptly notify the Alabama Medical Cannabis Commission ("the AMCC") of any recall, and of any changes to this plan. We will always regard complaints and adverse events related to our medical cannabis products with the utmost importance and urgency.

Internal record keeping will document details for each production batch, storage of cannabis, and any destruction or disposal. We will maintain these records for at least two years and reference record keeping documents in the event of a recall. A harvest or batch number will be assigned to cannabis products for facilitation of quick and easy identification in the event of a recall.

Our recall and contamination plan also accounts for the safety of employees and others on the premises, notification of proper authorities, exploring the possibility of retesting or remediation, proper disposal of contaminated cannabis and medical cannabis, steps to be taken for the preservation of cannabis or medical cannabis, and the reasonable efforts to maintain access to medical cannabis by those who depend on it. Ala. Admin Code. r. 538-x-4-.07.12.o.04.

24.1 – Provisions for Chain of Custody Notification

Our complete documentation of the product chain of custody allows us to track the movement of medical cannabis and medical cannabis products at every step of the process. We will utilize seed-to-sale inventory tracking systems to identify the originating licensed cultivator, processor, or integrated facility in the chain of custody related to an adverse event. METRC will allow us to contact any cannabis businesses that may have cultivated, processed, tested, or otherwise interacted with our products related to an adverse event. The recall committee is comprised of the Chief Compliance Officer L.G., Manager of Dispensing Operations J.S., Manager of Security G.S., and certified dispensers within their respective locations. The recall committee is tasked with chain of custody documentation

related to contaminated or recalled product and providing notification to the appropriate parties. Our notification will include our business name, license number, details of the contamination, and product return information. The recall committee will coordinate transportation as needed between any other licensed cannabis businesses with impacted product, and they will provide related documentation. The recall committee will also encourage other licensees involved in the chain of custody delineation to stay in contact with the recall committee - we have designated the CCO L.G. as the main point of contact. After confirming the chain of custody and affected products, we will offer to provide reimbursement for recalled product and record subsequent refunds in our inventory system. Ala. Code § 20-2A-60(a)(10).

24.2 – Factors for Recall

Recalls may occur if there is any safety concern. This may include product associated with an adverse medical event; or improper sale of product that was expired, improperly stored, improperly packaged, or mislabeled.

We will provide several avenues for individuals to report adverse effects experienced with our products. Ala. Code § 20-2A-60(a)(9). Physicians, caregivers, and patients will be able to report issues with product by directly contacting our facilities. Methods for this will include in person to any employee or electronically through our website or via email. Certifying physicians can make updates directly to METRC and the patient registry. We prefer and will encourage direct contact from our patients. We will advise new patients that they should contact their doctor in case of any adverse reaction, and notify us if the event is warranted. For all product complaints, regardless of severity, we will respond to the individual within one business day - if the individual desires a response and provides contact information. Upon receiving a complaint or notification considered to be an adverse event, our Manager of Dispensing Operations J.S. will alert the CCO L.G. who will notify the AMCC.

We will log all testing results in the state seed-to-sale tracking system. Ala. Code § 20-2A-60(a). Any test failure will result in the entire cannabis batch associated with that result being quarantined. Ala. Admin Code. r. 538-x-10-.08.06. Upon notification of a failed test, our managerial team will work with a state testing laboratory and either accept, retest, challenge, or request remediation of the result within seven days. Ala. Admin Code. r. 538-x-10-

.08.05(a-d). If a sample failed testing based on Alabama Department of Agriculture pesticide standards, we will immediately recall cannabis and products from that batch. Ala. Admin Code. r. 538-x-10-.04.06.g.03.

If a sample provided for retesting meets products standards, we will process, package, label, or sell that product, as notated in the statewide seed-to-sale tracking system and communicated by testing certificate. Ala. Admin Code. r. 538-x-10-.08.10. If at any time, further testing cannot be performed due to the lack of available state testing laboratories or the lack of viable samples, we will accept the result of the failed test and destroy or attempt remediation of the batch as required under the rules. Ala. Admin Code. r. 538-x-10-.08.06(a-b). If, upon retesting, the sample fails the same official test, we will destroy and dispose of the entire batch and document this action in the statewide seed-to-sale tracking system. Ala. Admin Code. r. 538-x-10-.08.09. We will simultaneously initiate a recall on any cannabis from that batch or associated products that are no longer within our possession. We understand the AMCC may, at its discretion, order our business to undertake a recall and we will always comply and cooperate with any recalls ordered by the AMCC. In addition to our adherence of all regulations, if for any reason we may suspect medical cannabis products we sell may jeopardize patient safety, we will initiate a recall.

24.3 – Responsible Roles

We will train every employee at our facilities on our recall procedures. Our CCO L.G. will plan and conduct these staff trainings. Our MOS G.S. will proactively introduce themselves to local police departments and first responders and communicate their contact information, along with contact details for our business generally. In the event of a recall, the MOS G.S. will notify these regulatory entities.

The CCO L.G. will oversee recall procedures. They will preside over the collection and record of our recalled products. Quality Assurance ("QA") and Quality Control ("QC") both have a role in recall and contamination procedures. Departmental directors and managers will develop a process for each stage of operations, validate the process (e.g., repeat the process multiple times to demonstrate that the process always yields a product with the same quality standards), and establish narrow "target" ranges and wider "acceptable" ranges for every process parameter. If deviations happen outside of the narrower range, but within

the wider range, QA will accept that the product is safe though not optimal. If the deviations happen outside of the wider range, QA will reject the batch, or may require additional data to support the release of the product.

QA is process oriented, encompassing the entire production process including sales. The ultimate goal of QA is patient safety. Conversely, QC is product oriented, composed of in-process testing and testing of the final product. The role of QC is to develop testing methods, establish product specifications (e.g., the acceptable values for product quality standards), and perform randomized testing for every. Another way to view the roles of QA and QC is when there is deviation in the QC process, e.g., a test was performed by QC outside of its validated processes. In such a case, the QC team would conduct the investigation into what went wrong, while the QA team would review that investigation for compliance.

We will provide several avenues for individuals to report adverse effects experienced with our products. Ala. Code § 20-2A-60(a)(9). If necessary and as approved by the AMCC, we may provide recall communication via traditional and social media platforms. Our Manager of Dispensing Operations J.S. will monitor our systems for complaints, review each report, and determine the necessary next steps. They will work with the CCO L.G. and MOS G.S. to initiate a recall when needed. Vendors that may support our recall resolution include food safety professionals, legal counsel, and our regular testing laboratory..

24.4 – Seed-to-Sale Notification Protocols

We will establish a procedure to publicly communicate a recall of usable cannabis or cannabis products that present a probability of serious adverse health consequences with exposure. This will include a mechanism to contact all patients who have, or could have, obtained contaminated products from our facility, with clear instructions on product return. We will offer to provide reimbursement for the recalled product through our chain of custody process, and we will record subsequent refunds in the seed-to-sale system. Ala. Code § 20-2A-60(a)(10). Our internal record keeping will document all product details available from the originating facilities, all testing results, storage of cannabis, and any destruction or disposal of product in our custody. Dutchie is our inventory and tracking system, and it will properly interface with METRC to better allow us to accurately track cannabis products. Ala. Admin Code. r. 538-x-4-.05.04. We have a thorough record keeping system and we will

maintain these records for at least two years and reference record keeping documents in the event of a recall. We will maintain product identification with harvest or batch numbers that are assigned to cannabis products, helping to facilitate quick and easy identification in the event of a recall.

We will log all testing results in the state seed-to-sale tracking system. Ala. Code § 20-2A-60(a). Any test failure will result in the entire cannabis batch associated with that result being quarantined. Ala. Code § 20-2A-60(a)(6). We will diligently record where products are in our lifecycle, including which have been sold, are available for sale, are being transferred or processed into a new form, and any product that is in postharvest raw form. Ala. Code § 20-2A-60(a)(6)(a-e). If we find any adverse results related to our released products, we will contact individuals that purchased our products as soon as possible and we will take the additional step of noting the recall in the patient registry so the patient's certifying physician is aware and may advise on patient health accordingly. We will operate with complete transparency during any contamination or recall event to protect public health.

24.5 – Returns and Remediation Process

We will utilize all available resources to retrieve recalled products back to our dispensing facilities as soon as possible. Upon receipt of recalled medical cannabis and products, our QC team will review the product, track it in inventory control, and segregate it in our designated quarantine area until the AMCC authorizes disposal. We will clearly mark the recalled cannabis or products, making them easily distinguishable from sellable products. We will submit inventory data to the AMCC prior to destruction of any quarantined cannabis. Ala. Code § 20-2A-60(a)(4). We will also work with regulatory agencies as needed to protect public health during the disposal process. If directed to do so by the AMCC, we may submit products for retesting or remediation.

Utilizing our meticulous inventory procedures, we will track which products remain to recall. All data associated with a recall will be recorded in our inventory system, including inventory of medical cannabis and products at our facility, the location of medical cannabis when it is sold, and the documentation of any cannabis products that were destroyed or disposed of at our facility. Staff will utilize invoices, transportation manifests, shipping logs, and sales records to confirm the accuracy of inventory system records.

