

# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a “Redacted Copy” of the following document associated with their application. Below are the grounds for redactions.

In accordance with Alabama Code § 36-12-40, the redaction of certain information from a public-facing document is necessary to protect the privacy, security, and intellectual property rights of individuals and businesses. This measure ensures the safeguarding of sensitive data from unauthorized access or misuse. The redactions applied in this document herein are based on the following grounds:

1. **Confidential Personal Information:** Redaction is required to protect the privacy of individuals whose personal information may be contained within the document. This may include, but is not limited to, Social Security numbers, driver's license numbers, addresses, phone numbers, dates of birth, and medical records. Alabama Code § 36-12-40 emphasizes the importance of maintaining the privacy of citizens by preventing the disclosure of such information.
2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant



Review

**Selected Account:** Alabama Code § 36-12-40  
Your application has been filed with the Alabama Medical Cannabis Commission  
Your reference code is **1682**

File Date **03/24/2023 4:35 PM**

Your transaction ID is **89101856**  
Transaction Token **2d27e891-d172-4235-8739-b2fbe7131ea5**

**i** If you do not receive email notifications, please check your spam folder

You must print or save this page as a PDF as part of your redacted filing

### Request for Business Application Information

✓ Request Number: Alabama

### General Applicant Information

✓ Applicant Name: Alabama Code § 36

✓ Applying as: Business Entity

Trade Name :  
(DBAs)

✓ Identification Number Type : Alabama Code § 36-12-40

✓ Social Security Number : Alabama Code § 36

✓ Business Entity Name : Alabama Code § 36-12-40

✓ Business Entity Type : Alabama Code § 36-12-40

✓ Secretary of State Entity ID Number : Alabama Code § 36

✓ Federal Business Code No : Alabama Code § 36

✓ Date of Qualification, Organization or Incorporation: Alabama Code § 36

### Applicant Street Address

✓ Street: Alabama Code § 36-12-40

Unit No / Apt No:

✓ City: Alabama Code § 36-12-40

✓ County: Alabama Code § 36

✓ State: Alabama

✓ Zip Code: Alabama Code § 36

✓ Address Verified?: Yes

### Applicant Mailing Address

✓ Street: Alabama Code § 36

Unit No / Apt No:

✓ City: Alabama Code § 36-12-40

✓ State: Alabama

✓ Zip Code: Alabama Code § 36

✓ Address Verified?: Yes

Applicant Website:

✓ Applicant Email Address : Alabama Code § 36-12-40

✓ Applicant Phone Number : Alabama Code § 36

✓ Do you have a management service agreement in place?:

No

✓ Is the applicant: (1) at least 51% owned by (or, in the case of a corporation, 51% of the shares belong to) members of any minority group (as defined by 20-2A-51(b)), and (2) managed and controlled in its daily operations by members of any minority group? :Yes

✓ Does the applicant verify that it is: (1) at least 51% owned by (or, in the case of a corporation, 51% of the shares belong to) members of any minority group (as defined by 20-2A-51(b)), and (2) managed and controlled in its daily operations by members of any minority group? :Yes

## Primary Contact Person

✓ First Name: Alabama Code § 36

✓ Last Name: Alabama Code § 36

✓ Title: Owner

✓ Phone Number: Alabama Code § 36

✓ Email: Alabama Code § 36-12-40

✓ Street: Alabama Code § 36

Unit No / Apt No:

✓ City: Alabama Code § 36-24

✓ State: Alabama

✓ Zip Code: Alabama Code § 36

✓ Address Verified?: Yes

## License Information

✓ License Type: Custodian

## Facility Information

## Facility Information

✓ Facility Type: Custodial Facility

## Physical Address

✓ Street: Alabama Code § 36-12-40

Unit No / Apt No:

✓ City: Alabama Code § 36

✓ County: Alabama Code § 36

✓ State: Alabama

✓ Zip Code: Alabama Code § 36

✓ Address Verified? : Yes

## Facility Information Questions

✓ Applicant's interest in property: Leases/Rents where proposed facility is located

✓ Is this facility under construction? : Yes

- ✓ Estimated date of construction: 07/05/2023 completion
- ✓ The number of days, if awarded a license, within which the Applicant reasonably projects it will commence operations at this facility : 30
- ✓ The number of days, if awarded a license, within which the Applicant reasonably projects it will reach full capacity at this facility : 180
- ✓ Does the applicant verify that this proposed facility will be in a permissible location, if applicable, and will maintain compliance with all State and local laws, resolutions and ordinances? : Yes

## Ownership of Applicant

- ✓ Select type of record: nd v dua
- ✓ Does the individual have an ownership interest in the applicant? : Yes

## Individual

- ✓ Legal First Name : Alabama Code § 36-12-40
- ✓ Legal Middle Name : Alabama Code § 36-12-40
- ✓ Legal Last Name : Alabama Code § 36-12-40
- Suffix:
- ✓ Phone Number: Alabama Code § 36-12-40
- ✓ Email Address: Alabama Code § 36-12-40
- ✓ Date of Birth: Alabama Code § 36-12-40
- ✓ Social Security Number : Alabama Code § 36-12-40
- ✓ Race/Ethnicity: Alabama Code § 36-12-40
- ✓ Ownership Percentage of the Applicant : Alabama Code § 36-12-40
- ✓ Role: Member

## Residence Address

- ✓ Street: Alabama Code § 36-12-40
- Unit No / Apt No:
- ✓ City: Alabama Code § 36-12-40
- ✓ State: Alabama
- ✓ Zip Code: Alabama Code § 36-12-40
- ✓ Address Verified? : Yes

- ✓ Select type of record: nd v dua
- ✓ Does the individual have an ownership interest in the applicant? : Yes

## Individual

- ✓ Legal First Name : Alabama Code § 36-12-40
- Legal Middle Name :
- ✓ Legal Last Name : Alabama Code § 36-12-40
- ✓ Suffix: Alabama Code § 36-12-40
- ✓ Phone Number: Alabama Code § 36-12-40
- ✓ Email Address: Alabama Code § 36-12-40

✓ Date of Birth: Alabama Code § 36

✓ Social Security Number : Alabama Code § 36

✓ Race/Ethnicity: Alabama Code § 36-12-40

✓ Ownership Percentage of the Applicant : Alabama

✓ Role: Member

## Residence Address

✓ Street: Alabama Code § 36-12-40

Unit No / Apt No:

✓ City: Alabama Code § 36-24

✓ State: Alabama

✓ Zip Code: Alabama

✓ Address Verified? : Yes

## Cannabis Industry Entities

- ✓ Is any individual or entity below connected to any entity that is directly or indirectly involved in the cannabis industry, including, but not limited to, the cultivation, processing, packaging, labeling, testing, transporting, or sale of cannabis or medical cannabis, either in Alabama or any other jurisdiction? : No
- (1) an individual with an ownership interest in the applicant;  
(2) the spouse, parent, or child of an individual with an ownership interest in the applicant; or  
(3) an entity with an ownership interest in the applicant.

## Questions and Attestations

- ✓ Has the applicant, any ownership entity, or any cannabis entity connected to any individual or entity with an ownership interest in the applicant ever applied for or been granted any commercial license or certificate (not related to cannabis industry) issued by a licensing board or commission, either in Alabama or any other jurisdiction? : YES

✓ Select One: Ownership Entity

✓ Name: Alabama Code § 36-12-40

✓ Commercial license or certificate applied for : Alabama Code § 36-12-40

✓ Licensing Board or Commission : Alabama Code § 36-12-40

✓ Application Date : Alabama Code § 36

✓ Issued Date: Alabama Code § 36

✓ Expiration Date: Alabama Code § 36

- ✓ Was any commercial license or certificate disclosed above denied, restricted, suspended, revoked, or non-renewed?: NO

- ✓ Has the applicant, any ownership entity, or any cannabis entity connected to any individual or entity with an ownership interest in the applicant, ever been authorized to participate in the cannabis or medical cannabis industry, licensed (i.e., a "licensee" as defined in Chapter 1 of the AMCC Rules), or provided similar status in any other jurisdiction? : NO

- ✓ During the last 5 years has there been any disciplinary measures taken regarding any cannabis or medical cannabis industry license of the applicant or any entity affiliated with the applicant? : NO

✓ Has the applicant, any ownership entity, or any cannabis entity connected to any individual or entity with an ownership interest in the applicant, within the last ten (10) years, filed or been served with a complaint or other notice by any governmental body, regarding a delinquency in the payment of, or a dispute over the filings concerning the payment of, any tax required under federal, state, or local law? : NO

✓ Has the applicant filed, or had filed against it, any proceeding for bankruptcy within the past 7 years?: NO

✓ Is the applicant currently, or has it been in the past 10 years, a defendant in litigation involving any of its business practices?: NO

✓ Is any public official of any unit of government: : NO  
(1) an owner (directly or indirectly) of any financial or beneficial interest in the applicant;  
(2) a creditor of the applicant;  
(3) a holder of any debt instrument issued by the applicant; or (4) a holder of, or interested party in, any contractual or service relationship with the applicant?

✓ Is the spouse, parent or child of a public official of any unit of government: : NO  
(1) an owner (directly or indirectly) of any financial or beneficial interest in the applicant;  
(2) a creditor of the applicant;  
(3) a holder of any debt instrument issued by the applicant; or  
(4) a holder of, or interested party in, any contractual or service relationship with the applicant?

✓ Has any owner, director, board member, or individual with a controlling interest in the applicant ever been indicted for, charged with, arrested for, convicted of, pled guilty or nolo contendere to, or forfeited bail concerning any felony or controlled substance-related misdemeanor, not including traffic violations, regardless of whether the offense has been reversed on appeal or otherwise? : NO

**What is the applicant's anticipated or actual number of employees (including all facilities) at the prospective commencement of operations and during the first five calendar years thereafter?**

✓ Commencement : 10 of Operation ✓ Year One: 14 ✓ Year Two: 18

✓ Year Three: 22 ✓ Year Four: 26 ✓ Year Five: 30

✓ Does the applicant verify that it has the ability to maintain adequate minimum levels (\$2,000,000) of liability and casualty insurance, as required by § 20-2A-53(a)(2), Code of Alabama 1975 (as amended)? :Yes

✓ Does the applicant consent as required by § 20-2A-55(d), Code of Alabama 1975 (as amended) to the inspections, examinations, searches, and seizures contemplated by § 20-2A-52(a)(3), Code of Alabama 1975 (as amended)? :Yes

✓ Does the applicant verify that neither it nor its leadership have any economic interest in any other license or applicant for license under the Act? (See § 20-2A-55(e), Code of Alabama 1975 (as amended)) : YES

✓ I attest that this application is truthful and complete based on the best available information as of the date of filing.: YES

✓ Signature: **Alabama Code § 36-12-40**

✓ Signature Date: 03/24/2023

**Documents**

✓ Resume or Curriculum Vitae of Individuals with Ownership Interest:

1682\_Exh b t 1\_Resume or Curr cu um V tae of nd v dua s w th Ownersh p ntere

✓ Residency of Owners:	1682_Exh b t 2_Res dency of Owners pdf ( /ap /documents/AcrDOLA1N/down o
✓ Commercial Horticulture or Agronomic Production Experience of Owners:	1682_Exh b t 3_Commerc a Hort cu ture or Agronom c Product on Exper ence pd
✓ Criminal Background Check:	1682_Exh b t 4_Cr m na Background Check pdf ( /ap /documents/2htPn0vff/do
✓ Demonstration of Sufficient Capital:	1682_Exh b t 5_Demonstrat on of Suffic ent Cap ta pdf ( /ap /documents/KaedA
✓ Financial Statements:	1682_Exh b t 6_F nanc a Statements pdf ( /ap /documents/Ua5sKG4yb/down oa
✓ Tax Plan:	1682_Exh b t 7_Tax P an pdf ( /ap /documents/FJo7_R8nd/down oad)
✓ Business Formation Documents:	1682_Exh b t 8_Bus ness Format on Documents pdf ( /ap /documents/bbQjR9yU
✓ Business License and Authorization of Local Jurisdictions:	1682_Exh b t 9_Bus ness L cense and Author zat on of Loca Jur sd ct ons pdf ( /
✓ Business Plan:	1682_Exh b t 10_Bus ness P an pdf ( /ap /documents/WURGSb O/down oad)
✓ Evidence of Business Relationship with other Licensees and Prospective Licensees:	1682_Exh b t 11_Ev dence of Bus ness Re at onsh p w th Other L censees and Pro Licensees:
✓ Standard Operating Plan and Procedures:	1682_Exh b t 12_Standard Operat ng P an and Procedures pdf ( /ap /documents/
✓ Policies and Procedures Manual:	1682_Exh b t 13_Po ces and Procedures Manua pdf ( /ap /documents/hGr3sM
✓ Machinery and Equipment:	1682_Exh b t 14_Mach nery and Equ pment pdf ( /ap /documents/kcmJtEet_/do
✓ Receiving and Shipping Plan:	1682_Exh b t 15_Rece v ng and Sh pp ng P an pdf ( /ap /documents/H08DzB7xS/
✓ Facilities:	1682_Exh b t 16_Fac tes pdf ( /ap /documents/S egsfUX/down oad)
✓ Engineering Plans and Specifications:	1682_Exh b t 17_Eng neer ng P ans and Spec ficat ons _Cu t vat on Fac tes_ pdf
✓ Security Plan:	1682_Exh b t 18_Secur ty P an pdf ( /ap /documents/hP8crRH0q/down oad)
✓ Personnel:	1682_Exh b t 19_Personne pdf ( /ap /documents/Eq9 wP1zh/down oad)
✓ Business Leadership Credentials:	1682_Exh b t 20_Bus ness Leadersh p Credent a s pdf ( /ap /documents/3zKrmW
✓ Employee Handbook:	1682_Exh b t 21_Emp oyee Handbook pdf ( /ap /documents/HKnMt475a/down o
✓ Quality Control and Quality Assurance Plan:	1682_Exh b t 22_Qua ty Contro and Qua ty Assurance P an pdf ( /ap /document
✓ Contamination and Recall Plan:	1682_Exh b t 23_Contam nat on and Reca P an pdf ( /ap /documents/cZ5p1U6f
✓ Marketing and Advertising Plan:	1682_Exh b t 24_Market ng _ Advert s ng P an pdf ( /ap /documents/EPx020EQB
✓ Website and Social Media:	1682_Exh b t 25_Webs te _ Soc a Med a pdf ( /ap /documents/vE3eJVBgD/dow

Ownership Entity Individuals (if applicable):

No Document Present

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✔ **Minority Ownership Documents:**

1682\_Minority\_Ownership\_Documents.pdf (/ap/documents/qxq82toU8/download)

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✔ **Proof of Minimum Liability and Casualty Insurance:**

1682\_Proof\_of\_Insurance.pdf (/ap/documents/ADrgySVd/download)

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✔ **Affidavit - Entity Applicant:**

1682\_Form\_K\_Affidavit\_of\_Entity\_Applicant.pdf (/ap/documents/Ej0V2nCxA/download)

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## Payments

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✔ **Payment Options:** ACH

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Sincerely,  
Applicant

# Exhibit 1 – Resume or Curriculum Vitae of Individuals with Ownership Interest in Applicant

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Verification Date

License Type: Cultivator

Please find attached to this exhibit the signed Form A: Ownership Resume / Curriculum Vitae for each of the two individuals with an ownership interest in the Applicant, showing, at a minimum, all institutions of higher education attended, including the date, location and type of any degree received; all residential addresses in the last 15 years; and the name, business address and telephone number of all employers in the last 15 years, including a contact person at each.

**PLEASE SEE FOLLOWING PAGES.**

FORM A: OWNERSHIP RESUME / CURRICULUM VITAE

Alabama Code § 36-12-40

CULTIVATOR

Business License Applicant Name

License Type

Alabama Code § 36-12-40

Alabama Code § 36

Individual with Ownership Interest in Applicant

Individual's Ownership Percentage in Applicant

**Residential History**

Provide all residential addresses, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.

Alabama Code § 36-12-40

Residential Street Address

Alabama Code § 36-12-40

City

State

Zip

Alabama Code § 36-12-40

Date Resided From (MM/YYYY)

Date Resided To (MM/YYYY)

Alabama Code § 36-12-40

Residential Street Address

Alabama Code § 36-12-40

City

State

Zip

Alabama Code § 36-12-40

Date Resided From (MM/YYYY)

Date Resided To (MM/YYYY)

Alabama Code § 36-12-40

Residential Street Address

Alabama Code § 36-12-40

City

State

Zip

Alabama Code § 36-12-40

Date Resided From (MM/YYYY)

Date Resided To (MM/YYYY)

Alabama Code § 36-12-40

Residential Street Address

Alabama Code § 36-12-40

City

State

Zip

Alabama Code § 36-12-40

Date Resided From (MM/YYYY)

Date Resided To (MM/YYYY)

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Residential Street Address

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City State Zip

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Residential Street Address

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City State Zip

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Date Resided From (MM/YYYY) Date Resided To (MM/YYYY)

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Residential Street Address

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City State Zip

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Date Resided From (MM/YYYY) Date Resided To (MM/YYYY)

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**Education**

*Provide all institutions of higher education attended; attach additional form(s) if necessary.*

**Alabama Code § 36-12-40**

Institution City State

**Alabama Code § 36-12-40**

Date Attended From (MM/YYYY) Date Attended To (MM/YYYY) Degree Received

**Alabama Code § 36-12-40**

Institution City State

**Alabama Code § 36-12-40**

Date Attended From (MM/YYYY) Date Attended To (MM/YYYY) Degree Received

Institution City State

Date Attended From (MM/YYYY) Date Attended To (MM/YYYY) Degree Received

Institution City State

Date Attended From (MM/YYYY) Date Attended To (MM/YYYY) Degree Received

**Employment History**

*Provide all employers, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.*

**Alabama Code § 36-12-40**

Employer Contact Person Telephone

**Alabama Code § 36-12-40**

Business Address

**Alabama Code § 36-12-40**

City State Zip

**Alabama Code § 36-12-40**

Date Employed From (MM/YYYY) Date Employed To (MM/YYYY)

**Alabama Code § 36-12-40**

Employer \_\_\_\_\_ Contact Person \_\_\_\_\_ Telephone \_\_\_\_\_  
**Alabama Code § 36-12-40**

Business Address \_\_\_\_\_  
**Alabama Code § 36-12-40**

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
**Alabama Code § 36-12-40**

Date Employed From (MM/YYYY) \_\_\_\_\_ Date Employed To (MM/YYYY) \_\_\_\_\_

**Alabama Code § 36-12-40**

Employer \_\_\_\_\_ Contact Person \_\_\_\_\_ Telephone \_\_\_\_\_  
**Alabama Code § 36-12-40**

Business Address \_\_\_\_\_  
**Alabama Code § 36-12-40**

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
**Alabama Code § 36-12-40**

Date Employed From (MM/YYYY) \_\_\_\_\_ Date Employed To (MM/YYYY) \_\_\_\_\_

Employer \_\_\_\_\_ Contact Person \_\_\_\_\_ Telephone \_\_\_\_\_

Business Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Date Employed From (MM/YYYY) \_\_\_\_\_ Date Employed To (MM/YYYY) \_\_\_\_\_

Employer \_\_\_\_\_ Contact Person \_\_\_\_\_ Telephone \_\_\_\_\_

Business Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Date Employed From (MM/YYYY) \_\_\_\_\_ Date Employed To (MM/YYYY) \_\_\_\_\_

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Employer	Contact Person	Telephone
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Business Address

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City	State	Zip
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Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
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Employer	Contact Person	Telephone
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Business Address

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City	State	Zip
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Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
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Employer	Contact Person	Telephone
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Business Address

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City	State	Zip
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Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
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Employer	Contact Person	Telephone
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Business Address

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City	State	Zip
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Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
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**FORM A: OWNERSHIP RESUME / CURRICULUM VITAE**

Alabama Code § 36-12-40

CULTIVATOR

Business License Applicant Name

License Type

Alabama Code § 36 12 40

Alabama Code § 36-12-40

Individual with Ownership Interest in Applicant

Individual's Ownership Percentage in Applicant

**Residential History**

*Provide all residential addresses, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.*

Alabama Code § 36-12-40

Residential Street Address

Alabama Code § 36-12-40

City

State

Zip

Alabama Code § 36-12-40

Date Resided From (MM/YYYY)

Date Resided To (MM/YYYY)

Residential Street Address

City

State

Zip

Date Resided From (MM/YYYY)

Date Resided To (MM/YYYY).

Residential Street Address

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Date Resided From (MM/YYYY)

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Date Resided To (MM/YYYY)

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Residential Street Address

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City

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State

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Zip

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Date Resided From (MM/YYYY)

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Date Resided To (MM/YYYY)

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**Education**

*Provide all institutions of higher education attended; attach additional form(s) if necessary.*

**Alabama Code § 36-12-40**

Institution City State

**Alabama Code § 36-12-40**

Date Attended From (MM/YYYY) Date Attended To (MM/YYYY) Degree Received

**Alabama Code § 36-12-40**

Institution City State

**Alabama Code § 36-12-40**

Date Attended From (MM/YYYY) Date Attended To (MM/YYYY) Degree Received

**Alabama Code § 36-12-40**

Institution City State

**Alabama Code § 36-12-40**

Date Attended From (MM/YYYY) Date Attended To (MM/YYYY) Degree Received

Institution City State

Date Attended From (MM/YYYY) Date Attended To (MM/YYYY) Degree Received

**Employment History**

*Provide all employers, in reverse chronological order, for 15 years prior to date of application; attach additional form(s) if necessary.*

**Alabama Code § 36-12-40**

Employer Contact Person Telephone

**Alabama Code § 36-12-40**

Business Address

**Alabama Code § 36-12-40**

City State Zip

**Alabama Code § 36-12-40**

Date Employed From (MM/YYYY) Date Employed To (MM/YYYY)

**Alabama Code § 36-12-40**

Employer

Contact Person

Telephone

Alabama Code § 36-12-40

Business Address

**Alabama Code § 36-12-40**

City

State

Zip

**Alabama Code § 36-12-40**

Date Employed From (MM/YYYY)

Date Employed To (MM/YYYY)

Employer

Contact Person

Telephone

Business Address

City

State

Zip

Date Employed From (MM/YYYY)

Date Employed To (MM/YYYY)

Employer

Contact Person

Telephone

Business Address

City

State

Zip

Date Employed From (MM/YYYY)

Date Employed To (MM/YYYY)

Employer

Contact Person

Telephone

Business Address

City

State

Zip

Date Employed From (MM/YYYY)

Date Employed To (MM/YYYY)

---

Employer	Contact Person	Telephone
----------	----------------	-----------

---

Business Address

---

City	State	Zip
------	-------	-----

---

Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
------------------------------	----------------------------

---

---

Employer	Contact Person	Telephone
----------	----------------	-----------

---

Business Address

---

City	State	Zip
------	-------	-----

---

Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
------------------------------	----------------------------

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---

Employer	Contact Person	Telephone
----------	----------------	-----------

---

Business Address

---

City	State	Zip
------	-------	-----

---

Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
------------------------------	----------------------------

---

---

Employer	Contact Person	Telephone
----------	----------------	-----------

---

Business Address

---

City	State	Zip
------	-------	-----

---

Date Employed From (MM/YYYY)	Date Employed To (MM/YYYY)
------------------------------	----------------------------

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# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a “Redacted Copy” of the following document associated with their application. Below are the grounds for redactions.

In accordance with Alabama Code § 36-12-40, the redaction of certain information from a public-facing document is necessary to protect the privacy, security, and intellectual property rights of individuals and businesses. This measure ensures the safeguarding of sensitive data from unauthorized access or misuse. The redactions applied in this document herein are based on the following grounds:

1. **Confidential Personal Information:** Redaction is required to protect the privacy of individuals whose personal information may be contained within the document. This may include, but is not limited to, Social Security numbers, driver's license numbers, addresses, phone numbers, dates of birth, and medical records. Alabama Code § 36-12-40 emphasizes the importance of maintaining the privacy of citizens by preventing the disclosure of such information.
2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 2 – Residency of Owners

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Verification Date

## **2 Residency of Owners**

This exhibit will provide background on the residency of [Alabama Code § 36-12-40], the majority owner of the Company. Please find attached hereto, an attestation to George Poindexter's Alabamian residency, along with various pieces of evidence outlined herein.

### **2.1 Affidavit of Residency**

The Applicant's owner, [Alabama Code § 36-12-40], is a resident in the State of Alabama and has been a resident for no less than 15 years preceding the application date, in accordance with 539-x-3-.05(3)(m)(4) of the Alabama Medical Cannabis Commission Rules and Regulations. The affidavit attached hereto establishes George's residency at numerous addresses from 2005, and attests to George's residency as outlined herein this Exhibit 2. The affidavit is signed and notarized by a notary public.

### **2.2 Receipt dated 2005**

As can be seen from this attachment, an order form with an order deadline in the year 2005. This order form is addressed to [Alabama Code § 36-12-40] at an address located in Alabama. On the receipt is a picture taken at the December 2005 Graduation Ceremony held by the [Alabama Code § 36-12-40]. The order deadline for the photograph is dated 01/06/2005. This receipt establishes [Alabama Code § 36-12-40]'s Alabamian residency in the year 2005.