Our recall tracking will include how much product from the recalled batch has been sold, product that is still available for sale, product that is in the process of transfer, product being processed, postharvest raw product, and all returned products. Ala. Code § 20-2A-60(a)(6)(a-e). In the event a recall was performed not due to health hazards but due to a business process like that of mislabeled medical cannabis products, we will consult with the AMCC before confirming if we may make the recalled, but safe, medical cannabis products available for sale to patients. Above all else we will adhere to all applicable regulations.

24.6 – Crisis Reports to Regulatory Bodies

We will promptly and efficiently notify proper authorities in the event of discovery of product contamination. We will designate our CCO L.G. to initiate and implement a recall, maintain records of our recall activities, and provide communication with the AMCC, testing facilities, and other licensees as needed. Our MOS G.S. will develop proactive relationships with local authorities and will contact them directly in the event of a recall. Within 24 hours of discovery, we will notify the AMCC by phone, email, or certified mail. Our notification will include our business name, license number, details of the contamination, and recall procedures performed, if any. We may also be in contact with the Alabama Department of Agriculture or Department of Health for communication about community safety and resolution of the issue.

Upon completion of the recall, the recall committee will summarize the details, record it in the digital inventory system, and submit a report to the AMCC. Our report will include: adverse event reports, a timeline of the product release and recall, the total amount of recalled cannabis, including types, forms, batches, and lots; the names of the recall committee members; for whom the recalled cannabis was received; the means of transport of the recalled cannabis; the reason for the recall; the number of recalled samples, types, forms, and batched, that were sent to laboratories; the dates of testing and results; and the manner of disposal of recalled cannabis including who oversaw the disposal, method of disposal, date of disposal, and the amount disposed of by types, forms, and batched. We will submit inventory data to the AMCC prior to destruction of any recalled cannabis. Ala. Code § 20-2A-60(a)(4). We will also include any additional information deemed relevant by the AMCC.

24.7 – Preserve and Protect Products

We will maintain our medical cannabis product integrity through proper storage and preservation techniques, based on industry standards. In the event of contamination or recall, we will implement additional procedures for the safe removal, secure transportation, and compliant temporary storage of our medical cannabis. This process will allow us to provide products to our patients while we resolve the contamination or recall. We may apply for a temporary variance to support these recall procedures. Ala. Admin. Code. r. 538-x-4-.08.06. Our standard operating procedures for dispensing will be followed as closely as possible and modified by our Manager of Dispensing Operations J.S. as needed, while at a temporary facility. All products will be diligently tracked in the state seed-to-sale system.

We have defined QC and QA procedures to efficiently identify contamination and recall situations as they develop. Before they begin work at our facility, all staff will complete training on a spectrum of potential hazards and materials that may influence a recall. We will also train staff on proper packaging, labeling, and storage conditions to prevent contamination by impurities or foreign substances. These trainings will help to protect our products.

Once identified, managers will notify employees to activate recall procedures. We will isolate affected cannabis products immediately upon notification of a recall and sequester unaffected products in a safe area. Staff will remove safe cannabis stock from sales floors in an orderly and secure manner. Staff will then collect storage containers of our products and load them into compliant transportation vehicles. Simultaneously, staff will log these products in the seed-to-sale tracking system. We will then transport our cannabis products to a secure, AMCC approved, temporary storage facility. At this facility medical cannabis products will be made available for safe dispersal to patients.

We will provide continual access to medical cannabis for our patients. If our dispensing facility is impacted by a recall, we will sequester safe cannabis for continual medical access. This may include the transportation of medical cannabis products to an AMCC-approved auxiliary site, for safe dispersal to patients in emergency situations.

If all products under our control and in our possession are recalled or otherwise impacted by contamination, we will work with the AMCC and another licensed medical

cannabis business to meet the continual needs of our patients. In all recall related events, we will actively communicate updates to our patients and their caregivers.

24.8 – Investigation and Analysis

Any legitimized adverse event claim will undergo a rigorous investigation to determine the root cause – whether within our facility or beyond. Our CCO L.G. will oversee and audit this investigation, which will be conducted by our Manager of Dispensing Operations J.S.. Data from the initial contamination complaint will be utilized and formalized in our records during this process. Reliable recordkeeping in all aspects of business will provide a solid foundation for claim investigation. We will utilize inventory systems to link a potential product defect to associated batches, personnel, equipment, storage, and procedures.

The CCO L.G. will work with the Manager of Dispensing Operations J.S. to develop a process for each product, validate the process, and establish narrow target ranges for every process parameter. In the instance of nonconformance or deviations from the standard, the QA team will use a root cause analysis method. The QA team will then perform an effectiveness check of the investigation and make appropriate changes and validate the process to guarantee compliance with regulations. Our executive team will communicate with other impacted licensees to guarantee all factors are included in our investigation. We will revise our standard operating procedures immediately to integrate any changes and conduct additional staff training as necessary. All analysis results will be included in our recall report to the AMCC. Corrective actions will be reviewed for effectiveness for at least a year following closure of the investigation.

Conclusion

We are dedicated to the safety of our patients, employees, and the community. Our recall plan provides specific procedures, defines timelines, and assigns roles and responsibilities if a safety issue arises with any of the cannabis or cannabis products within our facility control. While we will take every measure possible to prevent a contamination or recall incident, we recognize that there are a various number of reasons a recall may occur. We have a duty to run a responsible and ethical operation and we will always comply with a recall, whether voluntary or AMCC mandated.

Exhibit 25 – Marketing and Advertising Plan

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	3/21/2023
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

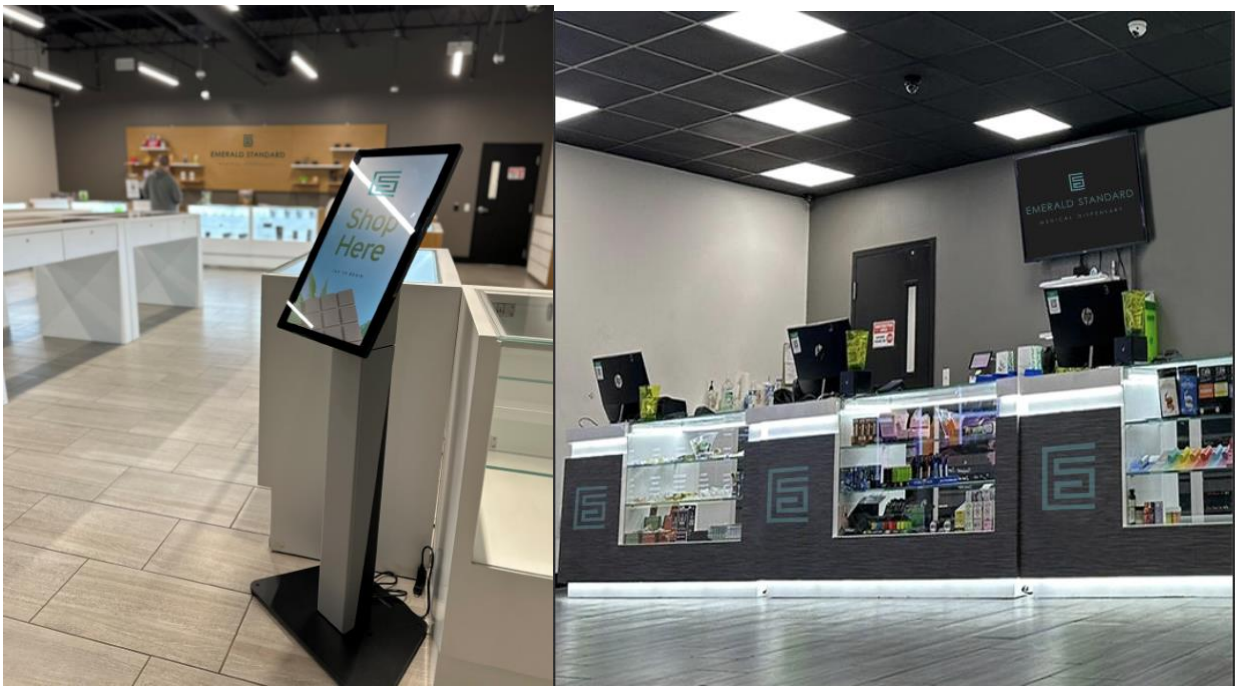
With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

25.1 Proposed logos, branding, messaging, or other marketing or advertising communications

As a license applicant of medical dispensary business in the introductory Alabama medical marijuana market, we have developed the following marketing plan (MP) to educate prospective registered patients through education and community outreach. This comprehensive, yet non-intrusive approach will not only inform the Alabama medical marijuana market of our products but will communicate to registered patients and the community a message of safety, compassion, compliance, education, and community involvement.

We have prepared this marketing plan (MP) as part of a holistic business plan for a medical dispensary, multi-location company. In all cases, we will develop a brand that emphasizes our goal of being perceived as a safe and trusted source of cannabis products. Specifically, we plan to cultivate a brand that captures our passion for education and therapy through the cannabis industry.



Sample Interior Images

Responsibilities

The Marketing and PR Director B.P.M. is responsible for implementing, auditing, and amending the strategy and tactics in this MP. Their duties include working closely with the product management team to define marketing materials and programs, developing, and delivering marketing, education to the customers and communications strategies for the company, and undertaking continuous analysis of competitive environment and patient purchasing trends.

The Marketing and PR Director B.P.M. will perform monthly audits of the MP to ensure tactic efficacy. They will implement new strategies as opportunities arise and will phase out obsolete or ineffective efforts.

The CCO L.G. will work closely with the Marketing and PR Director to ensure that marketing strategies and tactics are in compliance with all rules and guidance set forth by the Department, including Rule 538-x-4-.17 of Chapter 4 of these Rules. All marketing initiatives will be reviewed by the CCO L.G. before implementation.

Target Market

Our marketing tactics will carefully target a patient base within a 150-mile radius of the dispensary facility, ensuring individuals under the age of 21 are excluded. This distance was based on national historic data and the threshold for which patients would travel to visit our dispensaries over our competitors. However, because we consider ourselves a part of a community, most of our marketing, outreach and educational efforts will be concentrated in our immediate neighborhood.

Market Size and Trends

The recently passed medical cannabis bill will allow for qualifying patients over the age of eighteen to purchase cannabis in the state. Amongst the state's population of 4.89 million people, 3.32 million of them (74.7%) are over the age of 21 (infoplease.com). While not all will purchase cannabis as part of the state's medical program, taking consumption rates from other states with medical programs would put an estimate of the Alabama total market size around 498,000 people (Colorado's cannabis use rate amongst adults was 15.5% in 2017).

Marketing through Community Outreach

The backbone of this MP is the use of cause-related marketing as a communication tool. Cause-related marketing is communicating with potential patients that we are committed to positively impacting the community. Our goal with this approach is to attract patients that want to align with elements of our Social Corporate Responsibility mission. We will cultivate a brand awareness that is closely related to our partnerships with non-profit companies and community outreach efforts and reflects the values that guide our business.

To facilitate this cause-related marketing strategy and as part of our Community Impact Plan, we will establish a Community Impact Fund in which a percentage of profits will be deposited and allocated to promote positive community outreach.

The Marketing and PR Director B.P.M. will promote upcoming community involvement and partnerships on our website, through social media, and on printed material available to patients at the dispensary facility.

Our marketing campaign will also promote the advancement of healthy patient lifestyles and the use of medical cannabis as only one aspect of improved health and wellbeing. Marketing material, such as printed dispensary waiting room leaflets and website pages, will emphasize healthy diets and exercise and will provide contact information for nutritionists and personal trainers with which we will partner.

We also plan to establish a community-involved business, by not only providing important medicine to our community but also educating patients and the population at large about the benefits of cannabis, we can create an impactful business whose ripples will be felt for years to come.

Marketing Delivery Methods

We will employ the following marketing tools to reach registered patients. As previously stated, our marketing materials will focus on our positive impact to the community and improving health and lifestyles outside of medical cannabis usage.

Printed Materials

We will utilize printed marketing materials for patients to read while in our Waiting Area. Printed materials will include product sheets that will provide information about our strains and products. Materials will include tips on healthy living such as improving diet and beginning an exercise regimen. Patient facing materials will only subtly feature our logo, but otherwise will not make claims as to medical marijuana's health benefits.

Proposed Logo for Emerald Standard, LLC. & Branding Guide

EMERALD STANDARD

MEDICAL DISPENSARY

EMERALD STANDARD

MEDICAL DISPENSARY

ICON & MEDICAL DISPENSARY

EMERALD STANDARD

Proposed Brochure for Emerald Standard, LLC.

FRONT

COMMON TERMS

Cannabinoids - a class of chemical compounds that activate specific receptors in the brain. At least 85 have been isolated from the cannabis plant alone, all exhibiting varying effects; THC is the most well-known.

CBD (Cannabidiol) - non-psychoactive cannabinoid known for its cancer-fighting properties as well as relief of epilepsy, inflammation, anxiety, cough, congestion, and nausea. CBD can be used to modulate the psychoactivity of THC, reducing anxiety and paranoia but amplifying the medicinal benefit.

Decarboxylation - the process of removing a carbon molecule from CBDA/THCA thereby "activating" it into CBD/THC for cannabinoid receptors. Decarboxylation happens when cannabis is heated by smoking or vaporizing, and when cannabis is cooked into oil/butter.

Terpenes - compounds that modify the effects of THC and other cannabinoids, and impact the medicinal effect of the strain as well as smell and taste characteristics. Terpenes are being used to fingerprint and identify each strain to better understand their physiological impacts.

THC (Tetrahydrocannabinol) - cannabinoid known for its psychoactive properties and relief of pain and insomnia.

MEDICAL USEFULNESS OF THE MAJOR CANNABINOIDS

	CBN	THC	CBG	THCV	CBD	CBC
Protects against cancer		+	+		+	+
Reduces nausea		+			+	
Pain reliever	+	+			+	
Sleep aid	+				+	
Increases appetite		+				
Decreases appetite				+		
Relieves spasms	+	+			+	
Decreases seizures				+	+	
Reduces anxiety					+	
Muscle relaxant		+			+	
Antimicrobial		+				
Antibacterial					+	+
Antifungal			+			+
Protects nervous system		+			+	
Anti-diabetic					+	
Improves circulation					+	
Lowers blood pressure			+			
Relieves psoriasis					+	
Relieve Crohn's disease		+			+	
Anti-inflammatory	+	+	+		+	+
Bone stimulant			+	+	+	+
Relieves rheumatoid arthritis					+	
Antioxidant	+	+			+	

FUN FACT

Did you know the US Dept. of Health and Humans Services holds a patent on CANNABINOIDS AS ANTIOXIDANTS & NEUROPROTECTANTS? US Pat. No. 6,630, 507

EMERALD STANDARD

MEDICAL DISPENSARY

Even if you have never touched cannabis, there are cannabinoids in your body. Your endocannabinoid system is a central regulatory system that affects a wide range of biological processes, including appetite, pain sensation, mood, sleep, and memory. Experts believe that the main overall function of this system is to regulate homeostasis.

Homeostasis is a key element in the biology of all living things and is best described as the ability to maintain stable internal conditions that are necessary for survival. Most of the clinical conditions patients present are a result of a failure to achieve homeostasis, making the endocannabinoid system a unique target for medical applications. Thus cannabis has the potential to treat not only the symptoms of disease, but the disease itself.

RECIEVE GUIDANCE, SUPPORT, & RECOMMENDATIONS FROM OUR EXPERIENCED STAFF. WE WELCOME YOU!

Cannabis Education Classes on Facebook

Join us each Wednesday evening at 6:00 p.m for our informative sessions about medical cannabis.

www.emeraldstandarddispencery.com

@emeraldstandard @emeraldstandard_

BACK

BEYOND THC: THE OTHER CANNABINOIDS

Marijuana contains its own inherent cannabinoids that mimic and enhance those in the body with varying, complex effects. These phytocannabinoids and their sidekicks, the terpenes and flavonoids, create an "entourage effect," a team effort that regulates, modulates, stimulates and protects virtually every cell in the body.

Recent findings based on pre-clinical and human studies show that Cannabidiol, or CBD, has anti-inflammatory, antipsychotic, anticonvulsant, anti-tumorigenic and pain- relieving properties. CBD has also been shown to be effective against cancer, Alzheimer's, Parkinson's, Huntington's and multiple sclerosis. It helps prevent and treat heart disease, diabetes, and stroke. It even appears to improve psychological conditions like schizophrenia, social anxiety disorder, depression and ADHD, often working better than the drugs typically prescribed, and with virtually no side effects. CBD has even been shown in vitro to be effective against the difficult-to-treat bacterial infection MRSA (methicillin-resistant Staphylococcus aureus).

Another non-psychoactive cannabinoid, Cannabigerol (CBG) has been shown to relieve interocular pressure, making it useful against glaucoma. Its anti-inflammatory properties have been shown effective against gastrointestinal disorders like IBS.

MEDICAL EFFECTS: SATIVA VS. INDICA

SATIVA

- Mind dominant
- Anti-anxiety
- Anti-depressant Activating
- Uplifting
- Increase Alertness
- Energy Enhance Creativity

INDICA

- Body dominant
- Sedating
- Relaxing
- Muscle Relaxant
- Treats acute pain
- Reduce nausea
- Increase appetite
- Increase dopamine

Note this is a general guide; terpenes, methods of consumption, and even time otharved will all alter cannabis effects on the mind and body.

BEYOND THE BUD: METHODS OF MEDICATION

Some patients are apprehensive about smoking, but studies have shown that marijuana smoke alone is not associated with lung disease. However, there are a number of alternative ways to medicate that are healthier and more discreet.

Vaporizing - Vaporizers gently heat the plant matter or concentrate without combustion, so there is no smoke and vastly reduced odors. There are many types of vaporizer, ask a dispensary professional for guidance on which is right for you.