### **2.3 2012 W-2 and EARNINGS SUMMARY**

This employee reference copy of the W-2 and EARNINGS SUMMARY for 2012 is addressed to employee [Alabama Code § 36-12-40] at address in the state of Alabama. The employer on this attachment is the [Alabama Code § 36-12-40]. This evidence establishes [Alabama Code § 36-12-40] continued residency in Alabama.

### **2.4 2013 W-2 and EARNINGS SUMMARY**

The attachment for this section is a 2013 W-2 and EARNING SUMMARY. This document is addressed to [Alabama Code § 36-12-40] at an address in the state of Alabama. The employer on this attachment is the [Alabama Code § 36-12-40]. This evidence further establishes the Alabamian residency of the owner [Alabama Code § 36-12-40].



## **2.5 2014 W-2 and EARNING SUMMARY**

The attachment for this section is a 2014 W-2 and EARNING SUMMARY. This document is addressed to **Alabama Code § 36-12-40** at an address in the state of Alabama. The employer on this attachment is the **Alabama Code § 36-12-40**. This evidence further establishes the Alabamian residency of the owner **Alabama Code § 36-12-40**.

## **2.6 Extended Service Protection Receipt dated 2015**

This attachment is a receipt for vehicle service protection, sent to **Alabama Code § 36-12-40** at address in Alabama in the year 2015. This extended service protection receipt is supportive of **Alabama Code § 36-12-40**'s Alabamian residency.

## **2.7 Hampton Receipt dated 2016**

The Hampton receipt attachment is dated for an arrival and departure date in 2016. The address on file for **Alabama Code § 36-12-40** is an address in Alabama.

## **2.8 Exede/Wildblue Customer Agreement (Residential) dated 2016**

This customer agreement contract is addressed to **Alabama Code § 36-12-40** at an address in Alabama. This contract is signed and dated in the year 2016 and provides further support to **Alabama Code § 36-12-40**'s residency in the state of Alabama, as can be seen in the signature field where the date and address are located alongside **Alabama Code § 36-12-40**'s signature.

**PLEASE SEE FOLLOWING PAGES.**

License Type: Cultivator

Affidavit of Residency Attachment to Exhibit 2, Section 2.1

**SEE NEXT PAGE**

AFFIDAVIT OF RESIDENCY

STATE OF ALABAMA

I, George Poindexter, declare the following:

- 1. I am a resident of the State of Alabama.
- 2. I have been a resident of the State of Alabama for no less than fifteen (15) years preceding the application date, in accordance with 538-x-3-.05(3)(m)(4) of the Alabama Medical Cannabis Commission Rules and Regulations.
- 3. During this time, I have lived at the following addresses:
  - **Alabama Code § 36-12-40**
  - [Redacted]
  - [Redacted]
- 4. I have submitted available document evidence in support of these statements to the Alabama Medical Cannabis Commission.

I declare under penalty of perjury under the laws of the State of Alabama that the foregoing is true and correct.

Dated: 03/23/2023

**Alabama Code § 36-12-40**  
[Redacted]

Subscribed and sworn to before me this 23rd day of March, 2023.

**Alabama Code § 36-12-40**  
[Redacted]

Notary Public, State of Alabama

**Alabama Code § 36-12-40**  
[Redacted]

License Type: Cultivator

Receipt dated 2005 Attachment to Exhibit 2, Section 2.2

**SEE NEXT PAGE**

Graduation Foto by ZAP Photography  
 P. O. Box 1619  
 Tuscaloosa, AL 35403-1619

**PHOTOGRAPH #**

Ord# Roll Frame  
 26123463 00004 0052

Event: UA Dec Grad 2005  
 Picture: Handshake  
 Set: 778

**ORDER DEADLINE**

01/06/2005

Orders received by the deadline will be given priority in processing. For ordering after the deadline, see back.

LIGHT OR DARK PROOFS WILL BE CORRECTED IN FINAL PRINTS

Alabama Code § 36-12-40

ID NUMBER: 78287

Alabama Code § 36-12-40



**Mail Today**

Complete the order forms and enclose a check, money order, or complete credit card information on reverse side of this form. Mail your order in the enclosed envelope.

**Fax Today**

Fax # **205-345-2684**  
 Remember to complete the order forms and your credit card information.  
 (Please fax both sides of this form.)

CHANGE OF ADDRESS: PLEASE CROSS OUT ABOVE ADDRESS AND CORRECT ON REVERSE SIDE OF FORM

**PACKAGE CHOICES**

PACKAGE	Laminated with Folders	non Laminated with Folders
<b>PACKAGE A</b> 2 8x10s, 5 5x7s, 16 Wallets	\$84.95	\$72.75
<b>PACKAGE B</b> 2 8x10s, 4 5x7s, 8 Wallets	\$64.95	\$56.75
<b>PACKAGE C</b> 1 8x10, 4 5x7s	\$39.95	\$31.75

WALLET ADD ON PACKAGE (available only with purchase of a package listed above)

Add wallets to any package above. Wallets come in sets of 8.  
 8 wallets (1 set) \$13.50  
 16 wallets (2 sets) \$25.95

**individual CHOICES**

**8 X 10 Color Prints**

Qty.	Laminated with Folders	non Laminated with Folders
7	\$92.12	\$78.89
5	\$67.05	\$57.45
3	\$41.04	\$35.16
1	\$13.95	\$11.95

**5 x 7 Color Prints**

Qty.	Laminated with Folders	Laminated	non Lam.
10	\$64.40	\$55.10	\$45.80
7	\$39.36	\$39.27	\$32.69
5	\$33.40	\$28.60	\$23.80
3	\$20.43	\$17.49	\$14.55
1	\$ 6.95	\$ 5.95	\$ 4.95

Your Final Prints will be Printed on Professional Kodak Paper.

**SEND ME:** (indicate quantity in appropriate boxes)

**Packages:**

	Laminated with Folders	non-Lam with Folders	Total Price
Package A	<input type="checkbox"/>	<input type="checkbox"/>	_____
Package B	<input type="checkbox"/>	<input type="checkbox"/>	_____
Package C	<input type="checkbox"/>	<input type="checkbox"/>	_____

Wallet Add On (available only with a package above)

wallets are sold in sets of 8  
 indicate how many Sets of 8 you would like:  \_\_\_\_\_

**individual Choices:**

(indicate quantity in appropriate boxes)

	Laminated with Folders	non Laminated with Folders	
8 x 10s	<input type="checkbox"/>	<input type="checkbox"/>	_____
	Laminated with Folders	Laminated	non Laminated
5 x 7s	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Subtotal \_\_\_\_\_

Tax (multiply subtotal x 9.000%) \_\_\_\_\_

Postage & Handling \_\_\_\_\_

If you have more than one order form. Postage & Handling must be calculated separately for each order form you send in.

International Shipping \$15.00

**TOTAL PAID** \_\_\_\_\_

AL/A-C

License Type: Cultivator

2012 W-2 and EARNINGS SUMMARY Attachment to Exhibit 2, Section 2.3

**SEE NEXT PAGE**

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40



License Type: Cultivator

2013 W-2 and EARNINGS SUMMARY Attachment to Exhibit 2, Section 2.4

**SEE NEXT PAGE**

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

License Type: Cultivator

2014 W-2 and EARNINGS SUMMARY Attachment to Exhibit 2, Section 2.5

**SEE NEXT PAGE**

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

License Type: Cultivator

Extended Service Protection Receipt dated 2015 Attachment to Exhibit 2, Section 2.6

**SEE NEXT PAGE**



Shawn Postle <shawn@canndelta.com>

## Fwd: Your Vehicle Protection Confirmation

Alabama Code § 36-12-40

Email from 2015 showing my Birmingham address

----- Forwarded message -----

From: <receipts@redautoprotection.com>

Date: Fri, Dec 11, 2015 at 7:16 PM

Subject: Your Vehicle Protection Confirmation

Alabama Code § 36-12-40

Having trouble viewing this email? View it in your browser.



### Extended Service Protection

Dear Alabama Code § 36 12 40,

Congratulations and thank you for your purchase! You have made an excellent decision in protecting your vehicle with the purchase of our extended service protection. Your Certificate of Coverage and ID Card should arrive within the next 10-14 business days.

Please review your information to confirm it is accurate. If you have any questions or require assistance, feel free to contact us at 913-815-8610.

Sincerely,  
Red Auto Protection

### Important Benefits

- Pays repair facility directly.
- Transferable coverage (n/a for all plans)
- Low deductible options
- Protects your financial investment.
- Rental and Towing options available on most plans.

### Vehicle Summary

#### Vehicle

Make: 2009 Honda  
Model: Accord  
Odometer: 130,000  
Vin: 1HGCP26819A065157

#### Coverage Plan

Plan: Powertrain Enhanced  
Term/Miles: 60/100,000  
Expiration Date: 1/11/2021  
Expiration Miles: 231,000  
Deductible: \$100

#### Plan Cost

Subtotal:	<b>\$3,316.00</b>
Tax:	<b>\$0</b>
Total:	<b>\$3,316.00</b>
Down Payment:	<b>\$295.00</b>
Payments:	<b>18</b>
Monthly Payment:	<b>167.83</b>

### Email Sent To

Alabama Code § 36 12 40



License Type: Cultivator

Hampton Receipt dated 2016 Attachment to Exhibit 2, Section 2.7

**SEE NEXT PAGE**



HAMPTON INN BIRMINGHAM LAKESHORE  
 30 STATE FARM PARKWAY  
 BIRMINGHAM, AL 35209  
 United States of America  
 TELEPHONE 205-313-2060 • FAX 205-313-2070  
 Reservations  
 www.hamptoninn.com or 1 800 HAMPTON

Alabama Code § 36-12-40

Room No: 303/KXTD  
 Arrival Date: 1/20/2016 7:40:00 PM  
 Departure Date: 1/21/2016 11:22:00 AM  
 Adult/Child: 1/0  
 Cashier ID: TABITHADOUGLAS/TABITHA  
 Room Rate: 40.00  
 AL:  
 HH # 665918729 DIAMOND  
 VAT #  
 Folio No/Che 228166 A

Alabama Code § 36-12-40

Alabama Code § 36-12-40

Alabama Code § 36-12-40

Confirmation Number: 84063721

HAMPTON INN BIRMINGHAM LAKESHORE 1/21/2016 11:21:00 AM

DATE	REF NO	DESCRIPTION	CHARGES
1/20/2016	557741	GUEST ROOM	\$40.00
1/20/2016	557741	RM-STATE TAX	\$1.60
1/20/2016	557741	RM-COUNTY TAX	\$2.80
1/20/2016	557741	RM-CITY TAX	\$2.40
1/21/2016	557797	MC *9959	(\$46.80)

You have earned approximately 250 Hilton HHonors points for this stay. Hilton HHonors(R) stays are posted within 72 hours of checkout. To check your earnings or book your next stay at more than 3,900

Hampton hotels are all over the world. Find us in Canada, Costa Rica, Ecuador, Germany, India, Mexico, Poland, Turkey, United Kingdom, and United States of America. Coming soon in Italy and Romania.

# Alabama Code § 36-12-40

License Type: Cultivator

Exede/Wildblue Customer Agreement (Residential) dated 2016 Attachment to Exhibit 2,  
Section 2.8

**SEE NEXT PAGE**

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40



# Alabama Code § 36-12-40

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# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40



# Alabama Code § 36-12-40

# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a "Redacted Copy" of the following document associated with their application. Below are the grounds for redactions.

In accordance with Alabama Code § 36-12-40, the redaction of certain information from a public-facing document is necessary to protect the privacy, security, and intellectual property rights of individuals and businesses. This measure ensures the safeguarding of sensitive data from unauthorized access or misuse. The redactions applied in this document herein are based on the following grounds:

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2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 3 - Commercial Horticulture or Agronomic Production Experience

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Alabama Code § 36-12-40

Signature of Verifying Individual

Verification Date

License Type: Cultivator

**3.1 – Records indicating that a majority of ownership in the Applicant is attributable to an individual or individuals, or an entity or entities, with cumulative business experience in the field of commercial horticulture or agronomic production for a period of at least 15 years.**

**Alabama Code § 36-12-40**, hereby submit this statement as part of their application to the state of Alabama, affirming that they possess a combined experience of well over 15 years in the commercial horticulture and agronomic production industries.

As the sole owners of the Applicant, they have dedicated ourselves to the growth and success of our business, leveraging our extensive knowledge and expertise in the field. Their individual backgrounds encompass a wide range of practical and theoretical experience, encompassing areas such as crop management, soil fertility, pest control, irrigation systems, and sustainable farming practices. Both owners have started successful commercial agriculture businesses independently and have teamed up to undertake this venture in medical cannabis bringing their years of experience together.

**Alabama Code § 36-12-40** brings 7 years of experience in commercial horticulture with the Applicant company, specializing in the cultivation, production, and marketing of vegetables. This background has been instrumental in developing innovative organic cultivation techniques and ensuring the highest quality produce for customers. **Alabama Code § 36-12-40** is a lifelong farmer and formally possesses 10 years of direct experience in agronomic production, with a focus on optimizing crop yields, implementing precision agriculture technology, and managing large-scale farming operations. This expertise has contributed to the overall efficiency and effectiveness of our agricultural processes, resulting in consistently high yields and profitability for our business.

Together, their combined experience of over 15 years in these complementary fields has allowed us to establish a successful and sustainable commercial horticulture and agronomic production business. We are committed to maintaining the highest standards of quality and environmental stewardship, and to contributing positively to the agricultural community in

License Type: Cultivator

the state of Alabama. At the time of this application submission, **Alabama Code § 36-12-40**  
[REDACTED] who identify as visible minorities in the state of  
Alabama.

Attached to this exhibit are the resumes for **Alabama Code § 36-12-40**. In addition,  
the **Alabama Code § 36-12-40** Certificates for the Applicant company and **Alabama Code § 36-12-40**  
[REDACTED], are attached.

PLEASE SEE NEXT PAGES FOR ATTACHMENTS

TO: ALABAMA MEDICAL CANNABIS COMMISSION

FROM: Alabama Code § 36-12-40

SUBJECT: Request for License for Cultivation of Medical Cannabis

Alabama Code § 36-12-40  
[Redacted]

[Redacted]

[Redacted]

[Redacted]

Thank you for your time and consideration.

Sincerely,

Alabama Code § 36-12-40  
[Redacted]

# Alabama Code § 36-12-40

## Qualifications Summary

*Farming, Technical, and Mechanical skills* Alabama Code § 36-12-40

[Redacted]

*Communication, Marketing, and Sales skills* Alabama Code § 36-12-40

[Redacted]

*Managerial competence* Alabama Code § 36-12-40

[Redacted]

## EDUCATION

Alabama Code § 36-12-40

[Redacted]

## WORK HISTORY SUMMARY

Alabama Code § 36-12-40

[Redacted]

## HONORS, MEMBERSHIPS, AND AFFILIATIONS

Alabama Code § 36-12-40

[Redacted]

**Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**

**EXPERIENCE**

**Alabama Code § 36-12-40**

[Redacted Experience Section]



Alabama Code § 36-12-40

Alabama Code § 36-12-40

DIPLOMA, Alabama Code § 36-12-40

Alabama Code § 36-12-40

## SKILLS

Alabama Code § 36-12-40

Alabama Code § 36-12-40

## ACTIVITIES

Alabama Code § 36-12-40



# Quality Certification Services

*hereby certifies that*

**Alabama Code § 36-12-40**

UNITED STATES

*meets the strict standards to be*

# CERTIFIED ORGANIC

To the USDA National Organic Program 7 CFR Part 205

**Alabama Code § 36-12-40**



**Scope:** NOP Crop

**Field Location(s):** See Product Verification Form

**Certified Product(s):** See Product Verification Form

**Alabama Code § 36-12-40**

Issue Date: **Alabama Code § 36-12-40**

Quality Certification Services, a USDA National Organic Program, has determined, based on a review of the above named entity's application and records, and inspection of its fields, facilities and processes, that the above named entity meets or exceeds the appropriate and applicable standards of organic production, handling, and processing. In displaying this certificate, the Certified Entity warrants that it is in, and will remain in, full compliance with the organic standards set by the USDA National Organic Program. Once certified, a production or handling operation's organic certification continues in effect until surrendered, suspended or revoked.

**Alabama Code § 36-12-40**



# Quality Certification Services

hereby certifies that

**Alabama Code § 36-12-40**

UNITED STATES

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# CERTIFIED ORGANIC

To the USDA National Organic Program 7 CFR Part 205

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Scope: NOP Crop

Field Location(s): See Product Verification Form

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**Alabama Code § 36-12-40**

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**Alabama Code § 36-12-40**

Quality Certification Services, a USDA National Organic Program, has determined, based on a review of the above named entity's application and records, and inspection of its fields, facilities and processes, that the above named entity meets or exceeds the appropriate and applicable standards of organic production, handling, and processing. In displaying this certificate, the Certified Entity warrants that it is in, and will remain in, full compliance with the organic standards set by the USDA National Organic Program. Once certified, a production or handling operation's organic certification continues in effect until surrendered, suspended or revoked.

**Alabama Code § 36-12-40**

# REDACTED COPY

## STATEMENT FOR REDACTED FILES

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1. **Confidential Personal Information:** Redaction is required to protect the privacy of individuals whose personal information may be contained within the document. This may include, but is not limited to, Social Security numbers, driver's license numbers, addresses, phone numbers, dates of birth, and medical records. Alabama Code § 36-12-40 emphasizes the importance of maintaining the privacy of citizens by preventing the disclosure of such information.
2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 4 – Criminal Background Check

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Verification Date

License Type: Cultivator

**4.1 – FORM B: BACKGROUND CHECK APPLICATION VERIFICATION**

Please find attached to this exhibit the signed Form B: Background Check Application Verification.

**4.2 – Verified written consent to a state criminal background check by ALEA.**

Alabama Code § 36-12-40 identified in Form B: Background Check Application Verification, and Alabama Code § 36-12-40 in accordance with the instructions in Form C: State Background Check (ALEA). Verified written consent to a state criminal background check by ALEA Alabama Code § 36-12-40.

Please find attached to this exhibit the signed Form E: Background Check Individual Verification Alabama Code § 36-12-40 in Form B: Background Check Application Verification.

**4.3 – Verified written consent to a national criminal background check by the FBI.**

Alabama Code § 36-12-40 identified in Form B: Background Check Application Verification, and Alabama Code § 36-12-40 in accordance with the instructions in Form D: National Background Check (FBI). Verified written consent to a national criminal background check by the FBI Alabama Code § 36-12-40.

Please find attached to this exhibit the signed Form E: Background Check Individual Verification Alabama Code § 36-12-40 in Form B: Background Check Application Verification.

**4.4 – FORM E: BACKGROUND CHECK INDIVIDUAL VERIFICATION**

Please find attached to this exhibit the signed Form E: Background Check Individual Verification Alabama Code § 36-12-40 Form B: Background Check Application Verification.

FORM B: BACKGROUND CHECK APPLICANT VERIFICATION

Alabama Code § 36-12-40

CULTIVATOR

Business License Applicant Name

License Type

Provide the name and title of each individual identified by § 20-2A-55(b), Code of Alabama 1975 (as amended) (i.e., each owner, shareholder, director, board member, and individual with an economic interest in the Applicant). Attach additional forms if necessary.

NAME	ROLE (select all that apply)
Alabama Code § 36-12-40	Alabama Code § 36-12-40
Alabama Code § 36-12-40	Alabama Code § 36-12-40
	<input type="checkbox"/> Owner <input type="checkbox"/> Shareholder <input type="checkbox"/> Director <input type="checkbox"/> Board Member <input type="checkbox"/> Individual with Economic Interest in Applicant
	<input type="checkbox"/> Owner <input type="checkbox"/> Shareholder <input type="checkbox"/> Director <input type="checkbox"/> Board Member <input type="checkbox"/> Individual with Economic Interest in Applicant
	<input type="checkbox"/> Owner <input type="checkbox"/> Shareholder <input type="checkbox"/> Director <input type="checkbox"/> Board Member <input type="checkbox"/> Individual with Economic Interest in Applicant
	<input type="checkbox"/> Owner <input type="checkbox"/> Shareholder <input type="checkbox"/> Director <input type="checkbox"/> Board Member <input type="checkbox"/> Individual with Economic Interest in Applicant
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	<input type="checkbox"/> Owner <input type="checkbox"/> Shareholder <input type="checkbox"/> Director <input type="checkbox"/> Board Member <input type="checkbox"/> Individual with Economic Interest in Applicant
	<input type="checkbox"/> Owner <input type="checkbox"/> Shareholder <input type="checkbox"/> Director <input type="checkbox"/> Board Member <input type="checkbox"/> Individual with Economic Interest in Applicant

**Applicant Verification:** The undersigned hereby verifies that the individuals listed hereinabove (and attached, as necessary) are all of the individuals identified by § 20-2A-55(b), Code of Alabama 1975 (as amended) with respect to the Applicant. The undersigned further verifies that each individual listed hereinabove (and attached, as necessary) has requested a state criminal background check from the Alabama Law Enforcement Agency (ALEA) and a national criminal background check from the FBI.

Alabama Code § 36-12-40

**FORM E: BACKGROUND CHECK INDIVIDUAL VERIFICATION**

*Each individual identified by § 20-2A-55(b), Code of Alabama 1975 (as amended) (i.e., each owner, shareholder, director, board member, and individual with an economic interest in the Applicant) must complete a separate form.*

**Alabama Code § 36-12-40**

CULTIVATOR

Business License Applicant Name

License Type

**Alabama Code § 36-12-40**

Individual's Name

Individual's Role (select all that apply)

**Alabama Code § 36-12-40**

Verification

The undersigned, as identified above, hereby verifies all of the following:

- That the individual's role(s) in the Applicant's business is one or more of the roles identified by § 20-2A-55(b), Code of Alabama 1975 (as amended).
- That the individual shall, as required by § 20-2A-55(b), Code of Alabama 1975 (as amended), submit to a state and national criminal background check, to be conducted and/or coordinated by the Alabama Law Enforcement Agency.
- That the individual has submitted its completed state criminal background check application form (ALEA SBI Form 46), and all other items required therewith, to ALEA
- That the individual has submitted its national criminal background check form (FBI Identity History Summary Request Form), and all other items required therewith, to the FBI.
- That the individual, on his/her state and national background check forms, has authorized ALEA and the FBI, as applicable, to release any and all criminal history information of the individual to the Alabama Medical Cannabis Commission.
- That the individual will promptly respond to any request from ALEA, the FBI, and/or the Alabama Medical Cannabis Commission regarding the processing of the individual's state and national criminal background checks.

**Alabama Code § 36-12-40**



**FORM E: BACKGROUND CHECK INDIVIDUAL VERIFICATION**

*Each individual identified by § 20-2A-55(b), Code of Alabama 1975 (as amended) (i.e., each owner, shareholder, director, board member, and individual with an economic interest in the Applicant) must complete a separate form.*

**Alabama Code § 36-12-40**

CULTIVATOR

Business License Applicant Name

License Type

Alabama Code § 36 12 40

Individual's Name

Individual's Role (select all that apply):

**Alabama Code § 36-12-40**

**Verification**

The undersigned, as identified above, hereby verifies all of the following:

- That the individual's role(s) in the Applicant's business is one or more of the roles identified by § 20-2A-55(b), Code of Alabama 1975 (as amended).
- That the individual shall, as required by § 20-2A-55(b), Code of Alabama 1975 (as amended), submit to a state and national criminal background check, to be conducted and/or coordinated by the Alabama Law Enforcement Agency.
- That the individual has submitted its completed state criminal background check application form (ALEA SBI Form 46), and all other items required therewith, to ALEA
- That the individual has submitted its national criminal background check form (FBI Identity History Summary Request Form), and all other items required therewith, to the FBI.
- That the individual, on his/her state and national background check forms, has authorized ALEA and the FBI, as applicable, to release any and all criminal history information of the individual to the Alabama Medical Cannabis Commission.
- That the individual will promptly respond to any request from ALEA, the FBI, and/or the Alabama Medical Cannabis Commission regarding the processing of the individual's state and national criminal background checks.
- That the individual has confirmed that his/her name and role(s) have been included, by the Applicant, on the Background Check Applicant Verification Form.

**Alabama Code § 36-12-40**

# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a "Redacted Copy" of the following document associated with their application. Below are the grounds for redactions.