Edibles - Edibles are an excellent option, offering more precise dosage control and longer-lasting relief. It may take up to two hours to feel the effects, so use caution until you know what works. Once the dosage is right, edibles are safe, effective and discreet.

Tinchures - Tinctures, drinkables, and other sublingual applications have the advantages of an edible, but are much faster-acting. Juicing raw cannabis leaves is another option.

Concentrates - Concentrates (hash, wax, oil) are a higher potency alternative which can be "dabbed" alone or on top of flowers. Most require specialized equipment, please consult a dispensary professional to learn more.

Proposed Cover Letter for Emerald Standard, LLC. Business documents

EMERALD STANDARD

MEDICAL DISPENSARY

[redacted]

FLORENCE, AL 35630

[redacted]

[redacted]

New York, NY 90210

September 16, 20XX

Dear [redacted]

Write the body of your letter here. To update any of the letter's information, select the text, and start typing.

Want to change fonts? Go to the Home tab and choose Fonts. You can use a built-in font combination or select one of your own.

You can also change the colors of the template to match your personal taste. Go to the Design tab and choose a color palette from the Colors menu. Hovering over the different palettes will show you what your document would look like with the new palette.

To change the color or font formatting back to the original settings, go to the Design tab, and select the Theme menu. From there, choose the option to reset the original template theme.

Warm Regards,

[redacted]

CEO

www.emeraldstandarddispensery.com | [redacted]@emeraldstandard.com

Proposed T-Shirts for Staff:

Again, we will use printed material as a tool for brand recognition and let the quality of our products speak for themselves.

We believe that the purchaser's education and support are essential to achieving an overall customer experience while doing our diligence in ensuring consumers understand what they are consuming.

The applicant has formed an Education and Training Committee (ETC), consisting of:

- Education and Community Outreach Officer – a company created to foster a purpose-driven cannabis community. Offering resources to recenter communities, just values and improve business practices.
- Chief Operating Officer – Developing a Dispensing Agent Certificate Program while ensuring compliance with all state laws, administrative rules, safety and security policies and procedures within the retail stores.

- Chief Medical Officer – a M.D. who is currently practicing Orthopedic medicine, with and additional degree is chemistry
- Chief Compliance Officer –a senior executive with over 30 years' experience in various fields, including as a company founder and COO, a "big-4" consultant, and a technology product manager.

How to Best Present Product Offerings

We plan to make employee and patient knowledge and education a cornerstone of our medical dispensary operation. To do so, we will provide employees with detailed education on regulations, cannabis, and product offerings. Management and executives will develop employee training materials and will oversee the training process. Training will be ongoing for every employee. Even after an employee starts work, there will be requirements for continuing education and for evaluation and retraining to ensure that all staff members possess up-to-date cannabis knowledge and understand their duties.

Prior to beginning work, all staff will complete foundational education on cannabis, including: the lifecycle of the cannabis plant; different strains and their effects; cannabinoids including THC and CBD; strains that we offer and how to properly and safely use cannabis; safe storage; identifying, preventing, and responding to accidental ingestion; intended effects and side-effects; substance abuse signs, symptoms, and resources; and, advancements and developments in cannabis science and research. Staff will periodically undergo retraining, such as when major scientific advances related to cannabis are made.

Sales Strategy

To cultivate a brand that is connected to our community and locale, we plan to focus our sales strategy and efforts on initiating and maintaining lasting relationships with local suppliers and distributors. We will implement this strategy in two ways. We have utilized our local connections with other prospective cannabis licensees in the area and established relations. Once licenses are issued, we will enter into agreements with regional licensee partners, creating a supportive business network in the Northern region of the state.

Second, we will seek out partnerships with other cannabis single-state operator licenses, including cultivators, manufacturers, wholesalers, and distributors to support those businesses, such as ours, which may face stiff competition from larger, multi-state businesses. This will similarly cultivate a network of businesses which support each other, helping to grow the cannabis industry and to promote safety and quality in our communities and the state of Alabama. We will also leverage our favorable location to attract partnerships from those other licensees who want to sell their product and build their brand.

25.2 Planned media outlets and platforms utilization

To comply with advertising guidelines, we do not plan to advertise or market our company to the general public in any mass media outlets or platforms following the guidelines of the AMCC. We will post corporate, educational, and informational materials on our website pages and the website will be designed in compliance with AMCC rules, including Section 538-X-4-.17 - Marketing And Advertising.

25.3 Planned media outlets, social media platform, or third-party individual or entity utilization

The company will comply with requirements that strictly limit advertising. Therefore, at this time the company will not maintain social media accounts and will only maintain the proposed website: https://www.emeraldstandarddispensary.com/

Amendment

The company has partnered with a multi-state operator that has an established brand in multiple states. As of March, 2023, The operator has reviewed this branding and advertising plan and concurs with the approach. It is possible that this plan may be amended after licensing to reflect a closer relationship to the national brand. Any changes, if they occur will have the same or higher level of quality and professionalism as described here and will be shared with AMCC as required or requested and will continue to be in compliance with all applicable rules.

Exhibit 26 – Website and Social Media

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	3/21/2023
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

Introduction

We share the State's interest in ensuring that any advertising or marketing campaign related to or involving medical cannabis does not encourage, promote, or otherwise create any impression that cannabis is legal, therapeutic, or beneficial, except as specifically authorized by AMCC. The website will be maintained by the licensee for the exclusive use of patients and caregivers.

The dispensary will develop its online presence in accordance with AMCC rules, including Section 538-X-4-.17 - Marketing and Advertising.

Specifically, the website will:

- not appeal to minors
- not use a name, logo, sign, advertisement, or other marketing campaign or program unless the same, including all related materials, have been submitted to the Commission Materials that must be submitted to the Commission shall include, but are not limited to, the following:
- will not include reference to, or be accompanied by, any image bearing a resemblance to a cartoon character or of any individual (actual or fictional) more than fifteen percent (15%) of whose audience is, or should be reasonably anticipated to be, composed of minors;
- not market, distribute, offer, sell, license, or cause to be marketed, distributed, offered, sold, or licensed, any apparel or other merchandise related to the sale of medical cannabis;
- not suggest, by direct or indirect reference, a relationship to edibles (including candy, cookies, brownies, cakes, and the like) or beverages;
- not include designs or other presentational effects that are commonly used to target minors;
- not suggest or otherwise indicate that the product or entity in the advertisement has been approved or endorsed by the Commission, the State of Alabama or any person, entity or agency associated with the State of Alabama;

- not advertise in a manner that is inconsistent with the medicinal and approved use of medical cannabis;
- not encourage the use of medical cannabis for a condition other than a qualifying medical condition;
- not contain any statement, design, representation, picture, or illustration that contains or communicates: 1. False or misleading statements; 2. Names other than the registered name of the licensee's registered business name or an approved d/b/a, or the registered name of medical cannabis or related products; 3. A depiction of cannabis plants or any part thereof, except with respect to:

Statements as to the health benefits or therapeutic benefits of cannabis or medical cannabis, and statements as to the safety or efficacy of cannabis or medical cannabis unless supported by substantial clinical data.

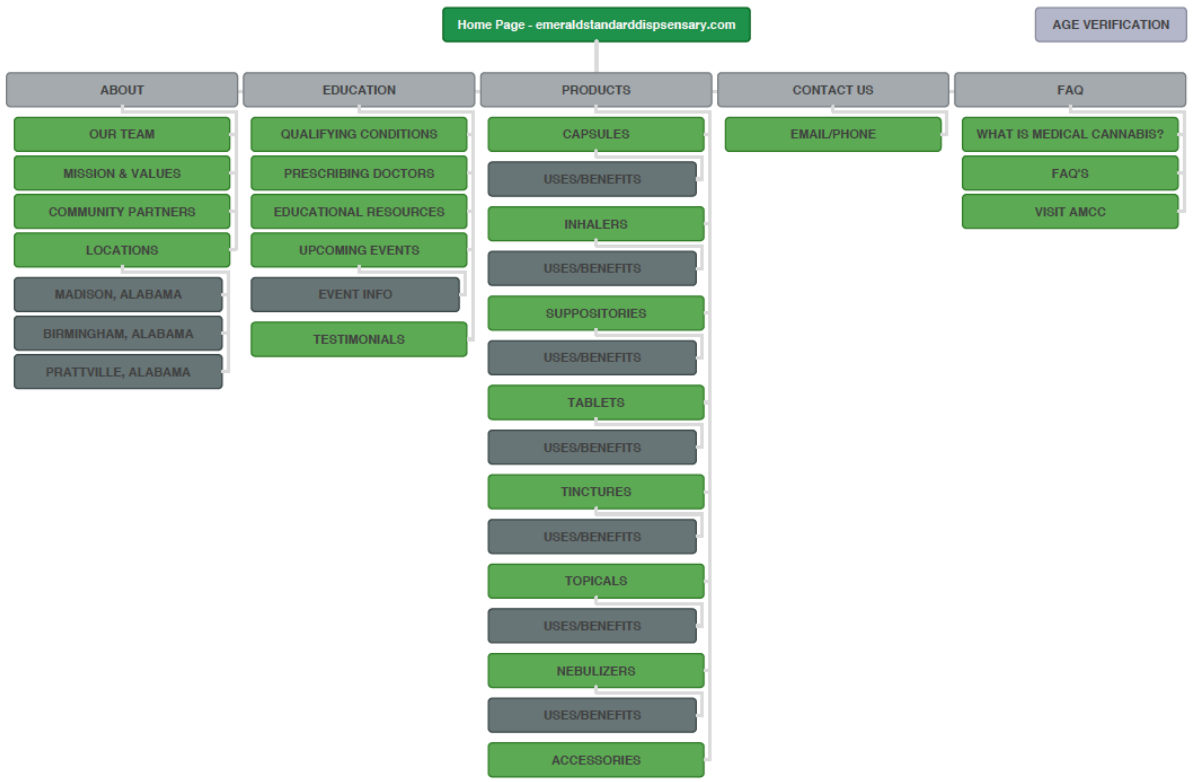
The website may advertise the name, business address, contact information, and services provided by the licensee. A licensee's website shall require each user's affirmation that the user is not a minor before access to the website is granted.

The website shall not:
(a) Allow for direct engagement between or among consumers or consumer-generated content including but not limited to consumer reviews or testimonials; notwithstanding the foregoing, licensees are not prohibited from seeking or obtaining direct patient feedback or sharing actual unsolicited statements made by consumers to the licensee, so long as the content of the statement does not otherwise violate any prohibitions contained in this Rule.
(b) Provide a medium for website users to transmit website content to minors;
(c) Target a consumer group with a high likelihood of reaching or appealing to minors;
(d) Display or otherwise post content that has not been submitted to the Commission for review.
(e) Transact business or otherwise facilitate a sales transaction to consumers or businesses; or
(f) Maintain a web presence that would otherwise violate the Act or these Rules.

26.1 Site map of each website

We will create an age-restricted, medically focused, user-friendly website that will include up to date information regarding the latest scientific and medical discoveries and extensive descriptions of our medical marijuana products. Our website will be a repository for general health and wellness strategies, including modified exercise options and lifestyle options to help patients improve their health outlook.

The company's proposed site map is:



26.2 Web address of each page, social media page, or other online site

The company will comply with requirements that strictly limit advertising. Therefore, at this time the company will not maintain social media accounts. Our proposed website is: https://www.emeraldstandarddispensary.com/

Amendment

The company has partnered with a multi-state operator that has an established brand in multiple states. As of March, 2023, The operator has reviewed this plan and concurs with the approach. It is possible that details of this plan may be amended after licensing to reflect a closer relationship to the national brand. Any changes, if they occur, will maintain the same or higher level of quality and professionalism as described here, and will be shared with AMCC as required or requested, and will continue to be in compliance with all applicable rules.

License Type: Dispensary

Proof of Minimum Liability and Casualty Insurance

Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

[redacted]	Founder/Shareholder
Printed Name of Verifying Individual	**Title of Verifying Individual**
[redacted]	12/30/2022
Signature of Verifying Individual	**Verification Date**

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

Attached hereto is proof of minimum liability and casualty insurance for the Applicant

The company selected Stovall-Marks to broker its insurance coverage including liability. . Coverages are listed in the document below. Upon licensing, Stovall-Marks will select best insurance from appropriate carriers. Further information about the carriers is included.

Entity: **Emerald Standards, LLC**
[redacted]
Florence, AL 35630

Locations:
1. **Birmingham City** – [redacted] Birmingham, AL 35222
2. **Russellville City-** [redacted] Russellville, AL 35653
3. **Lauderdale County-** [redacted] dispensary in the SE corner of parcel)

Insurance – limits and coverages, following Alabama Department of Agriculture and Industries Rule 80-14-1-.05:

Workers Compensation

- Carrier Benchmark Insurance Company; a Trean Company
- A.M. Best A IX
- Limits – Statutory / Employers Liability $1,000,000

Anticipated Package Insurer, will select carrier upon winning the license and going through final underwriting;

- **Curotech**
  - Houston Specialty Insurance Company (A subsidary of Skyward Insurance)
    - **A.M.Best A IX**
- **Quadscore**
  - Knight Specialty Insurance Company
    - **A.M. Best A- XI**
- **CannGen**
  - American Federation Insurance Company (property / GL)
    - **A.M. Best A XV**
  - United Specialty Group (product **liability**)
    - **A.M. Best A X**
- **Cannasure**
  - Dorchester Insurance Company, LTD (property / GL)
    - **A.M. Best A- VII**
  - United Specialty Group (product Liability)
    - **A.M. Best A X**

Limits:

- **General Liability**
  - **$2,000,000 / $2,000,000 Aggregate** per 80-14-1-.05
- **Product Liability**
  - **$2,000,000 / $2,000,000 Aggregate** per 80-14-1-.05
- **Property**
  - **Building** – Lauderdale County - **$500,000**
  - **Tenant & Betterments** (Build out) - **$150,000 for Birmingham and Russellville**
  - **Business Income / Extra Expense** - **$200,000 per location**
  - **Business Personal Property** - **$100,000 per location**
  - **Cannabis Stock** - **$300,000 per location**

Policy Terms: Annual policy and policy will be bound when applicant is awarded license by Alabama Medical Cannabis Commission upon final underwriting.

The License Hopeful has selected Stovall – Marks Insurance in partnership with Heffernan Insurance to finalize terms once the Medical Marijuana Licenses are awarded. Heffernan confirms that when a medical marijuana license is awarded, the license holder will be insurable if they stay compliant with the Insurance Carriers and Alabama Medical Marijuana Commission. **Several carriers have offered Premium Indications to confirm insurability**.

Stovall-Marks Insurance was established in 1972 by Stephen R. Stovall. Currently, Stovall Marks serves the insurance needs of over 5,000 individuals and families, and the insurance needs of over 2,000 business concerns in Alabama. Stovall-Marks operates two office in Alabama, Decatur and Moulton.

Heffernan Insurance is the 40th largest insurance agency and have a strong Cannabis Niche based out of their Walnut Creek, CA, Los Angeles, CA, and Missouri offices. With limited carriers writing in the cannabis space, HIB has developed strong relationships with the carriers and is well respected in the market space. Policy terms have been pre-negotiated, to best protect the insureds. Heffernan counts over 100 clients in the cannabis niche and insure several of the fully vertically integrated brands in the marketplace today.

AM Best Rating Services

Knight Specialty Insurance Company

BestLink AMB #: 022046 NAIC #: 15366 FEIN #: [redacted]

Administrative Office
[redacted]
Los Angeles, California 90010
United States

Web: www.knightinsurancegroup.com
Phone: [redacted]
Fax: [redacted]
View Additional Address Information

AM Best Rating Unit: AMB #: 072139 - Knight Insurance Company Ltd.

Assigned to insurance companies that have, in our opinion, an excellent ability to meet their ongoing insurance obligations.

View additional news, reports and products for this company.

Based on AM Best's analysis, 072139 - Knight Insurance Company Ltd. is the **AMB Ultimate Parent** and identifies the topmost entity of the corporate structure. View a list of operating insurance entities in this structure.

Best's Credit Ratings

Financial Strength View Definition

Rating (Rating Category):	A- (Excellent)
Affiliation Code:	g (Group)
Outlook (or Implication):	Stable
Action:	Upgraded
Effective Date:	April 26, 2022
Initial Rating Date:	December 18, 2014

Long-Term Issuer Credit View Definition

Rating (Rating Category):	a- (Excellent)
Outlook (or Implication):	Stable
Action:	Upgraded
Effective Date:	April 26, 2022
Initial Rating Date:	December 18, 2014

Financial Size Category View Definition

Financial Size Category:	XI ($750 Million to $1 Billion)

u Denotes Under Review Best's Rating

Best's Credit Rating Analyst

Rating Office: A.M. Best Rating Services, Inc.
Senior Financial Analyst: [redacted]
Director: [redacted]
Note: *See the Disclosure Information Form or Press Release below for the office and analyst at the time of the rating event.*

Disclosure Information

Disclosure Information Form
View AM Best's Rating Disclosure Form

Press Release
AM Best Upgrades Credit Ratings of Knight Insurance Company Ltd. and Its Affiliates
April 26, 2022

View AM Best's Rating Review Form

Rating History

AM Best has provided ratings & analysis on this company since 2014.

Financial Strength Rating

Effective Date	Rating
April 26, 2022	A-
January 21, 2021	B++
January 17, 2020	B++
December 07, 2018	B++
October 05, 2017	B++
April 27, 2017	B++u

Long-Term Issuer Credit Rating

Effective Date	Rating
April 26, 2022	a-
January 21, 2021	bbb+
January 17, 2020	bbb+
December 07, 2018	bbb+
October 05, 2017	bbb+
April 27, 2017	bbb+ u

Best's Credit & Financial Reports

Best's Credit Report - financial data included in Best's Credit Report reflects the data used in determining the current credit rating(s) for AM Best Rating Unit: AMB #: 072139 - Knight Insurance Company Ltd..

Best's Credit Report - Archive - reports which were released prior to the current Best's Credit Report.