In accordance with Alabama Code § 36-12-40, the redaction of certain information from a public-facing document is necessary to protect the privacy, security, and intellectual property rights of individuals and businesses. This measure ensures the safeguarding of sensitive data from unauthorized access or misuse. The redactions applied in this document herein are based on the following grounds:

1. **Confidential Personal Information:** Redaction is required to protect the privacy of individuals whose personal information may be contained within the document. This may include, but is not limited to, Social Security numbers, driver's license numbers, addresses, phone numbers, dates of birth, and medical records. Alabama Code § 36-12-40 emphasizes the importance of maintaining the privacy of citizens by preventing the disclosure of such information.
2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 5 – Demonstration of Sufficient Capital

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

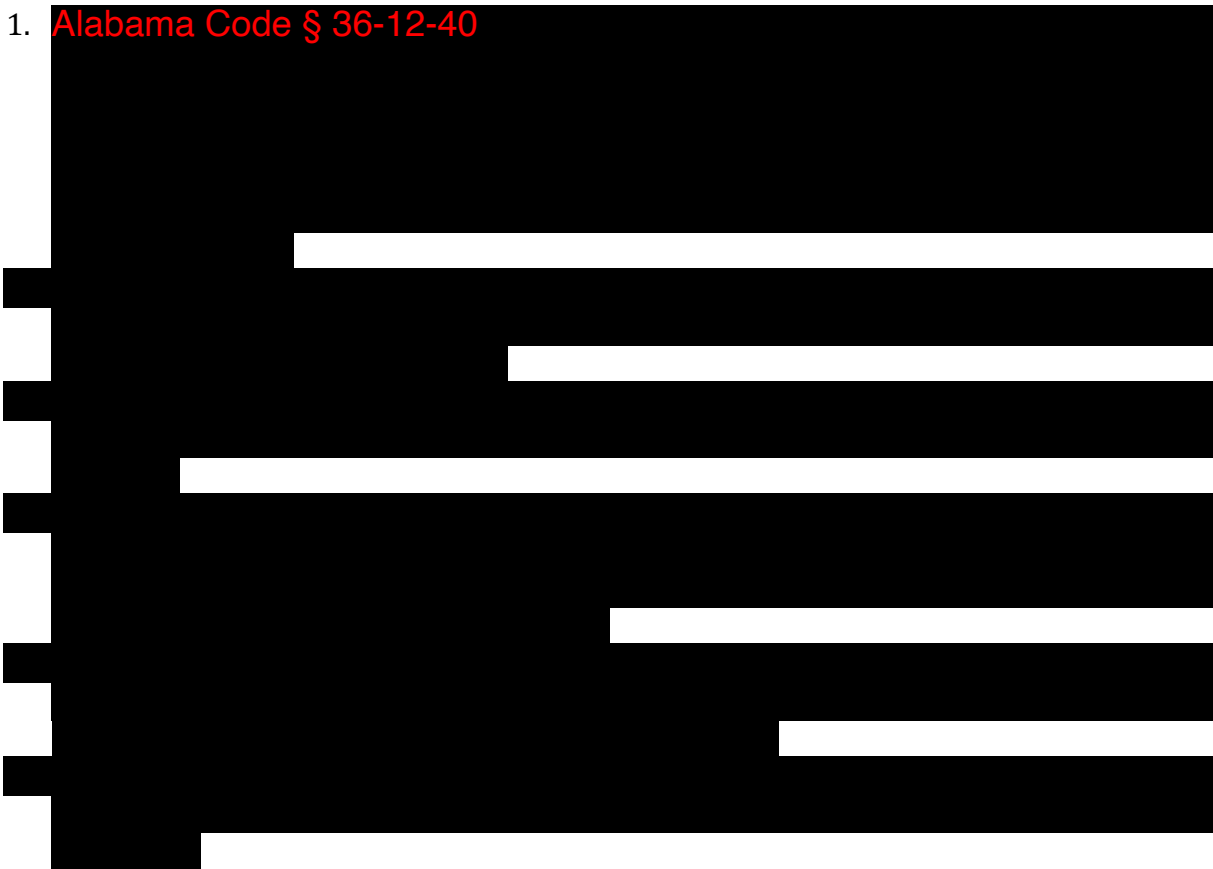
Verification Date

**PROGRESS: In Progress with completion expected 5 days before award of license.**

5.1 Demonstration of Sufficient Capital

**Alabama Code § 36-12-40** (the "Applicant") hereby confirms that it has raised significant capital to cover its operational expenses during the first three years of operations. The entity's strong financial position ensures that it can meet its financial obligations and maintain business continuity throughout this period. The Applicant is committed to work with qualified financial professionals to ensure the long-term viability of the company.

In addition to the capital already raised, the Applicant is committed to pursuing additional sources of financing to further strengthen its financial position and support its growth initiatives. Some of the potential sources for securing financing include:

1. **Alabama Code § 36-12-40**
- 

The Applicant acknowledges that while it has ambitious plans for expansion, it is essential to prioritize the financial stability and viability of the business. As such, the entity is prepared to adjust the timelines for its **Alabama Code § 36-12-40**, if necessary, in order to ensure that operational expenses are adequately covered, and the overall financial health of the organization is maintained. By adopting a flexible and prudent approach to business growth, the Applicant aims to strike a balance between pursuing **Alabama Code § 36-12-40**

and safeguarding its financial stability, which is paramount to the long-term success of the company.

In addition to the capital already raised, the Applicant is committed to pursuing additional sources of financing and revenue generation to further strengthen its financial position and support its growth initiatives as a medical cannabis cultivator. In terms of financing, the entity can explore options such as **Alabama Code § 36-12-40** [REDACTED].

Moreover, as a medical cannabis cultivator, the Applicant will generate revenue through the sale of its cannabis. By leveraging its expertise in cannabis cultivation and distribution, the entity can tap into the growing demand for medical cannabis products and establish itself as a leading provider in this market. This revenue stream can also help diversify the Applicant's sources of financing and provide stability to its financial position. With a robust financing and revenue generation strategy, the entity can position itself for long-term success in the competitive medical cannabis industry.

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4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 6 – Financial Statements

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Verification Date

License Type: Cultivator

**PROGRESS: In Progress with completion expected 5 days before award of license.**

**6.1 - Balance sheet reports**

**Alabama Code § 36-12-40**

**Balance Sheet as of December 31, 2016**

**Alabama Code § 36-12-40**



License Type: Cultivator

**Balance Sheet as of December 31, 2017**

**Alabama Code § 36-12-40**



License Type: Cultivator

**6.2 – Profit and loss reports**

**Alabama Code § 36-12-40**

A large black rectangular redaction box covers the majority of the page content below the Alabama Code reference. It is composed of three stacked horizontal bars of varying lengths.

Completed Schedule F – Profit or Loss From Farming documents for 2019, 2020, 2021, and 2022 are included in the **Appendix** of this exhibit.

**Income Statement for the Year Ended December 31, 2016**

**Alabama Code § 36-12-40**

A large black rectangular redaction box covers the entire content area below the Alabama Code reference for the 2016 income statement.

**Income Statement for the Year Ended December 31, 2017**

**Alabama Code § 36-12-40**

A large black rectangular redaction box covers the entire content area below the Alabama Code reference for the 2017 income statement.

License Type: Cultivator

**Income Statement for the Year Ended December 31, 2023**

**Alabama Code § 36-12-40**

A large black rectangular redaction box covers the entire content of the 2023 income statement.

**Income Statement for the Year Ended December 31, 2024**

**Alabama Code § 36-12-40**

A large black rectangular redaction box covers the entire content of the 2024 income statement.

**Income Statement for the Year Ended December 31, 2025**

**Alabama Code § 36-12-40**

A large black rectangular redaction box covers the entire content of the 2025 income statement.

License Type: Cultivator

**6.3 – Statement of cash flow**

**Alabama Code § 36-12-40**

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**Cash Flow Statement for the Year Ended December 31, 2016**

**Alabama Code § 36-12-40**

License Type: Cultivator

**Cash Flow Statement for the Year Ended December 31, 2017**

**Alabama Code § 36-12-40**



# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40



Do not file Schedule F (Form 1040) to report the following.

• Income from providing agricultural services such as soil preparation, veterinary, farm labor, horticultural, or management for a fee or on a contract basis. Instead file Schedule C (Form 1040).

• Income from breeding, raising, or caring for dogs, cats, or other pet animals. Instead file Schedule C (Form 1040).

• Sales of livestock held for draft, breeding, sport, or dairy purposes. Instead file Form 4797.

These codes for the Principal Agricultural Activity classify farms by their primary activity to facilitate the administration of the Internal Revenue Code. These six-digit codes are based on the North American Industry Classification System (NAICS).

Select the code that best identifies your primary farming activity and enter the six-digit number on line B.

### Crop Production

- 111100 Oilseed and grain farming
- 111210 Vegetable and melon farming

- 111300 Fruit and tree nut farming
- 111400 Greenhouse, nursery, and floriculture production
- 111900 Other crop farming

### Animal Production

- 112111 Beef cattle ranching and farming
- 112112 Cattle feedlots
- 112120 Dairy cattle and milk production
- 112210 Hog and pig farming
- 112300 Poultry and egg production
- 112400 Sheep and goat farming
- 112510 Aquaculture
- 112900 Other animal production

### Forestry and Logging

- 113000 Forestry and logging (including forest nurseries and timber tracts)



# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

## Part IV Principal Agricultural Activity Codes



Do not file Schedule F (Form 1040) to report the following.

• Income from providing agricultural services such as soil preparation, veterinary, farm labor, horticultural services if your principal source of income is from providing such services. Instead, file Schedule C (Form 1040).

• Income from breeding, raising, or caring for dogs, cats, or other pet animals. Instead, file Schedule C (Form 1040).

• Income from managing a farm for a fee or on a contract basis. Instead, file Schedule C (Form 1040).

• Sales of livestock held for draft, breeding, sport, or dairy purposes. Instead, file Form 4797.

These codes for the Principal Agricultural Activity classify farms by their primary activity to facilitate the administration of the Internal Revenue Code. These six-digit codes are based on the North American Industry Classification System (NAICS).

Select the code that best identifies your primary farming activity and enter the six-digit number on line B.

### Crop Production

111100 Oilseed and grain farming  
111210 Vegetable and melon farming

111300 Fruit and tree nut farming  
111400 Greenhouse, nursery, and floriculture production  
111900 Other crop farming

### Animal Production

112111 Beef cattle ranching and farming  
112112 Cattle feedlots  
112120 Dairy cattle and milk production  
112210 Hog and pig farming  
112300 Poultry and egg production  
112400 Sheep and goat farming  
112510 Aquaculture  
112900 Other animal production

### Forestry and Logging

113000 Forestry and logging (including forest nurseries and timber tracts)  
113110 Timber tract operations  
113210 Forest nurseries and gathering of forest products  
113310 Logging

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

## Part IV Principal Agricultural Activity Codes



Do not file Schedule F (Form 1040) to report the following.

- Income from providing agricultural services such as soil preparation, veterinary, farm labor, horticultural services. Instead, see instructions for Schedule C (Form 1040).
- Income from breeding, raising, or caring for dogs, cats, or other pet animals. Instead, see instructions for Schedule C (Form 1040).
- Income from managing a farm for a fee or on a contract basis. Instead, see instructions for Schedule C (Form 1040).
- Sales of livestock held for draft, breeding, sport, or dairy purposes. Instead, see instructions for Form 4797.

These codes for the Principal Agricultural Activity classify farms by their primary activity to facilitate the administration of the Internal Revenue Code. These six-digit codes are based on the North American Industry Classification System (NAICS).

Select the code that best identifies your primary farming activity and enter the six-digit number on line B.

### Crop Production

- 111100 Oilseed and grain farming
- 111210 Vegetable and melon farming

- 111300 Fruit and tree nut farming
- 111400 Greenhouse, nursery, and floriculture production
- 111900 Other crop farming

### Animal Production

- 112111 Beef cattle ranching and farming
- 112112 Cattle feedlots
- 112120 Dairy cattle and milk production
- 112210 Hog and pig farming
- 112300 Poultry and egg production
- 112400 Sheep and goat farming
- 112510 Aquaculture
- 112900 Other animal production

### Forestry and Logging

- 113000 Forestry and logging (including forest nurseries and timber tracts)
- 113110 Timber tract operations
- 113210 Forest nurseries and gathering of forest products
- 113310 Logging

# REDACTED COPY

## STATEMENT FOR REDACTED FILES

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2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
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In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 7 – Tax Plan

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Verification Date

**PROGRESS: In Progress with completion expected 5 days before award of license.**

## 7.1 Tax Plan

As a medical cannabis cultivator operating in <sup>Alabama Code § 36-12-40</sup> Alabama, <sup>Alabama Code § 36-12-40</sup> [REDACTED] (the "Applicant") is subject to various federal, state, and local tax requirements. Our comprehensive tax plan is designed to ensure full compliance with these obligations, including the medical cannabis privilege tax, while addressing the unique challenges faced by our business, such as IRS Section 280E. The tax plan outlined herein is intended to allow the Applicant to maintain tax compliance and effectively manage federal, state, and local tax obligations. The Applicant will adopt the following strategies:

1. Engage an accountant or tax professional: We will work closely with a qualified accountant or tax professional experienced in the cannabis industry and familiar with Alabama state tax regulations. They will provide expert guidance on tax planning, filing requirements, and strategies to optimize our tax position while adhering to IRS Section 280E and other relevant federal, state, and local tax laws.
2. Integrate payroll and tax software: To ensure accurate calculation, withholding, and remittance of payroll taxes for our employees, we will utilize payroll software designed for businesses in the cannabis industry. This software will help automate the payroll process, reducing the risk of errors and ensuring compliance with federal, state, and local payroll tax requirements specific to Alabama.
3. Identifying and Updating Tax Obligations: Understanding specific tax obligations under local, state and federal regulations is essential to maintaining compliance therewith. The Applicant has developed an overview of pertinent tax requirements, which our outlined in Table 1.

By implementing these strategies, the Applicant will maintain tax compliance while addressing the unique challenges posed by IRS Section 280E. Our commitment to financial transparency and adherence to tax regulations will ensure the long-term success and sustainability of our business in the medical cannabis industry.



Table 1 Tax Responsibilities

Tax Responsibility	LLC	Members	Employees
Federal Income Tax	Pass-through entity (No tax paid at entity level)	Individual income tax on their share of the LLC's profit	Individual income tax on their salary
State Income Tax (Alabama)	Pass-through entity (No tax paid at entity level)	Individual income tax on their share of the LLC's profit	Individual income tax on their salary
Self-Employment Tax	Not applicable	Pay self-employment tax on their share of the LLC's profit if they actively participate in the business	Not applicable
Federal Unemployment Tax (FUTA)	Pay FUTA on the first \$7,000 of each employee's wages	Not applicable	Not applicable
State Unemployment Tax (SUTA)	Pay Alabama SUTA tax	Not applicable	Not applicable
Social Security and Medicare Taxes (FICA)	Not applicable	Not applicable	Withhold and match employee contributions
Alabama State Sales Tax	Not applicable	Not applicable	Not applicable
Local Sales Tax	Not applicable	Not applicable	Not applicable
Business Privilege Tax (Alabama)	Pay annual business privilege tax based on net worth	Not applicable	Not applicable
Medical Cannabis Business Tax (Alabama)	Not Applicable	Not applicable	Not applicable
Workers' Compensation Insurance	Obtain workers' compensation insurance if required by state law	Not applicable	Not applicable
Business License	Maintain local business licensing	Not applicable	Not applicable
Property Tax	Pay property taxes on company-owned real estate and personal property	Not applicable	Not applicable

## **Federal and State Income Taxes**

### *Federal 280E policy*

Section 280E was enacted in 1982 to prevent illegal drug traffickers from claiming business expense deductions. However, due to the federal classification of cannabis as a Schedule I substance, it also applies to state-legal cannabis businesses.

### *Coupled State Tax Code*

State-licensed cannabis companies operating in Alabama are subject to a high state tax burden in addition to federal taxation. Under the state code § 40-18-1.1, items determined for the calculation of state tax are done so in accordance with a specified section or sections of Title 26 United States Code (26 U.S.C.), the Internal Revenue Code.

### *Deductions*

Under Section 280E, cannabis companies cannot deduct ordinary business expenses like rent, utilities, advertising, and payroll from their federal taxable income. The only deduction allowed for cannabis companies under Section 280E is the cost of goods sold (COGS), which encompasses the direct costs related to producing or acquiring the products they sell.

## **Self-Employment Tax**

The members of the LLC will comply with their responsibilities to remit self-employment tax, per 26 U.S. Code § 1401. Per 26 U.S. Code § 1402(b), defines self-employment income as net earnings from self-employment during any taxable year. Section 1401 of the IRS code outlines a 12.4 percent tax on self-employment income for old-age, survivors, and disability insurance. There is also imposed a tax of 2.9 percent on self-employment income for hospital insurance, with an additional tax equal to 0.9 percent on self-employment income over (i) \$250,000 in the case of joint filings, (ii) \$125,000 for a married taxpayer filing a separate return, and (iii) \$200,000 in any other case. The additional tax shall be reduced by the amount of wages taken into account in determining the tax imposed under section 3121(b)(2) with respect to that taxpayer.

## **Social Security and Medicare Taxes (FICA)**

The Social Security Tax outlined in § 3101(a) and § 3111(a) requires return of 6.2% for employees and 6.2% for employers (totaling 12.4%) on wages up to the wage base limit of

\$147,000 (2023, subject to change). The Medicare Tax outlined in § 3101(b) and § 3111(b) requires return of 1.45% for employees and 1.45% for employers (totaling 2.9%) on all wages. There is an additional 0.9% Medicare tax on wages above \$200,000 for individuals, \$250,000 for married couples filing jointly, and \$125,000 for married couples filing separately.

### **Federal Unemployment Tax Act (FUTA)**

Title 26 of the U.S. Code, Subtitle C, Chapter 23 of the IRS code, § 3301 outlines a tax paid by employers to fund unemployment benefits. The tax rate is generally 6.0% on the first \$7,000 of each employee's wages. However, employers can receive a credit of up to 5.4% for SUTA taxes paid, reducing the effective FUTA tax rate to 0.6% on the first \$7,000 of each employee's wages.

### **Alabama State Unemployment Tax Act (SUTA)**

Alabama Unemployment Insurance (UI) tax rates range from 0.65% to 6.8% on the first \$8,000 of each employee's wages. New employers in Alabama typically pay a flat rate of 2.7% for their first two years.

### **Annual Medical Cannabis Privilege Tax**

Pursuant to §20-2A-80(b)(1) of the Code of Alabama 1975 (the "State Code"), an annual privilege tax will be levied on every person doing business under chapter 2A, the Darren Wesley "Ato" Hall Compassion Act, in Alabama and is based on the taxpayer's net worth. The tax shall accrue as of January 1 of every taxable year. The cannabis privilege tax rate shall as set out in §40-14A-22 of the State Code based on the net worth determination of the taxpayer. The magnitude of the privilege tax is determined based on the taxpayer's net worth in Alabama, as calculated in accordance with §40-14A-23 of the State Code. As a two-member limited liability entity, the Applicant net worth valuation is determined by §40-14A-23(f)(3). The annual medical cannabis privilege tax return shall be due no later than the corresponding federal income tax return. Initial returns shall be due no later than two and a half months after licensure. The Department of Revenue may grant reasonable extensions for filing returns not exceeding six months.

**Ongoing Monitoring and Updates**

The Applicant will regularly monitor changes in federal and state tax laws and regulations that may impact the legal medical cannabis industry, staying informed about relevant developments. Periodic review and updating of the tax compliance plan will be performed to reflect changes in regulations, business operations, or organizational structure, ensuring that the company remains compliant with evolving tax requirements. The tax compliance plan will be used updated and maintained as necessary or until such a time that it is replaced by more robust systems.

**Conclusion**

The Applicant acknowledges that tax compliance is a critical aspect of operating a legal medical cannabis company, as it ensures the company remains in good standing with federal and state authorities and avoids potential financial and legal consequences. By implementing a robust tax compliance plan that addresses Section 280E limitations, state-specific tax regulations, and best practices for accounting and internal controls, the Applicant can confidently navigate the complex tax landscape of the medical cannabis industry. Our commitment to continual improvement of processes and procedures, along with open communication and collaboration among stakeholders, will help maintain tax compliance and contribute to overall success in the legal medical cannabis market.

# REDACTED COPY

## STATEMENT FOR REDACTED FILES

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2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 8 – Business Formation Documents

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Verification Date

License Type: Cultivator

Please find attached to this exhibit the certified copy of the Applicant's business formation documents.

**PLEASE SEE FOLLOWING PAGES.**

Wes Allen  
Secretary of State

P. O. Box 5616  
Montgomery, AL 36103-5616

# STATE OF ALABAMA

**I, Wes Allen, Secretary of State of Alabama, having custody of the Great and Principal Seal of said State, do hereby certify that**

as appears on file and of record in this office, the pages hereto attached, contain a true, accurate, and literal copy of the Restated Articles filed on behalf of [REDACTED] as received and filed in the Office of the Secretary of State on [REDACTED].



Alabama Code § 36-12-40

In Testimony Whereof, I have hereunto set my hand and affixed the Great Seal of the State, at the Capitol, in the city of Montgomery, on this day.

Alabama Code § 36-12-40

Date

Wes Allen

Secretary of State



**STATE OF ALABAMA  
DOMESTIC LIMITED LIABILITY COMPANY (LLC)  
AMENDED AND RESTATED CERTIFICATE OF FORMATION**

PURPOSE: In order to amend and restate the Certificate of Formation of a Limited Liability Company (LLC) under Section 10A-5A-2.02 of the Code of Alabama 1975, this Amended and Restated Certificate Of Formation and the appropriate filing fees must be filed with the Secretary of State's office. **The information required in this form is required by Title 10A.**

INSTRUCTIONS: **Mail 2 copies of this completed form along with a self-addressed, stamped envelope to:**

**\*Secretary of State, Business Services, P.O. Box 5616, Montgomery, Alabama, 36103.\***

\*Include a check, money order, or credit card payment for the \$100.00 processing fee.

\*The request is only accepted via mail or courier and will not be accepted via email.

\*Your filing will not be indexed if the credit/debit card does not authorize and will be removed from the index if the check is dishonored (\$30 fee).

**This form must be typed and will not be accepted via email.**

1. The name of the limited liability company (must contain the words "Limited Liability Company" or the abbreviation "L.L.C." or "LLC," and comply with Code of Alabama, Title 10A-1-5.06. You may use Professional or Series before Limited Liability Company or LLC if they apply:

**Alabama Code § 36-12-40**

2. Alabama Entity ID Number (Format: 000-000-000): **Alabama Code § 36-12-40** IN ID NUMBER, go to our website at [www.sos.alabama.gov](http://www.sos.alabama.gov) click on on Business Entity and Name Search, click on Entity Name, enter the name and enter. Click on the number and verify that this is the correct entity. **This st**

3. Date of filing Certificate of Formation **Alabama Code § 36-12-40**

4. The name of the Registered Agent (only one agent) **Alabama Code § 36-12-40**

Street (**No PO Boxes**) address of Registered Office (**must be located in Alabama**):

**Alabama Code § 36-12-40**

Mailing address in Alabama of Registered Office (if different from street address):

(For SOS Office Use Only)

This form was prepared by: (type name and full address)

**Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**

**DOMESTIC LIMITED LIABILITY COMPANY (LLC)  
AMENDED AND RESTATED CERTIFICATE OF FORMATION**

5. The following amendment/change effected in connection with this Restated of Certificate of Formation:

**Alabama Code § 36-12-40**

---

If Amended & Restated Certificate of Formation includes a name change, a copy of the Name Reservation Certificate issued by the Office of the Secretary of State must be attached.

6. The undersigned authorized signature certifies that the Amended & Restated Certificate of Formation has been approved in the manner required by Title 10A, Code of Alabama 1975 and the governing documents of this entity.

**Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**

Additional organizers/attorney-in-facts may sign (add additional sheets if necessary).

ARTICLES OF LLC  
Domestic For-Profit LLC

In compliance with the requirements of the Alabama Business Corporation Act, and for the purposes of forming a for-profit business corporation in Alabama, the undersigned desire to form a corporation according to the following Articles of Incorporation.

Corporate Name

The name of the corporation is **Alabama Code § 36-12-40**

Purpose

Organic Farming, Potting, and Starter Plants and Herbs

Duration

The duration of the Corporation is perpetual.

Registered Office and Registered Agent

- The street address of the initial registered office is **Alabama Code § 36-12-40**  
 [Redacted] The name of the initial Registered Agent at this Registered Office is **Alabama Code § 36-12-40**

Initial Member

- The initial board of directors will consist of one director (individually the "Director" and collectively the "Board of Directors" The name and address of the person who is to serve as Director until the first annual meeting of shareholders or until successors are elected and qualified is set out below.

Name	Address	City	State	Zip Code
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**Alabama Code § 36-12-40**

## Authorized Capital

2. The aggregate total number of all shares that the Corporation is authorized to issue is [REDACTED]

Alabama Code § 36-2-40

Initial members of LLC and stock ownership is as follows:

**Alabama Code § 36-12-40**

## Class A Shares

3. The Corporation is authorized to issue a single class of shares. The total number of shares **Alabama Code § 36-12-40** shares and the par value of each of the authorized Class A shares is \$0.00 US Dollars. This class of shares is entitled to receive the net assets of the Corporation on dissolution.

The Class A voting, cumulative shares will have the following rights and privileges attached to them and be subject to the following conditions and limitations:

4. The holders of Class A shares will be entitled to receive, as and when declared by the Board of Directors out of the monies of the Corporation properly applicable to the payment of dividends, cumulative, cash dividends, at the rate to be set by the Board of Directors.
5. The Class A shares may from time to time be issued as a class without series or, may from time to time be issued in one or more series. If the Class A shares are issued in one or more series the Board of Directors may from time to time, by resolution before issuance, fix the number of shares in each series, determine the designation and fix the rights, privileges, restrictions, limitations and conditions attaching to the shares of each series but always subject to the limitations set out in the Articles of Incorporation.
6. The holders of Class A shares will be entitled to one vote for each Class A share held and will be entitled to receive notice of and to attend all meetings of the shareholders of the Corporation.
7. In the event of liquidation, dissolution, or winding up of the Corporation, the Class A shareholders will be entitled to share equally, share for share, in the distribution of the assets of the Corporation.

### Restrictions on Transfer

8. No shares of stock in the Corporation will be transferred without the approval of the Board of Directors of the Corporation either by a resolution of the Board of Directors passed at a Board of Directors meeting or by an instrument or instruments in writing signed by all of the Board of Directors.

### Preemptive Rights

9. The shareholders of the Corporation have the preemptive right to purchase any new issue of stock in proportion to their current equity percentage. A shareholder may waive any preemptive right.

### Amend or Repeal Bylaws

10. Bylaws may be adopted, amended, or repealed either by approval of the outstanding shares or by the approval of the Board of Directors. In adopting, amending or repealing a bylaw the shareholders may expressly provide that the Board of Directors may not adopt, amend or repeal that bylaw. The power of the Board of Directors is subordinate to the power of the shareholders to adopt, amend, or repeal bylaws.

### Cumulative Voting

11. In an election of Directors, each shareholder's number of votes will be calculated by multiplying the number of voting shares they are entitled to cast by the number of Directors being elected. The shareholder may cast their total votes for a single Director or may distribute them among two or more Directors, as the shareholder sees fit.

### Fiscal Year End

12. The fiscal year end of the Corporation is 31st day of January. Indemnification of Officers, Directors, Employees and Agents
13. The Board of Directors, officers, employees, and agents of the Corporation will be indemnified and held harmless by the Corporation and its shareholders from and against all claims of any nature, whatsoever, arising out of the individual's participation in the affairs of the

Corporation. The Board of Directors; officers, employees, and agents of the Corporation will not be entitled to indemnification under this section for liability arising out of gross negligence or willful misconduct of the individual or the breach by the individual of any provisions of this Agreement.

Limitation of Liability

14. The Board of Directors and officers of the Corporation will not be personally liable to the Corporation or its shareholders for any mistake or error in judgment or for any act or omission believed in good faith to be within the scope of authority conferred or implied by the Articles of Incorporation or by the Corporation. The Board of Directors and officers will be liable for any expenses or damages incurred by the Corporation or its shareholders resulting from any and all acts or omissions involving fraud or intentional wrongdoing.

Incorporator

15. The name and address of the incorporator of **Alabama Code § 36-12-40** are set out below.

Name	Address	City	State	Zip Code
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**Alabama Code § 36-12-40**

Execution

16. I, the undersigned, for the purpose of forming a corporation under the Alabama Business Corporation Act, do make, file and record this document, and do certify that the facts stated in

**Alabama Code § 36-12-40**

Filer Contact Information

17. In case of filing difficulties, please contact:

**Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**

**Limited Liability Company Agreement of  
I AM HEALTH, BEAUTY, AND WELLNESS FARMS  
A Single Member Limited Liability Company**

**THIS OPERATING AGREEMENT** (this "Agreement") of Alabama Code § 36-2-40, (the "Company"), is executed and agreed to, for good and valuable consideration, by the undersigned members (the "Member").

**I. Formation.**

- A. State of Formation. This is a Limited Liability Company Operating Agreement (the "Agreement") for Alabama Code § 36-12-40, a Member-managed Alabama single member limited liability company (the "Company") formed under and pursuant to Alabama law.
- B. Operating Agreement Controls. To the extent that the rights or obligations of the Members of the Company under provisions of this Operating Agreement differ from what they would be under Alabama law absent such a provision, this Agreement, to the extent permitted under Alabama law, shall control.
- C. Primary Business Address. The location of the primary place of business of the Company is:

Alabama Code § 36-12-40 or such other location as shall be selected from time to time by the Member.

The Company's mailing address is:

Alabama Code § 36-12-40

- D. Registered Agent and Office. The Company's initial agent (the "Agent") for service of process is George Poindexter. The Agent's registered office is Alabama Code § 36-12-40. The Company may change its registered office, its registered agent, or both, upon filing a statement with the Alabama Secretary of State.
- E. No State Law Partnership. No provisions of this Agreement shall be deemed or construed to constitute a partnership (including, without limitation, a limited partnership) or joint venture, or any Member a partner or joint venturer of or with any other Member, for any purposes other than federal and state tax purposes.

**II. Purposes and Powers.**

- A. Purpose. The Company is created for the following business purpose:

Alabama Code § 36-12-40 will provide wholly Organic Produce, Medicinal and Non-Medical Herbs, and Starter Crops



- B. Powers. The Company shall have all the powers of a limited liability company set forth under Alabama law.
- C. Duration. The Company's term shall commence upon the filing of an Articles of Organization and all other such necessary materials with the state of Alabama. The Company will operate until terminated as outlined in this Agreement unless:
1. The Member votes to dissolve the Company;
  2. No Member of the Company exists, unless the business of the Company is continued in a manner permitted by Alabama law;
  3. It becomes unlawful for either the Member or the Company to continue in business;
  4. A judicial decree is entered that dissolves the Company; Or any other event results in the dissolution of the Company under Federal or Alabama law

### III. Member.

- A. The Member. The sole member of **Alabama Code § 36-12-40** at the time of adoption of this Agreement is **Alabama Code § 36 12 40** (the "Member").
- B. Initial Contribution. The Member shall make an Initial Contribution to the Company. The Initial Contributions shall be as described in Attachment A, Initial Contributions of the Member.

No Member shall be entitled to interest on their Initial Contribution. Except as expressly provided by this Agreement, or as required by law, no Member shall have any right to demand or receive the return of their Initial Contribution.

- C. Limited Liability of the Member. Except as otherwise provided for in this Agreement or otherwise required by Alabama law, no Member shall be personally liable for any acts, debts, liabilities or obligations of the Company beyond their respective Initial Contribution. The Member shall look solely to the Company property for the return of their Initial Contribution, or value thereof, and if the Company property remaining after payment or discharge of the debts, liabilities or obligations of the Company is insufficient to return such Initial Contributions, or value thereof, no Member shall have any recourse against any other Member, if any other Member exists, except as is expressly provided for by this Agreement.
- D. Creation or Substitution of New Members. Any Member may assign in whole or in part its Membership Interest only with the prior written consent of all Members.
1. *Entire transfer*. If a Member transfers all of its Membership Interest, the transferee shall be admitted to the Company as a substitute Member upon its execution of an instrument signifying its agreement to be

bound by the terms and conditions of this Agreement. Such admission shall be deemed effective immediately upon the transfer, and, simultaneously, the transferor Member shall cease to be a Member of the Company (a "dissociated member") and shall have no further rights or obligations under this Agreement.

2. *Partial transfer.* If a Member transfers only a portion of its Membership Interest, the transferee shall be admitted to the Company as an additional Member upon its execution of an instrument signifying its agreement to be bound by the terms and conditions of this Agreement.
3. Whether a substitute Member or an additional Member, absent the written consent of all existing Members of the Company, the transferee shall be a limited Member and possess only the percentage of the monetary rights of the transferor Member that was transferred without any voting power as a Member in the Company.

E. Member Voting.

1. *Voting power.* If the Company has multiple Members simultaneously, the Company's Members shall each have voting power equal to its share of Membership Interest in the Company.

F. Member's Duties. The Member shall cause the Company to do or cause to be done all things necessary to preserve and keep in full force and effect its existence, rights (charter and statutory) and franchises. The Members also shall cause the Company to:

1. Maintain its own books, records, accounts, financial statements, stationery, invoices, checks and other limited liability company documents and bank accounts separate from any other person;
2. At all times hold itself out as being a legal entity separate from the Member and any other person and conduct its business in its own name;
3. File its own tax returns, if any, as may be required under applicable law, and pay any taxes required to be paid under applicable law;
4. Not commingle its assets with assets of the Member or any other person, and separately identify, maintain and segregate all Company assets;
5. Pay its own liabilities only out of its own funds, except with respect to organizational expenses;
6. Maintain an arm's length relationship with the Member, and, with respect to all business transactions entered into by the Company with the Member, require that the terms and conditions of such transactions (including the terms relating to the amounts paid thereunder) are the same as would be generally available in comparable business transactions if such transactions were with a person that was not a Member;

7. Pay the obligated compensation of its own employees and private shareholders as agreed upon, if any, out of its own funds and maintain a sufficient number of employees in light of its contemplated business operations;
8. Allocate fairly and reasonably any overhead for shared office space;
9. Not pledge its assets for the benefit of any other person or make any loans or advances to any person;
10. Correct any known misunderstanding regarding its separate identity;
11. Maintain adequate capital in light of its contemplated business purposes;
12. Cause the Member to meet or act pursuant to written consent and keep minutes of such meetings and actions and observe all other Alabama limited liability company formalities;
13. Make any permitted investments directly or through brokers engaged and paid by the Company or its agents;
14. Not require any obligations or securities of the Member; and
15. Observe all other limited liability formalities.

Failure of the Member to comply with any of the foregoing covenants shall not affect the status of the Company as a separate legal entity or the limited liability of the Member.

**G. Fiduciary Duties of the Members.**

1. *Loyalty and Care.* Except to the extent otherwise provided herein, the Member shall have a fiduciary duty of loyalty and care similar to that of members of limited liability companies organized under the laws of Alabama.
2. *Competition with the Company.* The Member shall refrain from dealing with the Company in the conduct of the Company's business as or on behalf of a party having an interest adverse to the Company. The Member shall refrain from competing with the Company in the conduct of the Company's business.
3. *Duties Only to the Company.* The Member's fiduciary duties of loyalty and care are to the Company and not to any future Members. The Member shall owe fiduciary duties of disclosure, good faith and fair dealing to the Company, but shall owe no such duties to Officers and to the other Members. A Member who so performs their duties shall not have any liability by reason of being or having been a Member.

4. *Reliance on Reports.* In discharging the Member's duties, the Member is entitled to rely on information, opinions, reports, or statements, including financial statements and other financial data, if prepared or presented by any of the following:
- i. One or more other Members, in the event that the Company has multiple Members or employees of the Company whom the Member reasonably believes to be reliable and competent in the matters presented.
  - ii. Legal counsel, public accountants, or other persons as to matters the Member reasonably believes are within the persons' professional or expert competence.
  - iii. In the event that the Company has multiple Members, a committee of Members of which the affected Member is not a participant, if the Member reasonably believes the committee merits confidence.

#### **IV. Accounting and Distributions.**

- A. Fiscal Year. The Company's fiscal year shall end on the last day of December.
- B. Distributions. Distributions shall be issued on a quarterly basis, based upon the Company's fiscal year. The distribution shall not exceed the remaining net cash of the Company after making appropriate provisions for the Company's ongoing and anticipatable liabilities and expenses. Each Member shall receive a percentage of the overall distribution that matches that Member's percentage of Membership Interest in the Company.
- C. Limitations on Distribution. The Company shall not make a distribution to any Member contrary to Alabama Code § 10A-5A-4.06.

#### **V. Tax Treatment Election.**

- A. Tax Designation. The Company has not filed with the Internal Revenue Service for treatment as a corporation. Instead, the Company will be taxed as a pass-through organization. The Member may elect for the Company to be treated as a C-Corporation or a S-Corporation at any time.

#### **VI. Dissolution.**

- A. Limits on Dissolution. The Company shall have a perpetual existence, and shall be dissolved, and its affairs shall be wound up only upon the provisions established in Section II (C) above.

Notwithstanding any other provision of this Agreement, the Bankruptcy of any Member shall not cause such Member to cease to be a Member of the Company and upon the occurrence of such an event, the business of the Company shall continue without dissolution.

Each Member waives any right that it may have to agree in writing to dissolve the Company upon the Bankruptcy of any Member or the occurrence of any event that causes any Member to cease to be a Member of the Company.

- B. Winding Up. Upon the occurrence of any event specified in Section II(C), the Company shall continue solely for the purpose of winding up its affairs in an orderly manner, liquidating its assets, and satisfying the claims of its creditors. The Member, or in the event of multiple Members, one or more Members, selected by the remaining Members, shall be responsible for overseeing the winding up and liquidation of the Company, shall take full account of the liabilities of the Company and its assets, shall either cause its assets to be distributed as provided under this Agreement or sold, and if sold as promptly as is consistent with obtaining the fair market value thereof, shall cause the proceeds therefrom, to the extent sufficient therefor, to be applied and distributed as provided under this Agreement.
- C. Distributions in Kind. Any non-cash asset distributed to one or more Members in liquidation of the Company shall first be valued at its fair market value (net of any liability secured by such asset that such Member assumes or takes subject to) to determine the profits or losses that would have resulted if such asset were sold for such value, such profit or loss shall then be allocated as provided under this Agreement. The fair market value of such asset shall be determined by the Members or, if any Member objects, by an independent appraiser (any such appraiser must be recognized as an expert in valuing the type of asset involved) approved by the Members.
- D. Termination. The Company shall terminate when (i) all of the assets of the Company, after payment of or due provision for all debts, liabilities and obligations of the Company, shall have been distributed to the Member in the manner provided for under this Agreement and (ii) the Company's registration with the state of Alabama shall have been canceled in the manner required by Alabama law.
- E. Accounting. Within a reasonable time after complete liquidation, the Company shall furnish the Members with a statement which shall set forth the assets and liabilities of the Company as at the date of dissolution and the proceeds and expenses of the disposition thereof.
- F. Limitations on Payments Made in Dissolution. Except as otherwise specifically provided in this Agreement, each Member shall only be entitled to look solely to the assets of the Company for the return of its Initial Contribution and shall have no recourse for its Initial Contribution and/or share of profits (upon dissolution or otherwise) against any other Member, if any other such Member exists.
- G. Notice to Alabama Authorities. Upon the winding up of the Company, the Member with the highest percentage of Membership Interest in the Company shall be responsible for the filing of all appropriate notices of dissolution with Alabama and any other appropriate state or federal authorities or agencies as may be required by law.

## VII. Exculpation and Indemnification.

- A. No Member, employee or agent of the Company and no employee, agent or affiliate of a Member (collectively, the "Covered Persons") shall be liable to the Company or any other person who has an interest in or claim against the Company for any loss, damage or claim incurred by reason of any act or omission performed or omitted by such Covered Person in good faith on behalf of the Company and in a manner reasonably believed to be within the scope of the authority conferred on such Covered Person by this Agreement, except that a

Covered Person shall be liable for any such loss, damage or claim incurred by reason of such Covered Person's gross negligence or willful misconduct.

- B. To the fullest extent permitted by applicable law, a Covered Person shall be entitled to indemnification from the Company for any loss, damage or claim incurred by such Covered Person by reason of any act or omission performed or omitted by such Covered Person in good faith on behalf of the Company and in a manner reasonably believed to be within the scope of the authority conferred on such Covered Person by this Agreement. Expenses, including legal fees, incurred by a Covered Person defending any claim, demand, action, suit or proceeding shall be paid by the Company. The Covered Person shall be liable to repay such amount if it is determined that the Covered Person is not entitled to be indemnified as authorized in this Agreement. No Covered Person shall be entitled to be indemnified in respect of any loss, damage or claim incurred by such Covered Person by reason of such Covered Person's gross negligence or willful misconduct with respect to such acts or omissions. Any indemnity under this Agreement shall be provided out of and to the extent of Company assets only.
- C. A Covered Person shall be fully protected in relying in good faith upon the records of the Company and upon such information, opinions, reports or statements presented to the Company by any person as to matters the Covered Person reasonably believes are within such other person's professional or expert competence and who has been selected with reasonable care by or on behalf of the Company, including information, opinions, reports or statements as to the value and amount of the assets, liabilities, or any other facts pertinent to the existence and amount of assets from which distributions to the Member might properly be paid.
- D. To the extent that, at law or in equity, a Covered Person has duties (including fiduciary duties) and liabilities relating thereto to the Company or to any other Covered Person, a Covered Person acting under this Agreement shall not be liable to the Company or to any other Covered Person for its good faith reliance on the provisions of this Agreement. The provisions of the Agreement, to the extent that they restrict the duties and liabilities of a Covered Person otherwise existing at law or in equity, are agreed by the Member to replace such other duties and liabilities of such Covered Person.
- E. The foregoing provisions of this Article VII shall survive any termination of this Agreement.

### **VIII. Insurance.**

The Company shall have the power to purchase and maintain insurance, including insurance on behalf of any Covered Person against any liability asserted against such person and incurred by such Covered Person in any such capacity, or arising out of such Covered Person's status as an agent of the Company, whether or not the Company would have the power to indemnify such person against such liability under the provisions of Article VII or under applicable law.

### **IX. General Provisions.**

- A. Notices. All notices, offers or other communications required or permitted to be given pursuant to this Agreement shall be in writing and may be personally served or sent by United States mail and shall be deemed to have been given when delivered in person or three (3) business days after deposit in United States mail, registered or certified, postage prepaid, and properly addressed, by or to the appropriate party.

- B. Number of Days. In computing the number of days (other than business days) for purposes of this Agreement, all days shall be counted, including Saturdays, Sundays and holidays; provided, however, that if the final day of any time period falls on a Saturday, Sunday or holiday on which national banks are or may elect to be closed, then the final day shall be deemed to be the next day which is not a Saturday, Sunday or such holiday.
- C. Execution of Counterparts. This Agreement may be executed in any number of counterparts, each of which shall be an original, and all of which shall together constitute one and the same instrument.
- D. Severability. The provisions of this Agreement are independent of and separable from each other, and no provision shall be affected or rendered invalid or unenforceable by virtue of the fact that for any reason any other or others of them may be invalid or unenforceable in whole or in part.
- E. Headings. The Article and Section headings in this Agreement are for convenience and they form no part of this Agreement and shall not affect its interpretation.
- F. Controlling Law. This Agreement shall be governed by and construed in all respects in accordance with the laws of the state of Alabama (without regard to conflicts of law principles thereof).
- G. Application of Alabama Law. Any matter not specifically covered by a provision of this Agreement shall be governed by the applicable provisions of Alabama law.
- H. Amendment. This Agreement may be amended only by written consent of the Managing Member. Upon obtaining the approval of any such amendment, supplement or restatement as to the Certificate, the Company shall cause a Certificate of Amendment or Amended and Restated Certificate to be prepared, executed and filed in accordance with Alabama law.
- I. Entire Agreement. This Agreement contains the entire understanding among the parties hereto with respect to the subject matter hereof, and supersedes all prior and contemporaneous agreements and understandings, inducements or conditions, express or implied, oral or written, except as herein contained.

IN WITNESS WHEREOF, the Member has executed and agreed to this Limited Liability Company Operating Agreement, which shall be effective as of Alabama Code § 36-2-40

**Alabama Code § 36-12-40**

ATTACHMENT A  
Initial Contributions and Voting Shares of the Member(s)

The Initial Contributions of the Member of **Alabama Code § 36-12-40** are as follows:

**Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**



Wes Allen  
Secretary of State

P. O. Box 5616  
Montgomery, AL 36103-5616

# STATE OF ALABAMA

**I, Wes Allen, Secretary of State of Alabama, having custody of the Great and Principal Seal of said State, do hereby certify that**

as appears on file and of record in this office, the pages hereto attached, contain a true, accurate, and literal copy of the Restated Articles filed on behalf of [REDACTED], as received and filed in the Office of the Secretary of State on [REDACTED].



Alabama Code § 36-12-40

In Testimony Whereof, I have hereunto set my hand and affixed the Great Seal of the State, at the Capitol, in the city of Montgomery, on this day.

Alabama Code § 36-12-40

Date

Wes Allen

Secretary of State

**STATE OF ALABAMA  
DOMESTIC LIMITED LIABILITY COMPANY (LLC)  
AMENDED AND RESTATED CERTIFICATE OF FORMATION**

**PURPOSE:** In order to amend and restate the Certificate of Formation of a Limited Liability Company (LLC) under Section 10A-5A-2.02 of the Code of Alabama 1975, this Amended and Restated Certificate Of Formation and the appropriate filing fees must be filed with the Secretary of State's office. The information required in this form is required by Title 10A.

**INSTRUCTIONS:** Mail 2 copies of this completed form along with a self-addressed, stamped envelope to:

- \*Secretary of State, Business Services, P.O. Box 5616, Montgomery, Alabama 36103.
- \*Include a check, money order, or credit card payment for the \$100.00 processing fee.
- \*The request is only accepted via mail or courier and will not be accepted via email.
- \*Your filing will not be indexed if the credit/debit card does not authorize and will be removed from the index if the check is dishonored (\$30 fee).

**This form must be typed and will not be accepted via email.**

1. The name of the limited liability company (must contain the words "Limited Liability Company" or the abbreviation "L.L.C." or "LLC," and comply with Code of Alabama, Title 10A-1-5.06. You may use Professional or Series before Limited Liability Company or LLC if they apply:

Alabama Code § 36-12-40

2. Alabama Entity ID Number (Format: 000-000-000): Alabama Code § 36-12-40  
go to our website at [www.sos.alabama.gov](http://www.sos.alabama.gov) click on Business Entity and Name Search, click on Entity Name, enter the name in the search box, and enter. Click on the number and verify that this is the correct entity. This step

3. Date of filing Certificate of Formation: Alabama Code § 36-12-40

4. The name of the Registered Agent (only one agent): Alabama Code § 36-12-40

Street (No PO Boxes) address of Registered Office (must be located in Alabama):

Alabama Code § 36-12-40

Mailing address in Alabama of Registered Office (if different from street address):

(For SOS Office Use Only)

Alabama Code § 36-12-40

This form was prepared by: (type name and full address)

Alabama Code § 36-12-40

RECEIVED DATE

Alabama Code § 36-12-40

SECRETARY OF STATE

**DOMESTIC LIMITED LIABILITY COMPANY (LLC)  
AMENDED AND RESTATED CERTIFICATE OF FORMATION**

5. The following amendment/change effected in connection with this Restated of Certificate of Formation:

The articles that were originally filed have been amended. The updated articles of LLC are attached.

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If Amended & Restated Certificate of Formation includes a name change, a copy of the Name Reservation Certificate issued by the Office of the Secretary of State must be attached.

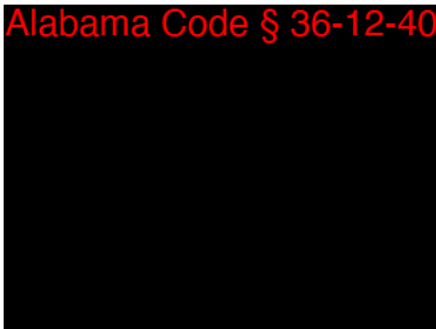
6. The undersigned authorized signature certifies that the Amended & Restated Certificate of Formation has been approved in the manner required by Title 10A, Code of Alabama 1975 and the governing documents of this entity.

**Alabama Code § 36-12-40**



Additional organizers/attorney-in-facts may sign (add additional sheets if necessary).

Alabama Code § 36-12-40



ARTICLES OF LLC  
Domestic For-Profit LLC

In compliance with the requirements of the Alabama Business Corporation Act, and for the purposes of forming a for-profit business corporation in Alabama, the undersigned desire to form a corporation according to the following Articles of Incorporation.

Corporate Name

The name of the corporation is **Alabama Code § 36-12-40**

Purpose

Organic Farming, Potting, and Starter Plants and Herbs

Duration

The duration of the Corporation is perpetual.

Registered Office and Registered Agent

- The street address of the initial registered office is **Alabama Code § 36-12-40**. The name of the initial Registered Agent at this Registered Office is **Alabama Code § 36-12-40**

Initial Member

- The initial board of directors will consist of one director (individually the "Director" and collectively the "Board of Directors" The name and address of the person who is to serve as Director until the first annual meeting of shareholders or until successors are elected and qualified is set out below.

Name	Address	City	State	Zip Code
------	---------	------	-------	----------

**Alabama Code § 36-12-40**

# Alabama Code § 36-12-40

## Authorized Capital

3. The aggregate total number of all shares that the Corporation is authorized to issue

Alabama Code § 36-12-40

## Class A Shares

4. The Corporation is authorized to issue a single class of shares. The total number of shares **Alabama Code § 36-12-40** par value shares and the par value of each of the authorized Class A shares is \$0.00 US Dollars. This class of shares is entitled to receive the net assets of the Corporation on dissolution.

The Class A voting, cumulative shares will have the following rights and privileges attached to them and be subject to the following conditions and limitations:

- a. The holders of Class A shares will be entitled to receive, as and when declared by the Board of Directors out of the monies of the Corporation properly applicable to the payment of dividends, cumulative, cash dividends, at the rate to be set by the Board of Directors.
- b. The Class A shares may from time to time be issued as a class without series or, may from time to time be issued in one or more series. If the Class A shares are issued in one or more series the Board of Directors may from time to time, by resolution before issuance, fix the number of shares in each series, determine the designation and fix the rights, privileges, restrictions, limitations and conditions attaching to the shares of each series but always subject to the limitations set out in the Articles of Incorporation.
- c. The holders of Class A shares will be entitled to one vote for each Class A share held and will be entitled to receive notice of and to attend all meetings of the shareholders of the Corporation.

- d. In the event of liquidation, dissolution, or winding up of the Corporation, the Class A shareholders will be entitled to share equally, share for share, in the distribution of the assets of the Corporation.