Best's Financial Report - financial data included in Best's Financial Report reflects the most current data available to AM Best, including updated financial exhibits and additional company information, and is available to subscribers of Best's Insurance Reports.

Best's Financial Report - Archive - reports which were released prior to the current Best's Financial Report.

View additional news, reports and products for this company.

Press Releases

Date	Title
Apr 26, 2022	AM Best Upgrades Credit Ratings of Knight Insurance Company Ltd. and Its Affiliates
Jan 21, 2021	AM Best Revises Issuer Credit Rating Outlook to Stable for Knight Insurance Company Ltd. and Its Affiliates
Dec 07, 2018	AM Best Upgrades Issuer Credit Rating of KnightBrook Insurance Company; Affirms Credit Ratings of Subsidiaries
Oct 05, 2017	A.M. Best Removes From Under Review and Affirms Credit Ratings of Knight Insurance Company Ltd. and Affiliates
Apr 27, 2017	A.M. Best Maintains Under Review With Negative Implications Status for Credit Ratings of Knight Insurance Co. Ltd and Affiliates
Aug 04, 2016	A.M. Best Downgrades Ratings of Knight Insurance Company Ltd. and Its Affiliates; Places Under Review with Negative Implications
Dec 18, 2014	A.M. Best Assigns Ratings to Knight Specialty Insurance Company

European Union Disclosures

A.M. Best (EU) Rating Services B.V. (AMB-EU), a subsidiary of A.M. Best Rating Services, Inc., is an External Credit Assessment Institution (ECAI) in the EU. Therefore, credit ratings issued and endorsed by AMB-EU may be used for regulatory purposes in the EU as per Directive 2013/36/EU.

United Kingdom Disclosures

A.M. Best – Europe Rating Services Limited (AMBERS), a subsidiary of A.M. Best Rating Services, Inc., is an External Credit Assessment Institution (ECAI) in the United Kingdom (UK). Therefore, Credit Ratings issued and endorsed by AMBERS may be used for regulatory purposes in the United Kingdom as per the Credit Rating

Agencies (Amendment, etc.) (EU Exit) Regulations 2019.

Australian Disclosures

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Credit Ratings determined and disseminated by AMBAP are the opinion of AMBAP only and not any specific credit analyst. AMBAP Credit Ratings are statements of opinion and not statements of fact. They are not recommendations to buy, hold or sell any securities or any other form of financial product, including insurance policies and are not a recommendation to be used to make investment /purchasing decisions.

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AM Best Rating Services

Houston Specialty Insurance Company

BestLink AMB #: 013825 NAIC #: 12936 FEIN #: [redacted]

Domiciliary Address
[redacted]
Houston, Texas 77024
United States

Web: www.skywardinsurance.com
Phone: [redacted]

AM Best Rating Unit: AMB #: 018717 - Skyward Specialty Insurance Group

Assigned to insurance companies that have, in our opinion, an excellent ability to meet their ongoing insurance obligations.

View additional news, reports and products for this company.

Based on AM Best's analysis, 055309 - Skyward Specialty Insurance Group, Inc. is the **AMB Ultimate Parent** and identifies the topmost entity of the corporate structure. View a list of operating insurance entities in this structure.

Best's Credit Ratings

Financial Strength View Definition

Rating (Rating Category):	A- (Excellent)
Outlook (or Implication):	Stable
Action:	Affirmed
Effective Date:	September 30, 2022
Initial Rating Date:	July 02, 2007

Long-Term Issuer Credit View Definition

Rating (Rating Category):	a- (Excellent)
Outlook (or Implication):	Stable
Action:	Affirmed
Effective Date:	September 30, 2022
Initial Rating Date:	July 02, 2007

Financial Size Category View Definition

Financial Size Category:	IX ($250 Million to $500 Million)

u Denotes Under Review Best's Rating

Best's Credit Rating Analyst

Rating Office: A.M. Best Rating Services, Inc.
Associate Director : [redacted] CPCU, ARe, ARM
Director: [redacted]
Note: See the Disclosure Information Form or Press Release below for the office and analyst at the time of the rating event.

Disclosure Information

Disclosure Information Form
View AM Best's Rating Disclosure Form

View AM Best's Rating Review Form

Rating History

AM Best has provided ratings & analysis on this company since 2007.

Financial Strength Rating

Long-Term Issuer Credit Rating

Effective Date	Rating
September 30, 2022	A-
August 19, 2021	A-
August 19, 2020	A-
June 28, 2019	A-
November 08, 2018	A- u
October 10, 2017	A-

Effective Date	Rating
September 30, 2022	a-
August 19, 2021	a-
August 19, 2020	a-
June 28, 2019	a-
November 08, 2018	a- u
October 10, 2017	a-

Related Financial and Analytical Data

The following links provide access to related data records that AM Best utilizes to provide financial and analytical data on a consolidated or branch basis.

AMB #	Company Name	Company Description
019196	Skyward Specialty Insurance Group (C)	Represents the "as filed" Company Consolidated financials for the Property/Casualty business of this legal entity.
018717	Skyward Specialty Insurance Group (G) Rating Unit	Represents the AM Best Consolidated financials for the Property/Casualty business of this legal entity.

Best's Credit & Financial Reports

Best's Credit Report - financial data included in Best's Credit Report reflects the data used in determining the current credit rating(s) for AM Best Rating Unit: AMB #: 018717 - Skyward Specialty Insurance Group.

Best's Credit Report - Archive - reports which were released prior to the current Best's Credit Report.

Best's Financial Report - financial data included in Best's Financial Report reflects the most current data available to AM Best, including updated financial exhibits and additional company information, and is available to subscribers of Best's Insurance Reports.

Best's Financial Report - Archive - reports which were released prior to the current Best's Financial Report.

View additional news, reports and products for this company.

Press Releases

Date	Title
Aug 19, 2020	AM Best Revises Outlooks to Stable for Members of HIIG Group
May 29, 2020	AM Best Comments on Credit Ratings of Members of HIIG Group Following Appointment of Andrew Robinson as CEO
Jun 28, 2019	AM Best Removes From Under Review With Developing Implications and Takes Various Credit Rating Actions on Members of HIIG Group
Nov 08, 2018	A.M. Best Places Credit Ratings of Houston International Insurance Group, Ltd.'s Subsidiaries Under Review
Jan 23, 2015	A.M. Best Affirms Ratings of Houston International Insurance Group, Ltd.'s Subsidiaries
Nov 15, 2013	A.M. Best Affirms Ratings of Houston International Insurance Group, Ltd.'s Subsidiaries
Jun 29, 2012	A.M. Best Assigns Ratings to Oklahoma Specialty Insurance Company

European Union Disclosures
A.M. Best (EU) Rating Services B.V. (AMB-EU), a subsidiary of A.M. Best Rating Services, Inc., is an External Credit Assessment Institution (ECAI) in the EU. Therefore, credit ratings issued and endorsed by AMB-EU may be used for regulatory purposes in the EU as per Directive 2013/36/EU.

United Kingdom Disclosures
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Australian Disclosures
A.M. Best Asia-Pacific Limited (AMBAP), Australian Registered Body Number (ARBN No.150375287), is a limited liability company incorporated and domiciled in Hong Kong. AMBAP is a wholesale Australian Financial Services (AFS) Licence holder (AFS No. 411055) under the Corporations Act 2001. Credit ratings emanating from AMBAP are not intended for and must not be distributed to any person in Australia other than a wholesale client as defined in Chapter 7 of the Corporations Act. AMBAP does not authorize its Credit Ratings to be disseminated by a third-party in a manner that could reasonably be regarded as being intended to influence a retail client in making a decision in relation to a particular product or class of financial product. AMBAP Credit Ratings are intended for wholesale clients only, as defined.

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AM Best Rating Services

American Federation Insurance Company

BestLink AMB #: 011877 NAIC #: 10245 FEIN #: [redacted]

Administrative Office
[redacted]
Woodland Hills, California 91367
United States

Web: www.farmers.com
Phone: [redacted]
Fax: [redacted]
View Additional Address Information

AM Best Rating Unit: AMB #: 000032 - Farmers Insurance Group

Assigned to insurance companies that have, in our opinion, an excellent ability to meet their ongoing insurance obligations.

View additional news, reports and products for this company.

Based on AM Best's analysis, 050457 - Zurich Insurance Group Ltd is the **AMB Ultimate Parent** and identifies the topmost entity of the corporate structure. View a list of operating insurance entities in this structure.

Best's Credit Ratings

Financial Strength View Definition

Rating (Rating Category):	A (Excellent)
Affiliation Code:	g (Group)
Outlook (or Implication):	Stable
Action:	Affirmed
Effective Date:	June 16, 2022
Initial Rating Date:	June 17, 2003

Long-Term Issuer Credit View Definition

Rating (Rating Category):	a (Excellent)
Outlook (or Implication):	Stable
Action:	Affirmed
Effective Date:	June 16, 2022
Initial Rating Date:	May 04, 2005

Financial Size Category View Definition

Financial Size Category:	XV ($2 Billion or greater)

u Denotes Under Review Best's Rating

Best's Credit Rating Analyst

Rating Office: A.M. Best Rating Services, Inc.
Associate Director : [redacted]
Director: [redacted]
Note: *See the Disclosure Information Form or Press Release below for the office and analyst at the time of the rating event.*

Disclosure Information

Disclosure Information Form
View AM Best's Rating Disclosure Form

Press Release
AM Best Affirms Credit Ratings of Members of Farmers Insurance Group
June 16, 2022

View AM Best's Rating Review Form

Rating History

AM Best has provided ratings & analysis on this company since 2003.