#### Restrictions on Transfer

5. No shares of stock in the Corporation will be transferred without the approval of the Board of Directors of the Corporation either by a resolution of the Board of Directors passed at a Board of Directors meeting or by an instrument or instruments in writing signed by all of the Board of Directors.

#### Preemptive Rights

6. The shareholders of the Corporation have the preemptive right to purchase any new issue of stock in proportion to their current equity percentage. A shareholder may waive any preemptive right.

#### Amend or Repeal Bylaws

7. Bylaws may be adopted, amended, or repealed either by approval of the outstanding shares or by the approval of the Board of Directors. In adopting, amending or repealing a bylaw the shareholders may expressly provide that the Board of Directors may not adopt, amend or repeal that bylaw. The power of the Board of Directors is subordinate to the power of the shareholders to adopt, amend, or repeal bylaws.

#### Cumulative Voting

8. In an election of Directors, each shareholder's number of votes will be calculated by multiplying the number of voting shares they are entitled to cast by the number of Directors being elected. The shareholder may cast their total votes for a single Director or may distribute them among two or more Directors, as the shareholder sees fit.

#### Fiscal Year End

9. The fiscal year end of the Corporation is 31st day of January. Indemnification of Officers, Directors, Employees and Agents

10. The Board of Directors, officers, employees, and agents of the Corporation will be indemnified and held harmless by the Corporation and its shareholders from and against all claims of any nature, whatsoever, arising out of the individual's participation in the affairs of the Corporation. The Board of Directors, officers, employees, and agents of the Corporation will not be entitled to indemnification under this section for liability arising out of gross negligence or willful misconduct of the individual or the breach by the individual of any provisions of this Agreement.

**Limitation of Liability**

11. The Board of Directors and officers of the Corporation will not be personally liable to the Corporation or its shareholders for any mistake or error in judgment or for any act or omission believed in good faith to be within the scope of authority conferred or implied by the Articles of Incorporation or by the Corporation. The Board of Directors and officers will be liable for any expenses or damages incurred by the Corporation or its shareholders resulting from any and all acts or omissions involving fraud or intentional wrongdoing.

**Incorporator**

12. The name and address of the incorporator of **Alabama Code § 36-12-40** are set out below.

Name.	Address	City	State	Zip Code
-------	---------	------	-------	----------

**Alabama Code § 36-12-40**

**Execution**

13. I, the undersigned, for the purpose of forming a corporation under the Alabama Business Corporation Act, do make, file and record this document, and do certify that the facts stated in

**Alabama Code § 36-12-40**

Wes Allen  
Secretary of State

P. O. Box 5616  
Montgomery, AL 36103-5616

# STATE OF ALABAMA

**I, Wes Allen, Secretary of State of Alabama, having custody of the Great and Principal Seal of said State, do hereby certify that**

as appears on file and of record in this office, the pages hereto attached, contain a true, accurate, and literal copy of the Articles of Formation filed on behalf of [REDACTED] as received and filed in the Office of the Secretary of State on [REDACTED]

Alabama Code § 36-12-40



Alabama Code § 36-12-40

In Testimony Whereof, I have hereunto set my hand and affixed the Great Seal of the State, at the Capitol, in the city of Montgomery, on this day.

Alabama Code § 36-12-40

Date

Wes Allen

Secretary of State



Alabama Code § 36-12-40

Alabama Code § 36-12-40

### ARTICLES OF INCORPORATION Domestic For-Profit Corporation

In compliance with the requirements of the Alabama Business and Nonprofit Entity Code for the purposes of forming a for-profit business corporation in Alabama, the undersigned desist according to the following Articles of Incorporation.

1. **Corporate Name**

The name of the corporation is "Alabama Code § 36-12-40 Corporation").

2. **Purpose**

The Corporation is formed for the transaction of any or all lawful business for which Corporations may be incorporated under this chapter.

3. **Duration**

The duration of the Corporation is perpetual.

4. **Registered Office and Registered Agent**

Alabama Code § 36-12-40

5. **Initial Director**

The initial board of directors will consist of one director (individually the "Director" and collectively the "Board of Directors"). The name and address of the person who is to serve as Director until the first annual meeting of shareholders or until successors are elected and qualified is set out below.

Name	Address	City	State	Zip Code
------	---------	------	-------	----------

Alabama Code § 36-12-40

6. **Authorized Capital**

The aggregate total number of all shares that the Corporation is authorized to issue is

Alabama Code § 36-12-40

**Class A Shares**

The Corporation is authorized to issue a single class of shares. The total number of shares authorized each share will have no par value.

Alabama Code § 36-12-40

The Class A voting, cumulative shares will have the following rights and privileges attached to them and be subject to the following conditions and limitations:

- a. The holders of Class A shares will be entitled to receive, as and when declared by the Board of Directors out of the monies of the Corporation properly applicable to the payment of dividends, cumulative, cash dividends, at the rate to be set by the Board of Directors.
- b. The Class A shares may from time to time be issued as a class without series or, may from time to time be issued in one or more series. If the Class A shares are issued in one or more series the Board of Directors may from time to time, by resolution before issuance, fix the number of shares in each series, determine the designation and fix the rights, privileges, restrictions, limitations and conditions attaching to the shares of each series but always subject to the limitations set out in the Articles of Incorporation.
- c. The holders of Class A shares will be entitled to one vote for each Class A share held, and will be entitled to receive notice of and to attend all meetings of the shareholders of the Corporation.

Alabama Code § 36-12-40

Alabama Code § 36-12-40

Print

d. In the event of liquidation, dissolution, or winding up of the Corporation, the Class A shareholders will be entitled to share equally, share for share, in the distribution of the assets of the Corporation.

7. **Restrictions on Transfer and Other Rules**

No shares of stock in the Corporation will be transferred without the approval of the Board of Directors of the Corporation either by a resolution of the Board of Directors passed at a Board of Directors meeting or by an instrument or instruments in writing signed by all of the Board of Directors.

Any invitation to the public to subscribe to any class of shares of the Corporation is prohibited.

8. **Preemptive Rights**

The shareholders of the Corporation have the preemptive right to purchase any new issue of shares in proportion to their current equity percentage. A shareholder may waive any preemptive right. Any waiver by a shareholder does not affect any future preemptive rights of that shareholder.

9. **Amend or Repeal Bylaws**

Bylaws may be adopted, amended, or repealed either by approval of the outstanding shares or by the approval of the Board of Directors. In adopting, amending or repealing a bylaw the shareholders may expressly provide that the Board of Directors may not adopt, amend or repeal that bylaw. The power of the Board of Directors is subordinate to the power of the shareholders to adopt, amend, or repeal bylaws.

10. **Cumulative Voting**

In an election of Directors, each shareholder's number of votes will be calculated by multiplying the number of voting shares they are entitled to cast by the number of Directors being elected. The shareholder may cast their total votes for a single Director or may distribute them among two or more Directors, as the shareholder sees fit.

11. **Fiscal Year End**

The fiscal year end of the Corporation is December 31st.

12. **Indemnification of Officers, Directors, Employees and Agents**

The Board of Directors, officers, employees and agents of the Corporation will be indemnified and held harmless by the Corporation and its shareholders from and against any and all claims of any nature, whatsoever, arising out of the individual's participation in the affairs of the Corporation. The Board of Directors, officers, employees and agents of the Corporation will not be entitled to indemnification under this section for liability arising out of gross negligence or willful misconduct of the individual or the breach by the individual of any provisions of this Agreement.

13. **Limitation of Liability**

The Board of Directors and officers of the Corporation will not be personally liable to the Corporation or its shareholders for any mistake or error in judgment or for any act or omission believed in good faith to be within the scope of authority conferred or implied by the Articles of Incorporation or by the Corporation. The Board of Directors and officers will be liable for any expenses or damages incurred by the Corporation or its shareholders resulting from any and all acts or omissions involving fraud or intentional wrongdoing.

14. **Incorporator**

The name and address of the incorporator of

Alabama Code § 36-12-40

Name	Address	City	State	Zip Code
Alabama Code § 36-12-40				

15. **Execution**

I, the undersigned, for the purpose of forming a corporation under the Alabama Business and Nonprofit Entity Code, do make, file and record this document, and do certify that the document are true, and I have accordingly set my hand to this document

Alabama Code § 36-12-40

Print

Alabama Code § 36-12-40

Alabama Code § 36-12-40

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Alabama Code § 36-12-40

Alabama Code § 36-12-40

John H. Merrill  
Secretary of State

P.O. Box 5616  
Montgomery, AL 36103-5616

# STATE OF ALABAMA

I, John H. Merrill, Secretary of State of Alabama, having custody of the Great and Principal Seal of said State, do hereby certify that

pursuant to the provisions of Title 10A, Chapter 1, Article 5, Code of Alabama 1975, and upon an examination of the entity records on file in this office, the following entity name is reserved as available:

Alabama Code § 36-12-40

Alabama Code § 36-12-40

In Testimony Whereof, I have hereunto set my hand and affixed the Great Seal of the State, at the Capitol, in the city of Montgomery, on this day.



Alabama Code § 36-12-40

Date

*J. H. Merrill*

John H. Merrill

Secretary of State

Alabama Code § 36-12-40

Alabama Code § 36-12-40



STATE OF ALABAMA  
COUNTY OF HALE

I Arthur L. Crawford Sr., Judge of Probate in and for said County and State, hereby certify that the within and foregoing is a true, correct and complete copy,

Certificate of Formation for Alabama Code § 36-12-40

Recorded on Alabama Code § 36-12-40

And the same appears of record in Alabama Code § 36-12-40

Given under my hand and seal on this the Alabama Code § 36-12-40

Alabama Code § 36-12-40

Hale County  
1001 Main Street  
Room 9  
Greensboro, Alabama 36744

Phone: 334-624-8740  
Fax: 334-624-8725  
Fax: 334-624-9361  
Email: achalecounty@att.net

# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a “Redacted Copy” of the following document associated with their application. Below are the grounds for redactions.

In accordance with Alabama Code § 36-12-40, the redaction of certain information from a public-facing document is necessary to protect the privacy, security, and intellectual property rights of individuals and businesses. This measure ensures the safeguarding of sensitive data from unauthorized access or misuse. The redactions applied in this document herein are based on the following grounds:

1. **Confidential Personal Information:** Redaction is required to protect the privacy of individuals whose personal information may be contained within the document. This may include, but is not limited to, Social Security numbers, driver's license numbers, addresses, phone numbers, dates of birth, and medical records. Alabama Code § 36-12-40 emphasizes the importance of maintaining the privacy of citizens by preventing the disclosure of such information.
2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 9 – Business License and Authorization of Local Authorities

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Verification Date

**9.1 Applicant Business Licence:**

**Alabama Code § 36-12-40**





**9.2 Approval of Applicant Site in Greene County:**

**Resolution Authorizing the Operation of  
Medical Cannabis Dispensing Sites within  
Unincorporated Areas of Greene County**

WHEREAS, during the 2021 Regular Session of the Alabama Legislature, Act 2021-450 was enacted and codified in Title 20, Chapter 2A, Code of Alabama 1975, to create within Alabama a wholly intrastate system for the cultivation, processing, and distribution of medical cannabis; and

WHEREAS, Act 2021-450 defines a "dispensary" as an entity licensed by the Alabama Medical Cannabis Commission to dispense and sell medical cannabis at dispensing sites to registered, qualified patients and registered caregivers; and

WHEREAS, Act 2021-450 defines an "integrated facility" as an entity licensed to perform the functions of a cultivator, processor, secure transporter, and dispensary; and

WHEREAS, Act 2021-450 defines a "dispensing site" as a site operated by a dispensary licensee or an integrated facility licensee; and

WHEREAS, Act 2021-450 states that a dispensary licensee or integrated facility licensee may not operate a dispensing site in an unincorporated area of a county unless the county commission has authorized, by resolution, the operation of dispensing sites within its boundaries; and


WHEREAS, Act 2021-450 states that a program providing for the administration of cannabis derivatives for medical use in this state will not only benefit patients by providing relief from pain and other debilitating symptoms but will also provide opportunities for patients with debilitating conditions to function and have a better quality of life and provide employment and business opportunities for farmers; and

WHEREAS, the Greene County Commission believes it is in the public's interest to authorize the operation of dispensing sites within the unincorporated areas of the county;

**THEREFORE BE IT RESOLVED BY THE GREENE COUNTY COMMISSION** that it does hereby authorize the operation of medical cannabis dispensing sites by dispensary licensees and integrated facility licensees within the unincorporated areas of the county.

**BE IT FURTHER RESOLVED** that copies of this resolution be forwarded to the Alabama Medical Cannabis Commission within seven calendar days of this resolution being adopted.

IN WITNESS WHEREOF, Greene County Commission has caused this Resolution to be executed in its name and on its behalf by ~~its~~ Chairman this the 12 day of December 2022.

  
\_\_\_\_\_  
Chairman, Greene County  
Commission

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a “Redacted Copy” of the following document associated with their application. Below are the grounds for redactions.

In accordance with Alabama Code § 36-12-40, the redaction of certain information from a public-facing document is necessary to protect the privacy, security, and intellectual property rights of individuals and businesses. This measure ensures the safeguarding of sensitive data from unauthorized access or misuse. The redactions applied in this document herein are based on the following grounds:

1. **Confidential Personal Information:** Redaction is required to protect the privacy of individuals whose personal information may be contained within the document. This may include, but is not limited to, Social Security numbers, driver's license numbers, addresses, phone numbers, dates of birth, and medical records. Alabama Code § 36-12-40 emphasizes the importance of maintaining the privacy of citizens by preventing the disclosure of such information.
2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 10 – Business Plan

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Verification Date

**PROGRESS: In Progress with completion expected 5 days before award of license**

**10.1 – A clearly defined business structure and plan for adherence**

**Alabama Code § 36-12-40** (the “Applicant”) a **Alabama Code § 36-12-40** formed under the laws of the State of Alabama, is owned by members **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** Outlined herein is the Applicants its plan to comply with ongoing responsibilities as an **Alabama Code § 36-12-40** herein. The Applicant understands the importance of adhering to these responsibilities to maintain our good standing and protect our limited liability status.

The Applicant hereby commits to the following ongoing responsibilities:

1. Maintaining and acting in accordance with the established Operating Agreement: the Applicant shall conduct its operations in compliance with our Operating Agreement, which outlines the company's structure, management, and ownership, as well as the rules governing its operation.
2. Complying with federal, state, and local tax regulations: the Applicant shall comply with all applicable federal, state, and local tax regulations, including but not limited to, filing tax returns, paying taxes, and registering for any necessary licenses or permits.
3. Filing annual reports with the Alabama Secretary of State: the Applicant shall file an annual report with the Alabama Secretary of State by the due date each year, providing updated information about the business and remitting required filing fees.
4. Maintaining proper records: the Applicant shall maintain accurate records of its finances, minutes from meetings, and other important documents in a safe and

**PROGRESS: In Progress with completion expected 1,825 days after award of license**

**10.2 – Clearly defined business goals, including a 3-year and a 5-year plan**

**Alabama Code § 36-12-40**

Alabama Code § 36-12-40  
[Redacted]

**3-Year Business Goals:**

*Year 1*

1. Alabama Code § 36-12-40  
[Redacted]

*Year 2*

Alabama Code § 36-12-40  
[Redacted]

*Year 3*

Alabama Code § 36-12-40  
[Redacted]

Alabama Code § 36-12-40

[Redacted]

[Redacted]

[Redacted]

[Redacted]

**5-Year Business Goals:**

*Year 4:*

Alabama Code § 36-12-40

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

*Year 5:*

Alabama Code § 36-12-40

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

By executing this 3-year and 5-year expansion plan, the Applicant aims to become a leading cannabis cultivation facility in the region, providing high-quality products to a growing market while creating job opportunities and contributing to the local economy.



**10.3 - An Organizational Chart**

**Alabama Code § 36-12-40**

**10.4 Managerial Job Descriptions**

This section contains job descriptions for managerial positions: **Alabama Code § 36-12-40**

[Redacted]

[Redacted]

**Alabama Code § 36-12-40**

[Redacted]

***Summary/Objective:***

**Alabama Code § 36-12-40**

[Redacted]

[Redacted]

[Redacted]

[Redacted]

***Job Responsibilities:***

**Alabama Code § 36-12-40**

[Redacted]

[Redacted]

[Redacted]

**Alabama Code § 36-12-40**

[Redacted text block]

*Qualifications:*

**Alabama Code § 36-12-40**

[Redacted text block]

**Alabama Code § 36-12-40**

*Summary/Objective:*

**Alabama Code § 36-12-40**

[Redacted text block]

***Job Responsibilities:***

Alabama Code § 36-12-40  
[Redacted text block containing approximately 20 lines of blacked-out content]

***Qualifications:***

Alabama Code § 36-12-40  
[Redacted text block containing approximately 8 lines of blacked-out content]

**Alabama Code § 36-12-40**  
[Redacted]

**Alabama Code § 36-12-40**

*Summary/Objective:*

**Alabama Code § 36-12-40**  
[Redacted]

*Job Responsibilities:*

**Alabama Code § 36-12-40**  
[Redacted]

**Alabama Code § 36-12-40**  
[Redacted text block]

**Qualifications:**

**Alabama Code § 36-12-40**  
[Redacted text block]

**10.5 Non-Managerial Job Descriptions**

**Alabama Code § 36-12-40**  
[Redacted text block]

**Alabama Code § 36-12-40**  
[Redacted text block]

**Summary/Objective:**

Alabama Code § 36-12-40  
[Redacted text block]

**Job Responsibilities:**

Alabama Code § 36-12-40  
[Redacted text block]

Alabama Code § 36-12-40  
[Redacted text block]

**Qualifications:**

Alabama Code § 36-12-40  
[Redacted text block]

Alabama Code § 36-12-40  
[Redacted]

Alabama Code § 36-12-40

*Summary/Objective:*

Alabama Code § 36-12-40  
[Redacted]

*Job Responsibilities:*

Alabama Code § 36-12-40  
[Redacted]

*Qualifications:*

Alabama Code § 36-12-40  
[Redacted]

Alabama Code § 36-12-40  
[Redacted]

**Summary/Objective:**

Alabama Code § 36-12-40  
[Redacted]

**Job Responsibilities:**

Alabama Code § 36-12-40  
[Redacted]

**Qualifications:**

Alabama Code § 36-12-40  
[Redacted]



Alabama Code § 36-12-40

**Summary/Objective:**

Alabama Code § 36-12-40

**Job Responsibilities:**

Alabama Code § 36-12-40

**Qualifications:**

Alabama Code § 36-12-40

**10.6 – Executive Summary**

**Mission**

The Applicant's mission is to provide the community with fresh, sustainable, and organic medical cannabis grown locally. The Applicant firmly believes that responsible stewardship, clean water, and healthy inputs are the foundations of a thriving cannabis operation. The Applicant's team of dedicated professionals shares these values and is committed to upholding them every day.

The Applicant strives to create a safe, inclusive workplace where employees are treated with respect and dignity. The Applicant recognizes that employees are the Applicant's greatest asset and is committed to providing them with the support and resources they need to

succeed. The Applicant encourages collaboration, teamwork, and open communication to achieve its goals.

As an agricultural business, the Applicant understands the importance of sustainability and environmental responsibility. The Applicant is committed to minimizing its environmental impact and promoting sustainable practices throughout its operations.

The Applicant takes pride in its work and provides the community with high-quality, locally grown cannabis. The Applicant is committed to upholding its values and contributing to a healthier and more sustainable future for the community and the environment.

The Applicant's business style is characterized by collaboration, teamwork, and open communication. The Applicant values its employees and aims to provide high quality, safe and compliant medicinal cannabis to those who need it. Their philosophy is rooted in responsible stewardship and promoting a more sustainable future for the community and environment.

### **Location and Activities**

The Applicant will be cultivating cannabis for the purpose of further processing into medicinal cannabis by a regulated processor, as permitted by the Alabama Medical Cannabis Commission. Cultivation will be performed at the location addressed [Alabama Code § 36-12-40](#)



### **Leadership**

The leaders of the Applicant recognize the importance of their role in creating a positive and inclusive work environment. They understand that their actions and decisions have a significant impact on their employees, customers, and the community as a whole.

As leaders, they are committed to upholding the Applicant's values of sustainability, responsible stewardship, and providing high-quality, locally grown cannabis. They will strive to create a workplace where employees feel valued, respected, and supported in their personal and professional growth.

They recognize the importance of open communication, collaboration, and transparency in achieving their goals. They will work together as a team to make informed decisions that align with the Applicant's mission and vision.

In all of their actions and decisions, they will lead by example and strive to make a positive impact on their employees, customers, and community.

Alabama Code § 36-12-40

[Redacted text block]

Alabama Code § 36-12-40

[Redacted text block]

Alabama Code § 36-12-40

[Redacted text block]

Alabama Code § 36-12-40

[Redacted text block]

Alabama Code § 36-12-40

[Redacted text block]

**10.7 Description of Products**

The Applicant is a premier medical cannabis cultivation company based in Alabama, dedicated to producing the highest quality cannabis for medical use. Our mission is to provide safe, consistent, and reliable medical cannabis products to patients across Alabama. We are committed to adhering to strict regulatory guidelines, ethical practices, and environmental sustainability. As a medical cannabis cultivator, our primary focus is on cultivating and harvesting cannabis plants, without engaging in any processing activities.

***Cannabis – Bulk Dried Flower***

Alabama Code § 36-12-40

[Redacted text block]

### Product Description

- **Alabama Code § 36-12-40**  
[Redacted]
- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]

**Alabama Code § 36-12-40**

[Redacted]

■ [Redacted]

[Redacted]

[Redacted]

[Redacted]

■ [Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

Please note that Applicant only sells products and provides services to licensed medical cannabis patients, caregivers, and other licensed businesses in compliance with Alabama state law.

**PROGRESS: In Progress with completion expected 60 days after award of license**

**10.9 Marketing & Strategy Analysis**

**Introduction**

**Alabama Code § 36-12-40**

[Redacted]

[Redacted]

[Redacted]

[Redacted]

**Market Size**

**Alabama Code § 36-12-40**

[Redacted]

[Redacted]

**Target Audience**

Alabama Code § 36-12-40  
[Redacted]

[Redacted]

**Competition**

Alabama Code § 36-12-40  
[Redacted]

**Effective Marketing Channels:**

Alabama Code § 36-12-40  
[Redacted]



Alabama Code § 36-12-40  
[Redacted]

**Conclusion:**

Alabama Code § 36-12-40  
[Redacted]

**References:**

Alabama Code § 36-12-40  
[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

**PROGRESS: In Progress with completion expected 5 days before award of license**

**10.10 Community Plan & Corporate Social Responsibility**

**Community Engagement Plan**

As a cannabis cultivator in Alabama, The Applicant understands the importance of community engagement and building trust with local residents. The following community engagement plan outlines key strategies for engaging with the local community and building a positive reputation as a responsible and socially conscious business.

**Alabama Code § 36-12-40**  
[Redacted text block]

[Redacted text block]

[Redacted text block]

Alabama Code § 36-2-40

[Redacted text block]

[Redacted text block]

[Redacted text block]

[Redacted text block]

[Redacted text block]

[Redacted text block]

[Redacted text block]

**PROGRESS: In Progress with completion expected 5 days before award of license**

**10.10 Environmental Impact Statement**

The Applicant is committed to reducing its environmental impact. This Environmental Impact Statement details several environmental mitigation strategies for the medical cannabis cultivation facility in **Alabama Code § 36-12-40**. The Applicant recognizes the importance of environmental stewardship and aims to maintain a positive environmental profile in the community.

**Alabama Code § 36-12-40**  
[Redacted text block containing multiple paragraphs of blacked-out content]

**Alabama Code § 36-12-40**

[Redacted text block]

[Redacted section header]

[Redacted text block]

6. **Alabama Code § 36-12-40**

[Redacted text block]

- 7. **Alabama Code § 36-12-40**  
[Redacted]

Building Relationships with Oversight Agencies

The Applicant is committed to fostering cooperation and compliance with federal, state, and local agencies that provide environmental oversight. **Alabama Code § 36-12-40**

[Redacted]

- Alabama Code § 36-12-40  
[Redacted]
- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]

By implementing these strategies, the Applicant will continuously strive to minimize its environmental impact, promote sustainability, and contribute positively to the community of **Alabama Code § 36-12-40**

**PROGRESS: In Progress with completion expected 5 days before award of license**

### **10.11 Insurance Plan**

The Applicant will maintain a minimum of two million dollars (\$2,000,000) of liability and casualty insurance from an A-rated insurer and shall establish and at all times maintain the minimum level of other financial guarantees, if appropriate and required by the Commission. Liability insurance includes workers' compensation insurance as well as insurance against loss, damage or injury to any non-employee while on the premises; loss, damage or injury to the body or personal property of any third party as a proximate result of the acts of the Applicant or its personnel; and loss, damage or injury to any foreseeable person as the result of any products derived for cannabis that had once been in the custody or control of the Applicant. The Applicant will additionally be insured against fire, flood, wind, or other acts of God.

Please find a Letter of Intent for **Alabama Code § 36-12-40** to obtain an insurance plan that meets the above criteria on following page.

[Letter of Insurance Follows]

# Alabama Code § 36-12-40



# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a “Redacted Copy” of the following document associated with their application. Below are the grounds for redactions.

In accordance with Alabama Code § 36-12-40, the redaction of certain information from a public-facing document is necessary to protect the privacy, security, and intellectual property rights of individuals and businesses. This measure ensures the safeguarding of sensitive data from unauthorized access or misuse. The redactions applied in this document herein are based on the following grounds:

1. **Confidential Personal Information:** Redaction is required to protect the privacy of individuals whose personal information may be contained within the document. This may include, but is not limited to, Social Security numbers, driver's license numbers, addresses, phone numbers, dates of birth, and medical records. Alabama Code § 36-12-40 emphasizes the importance of maintaining the privacy of citizens by preventing the disclosure of such information.
2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 11 - Evidence of Business Relationship with Other Licensees and Prospective Licensees

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Verification Date

**11.1 – Any Processor or prospective Processor.**

Alabama Code § 36-12-40  
[Redacted]

**11.2 – Any Secure Transporter or prospective Secure Transporter.**

Alabama Code § 36-12-40  
[Redacted]

**11.3 – Any Dispensary or prospective Dispensary.**

Alabama Code § 36-12-40  
[Redacted]

**11.4 – Any Integrated Facility or prospective Integrated Facility.**

Alabama Code § 36-12-40  
[Redacted]

**Alabama Code § 36-12-40**


**11.5 - Any State Testing Laboratory or prospective State Testing Laboratory**

**Alabama Code § 36-12-40**


**PLEASE SEE FOLLOWING PAGES.**

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40



# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

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# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a “Redacted Copy” of the following document associated with their application. Below are the grounds for redactions.

In accordance with Alabama Code § 36-12-40, the redaction of certain information from a public-facing document is necessary to protect the privacy, security, and intellectual property rights of individuals and businesses. This measure ensures the safeguarding of sensitive data from unauthorized access or misuse. The redactions applied in this document herein are based on the following grounds:

1. **Confidential Personal Information:** Redaction is required to protect the privacy of individuals whose personal information may be contained within the document. This may include, but is not limited to, Social Security numbers, driver's license numbers, addresses, phone numbers, dates of birth, and medical records. Alabama Code § 36-12-40 emphasizes the importance of maintaining the privacy of citizens by preventing the disclosure of such information.
2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 12 – Standard Operating Plan and Procedures

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Verification Date

**PROGRESS: In Progress with completion expected 5 days before award of license****12.1 – IT Plan**

1. Objective: To ensure accurate record keeping, compliance with inventory protocols, and coordination of information and systems with vendors, customers, and others, as applicable, through the Alabama Medical Cannabis Patient Registry System, the Statewide Seed-to-Sale Tracking System, Metrc, and the third-party inventory control and tracking system,

Alabama Code § 36-12-40.

2. Scope: To establish an IT infrastructure that supports the accurate and efficient recordkeeping, inventory control, and communication needs of the organization. This will be achieved by adopting industry best practices in IT management, implementing inventory management systems, and establishing data security protocols.

3. Establish a secure database and network infrastructure: In ensuring accurate recordkeeping, compliance with inventory protocols, and coordination of information and systems with vendors, customers, and others is to establish a secure database and network infrastructure. This includes creating a secure platform that will store inventory, and vendor information in a single database. It is important to ensure that this database is secure, reliable, and accessible to authorized personnel only.

4. Establishing an IT team: An IT team will be established to oversee the implementation and maintenance of the IT and security system, including Metrc and GrowerIQ. This team will be responsible for ensuring that the systems are properly maintained, updated, and secured. The team may include a combination of internal employees, external consultants, and contractors.

5. Developing and Implementing Policies and Procedures: The IT team has developed and shall implement policies and procedures for recordkeeping, inventory management, and coordination of information and systems with vendors, customers, and others. These policies and procedures are designed to ensure compliance with state and federal laws and regulations and will be regularly reviewed and updated as necessary.



6. Implement inventory protocols and compliance checks: To ensure compliance with inventory protocols, both Metrc and Alabama Code § 36-12-40 will be leveraged as inventory management tools that allows the Applicant's operators to track the quantity and movement of all cannabis at various stages of the production cycle. The system will include features such as automated tracking of inventory levels, real-time inventory tracking, and automatic reorder points. The system will be integrated with the accounting software to ensure accurate recordkeeping of inventory levels and values. Compliance checks, including periodic scheduled manual inventory counts, are implemented to ensure that all cannabis is accounted for and the inventory software are up-to-date and accurate.

7. Record Keeping: To ensure accurate recordkeeping, the organization shall implement centralized database to store all information related to inventory, customers, vendors, and financials. The database will be designed to capture and store information in real-time and will be accessible to authorized personnel only. Data will be entered accurately and consistently to ensure integrity. These records will be available to law enforcement and the AMCC when required. Records, whether electronic or manual, must be kept of all persons on the premises at a facility at all times, including employees, vendors, transporters or other licensees, and all others, recording the individuals' name, date, time of ingress and egress, and (as to non-employees) the reason for their presence; such records shall be kept for a minimum of two years, or longer at the request of the Commission or law enforcement.

8. Data Security: The organization will adopt industry-standard data security protocols to protect sensitive information. Access controls will be established to ensure that only authorized personnel have access to sensitive information. Data backups will be performed regularly to ensure the integrity and availability of data in case of system failure or other incidents. Audio/video surveillance records shall be kept for at least 60 days, and longer upon the request of the Commission, its inspectors, or any law enforcement personnel. Audio/video recordings potentially reflecting an incident of actual or attempted diversion must be kept for the longer of a period of two years, or until resolution of the incident and

apprehension and discipline or prosecution of the individuals involved in the actual or attempted diversion.

9. Implement regular system updates and maintenance: Finally, to ensure that the IT system, security system, Metrc and Alabama Code § 36-12-46 remains up-to-date and effective, regular system updates and maintenance shall be implemented internally and through the service providers. This includes implementing security patches and updates, testing for system vulnerabilities, and providing ongoing technical support to users.

10. Training Employees: All employees involved in the use of the IT, security, Alabama Code § 36-12-46 and Metrc systems will receive appropriate training on the systems' use, policies, and procedures. Training will be provided at regular intervals, as per approved training standard operating procedures, to ensure that all employees are up to date on the latest information and procedures.

11. Conclusion: By implementing this IT plan, the Alabama Code § 36-12-46 and Metrc systems will be able to maintain accurate recordkeeping, comply with inventory protocols, and coordinate information and systems with vendors, customers, and others. Additionally, the facility will remain secure and prevent any exposure of any vulnerabilities through the IT infrastructure. This will help ensure that the medical cannabis industry in Alabama operates in a safe, secure, and compliant manner.

## **12.2 - Maintenance and Storage of Cannabis and Medical Cannabis**

1. Objective: The purpose of this written plan is to demonstrate how the Applicant has implemented procedures to control and audit inventory as well as securely store cannabis throughout all stages of production, destruction and sale, and limit access to cannabis activity areas to prevent diversion in compliance with the regulations set forth by the Alabama Medical Cannabis Commission (AMCC).

2. Scope: This plan applies to the Applicant who intends to conduct licensed to cultivate cannabis for medical purposes within the State of Alabama. This plan also applies to all employees, agents, and contractors associated with these licensed entities.

**3. Loss and Theft:** The management team, in conjunction with the security team, will launch an investigation where records and documents, including video surveillance footage, are reviewed for any breaches or unauthorized access. Law enforcement will be notified of any stolen funds/property immediately after the theft or loss is discovered, even if the stolen goods are recovered, and the Applicant will notify both the local police and the AMCC within 24 hours of the discovery of the event. The procedures are established through the approved loss and theft standard operating procedure (SOP). The policies and procedures surrounding loss and theft shall continuously be reviewed and updated as required, especially in the event of an occurrence.

**4. Employee & Visitor Access:** The facility is kept under strict access control via access control devices, such as fob readers, electric strikes, and key locks in order to limit the access to the site and various areas to individuals authorized to be there. The facility is designed to have layers of security, with higher-level authorizations required for the highest value areas, such as the storage area. The designated cannabis storage area is always kept secure via access control devices armed 24 hours/7 days a week. Employees will be provided with unique badges/fobs that they will use to swipe card readers to access certain areas of the facility. Employees will receive different levels of access to areas where cannabis is present based on their job duties. Any visitor or contractor must be pre-approved to visit by managing staff and must check-in using a visitor access log prior to entering any areas where cannabis is present. Visitors and contractors are provided security awareness training prior to entering the site. The Applicant's staff will provide the visitor with temporary access cards/fobs that they must swipe on card readers prior to entering or exiting each room. The cards/fobs issued to the visitor will only be used for tracking purposes and will not grant them access into any rooms. The visitor will be always accompanied by the authorized personnel while in the facility. Visitors are not permitted into cannabis storage areas unless absolutely necessary. Visitor fobs are retrieved prior to the visitors' departure from the site.

**5. Storage Measures:** The Applicant will ensure that the finished cannabis items are stored in a designated secure storage area(s) with access controls, visual surveillance and intrusion

detection monitoring the room at all times. The security devices will be maintained at all times and the system will be tested periodically to ensure proper function. The security measures and monitoring will be adequate based on the following:

- Quantity of cannabis items kept on-hand;
- The inventory system for tracking and distributing cannabis items;
- The number and type/roles of personnel that have access to the cannabis items;
- The geographic location of the business and associated environmental characteristics, such as the remoteness of the premises from local populations and the relative level of crime in the area;
- The scope and sustainability of the security alarm system; and
- The findings of root cause analyses of any breaches of security and/or inventory discrepancies for cannabis items at the premises.

6. Metrc Inventory Tracking System: The Applicant will at minimum utilize the Metrc inventory tracking system designated by the AMCC. The Applicant will utilize any plant tags, product identification tags, or stamps designated by the AMCC and pay any associated fees. The Applicant will conduct an initial comprehensive inventory of all cannabis in its possession, including cannabis available for cultivation, finished usable cannabis available for sale, immature and mature cannabis plants, and unusable/waste cannabis, at the facility on the date the Applicant first engages in cultivation of cannabis. The cannabis inventory will include damaged, defective, expired, or adulterated cannabis awaiting disposal, including the name, the quantity, and the reasons for which the Applicant is maintaining the cannabis. The initial comprehensive inventory will be reported to the AMCC via the Metrc inventory tracking system. The inventory controls and procedures will be established to conduct inventory reviews and comprehensive inventories of all produced, stored, usable, and unusable cannabis. Cannabis product inventories be updated at least on a daily basis and inventories are promptly transcribed. The Applicant authorized personnel will also conduct comprehensive monthly and annual inventory audits (at least once every year from the date of the previous audit) of batch reconciliation reports and of produced, stored, usable and unusable cannabis.

7. Starting Material: The Applicant will only acquire the reasonable initial and ongoing inventory of cannabis starting material (i.e., cannabis seeds, cuttings, clones, and immature cannabis plants) and paraphernalia. The Applicant will forecast sales and limit its inventory of cannabis starting materials and usable cannabis to reflect the current market needs of consumers.

8. Destruction of Cannabis: Any cannabis that is suspected to be contaminated will be immediately physically segregated from other cannabis in the secure storage and placed in the designated area within the secure storage to prevent its use and distribution pending disposition by the Quality Assurance Manager or authorized personnel. A quarantine sign will be placed on the affected cannabis. The disposition of the cannabis (e.g., destruction, rework) will be determined by the Quality Assurance Manager or authorized personnel based on the findings of the investigation. In the case where investigations conclude that the cannabis products, components, or materials have been contaminated or failed testing, or is returned as part of a recall, it will be stored with cannabis waste and destroyed or rendered unusable in a designated area. Any returned cannabis product as a result of a complaint will also be investigated and controlled.

**12.3 – Quality Control/Quality Assurance Plan. [PROVIDED AT EXHIBIT 22]**

As per the Application Guide for Cultivator Applicants, the Quality Control/Quality Assurance Plan is provided at Exhibit 22.

**12.4 – Contamination and Recall Plan. [PROVIDED AT EXHIBIT 23]**

As per the Application Guide for Cultivator Applicants, the Contamination and Recall Plan is provided at Exhibit 23.

**12.5 Criminal Activity Plan**

The purpose of this criminal activity plan is to outline the steps to be taken in the event of discovery of any criminal activity related to cannabis or medical cannabis within the possession and control of our cannabis cultivator business.

As a licensee, the Applicant will maintain at all times and review at least annually this written criminal activity plan. The plan will account for the safety of employees and others on the premises, reporting the criminal activity to proper authorities, steps to be taken for the preservation of cannabis or medical cannabis, and the reasonable efforts to maintain access to medical cannabis by those who depend on it. To prevent future criminal activity, the Applicant will review the security measures and determine whether any additional measures need to be taken to improve the security of the premises. This may include reviewing access controls, surveillance systems, and employee training. The Applicant will work closely with the security service provider to implement any necessary changes to the security measures and ensure that the premises remain safe and secure. The Applicant will be employing security personnel, including having at least one security guard present on site during operating hours.

To prevent criminal activity, employee background checks will be performed and thorough training will be provided to employees to recognize potentially criminal activities. Any employees who are involved in criminal activity on or off the premises shall be terminated immediately, locked out from all company software and filesharing platforms, and all access credentials retrieved or reset, as required, in order to maintain security at the site.

In the event of discovery of any criminal activity related to cannabis or medical cannabis within their possession and control, the Applicant will take the following steps:

Ensure the safety of employees and others on the premises: Ensuring the safety of employees and others on the premises is of paramount importance to the business. In the event of the discovery of any criminal activity related to cannabis or medical cannabis, the Applicant will immediately take steps to secure the area and ensure that no one is harmed or put in danger. This will involve notifying security personnel and the local authorities to request assistance in securing the premises.

Employees will be trained to identify and report any suspicious activity related to cannabis or medical cannabis. The Applicant will provide them with the necessary tools and resources to respond appropriately in case of any emergency situation involving criminal activity. This will include providing first aid and evacuation procedures. This is detailed further in the Emergency Procedures/Disaster Plan of this Exhibit.

In the event of any criminal activity, the Applicant will also take steps to ensure the safety of patients and their caregivers. The Applicant will provide them with information about the incident and any steps that are being taken to address it. Additionally, the Applicant will work with processor partners and dispensaries to ensure that they continue to have access to medical cannabis during this time, as they may require.

Notify law enforcement authorities: The discovery of any criminal activity related to cannabis or medical cannabis within the possession and control of the Applicant will trigger an immediate notification to the appropriate law enforcement authorities, including the Alabama State Bureau of Investigation and the local police department. The Applicant will cooperate fully with the authorities and provide all necessary information and access to the premises.

The Applicant will also report any criminal activity to the AMCC in accordance with the reporting requirements provided in legislation, further outlined in the Reporting Requirements section of this Criminal Activity Plan in this Exhibit.

Preserve evidence: the Applicant will take all necessary steps to preserve the cannabis or medical cannabis involved in the criminal activity. This will include labeling and securing the evidence and preventing any tampering or contamination of the evidence.

The Applicant will also be developing detailed procedures for handling evidence related to criminal activity. These procedures shall be designed to ensure that the evidence is preserved properly and can be used effectively in any legal proceedings. The procedures will be clearly outlined, and will establish the method in which the Applicant will ensure the handling and storage of evidence related to criminal activity. The evidence will be assigned a responsibility to a responsible person under the Applicant. The procedures will also establish company standards for storing the evidence in a secure manner.

Maintain access to medical cannabis: The Applicant recognizes the importance of maintaining access to medical cannabis for patients who rely on it. In case of criminal activity, they will make reasonable efforts to ensure patients have access to medical cannabis. This will involve establishing relationships with other licensed cultivators and

dispensaries, maintaining sufficient inventory, and collaborating with patient advocacy groups. The Applicant will inform patients about any disruptions caused by criminal activity and provide them with information about alternative sources of medical cannabis.

Reporting Requirements: Reporting any criminal activity related to cannabis or medical cannabis is an important part of the responsibility as a licensee of the Alabama Medical Cannabis program. This will include providing detailed information about the incident, including the date and time it occurred, the type and quantity of cannabis involved, and any other relevant details. As per requirements outlined in legislation, criminal activity related to theft, diversion, or other loss of cannabis products will be reported to law enforcement and the AMCC as early as possible and not more than 24 hours from the event or its discovery. Audio/video surveillance records will be retained **Alabama Code § 36-12-40**. Records pertaining to facility access will be retained **Alabama Code § 36-12-40**. These records will hold information such as individual's' name, date, time of ingress and egress, and reason for presence if they are under 18. The records are able to be kept longer if required by law enforcement or the AMCC.

As per requirements outlined in legislation, criminal activity related to theft, diversion, or other loss of cannabis products will be reported to law enforcement and the AMCC as early as possible and not more than 24 hours from the event or its discovery. To ensure that the Applicant is meeting all reporting requirements, the Applicant will review and update the reporting procedures on a regular basis. The Applicant will ensure that all employees and contractors are aware of their reporting obligations and are trained on how to report any suspicious activity related to cannabis or medical cannabis. The Applicant will work closely with law enforcement authorities and the AMCC to ensure that the Applicant is meeting all reporting requirements and are taking appropriate measures to mitigate any risks related to criminal activity involving cannabis or medical cannabis.

### **12.6 – Emergency Procedure and Disaster Plan**

The Applicant understands the importance of having a clear written Emergency Procedures and Disaster Plan. This plan will outline the steps the Applicant will take to ensure the safety



of employees and others on their premises, the preservation of cannabis and the reasonable efforts to maintain access to cannabis by those who depend on it, in the event of any reasonably foreseeable emergency or natural disaster that may affect their business.

Identification of Emergency and Disaster Scenarios: The Applicant will identify potential emergency and disaster scenarios that may affect the business, including natural disasters such as hurricanes, floods, and earthquakes, as well as man-made disasters such as fires, chemical spills, and criminal activity. The Applicant will evaluate the likelihood and potential impact of each scenario to determine the appropriate response.

Ensure the safety of employees and others on the premises: Ensuring the safety of employees and others on the premises is of paramount importance to the business. In the event of the discovery of any emergency or disaster that may affected the business, the Applicant will immediately take steps to secure the area and ensure that no one is harmed or put in danger. This will involve notifying security personnel and the appropriate local authorities to request assistance in securing the premises, along with ensuring the safety of all persons on the premises. There will be responsibilities assigned to management to ensure that headcounts are done in the event of an emergency or disaster. Employees will be directed by management based on the recommendations of local authorities, whether to evacuate the premises or shelter in place. Emergency supplies will be kept on site, which shall include food, water, first aid kits, and other essential items.

Emergency Response Team: The Applicant will establish an Emergency Response Team that will be responsible for coordinating the response to any emergency or disaster scenario. The Emergency Response Team will consist of lead individuals from various departments within their organization, including management and security, and will be responsible for implementing the Emergency Procedures and Disaster Plan. The Emergency Response Team Leader will be responsible for overseeing effective communication with employees, customers, and stakeholders.

Communication Plan: The Applicant will establish a Communication Plan that will outline how they will communicate with their employees, customers, and other stakeholders in the event of an emergency or disaster scenario. The Communication Plan will identify the individuals responsible for communicating with different groups and the methods of communication that will be used. Emergency Response Team members will be effectively

trained in using various communication channels in the event of an emergency. This will include telephonic communication, sirens, lights, and emergency codes.

Coordination with Local Emergency Responders: Communication with local emergency responders will be required as part of the Communication Plan. The Applicant will implement procedures for contacting local emergency responders, such as police and fire departments. The Applicant will also have in place a coordination procedure with local emergency responders, whereby the Applicant will have established coordination with the responders to ensure that the responses to emergency and disasters are prompt. The Emergency Response Team leader will be responsible for coordinating and communicating with emergency responders. Joint training exercises will be conducted to ensure synchronicity between the Applicant team and local emergency responders. As part of the coordination, local emergency responders will be provided access to the premises, with procedures in place to provide the emergency responders to access to the facility in the event of an emergency or disaster.

Evacuation Procedures: The Applicant will establish Evacuation Procedures in compliance with local fire code that will outline the steps that will be taken to evacuate their premises in the event of an emergency or disaster scenario. The Evacuation Procedures will identify the evacuation routes and assembly areas and will specify the procedures for accounting for all employees and visitors.

Preservation of Cannabis and Medical Cannabis: The Applicant will establish procedures for preserving cannabis in the event of an emergency or disaster scenario. This will include identifying the areas within their premises where cannabis will be stored and securing these areas to prevent damage or theft. Inventory tracking measures in place will ensure that cannabis is traceable wherever it may be on the Applicant's premises. Secure storage protocols will ensure that the risk of theft is mitigated through securing cannabis in designated secure storage locations.

Maintain access to medical cannabis: The Applicant recognizes the importance of maintaining access to medical cannabis for patients who rely on it. In case of emergencies or disasters, they will make reasonable efforts to ensure patients have access to medical cannabis. This will involve establishing relationships with other licensed processors and dispensaries, maintaining sufficient inventory, and collaborating with patient advocacy

groups. The Applicant will inform customers about any disruptions caused by emergencies or disasters, and provide them with information about alternative sources of cannabis, as needed.

Regular Review: The Applicant will review their Emergency Procedures and Disaster Plan on a regular basis, once per annum at a minimum, to ensure that it remains up-to-date and effective. The Applicant will also conduct regular drills and exercises to test the effectiveness of their plan and identify areas for improvement. Training and retraining is provided to employees as required upon updating any plans or protocols established by the Applicant.

Training: Employees will be trained regularly to ensure that they are sufficiently trained in the event of an emergency or disaster. Having a clear written Emergency Procedures and Disaster Plan is essential to ensuring the safety of employees and others on their premises, as well as the preservation of cannabis, and the reasonable efforts to maintain access to medical cannabis by those who depend on it, in the event of an emergency or disaster scenario. The Applicant will take all necessary steps to ensure that their Emergency Procedures and Disaster Plan is up-to-date and effective, and that the Applicant is prepared to respond to any emergency or disaster scenario that may affect their business.

### **12.7 - Alcohol, Smoke, and Drug Free Workplace Policy**

Overview: The Applicant is committed to providing a safe and healthy work environment for all employees. To achieve this goal, we have established the following policy for a Smoke-Free, Alcohol-Free, and Drug-Free Workplace. This policy applies to all employees and candidates for employment, as well as customers, patrons, contractors, and visitors, with the management team responsible for its enforcement. Compliance with this policy is a condition of employment.

#### Definitions

**Illegal or controlled substances:** any drug that is not lawfully obtainable, obtained through illegal means, or obtained lawfully but being used in violation of the law. This encompasses prescription drugs, including medical cannabis, that are not being utilized for their intended purposes or being consumed by individuals for whom they were not prescribed or in excessive quantities.

**Refuse to cooperate:** any attempt to obstruct the collection or testing process, including submission of an altered, adulterated, or substitute sample, failure to show up for a scheduled test, refusal to complete required drug testing forms, or failure to provide specimen(s) for testing when directed to do so without a valid medical reason.

**Under the influence of alcohol:** having an alcohol concentration equal to or greater than .04, or displaying behavior, appearance, speech, or bodily odors that lead a supervisor to conclude that an employee's impairment is due to alcohol use.

**Under the influence of drugs:** a confirmed positive test result for illegal drug use in accordance with this policy, or the misuse of legal drugs, including prescription and possibly over-the-counter medications, when there is no valid prescription from a physician for the lawful use of the drug as part of medical treatment. The medication containers must include the patient's name, the name of the substance, the quantity/amount to be taken, and the period of authorization.

Employee Support: Applicant understands the seriousness of addiction and aims to support employees who seek assistance voluntarily. This support includes the use of accrued paid time off, leaves of absence, referral to treatment providers, or accommodation as required by law. However, safety-sensitive job holders or drivers who violate this policy previously or are undergoing prescribed treatment must document their successful compliance with prescribed treatment and pass follow-up tests.

### Work Rules

- Smoking or vaping of any tobacco product is restricted to designated outdoor smoking areas on all Applicant property. Smoking and non-smoking areas will be identified with proper signage.
- The use of illegal or controlled substances, intoxicants, and alcohol by employees, as well as the buying, selling, manufacturing, distributing, or possessing of illegal and non-prescribed substances, is strictly prohibited while working on the premises.
- Employees are prohibited from reporting to work or engaging in any work-related activities while under the influence of alcohol or any illegal or controlled substance or while impaired due to the misuse of prescription or over-the-counter medication.

- Employees who observe other employees who are or may be under the influence of illegal or controlled substances or alcohol during business hours should report such conduct immediately to Applicant.
- Applicant reserves the right to conduct inspections of all areas of its premises to identify any presence of drugs, alcohol, or other contraband. Employees found to possess such contraband or those who refuse to cooperate in these inspections are subject to appropriate disciplinary action.
- Applicant reserves the right to conduct drug testing pre-employment, in cases with reasonable suspicion, or post-accident. Individuals subject to drug testing will be transported to a designated testing facility. Positive drug test results will be confirmed by a federally certified laboratory and reviewed by a medical review officer.
- Any employee who is convicted of, pleads guilty to, or is sentenced for a crime involving an illegal drug must report the conviction, plea, or sentence to management within five days.