Financial Strength Rating

Effective Date	Rating
June 16, 2022	A
June 16, 2021	A
December 11, 2020	A u
February 28, 2020	A
February 15, 2019	A
February 14, 2018	A

Long-Term Issuer Credit Rating

Effective Date	Rating
June 16, 2022	a
June 16, 2021	a
December 11, 2020	a u
February 28, 2020	a
February 15, 2019	a
February 14, 2018	a

Best's Credit & Financial Reports

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Press Releases

Date	Title
Jun 16, 2022	AM Best Affirms Credit Ratings of Members of Farmers Insurance Group
Jun 16, 2021	AM Best Removes From Under Review, Affirms Credit Ratings of Most Farmers Ins Group Members, Downgrades ICRs of Certain Members
Dec 11, 2020	AM Best Places Credit Ratings of Members of Farmers Insurance Group Under Review With Developing Implications
Feb 28, 2020	AM Best Affirms Credit Ratings of Members of Farmers Insurance Group
Feb 15, 2019	AM Best Affirms Credit Ratings of Members of Farmers Insurance Group
Feb 14, 2018	A.M. Best Affirms Credit Ratings of Members of Farmers Insurance Group
Jan 19, 2017	A.M. Best Affirms Credit Ratings of the Members of Farmers Insurance Group

1 2 Page size: 10 18 items in 2 pages

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AM Best Rating Services

United Specialty Insurance Company

BestLink AMB #: 013105 NAIC #: 12537 FEIN #: [redacted]

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United States

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Fax: [redacted]
View Additional Address Information

AM Best Rating Unit: AMB #: 018604 - State National Group

Assigned to insurance companies that have, in our opinion, an excellent ability to meet their ongoing insurance obligations.

View additional news, reports and products for this company.

Based on AM Best's analysis, 058405 - Markel Corporation is the **AMB Ultimate Parent** and identifies the topmost entity of the corporate structure. View a list of operating insurance entities in this structure.

Best's Credit Ratings

Financial Strength View Definition

Rating (Rating Category):	A (Excellent)
Affiliation Code:	p (Pooled)
Outlook (or Implication):	Stable
Action:	Affirmed
Effective Date:	September 30, 2022
Initial Rating Date:	May 17, 2006

Long-Term Issuer Credit View Definition

Rating (Rating Category):	a+ (Excellent)
Outlook (or Implication):	Stable
Action:	Affirmed
Effective Date:	September 30, 2022
Initial Rating Date:	June 20, 2007

Financial Size Category View Definition

Financial Size Category:	X ($500 Million to $750 Million)

u Denotes Under Review Best's Rating

Best's Credit Rating Analyst

Rating Office: A.M. Best Rating Services, Inc.
Senior Financial Analyst: [redacted] CPCU
Associate Director : [redacted]
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Disclosure Information

Disclosure Information Form
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Press Release
AM Best Affirms Credit Ratings of Markel Corporation and its Subsidiaries
September 30, 2022

View AM Best's Rating Review Form

Rating History

AM Best has provided ratings & analysis on this company since 2006.

Financial Strength Rating

Effective Date	Rating
September 30, 2022	A
September 15, 2021	A
September 11, 2020	A
December 13, 2019	A
December 19, 2018	A

Long-Term Issuer Credit Rating

Effective Date	Rating
September 30, 2022	a+
September 15, 2021	a+
September 11, 2020	a+
December 13, 2019	a+
December 19, 2018	a+

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Press Releases

Date	Title
Sep 30, 2022	AM Best Affirms Credit Ratings of Markel Corporation and its Subsidiaries
Sep 15, 2021	AM Best Affirms Credit Ratings of Markel Corporation and its Subsidiaries
Sep 11, 2020	AM Best Affirms Credit Ratings of Markel Corporation and Most Subsidiaries; Upgrades Issuer Credit Rating of Markel Bermuda Ltd
Dec 13, 2019	AM Best Affirms Credit Ratings of Markel Corporation and Most Subsidiaries
Dec 19, 2018	AM Best Affirms Credit Ratings of Markel Corporation and Most Subsidiaries
Sep 22, 2017	A.M. Best Affirms Credit Ratings of State National Group's Members
Jan 26, 2017	A.M. Best Withdraws Credit Ratings of Fireman's Fund Insurance Company of Ohio

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AM Best Rating Services

Benchmark Insurance Company

BestLink AMB #: 011205 NAIC #: 41394 FEIN #: [redacted]

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Phone: [redacted]
Fax: [redacted]
View Additional Address Information

AM Best Rating Unit: AMB #: 018922 - Benchmark Insurance Group

Assigned to insurance companies that have, in our opinion, an excellent ability to meet their ongoing insurance obligations.

View additional news, reports and products for this company.

Based on AM Best's analysis, 044768 - Trean Insurance Group, Inc. is the **AMB Ultimate Parent** and identifies the topmost entity of the corporate structure. View a list of operating insurance entities in this structure.

Best's Credit Ratings

Financial Strength View Definition

Rating (Rating Category):	A (Excellent)
Affiliation Code:	g (Group)
Outlook (or Implication):	Stable
Action:	Affirmed
Effective Date:	October 07, 2022
Initial Rating Date:	April 24, 1995

Long-Term Issuer Credit View Definition

Rating (Rating Category):	a (Excellent)
Outlook (or Implication):	Stable
Action:	Affirmed
Effective Date:	October 07, 2022
Initial Rating Date:	May 30, 2007

Financial Size Category View Definition

Financial Size Category:	IX ($250 Million to $500 Million)

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Best's Credit Rating Analyst

Rating Office: A.M. Best Rating Services, Inc.
Director: [redacted]
Senior Director: [redacted]
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Disclosure Information Form
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Press Release
AM Best Affirms Credit Ratings of Benchmark Insurance Group Members and Trean Insurance Group, Inc.
October 07, 2022

View AM Best's Rating Review Form

Rating History

AM Best has provided ratings & analysis on this company since 1995.

Financial Strength Rating

Effective Date	Rating
October 07, 2022	A
September 08, 2021	A
August 18, 2020	A
May 28, 2020	A
May 09, 2019	A
May 15, 2018	A-

Long-Term Issuer Credit Rating

Effective Date	Rating
October 07, 2022	a
September 08, 2021	a
August 18, 2020	a
May 28, 2020	a
May 09, 2019	a
May 15, 2018	a-

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Press Releases

Date	Title
Dec 19, 2022	AM Best Comments on Credit Ratings of Trean Insurance Group, Inc. Following Announced Merger Agreement
Oct 07, 2022	AM Best Affirms Credit Ratings of Benchmark Insurance Group Members and Trean Insurance Group, Inc.
Feb 08, 2022	AM Best Assigns Credit Ratings to Benchmark Specialty Insurance Company, a New Member of Benchmark Insurance Group
Sep 08, 2021	AM Best Affirms Credit Ratings of Benchmark Insurance Group Members and Trean Insurance Group, Inc.
Apr 13, 2021	AM Best Assigns Credit Ratings to 7710 Insurance Company, a New Member of Benchmark Insurance Group
Aug 18, 2020	AM Best Assigns Issuer Credit Rating to Trean Insurance Group, Inc.; Affirms Credit Ratings of Benchmark Insurance Group Members
May 09, 2019	AM Best Upgrades Credit Ratings of Benchmark Insurance Company; Assigns Credit Ratings to American Liberty

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AM Best Rating Services

Dorchester Insurance Company, Ltd.

BestLink AMB #: 011064 NAIC #: 31461 FEIN #: [redacted]

Mailing Address
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St. Thomas, U.S. Virgin Islands 00804-1520
United States

Phone: [redacted]
Fax: [redacted]
View Additional Address Information

AM Best Rating Unit: AMB #: 018155 - Topa Insurance Group

Assigned to insurance companies that have, in our opinion, an excellent ability to meet their ongoing insurance obligations.

View additional news, reports and products for this company.

Based on AM Best's analysis, 050686 - Topa Equities, Ltd. is the **AMB Ultimate Parent** and identifies the topmost entity of the corporate structure. View a list of operating insurance entities in this structure.

Best's Credit Ratings

Financial Strength View Definition

Rating (Rating Category):	A- u (Excellent)
Affiliation Code:	g (Group)
Outlook (or Implication):	Negative
Action:	Under Review
Effective Date:	July 07, 2022
Initial Rating Date:	July 05, 1995

Long-Term Issuer Credit View Definition

Rating (Rating Category):	a- u (Excellent)
Outlook (or Implication):	Negative
Action:	Under Review
Effective Date:	July 07, 2022
Initial Rating Date:	December 06, 2007

Financial Size Category View Definition

Financial Size Category:	VII ($50 Million to $100 Million)

u Denotes Under Review Best's Rating

Best's Credit Rating Analyst

Rating Office: A.M. Best Rating Services, Inc.
Senior Financial Analyst: [redacted]
Senior Financial Analyst: [redacted] CPCU

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Disclosure Information

Disclosure Information Form
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Press Release
AM Best Places Credit Ratings of Topa Insurance Company, Dorchester Insurance Co. Ltd. Under Review with Negative Implications
July 07, 2022

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Rating History

AM Best has provided ratings & analysis on this company since 1995.

Financial Strength Rating | **Long-Term Issuer Credit Rating**

Effective Date	Rating
July 07, 2022	A- u
May 20, 2021	A-
May 19, 2020	A-
August 08, 2019	A- u
May 23, 2018	A-

Effective Date	Rating
July 07, 2022	a- u
May 20, 2021	a-
May 19, 2020	a-
August 08, 2019	a- u
May 23, 2018	a-

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Press Releases

Date	Title
Jul 07, 2022	AM Best Places Credit Ratings of Topa Insurance Company, Dorchester Insurance Co. Ltd. Under Review with Negative Implications
May 20, 2021	AM Best Revises Outlooks to Stable for Topa Insurance Company and Dorchester Insurance Company, Ltd.
May 19, 2020	AM Best Removes From Under Review and Affirms Credit Ratings of Topa Insurance Company and Dorchester Insurance Company, Ltd.
Aug 08, 2019	AM Best Places Credit Ratings of Topa Insurance Co. and Dorchester Insurance Co., Ltd. Under Review with Developing Implications

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Named Insured Emerald Standard, LLC

Estimated Total Annual Sales $1,500,000

Total Insurance Values $2,600,000

Line of Coverage	Carrier	Policy Term	Limits	Deductible	Estimated Rate	Rating Basis	Estimated Premium- prior to 6% Alabama surplus line tax and any fees
Liablity Coverages							
General Liability- Premises	Houston Speciality Insurance Company	12 months	$2M/$2M	$ 2,500	$ 2.26	Revenue - $1,500,000	$3,390
Product Liability	Houston Speciality Insurance Company	12 months	$2M/$2M	$ 2,500	$ 2.50	Revenue - $1,500,000	$3,750
Property Coverages							
Building + Tenant Improvements and Betterments	Houston Speciality Insurance Company	12 months	$800,000	$ 2,500	$ 0.65	Total Insurable Value	$ 5,160.00
Business Perssonal Property	Houston Speciality Insurance Company	12 months	$300,000	$ 2,500	$ 0.65	Total Insurable Value	$ 1,950.00
Cannabis Stock	Houston Speciality Insurance Company	12 months	$900,000	$ 2,500	$ 0.65	Total Insurable Value	$ 5,850.00
Business Income / Extra Expense	Houston Speciality Insurance Company	12 months	$600,000	72 Hours	$ 0.65	Total Insurable Value	$ 3,900.00
Total Insurance Values			$2,600,000		$ 0.65		$ 16,800.00
Workers Comp							
	Benchmark Insurance Company	12 months	Statutory / $1,000,000	$0	$ 0.73	Payroll - $500,000	$4,000

License Type: Dispensary

Redaction Declaration

The names, addresses, telephone numbers, dates of birth, social security numbers, and financial account numbers are all protected from disclosure as personally identifiable information under federal law and the laws of the State of Alabama. *See* The Privacy Act of 1974, 5 U.S.C. § 552a; *see also* Alabama Data Breach Notification Act of 2018, Ala. Code (1975) § 8-38-1 *et seq*.

The trial and appellate courts in Alabama have similarly adopted rules that limit disclosure of such personally identifiable information in documents filed with the courts. *See* Ala. R. App. P. 56, *Redaction of Personal Identifiers in Documents filed with the Appellate Courts* and Ala. R. Civ. P. 5.1, *Privacy Protection for Court Filings*.

With regard to our security plan and building plans, the Alabama Public Records Law exempts from disclosure ". . . records concerning security plans, procedures, assessments, measures, or systems, and any other records relating to, or having an impact upon, the security or safety of persons, structures, facilities, or other infrastructures . . . the public disclosure of which could reasonably be expected to be detrimental to the public safety or welfare . . . " Ala. Code § 36-12-40 (1975).

Emerald Standard anticipates that its dispensaries will generate large amounts of cash in its daily operations. If the Commission does not allow the redaction of Emerald Standard's security plans, blueprints, floor plans and renderings of its facilities, then disclosure of that information as part of the public comment period exposes Emerald Standard and its employees to security threats presented by bad actors.

FORM K: Affidavit of Entity Applicant for
Alabama Medical Cannabis License

STATE OF Alabama)

)

Lauderdale **COUNTY**)

Before me, the undersigned notary, did appear the Affiant, who after being by me first duly sworn, did state under oath as follows (*please type or print legibly*):

1. NAME OF ENTITY APPLYING FOR LICENSE: Emerald Standard LLC
2. NAME OF AFFIANT: [redacted]
3. AFFIANT'S POSITION WITH APPLICANT: member/manager
4. AFFIANT IS THE APPLICANT'S (*Check One*): ◉ Responsible Party ○ Contact Person
   (*The affidavit of BOTH individuals is required*)
5. TYPE OF LICENSE BEING SOUGHT BY APPLICANT (*Check One*):

   ○ Cultivator ○ Processor ○ Secure Transporter
   ◉ Dispensary ○ Integrated Facility ○ State Testing Laboratory

6. On behalf of the Applicant, I do hereby affirm under oath as follows:
   a. I, the undersigned Affiant named in paragraph 2 above, am an adult, over the age of 19 years and competent to provide this Affidavit.
      BPM INITIAL HERE
   b. In my position stated in paragraph 3 above, I have been duly authorized by the Applicant identified in paragraph 1 above (hereinafter, "Applicant") to provide this Affidavit. **(*Attach a copy of the entity applicant's written authorization to this Affidavit.*)**
      BPM INITIAL HERE
   c. I understand and acknowledge that this Affidavit and the statements, information and documents or other exhibits accompanying it, are for the purpose of seeking one (1) license of the type specified in paragraph 5 above, on behalf of the Applicant. Neither I nor the Applicant are seeking a different Alabama Medical Cannabis license on behalf of any individual or any other entity.
      BPM INITIAL HERE
   d. That all statements, information, documents and other exhibits provided in the Application are true and correct, based on my own personal knowledge and a diligent investigation by me. To the extent any information provided therein was heretofore outside my personal knowledge or ability to affirm, I have personally communicated with those within the Applicant's business who have such personal knowledge, whose duties

Emerald Standard LLC.

[redacted]

Florence, AL 35634

[redacted]

February 27, 2023

To Whom It May Concern:

[redacted] is the Founder/Shareholder of Emerald Standard LLC. [redacted] has full Authorization to act on Emerald Standard LLC.'s behalf.

Thank you.

[redacted]

Founder/Shareholder

Emerald Standard LLC.

X[redacted]_____

Date: 2/27/2023

include knowledge of the facts stated and/or the integrity of the documents or other exhibits, and I am able, based on such communications, to attest to their currentness and accuracy. This I and the Applicant affirm under penalty of perjury and other applicable sanctions under the AMCC Rules and Alabama law.

_____ INITIAL HERE

e. Applicant understands and acknowledges that the license being applied for is a revocable privilege granted by this state and is not a property right, and that this Application likewise does not convey to, or otherwise entitle unto, the Applicant any rights to a license.

_____ INITIAL HERE

f. Applicant understands, acknowledges, and will continue to respect and comply with AMCC Rules regarding limited communication during the Application process.

_____ INITIAL HERE

g. Applicant consents to all background checks, examinations, inspections, and search and seizure by AMCC and law enforcement personnel during this Application process and afterward, to the extent a license is awarded.

_____ INITIAL HERE

h. Applicant has no economic interest, as defined in the AMCC Rules, in any other license or Application for license under the Darren Wesley "Ato" Hall Compassion Act, § 20-2A-1, et seq., Code of Alabama 1975.

_____ INITIAL HERE

i. I and the Applicant will at all times, to the best of our ability, comply with the AMCC Rules, and cooperate and maintain transparency with the AMCC, its staff and other agents.

_____ INITIAL HERE

j. Any verification provided in the Application is hereby affirmed under oath to be true and correct as of the date of the Application's submission.

_____ INITIAL HERE

[redacted]

Signature of Affiant
Acting for and on behalf of:

Emerald Standard LLC
Applicant

Sworn to and subscribed before me on this 27th day of February, 2022.

[redacted]

Notary Public

My Commission Expires: 4/5/2025

[SEAL]

[redacted]

NOTARY PUBLIC
ALABAMA STATE AT LARGE

Emerald Standard LLC.

[redacted]

Florence, AL 35634

[redacted]

February 27, 2023

To Whom It May Concern:

[redacted] has full Authorization to act as Emerald Standard LLC.'s "Contact Person".

Thank you.

[redacted]

Founder/Shareholder

Emerald Standard LLC.

X [redacted]_____

Date: 2/27/2023