**Consequences and Enforcement**

Consequences for violating this policy include disqualification from employment consideration, termination, and/or any other disciplinary action as deemed necessary. This policy is enforced by management, who is responsible for interpretation, administration, and enforcement. At Applicant, we ensure any testing procedures are conducted professionally and accurately, and we take measures to protect the privacy and confidentiality of our employees throughout the process.

**Certificate of Receipt**

*I hereby certify that I have received a copy of Applicant’s Alcohol, Smoke, and Drug-Free Policy.*

Employee Name: \_\_\_\_\_ Date: \_\_\_\_\_

Employee Signature: \_\_\_\_\_

**12.8 Employee Safety Plan**

Overview: At Applicant, we prioritize the safety and well-being of our employees. We have developed a comprehensive safety plan that complies with the Occupational Safety and Health Administration (OSHA) standards for our cannabis cultivation facility in Alabama.

This safety plan outlines our commitment to ensuring that our employees work in a safe environment that minimizes risks to their health and safety.

Hazard Communication: Applicant is committed to communicating workplace hazards to all employees to minimize risks to their safety and health. All employees will receive training on the hazards present in Applicant and the proper safety measures to take to prevent accidents and injuries. Material Safety Data Sheets (MSDS) will be available for all chemicals used in the facility, and employees will receive training on the appropriate use of personal protective equipment (PPE) when working with hazardous chemicals.

Electrical Safety: Applicant recognizes the potential hazards associated with electricity and is committed to implementing safety measures to prevent electrical accidents. Electrical equipment will be regularly inspected to ensure they are in good working condition, and employees will receive training on electrical safety protocols. Electrical hazards will be clearly marked and identified, and only trained personnel will be allowed to work on electrical equipment.

Ergonomics: We understand the importance of ergonomics in reducing the risk of work-related musculoskeletal disorders (WMSDs). Applicant has implemented a program that emphasizes proper body mechanics, ergonomically designed workstations, and equipment to reduce the risk of injury. Employees will receive training on ergonomic principles and will be encouraged to report any discomfort or pain associated with their workstations immediately.

Equipment Safety and Maintenance: An approved procedure for the operation, safety, cleaning, and maintenance of each equipment will be available at all times to employees and the Commission. All new equipment is evaluated for safety and appropriateness of use by management and the quality team before approval for use in the facility. Employees shall receive all necessary and proper training on any equipment used at the facility, with periodic re-training.

Hazardous Waste: Applicant produces hazardous waste that must be handled and disposed of properly. We will ensure that all hazardous waste is properly labeled, stored, and disposed of according to OSHA and Environmental Protection Agency (EPA) regulations. Employees will receive training on the proper handling and disposal of hazardous waste, and they will have access to appropriate PPE when handling hazardous materials.

Fire Safety: Applicant recognizes the importance of fire safety and has implemented measures to prevent fires and ensure employee safety in case of an emergency. Fire extinguishers will be located throughout the facility, and employees will receive training on the proper use of fire extinguishers. Applicant will have an evacuation plan in case of a fire emergency, and all employees will receive training on the evacuation plan.

Personal Protective Equipment (PPE): Applicant is committed to providing appropriate PPE to employees to ensure their safety while working in the facility. PPE will be provided based on the hazards present in the workplace, and employees will receive training on the proper use, care, and maintenance of PPE. PPE will be regularly inspected to ensure they are in good condition and are being used correctly.

Security: Applicant recognizes the importance of maintaining a secure workplace for the safety of our employees. We will have security measures in place to prevent unauthorized access to the facility, and employees will be trained on our security protocols. Applicant will have a visitor policy to ensure that visitors are identified and always escorted.

#### Injury and Illness Prevention

Applicant is committed to preventing workplace injuries and illnesses. We will have a program in place that emphasizes injury and illness prevention, including regular safety inspections and hazard assessments. Applicant will also have a system for reporting workplace injuries and illnesses, and employees will receive training on the reporting system.

Reporting: All employees are expected to report any safety concerns, hazards, incidents, or injuries immediately to their supervisor or the designated safety officer. This includes reporting any violations of the safety plan, as well as any near misses that could have resulted in injury or damage. Employees can report anonymously if they wish to do so, and there will be no retaliation for making a report in good faith. Regular safety meetings will be held to review incident reports and identify opportunities for improvement in the safety plan.

Compliance and Enforcement: All employees must adhere to the policies and procedures outlined in this plan or face disciplinary action, including termination. Management will review and update this plan to meet OSHA standards. Employees must report any safety hazards or violations to their supervisor or the safety officer. Failure to comply or report

hazards may result in disciplinary action, up to and including termination. Applicant will conduct safety audits and investigate accidents to prevent future occurrences.

## 12.9 – Confidential Information and Cyber Security Plan

1. Introduction: This Confidential Information and Cybersecurity Plan is designed to establish protocols and guidelines for safeguarding all sensitive information and records that are required to be confidentially maintained by the Applicant, in compliance with AMCC laws and regulations as well as ISA/IEC 62443 standards. This Plan outlines measures that will be implemented to maintain confidentiality, integrity, and availability of information communicated interpersonally, kept physically, or stored virtually.
2. Scope: This Plan applies to all employees, contractors, and vendors who have access to sensitive information and records at the Applicant company, whether accessed in person, electronically, or by any other means. The scope of this plan includes all aspects of the facility's information security and cybersecurity posture. This includes but is not limited to physical security, network security, access control, data protection, incident response, and employee training.

3. Definitions:

**Sensitive information and records:** Information and records that are confidential and must be maintained as such, including but not limited to financial information, personal data, trade secrets, intellectual property, and legal documents.

**Virtual/cyber security:** The measures that are taken to protect electronic documents and records from unauthorized access, hacking, or data breaches, following guidance from the ISA/IEC 62443-3-3 standard.

**Interpersonal communication:** The exchange of confidential information and records in person, via phone, email, or any other means, following the ISA/IEC 62443-2-2 and 62443-3-2 standards.

4. Information and records will be classified based on their sensitivity and importance into the following categories:
  - Public: Information that is not confidential and can be freely shared.
  - Internal: Information that is intended for internal use only and should not be shared outside of the company.



- Confidential: Information that is sensitive and must be kept confidential. This includes personal information, financial information, trade secrets, and other proprietary information.

5. Confidential Information and data will be managed according to the following:

- **Alabama Code § 36-12-40**  
[Redacted]  
[Redacted]
- [Redacted]  
[Redacted]  
[Redacted]  
[Redacted]
- [Redacted]  
[Redacted]  
[Redacted]
- [Redacted]  
[Redacted]  
[Redacted]
- [Redacted]  
[Redacted]

6. Cybersecurity measures to protect confidential information and records from threats:

- **Alabama Code § 36-12-40**  
[Redacted]  
[Redacted]
- [Redacted]  
[Redacted]
- [Redacted]  
[Redacted]
- [Redacted]  
[Redacted]
- [Redacted]  
[Redacted]

8. Incident Response procedures for incidents that may compromise the confidentiality of information and records will include:
- Preparation of an incident response plan to be used in the event of a breach or suspected breach of confidential information, **Alabama Code § 36-12-40** [REDACTED]
  - The incident response team will include representatives from IT, legal, and management.
  - The incident response plan will include procedures for identifying, containing, and mitigating the breach, as well as notifying affected parties and authorities.
  - The incident response plan will be reviewed and updated annually or as needed.
9. Compliance audits will be conducted to ensure that this plan is being followed and that confidential information and records are being handled securely.
- Review of physical security and cybersecurity measures.
  - Review of incident response procedures.
  - Any non-compliance issues will be addressed immediately and reported to management.
  - Any changes to this Plan will be reviewed and approved by management.
10. Training will be provided to all employees, contractors, and vendors who have access to confidential information on the proper handling and communication of sensitive information, **Alabama Code § 36-12-40** [REDACTED].
- They will be required to sign a confidentiality agreement, acknowledging their understanding of and commitment to maintaining the confidentiality of sensitive information.

Conclusion: This Confidential Information and Cybersecurity Plan is designed to protect all sensitive information and records maintained by the Applicant. It outlines measures that will be implemented to maintain confidentiality, integrity, and availability of information communicated interpersonally, kept physically, or stored virtually. All employees, contractors, and vendors who have access to confidential information must comply with this Plan.

**12.10 – Cannabis Destruction Plan**

Introduction: The SOP plan for cannabis destruction and disposal will provide a guideline on the safe destruction of cannabis waste that is collected within the facility, outline the record keeping practices, and ensure the facility’s upkeep by thorough and robust procedures and schedules.

Definitions: Cannabis Waste: All unwanted cannabis material derived from cultivation or production process which includes all parts of any plant of the genus cannabis, whether growing or not, including the seeds, extractions of any kind from any part of the plant, and every compound, derivative, mixture, product, or preparation of the plant.

Record Keeping Software: Software used to track the movement of all incoming, outgoing, and destroyed cannabis within the facility. The Applicant will use GrowerIQ software as well as the Statewide Seed-to-Sale (Metrc) Tracking System for recordkeeping.

Cannabis Waste Destruction and Disposal:

the Applicant will regularly destroy cannabis waste or render it unusable following prescribed standard operating procedures. Employees will wear appropriate PPE to carry out this activity. **Alabama Code § 36-12-40**

[REDACTED]

[REDACTED] **Alabama Code § 36-12-40** will be used to maintain a list of cannabis materials to be destroyed and reconcile the destroyed cannabis materials once destruction is completed to ensure all components destroyed are accounted for. This information will also be used and imported into the Metrc tracking system to ensure all records and inventory changes are logged. Prior to destruction, a member of the Quality Assurance team must verify and authorize the cannabis awaiting destruction list. Once approved, authorized staff will commence the destruction procedure. The cannabis awaiting destruction will be transferred to plastic containers where it will be shredded or crushed and then mixed with **Alabama Code § 36-12-40** and **Alabama Code § 36-12-40**. Each component will be mixed thoroughly. This process renders a mixture that is no longer considered a controlled substance and hence will be disposed with the facility’s

regular municipal waste by following appropriate federal, state, and municipal regulations related to disposal of waste substances. The date, quantities, and identifying information of the destroyed cannabis is recorded in the **Alabama Code § 36-12-40** software and the Metrc tracking system. Denatured cannabis will be stored in waste containers in an isolated area, labelled with the destruction date, description, and net weight, and stored **Alabama Code § 36-12-40** until disposition arrangements are completed. Any cannabis awaiting destruction that must be held for longer periods of time will be stored **Alabama Code § 36-12-40** within an isolated area of the approved cannabis storage room until their scheduled date of destruction. Cannabis awaiting delayed destruction will be reweighed on the day of destruction. If there is a significant discrepancy in weight, then an investigation will be initiated to identify the cause.

Waste Disposal: Dedicated containers will be available to collect any hazardous or industrial waste at the site, as needed. Employees will be trained in disposing of this waste in appropriate containers. The Quality Assurance Manager (QAM) will approve the hazardous material disposal procedure ensuring it is in line with the local, state and federal regulations. Staff involved in cannabis destruction will be trained on these procedures and a certificate of completion will be required for all trained employees.

Wastewater will be disposed in the floor drains. The QAM will ensure the wastewater generated by the Applicant is compliant with the municipal, state, and federal regulations for wastewater. If deemed necessary, the Applicant will treat the wastewater before disposal to achieve compliance. If a change in activities at the site has the potential to affect the quality of wastewater being generated, the QAM will perform a risk assessment of the disposal process and implement additional measures to achieve compliance.

All tools and equipment used in the process of cannabis destruction will be set aside for cleaning. Only chemical agents approved by the Quality Assurance team will be used to sanitize the tools, equipment, and destruction area. Sanitary protocols in place will ensure that there would be no contamination of cannabis or any ingredient used in the production of cannabis.

Record Keeping:

Employees involved in the various phases of destruction will identify themselves on the **Alabama Code § 36-12-40** system and on labels using their initials. Destruction will occur as frequently as

required to ensure waste is not a source of cross contamination of cannabis at the site. All records related to destruction will be filled, collected, input into the Metrc tracking system, and stored on the secure network of the facility. All records related to destruction will be retained for two (2) years; audio/videos will be kept for at least 60 days.

Inventory Reconciliation:

Inventory will be reconciled to ensure that all cannabis is accounted for at each stage of production. All cannabis waste destruction will be reconciled for each batch of cannabis produced following the applicable standard operating procedures. Alabama Code § 36-12-40 software, along with physical inventory counts and other batch records, etc. will be compared to determine whether there are any discrepancies. Any deviations will be reviewed by the Applicant, communicated with relevant authorities, and investigated following standard operating procedures. Quarterly inventory counts will be employed in order to ensure accurate inventory data is reflected in the inventory tracking softwares.

**12.11 – Security Plan. [PROVIDED AT EXHIBIT 18]**

As per the Application Guide for Cultivator Applicants, the Security Plan is provided at Exhibit 18.

**12.12 – Grow Plan.**

**Grow Method:** The Applicant has planned for a robust indoor organic cultivation operation through propagation of clones from mother plants. The movement of cannabis through all the stages of production up to bulk dried flower in at the cultivation facility shall involve the following steps:

Alabama Code § 36-12-40  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

**Alabama Code § 36-12-40**

[Redacted text block containing multiple paragraphs of blacked-out content]

**Alabama Code § 36-12-40**

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

**Strain Selection:** Strains shall be chosen that are suitable for indoor cultivation and that have desirable qualities such as high yield, potency, and resistance to pests and diseases. Each strain's growth characteristics, yield potential, cannabinoid and terpene profiles, and suitability for medical purposes shall be considered. The Applicant proposes [Redacted] medical cannabis strains which may be selected pending approval by the **Alabama Code § 36-12-40**

[Redacted] Each of these strains were selected for their unique growth characteristics, cannabinoid and terpene profiles, and therapeutic potential. **Alabama Code §**

[Redacted]

[Redacted]

[Redacted] By growing a variety of strains with different cannabinoid and terpene profiles, the Applicant can offer a wider range of products and cater to the specific needs of patients. The Applicant ensures that they shall only cultivate cannabis cultivars that are approved by the Commission prior to obtaining the plant material. The selected cultivars shall not be derived from hemp or industrial hemp as defined by Alabama Administrative Code Rule 80-10-21-.02 (19). Each selected cultivar must be derived from cannabis plants and have a high likelihood of producing medical cannabis.

**Grow Area Design:** The Applicant shall cultivate cannabis in compliance with the Ala. Admin. Code r. 80-14-1-.06. All cannabis shall be cultivated indoors in an enclosed structure. Each cannabis plan or batch of cannabis plants shall be cultivated in individual pots containing soil in order to ensure portability, limit cross-contamination, and facilitate proper monitoring of each plant. Each plant shall be identifiable and traceable using plant tags from the state-approved seed-to-sale tracking system, Metrc. Multiple plants may share grow

trays, but each plant shall have its own individual container for soil and unique plant tag for identification. There shall be no cultivation outdoors or directly in the ground. The Applicant shall utilize a multi-tier grow rack system designed to maximize the use of space and ensure that each plant has enough room to grow. There are 2 Flower Rooms with 2-tier racking systems for full-grown plants, a Vegetative Room with a 3-tier racking system for intermediate plants in vegetative state, and a Mother / Clone Room which shall use a combination of 2-tier racks for the mothers and 4-tier racks for cuttings / clones. There are also dedicated areas for Trimming / Processing, Drying / Curing, Storage, and Irrigation.

Plant Count:

Alabama Code § 36-12-40  
[Redacted]  
[Redacted]  
[Redacted]  
[Redacted]  
[Redacted]  
[Redacted]

The Applicant will use the Alabama Code § 36-12-40 which is a racking system Alabama Code § 36-12-40. The system provides Alabama Code § 36-12-40 airflow to plants and fully draining grow trays Alabama Code § 36-12-40. The grow racks include Alabama Code § 36-12-40 with anti-microbial properties and are equipped with Alabama Code § 36-12-40.

**Drip Irrigation System for Cannabis Grown in Soil Pots:** A drip irrigation system is a method of delivering water and nutrients directly to the root zone of plants in soil pots. This method supports organic farming practices and allows for greater control over the nutrient intake of each cannabis plant and reduces the risk of overwatering. Here are the components of the Applicant’s planned drip irrigation system and how it works:



**Alabama Code § 36-12-40**

[Redacted text block containing multiple paragraphs of information under Alabama Code § 36-12-40]

**Alabama Code § 36-12-40**

[Redacted text block containing multiple paragraphs of information under Alabama Code § 36-12-40]

**LED Lighting:** LED lights are energy-efficient, long-lasting, and can be tailored to the specific needs of each plant through spectrum control. The proposed grow rack system incorporates

LED lights are placed strategically to ensure that each plant receives enough light for optimal growth. Considerations for the lighting system:

**Alabama Code § 36-12-40** [REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

Plants shall be monitored closely, and the lighting schedule shall be adjusted as needed based on their growth and development. The Applicant will use **Alabama Code § 36 12 40** in all grow areas. The **Alabama Code § 36-12-40** will be used for clones, the **Alabama Code § 36 12 40** model will be used for mother and veg plants, and the **Alabama Code § 36 12 40** model will be used for flowering plants.

**Plant Containers:** Containers that are appropriate for each size and growth stage of the plants were selected. Each container should be clearly labeled and traceable. **Alabama Code § 36 12 40**

[REDACTED] **Alabama Code § 36-12-40**  
[REDACTED]. Seed-to-sale tracking is a regulatory requirement for cannabis cultivation facilities, and it is essential for ensuring compliance and traceability. **Alabama Code § 36-12-40**

[REDACTED] **Alabama Code § 36-12-40**  
[REDACTED]

[REDACTED] The state-approved Metrc seed-to-sale tracking system will be used for tracking all cannabis plants from seed to sale. A plant tag with a unique barcode is assigned to each tray of clones or veg plants. **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** [REDACTED]. This allows for tracking of its growth and movement throughout the facility. **Alabama Code § 36-12-40** [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED] This ensures that all cannabis at the site is properly accounted for and can be traced back to its origin. **Alabama Code § 36-12-40** [REDACTED]

[REDACTED]. With seed-to-sale tracking and plant

tagging, the cultivation facility can ensure that all cannabis is traceable and compliant with regulatory requirements. The Metrc system provides real-time tracking of all plants and products, allowing for easy monitoring and reporting.

**HVAC and Air Filtration System:** The Applicant’s HVAC and air filtration system is designed for maintaining proper temperature, humidity, ait circulation, air quality and preventing mold and mildew growth in the cannabis cultivation facility. Here are the details on how to design and implement an HVAC and air filtration system:

**Alabama Code § 36-12-40** [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

**Alabama Code § 36-12-40**  
[Redacted text block]

**Environmental Conditions:** Sensors will be used to monitor the temperature, humidity, and CO2 levels in the cannabis containing areas. Conditions shall be adjusted as needed to optimize plant growth. The following proposed temperature, humidity, and CO2 levels will be used in the grow areas, drying areas, and storage areas:

**Alabama Code § 36-12-40**  
[Redacted text block]

**Alabama Code § 36-12-40**

[REDACTED]

It is important to note that maintaining optimal temperature, humidity, and CO2 levels is crucial for maximizing yield and quality in cannabis cultivation. Regular monitoring and adjustments shall be made to ensure that these conditions are maintained throughout the production process.

**12.13 – Engineering Plans and Specifications. [PROVIDED AT EXHIBIT 17]**

As per the Application Guide for Cultivator Applicants, the Engineering Plans and Specifications is provided at Exhibit 17.

# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a “Redacted Copy” of the following document associated with their application. Below are the grounds for redactions.

In accordance with Alabama Code § 36-12-40, the redaction of certain information from a public-facing document is necessary to protect the privacy, security, and intellectual property rights of individuals and businesses. This measure ensures the safeguarding of sensitive data from unauthorized access or misuse. The redactions applied in this document herein are based on the following grounds:

1. **Confidential Personal Information:** Redaction is required to protect the privacy of individuals whose personal information may be contained within the document. This may include, but is not limited to, Social Security numbers, driver's license numbers, addresses, phone numbers, dates of birth, and medical records. Alabama Code § 36-12-40 emphasizes the importance of maintaining the privacy of citizens by preventing the disclosure of such information.
2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 13 – Policies and Procedures Manual

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Verification Date

### **13.1 – Policies and Procedures Manual**

The Applicant has developed a Policies and Procedures Manual consisting of a comprehensive set of standard operating procedures (SOP) for the operation of our cannabis cultivation site, which covers all aspects of quality assurance, operations, security, production, quality management system, and record keeping. Our SOP set is designed to meet all the requirements of the state cannabis laws and regulations, and we believe it will enable us to produce high-quality cannabis products while ensuring safety and compliance.

#### **Drafting, Review, Approval and Periodic Reviews**

The drafting, review, and approval process for SOPs is critical to ensuring that the procedures are effective, efficient, and compliant with all regulatory requirements. The following is an overview of the typical process for drafting, reviewing, and approving SOPs, including periodic reviews.

##### **Drafting:**

The drafting process for SOPs typically involves a team of subject matter experts who are responsible for developing the procedures based on best practices, regulatory requirements, and industry standards. The team may include individuals from various departments, including operations, quality assurance, and regulatory compliance. The team will work together to draft the procedures, which may involve reviewing existing procedures, conducting research, and identifying gaps or areas for improvement.

##### **Reviewing:**

Once the draft SOPs have been developed, they are typically reviewed by a group of stakeholders who have expertise in the subject matter. This may include internal stakeholders, such as senior management, quality assurance personnel, and operations personnel, as well as external stakeholders, such as regulatory agencies or consultants. The purpose of the review is to ensure that the procedures are clear, concise, and compliant with all applicable laws and regulations.



**Approval:**

Once the SOPs have been drafted and reviewed, they are typically subject to an approval process. The approval process may involve multiple levels of management, starting with departmental approval and proceeding to senior management approval. The approval process ensures that the procedures have been thoroughly reviewed and vetted, and that they are aligned with the organization's goals and objectives.

**Periodic Reviews:**

Periodic reviews are critical to ensuring that the SOPs remain effective and compliant with changing regulatory requirements, technological advances, and industry best practices. SOPs shall be reviewed on a regular basis, typically every 12 to 24 months, to ensure that they remain current and relevant. The review process shall involve a team of subject matter experts who will evaluate the procedures and make recommendations for updates or revisions. The periodic review process shall be documented to demonstrate compliance with regulatory requirements and to ensure that the procedures remain effective.

The Applicant's SOPs are divided into the following key areas and are attached to the end of this document:

**Quality Assurance**

Our quality assurance SOPs are designed to ensure that all of our medical cannabis meet the highest standards of quality and safety. We will establish a quality control program that includes regular inspections of our cultivation site, sampling and testing of our cannabis, and the implementation of corrective actions when necessary. We will also maintain detailed records of all quality control activities to demonstrate compliance with state cannabis laws and regulations.

**Operations**

Our operations SOPs cover all aspects of our cannabis cultivation site, including equipment and facilities, personnel training, and pest control. We will ensure that all equipment and facilities are regularly inspected and maintained to prevent contamination or other

hazards. All personnel will receive comprehensive training in cultivation techniques, safety procedures, and compliance with state cannabis laws and regulations. We will implement a pest management program to prevent and control any pests that may pose a threat to our cannabis plants.

### **Security**

Our security SOPs are designed to prevent unauthorized access to our cannabis cultivation site and ensure the safety of our employees and visitors. We will implement strict security measures, including access controls, surveillance cameras, and alarm systems. All employees will undergo background checks before being allowed on the site, and we will implement strict procedures for the handling and transportation of cannabis products to prevent theft or diversion.

### **Production**

Our production SOPs cover all aspects of cannabis cultivation, including seed selection, propagation, and harvesting. We will use only high-quality cannabis seeds from reputable sources and implement strict protocols for propagation, including the use of sterile environments and controlled lighting and temperature. We will monitor plant growth and development closely to ensure optimal yield and quality, and we will use only organic, non-toxic fertilizers and pest control measures. Our harvesting procedures will ensure the proper drying, curing, and storage of our cannabis to maximize quality and safety.

### **Quality Management System**

Our quality management system SOPs cover all aspects of our cannabis cultivation site, including the establishment of a quality policy, the identification of quality objectives, and the implementation of continuous improvement measures. Our quality policy reflects our commitment to producing high-quality medical cannabis that meet or exceed all state cannabis laws and regulations. We will identify quality objectives that support our quality policy and establish metrics to measure our performance against these objectives. We will implement continuous improvement measures to ensure that our processes and products are continually improving.

**Record Keeping**

Our record-keeping SOPs cover all aspects of our cannabis cultivation site, including the maintenance of detailed records of all activities, including cultivation, quality control, security, and compliance. We will maintain records of all cannabis plants, including the strain, growth phase, and harvest date, to ensure traceability and compliance with state cannabis laws and regulations. We will also maintain records of all quality control activities, including testing results and corrective actions taken, and records of all security incidents, including theft or unauthorized access. Our record-keeping procedures will enable us to demonstrate compliance with state cannabis laws and regulations and provide a basis for continuous improvement. Our record-keeping practices include the logging of all cannabis movement from seed-to-sale using our approved in-house, cannabis-specialized inventory tracking software and the state mandated seed-to-sale inventory tracking software. In conclusion, our SOP set covers all aspects of cannabis cultivation and enables us to produce high-quality cannabis products while ensuring safety and compliance with state cannabis laws and regulations. We are committed to implementing these SOPs and maintaining the highest standards of quality and safety in all aspects of our operations. We look forward to the opportunity to serve the cannabis market and contribute to the growth of the cannabis industry in compliance with all applicable laws and regulations.

We understand that compliance with state cannabis laws and regulations is of utmost importance, and we have designed our SOP set to meet or exceed all requirements. We will continually review and update our SOPs to ensure that they reflect the latest industry best practices and comply with any changes in state cannabis laws and regulations. We are confident that our cannabis cultivation site will be a valuable asset to the cannabis industry and contribute to the growth and success of the industry. We are committed to providing high-quality cannabis products that meet the needs and expectations of our customers while ensuring compliance with all applicable laws and regulations. We appreciate your consideration of our cannabis license application and look forward to the opportunity to serve the cannabis market in compliance with state cannabis laws and regulations.

**Review, Updates, and Revisions**

An SOP set is a living set of documents that are continuously revised and updated throughout operations over time. This is because SOPs are designed to provide guidance on the most effective and efficient way of carrying out a specific task or procedure, and as such, they must be flexible enough to adapt to changes in operations, regulations, or technology. When an SOP set is first created, it is based on the current understanding of best practices and regulatory requirements. However, as operations begin and feedback is received, it is natural for changes to be made to the SOP set. This can include updates to procedures, changes in equipment or technology, and revisions to regulatory requirements. As the cannabis cultivation site grows and evolves over time, SOPs need to be reviewed and updated to ensure that they remain relevant and effective. For example, if a new pest is introduced to the area, the pest control SOP will need to be updated to include procedures for dealing with the new pest. Similarly, if a new piece of equipment is added to the operation, the maintenance and cleaning SOPs will need to be updated to include procedures for that equipment.

SOPs are also updated in response to feedback from employees and management. For example, if an employee notices a problem with a procedure or identifies a way to improve it, they can provide feedback that can be used to revise and update the SOP. This feedback can come from a range of sources, including employee surveys, incident reports, and regular performance reviews.

Finally, changes in regulations and compliance requirements can also lead to updates to the SOP set. As regulations evolve and become more stringent, the SOP set must be updated to ensure compliance with these new requirements. This can include changes to procedures, record-keeping requirements, or employee training programs.

In conclusion, an SOP set is a living set of documents that are continuously revised and updated throughout operations over time. The purpose of this ongoing revision and updating is to ensure that the SOP set remains relevant, effective, and compliant with all regulatory requirements. Through regular review and updating, the cannabis cultivation site can ensure that it is operating at the highest level of quality and efficiency while maintaining compliance with all applicable laws and regulations.

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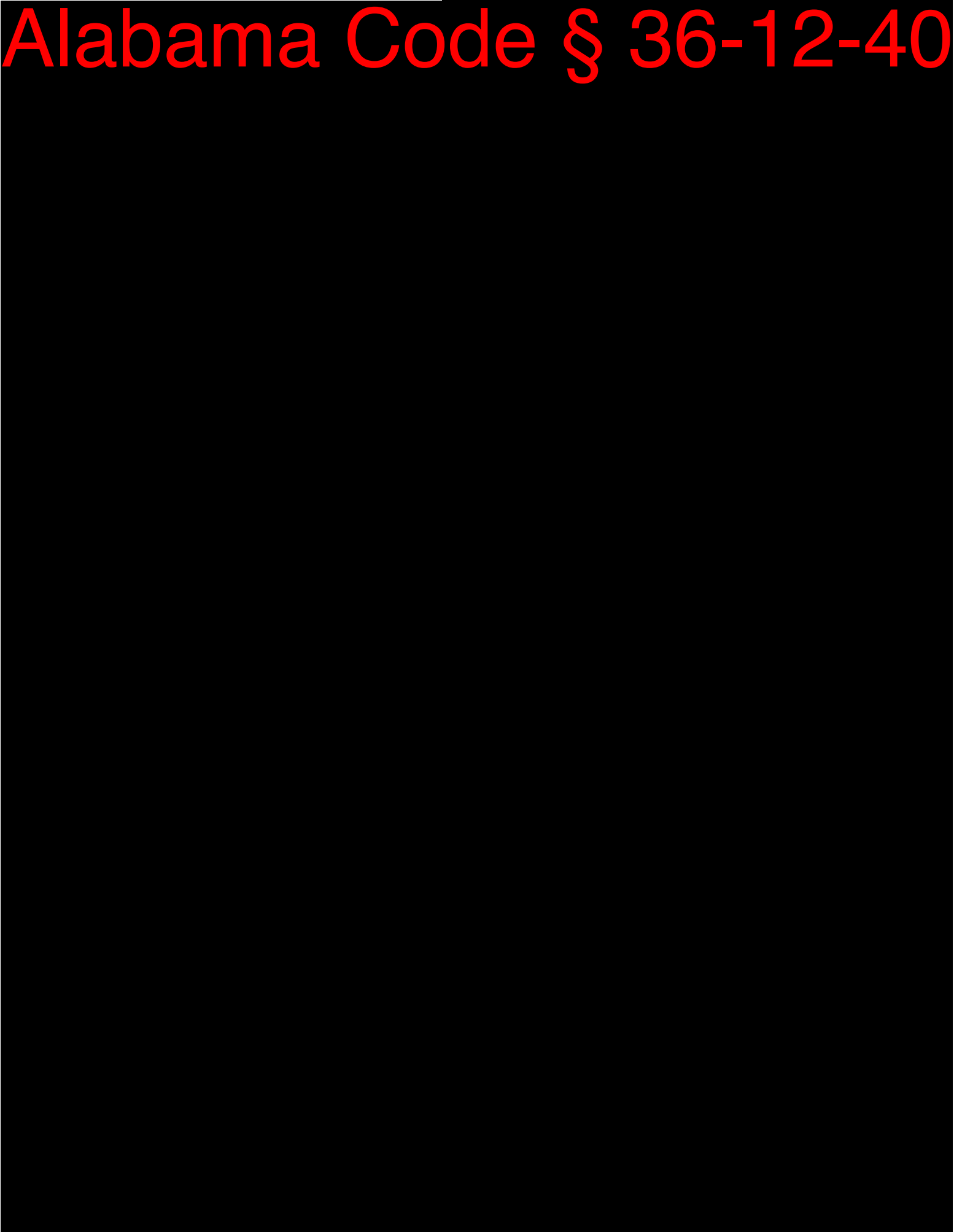
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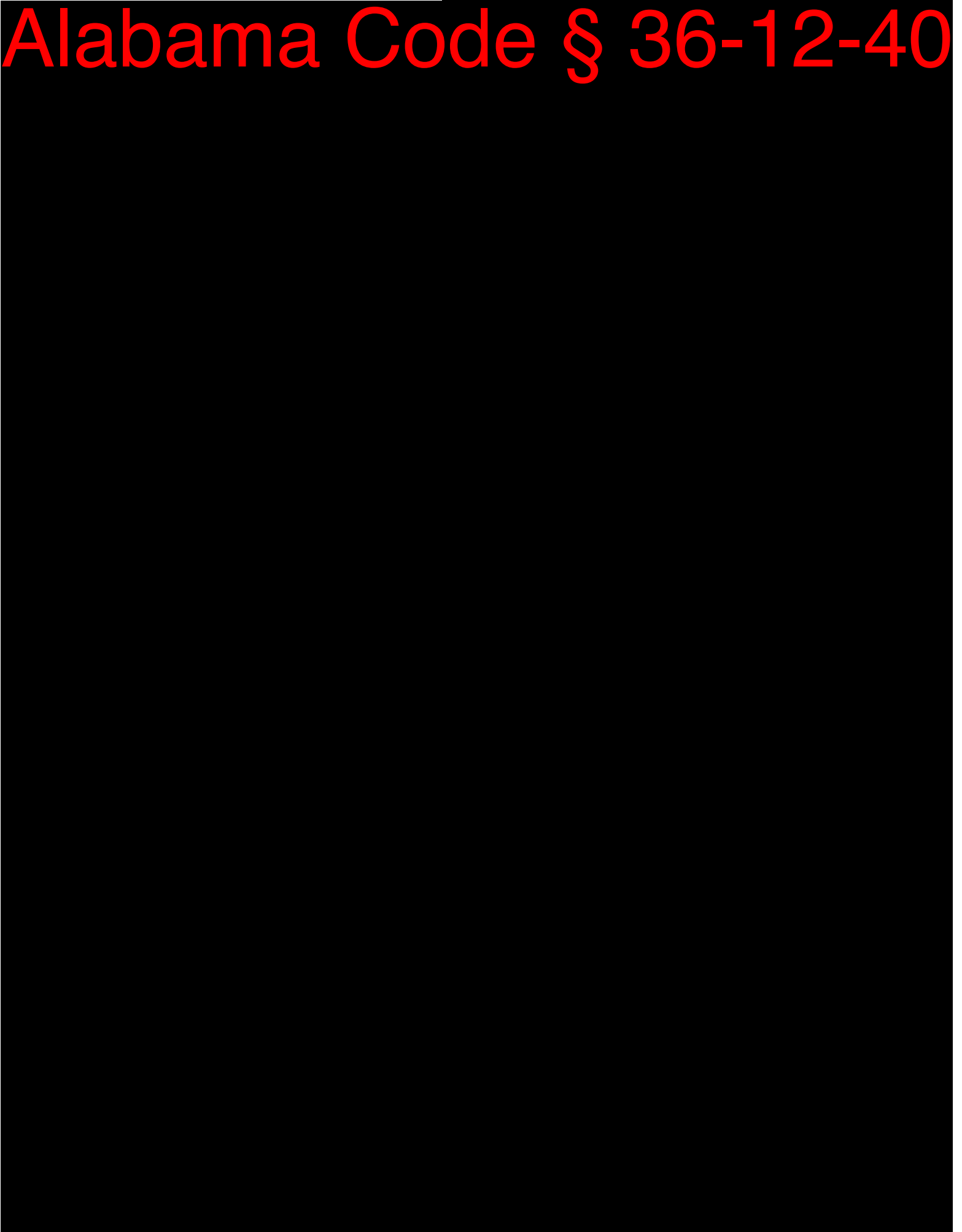
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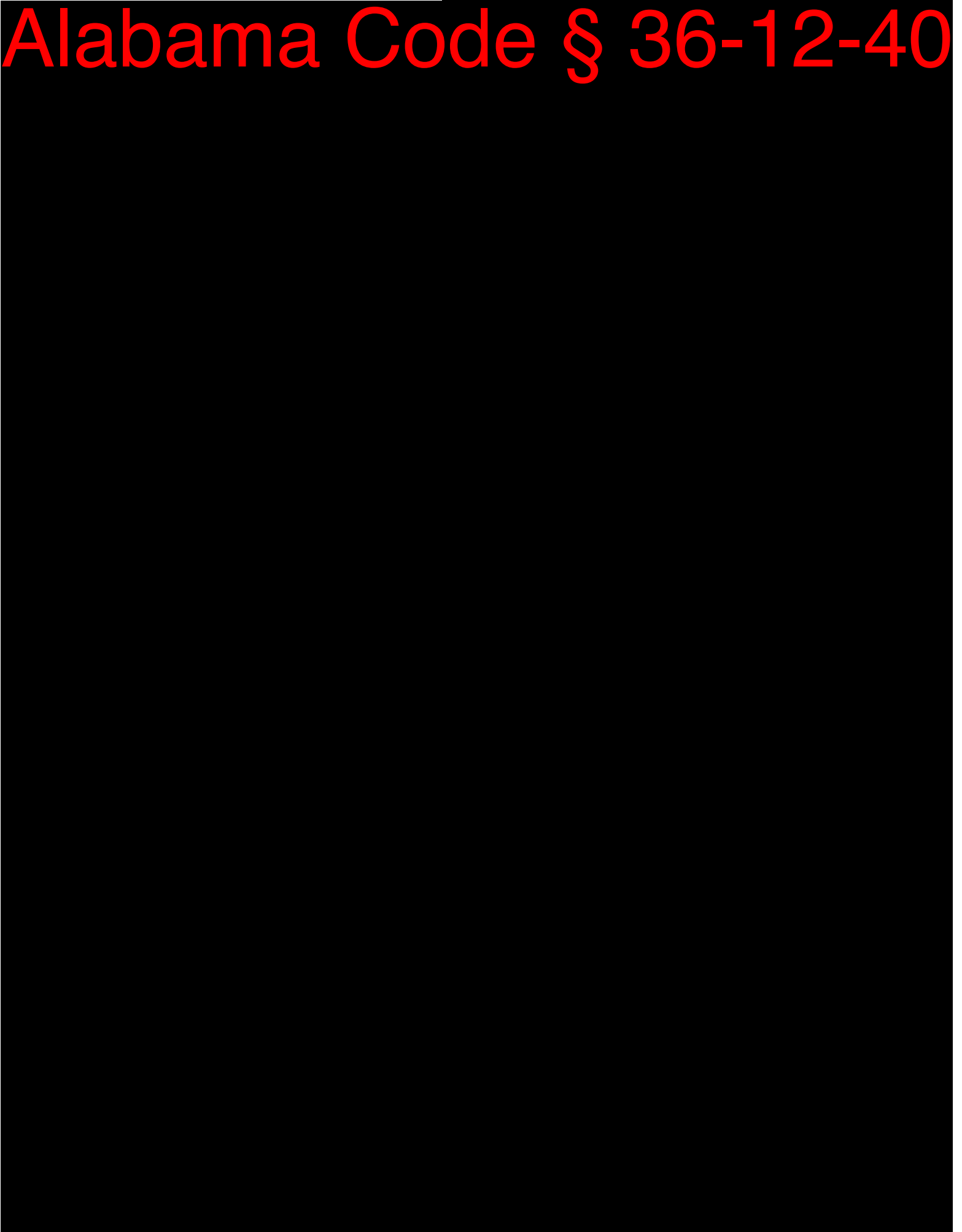
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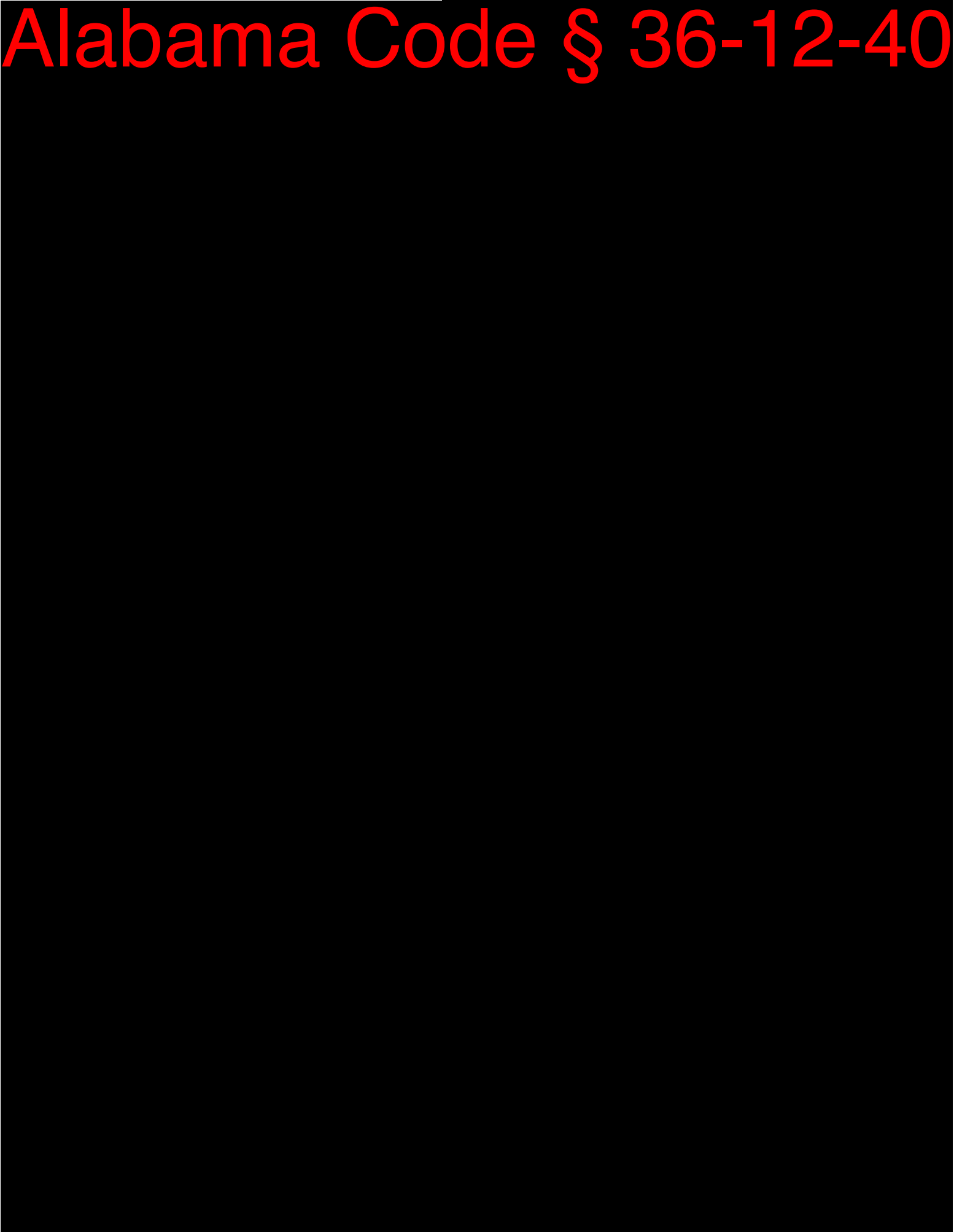
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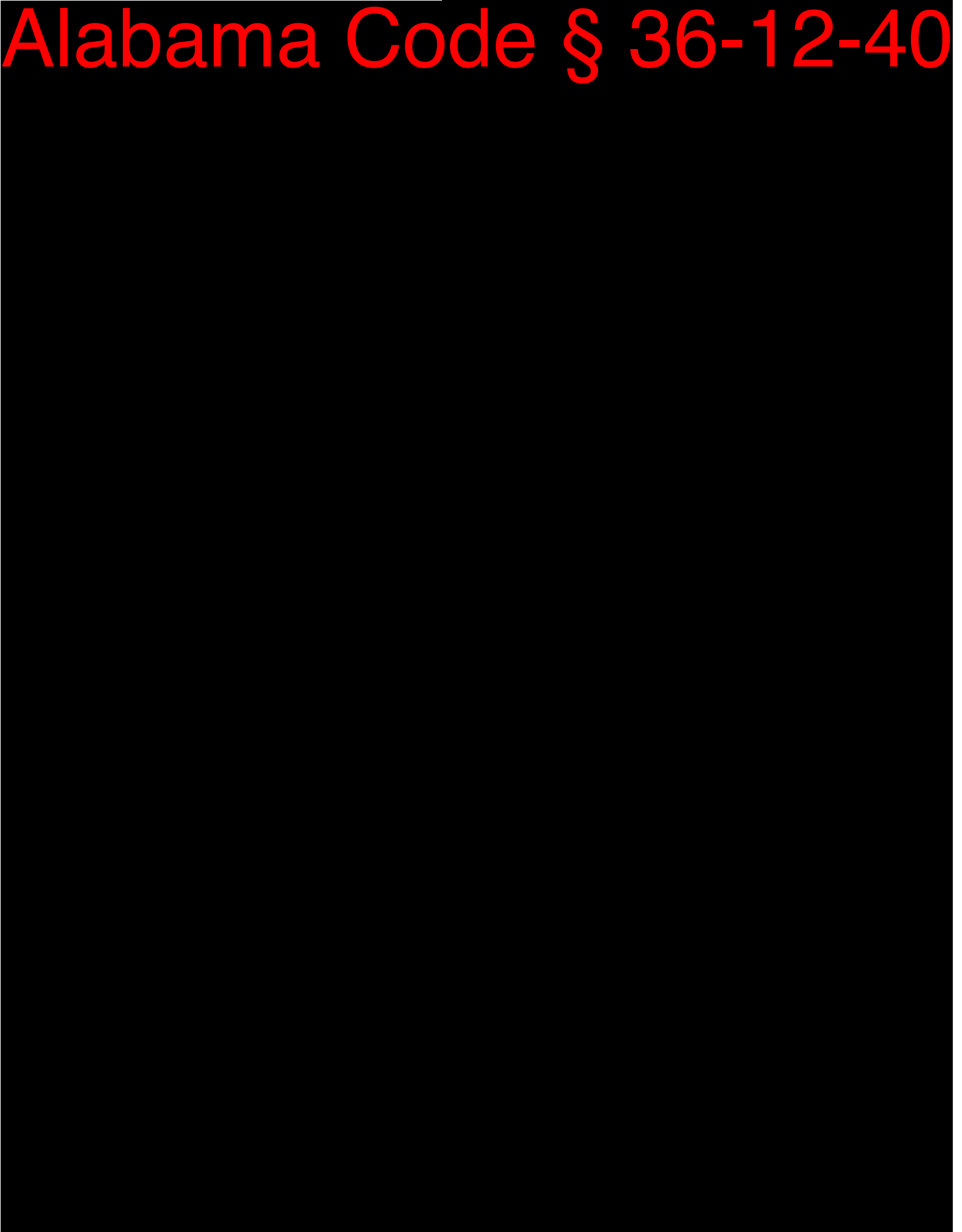
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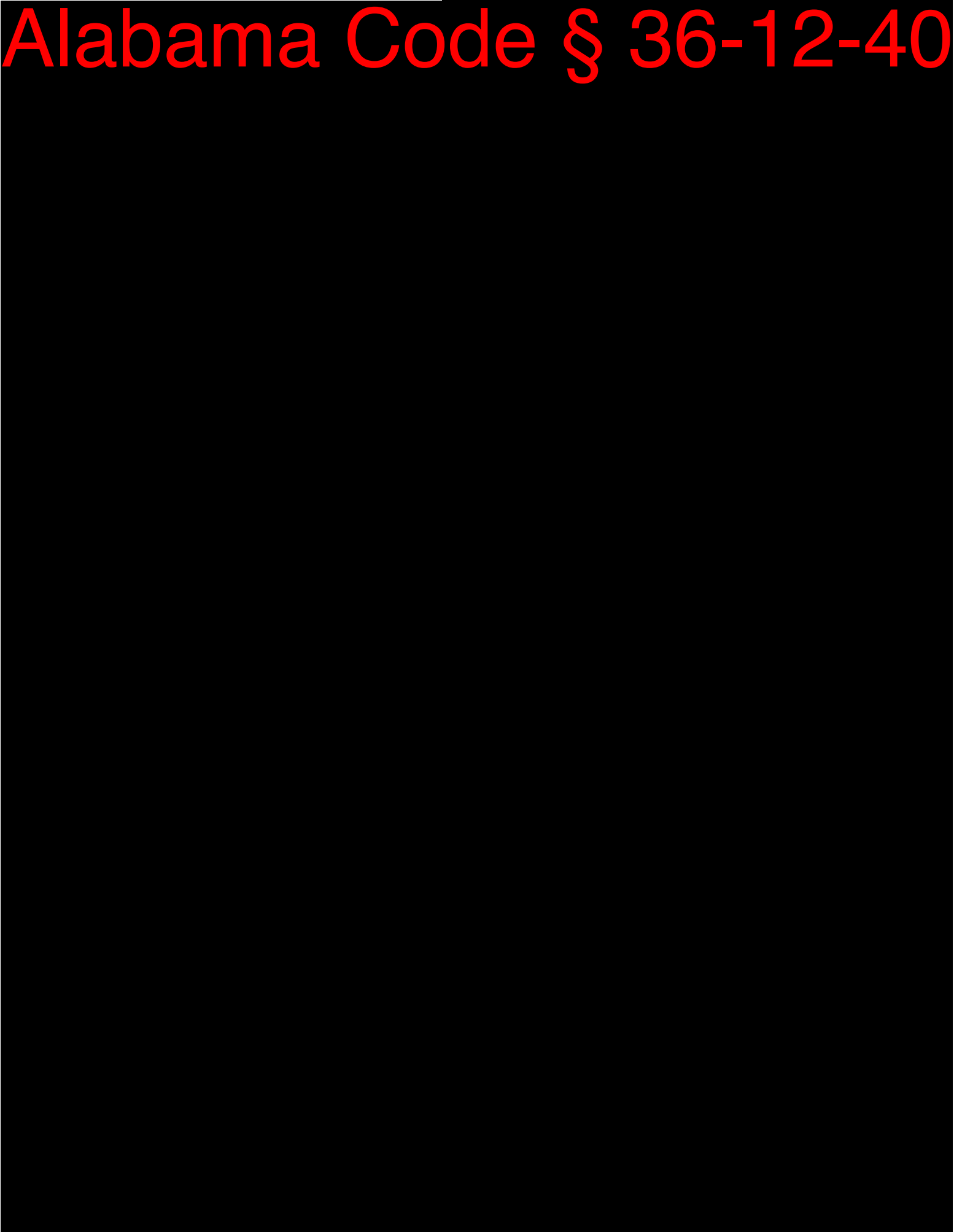
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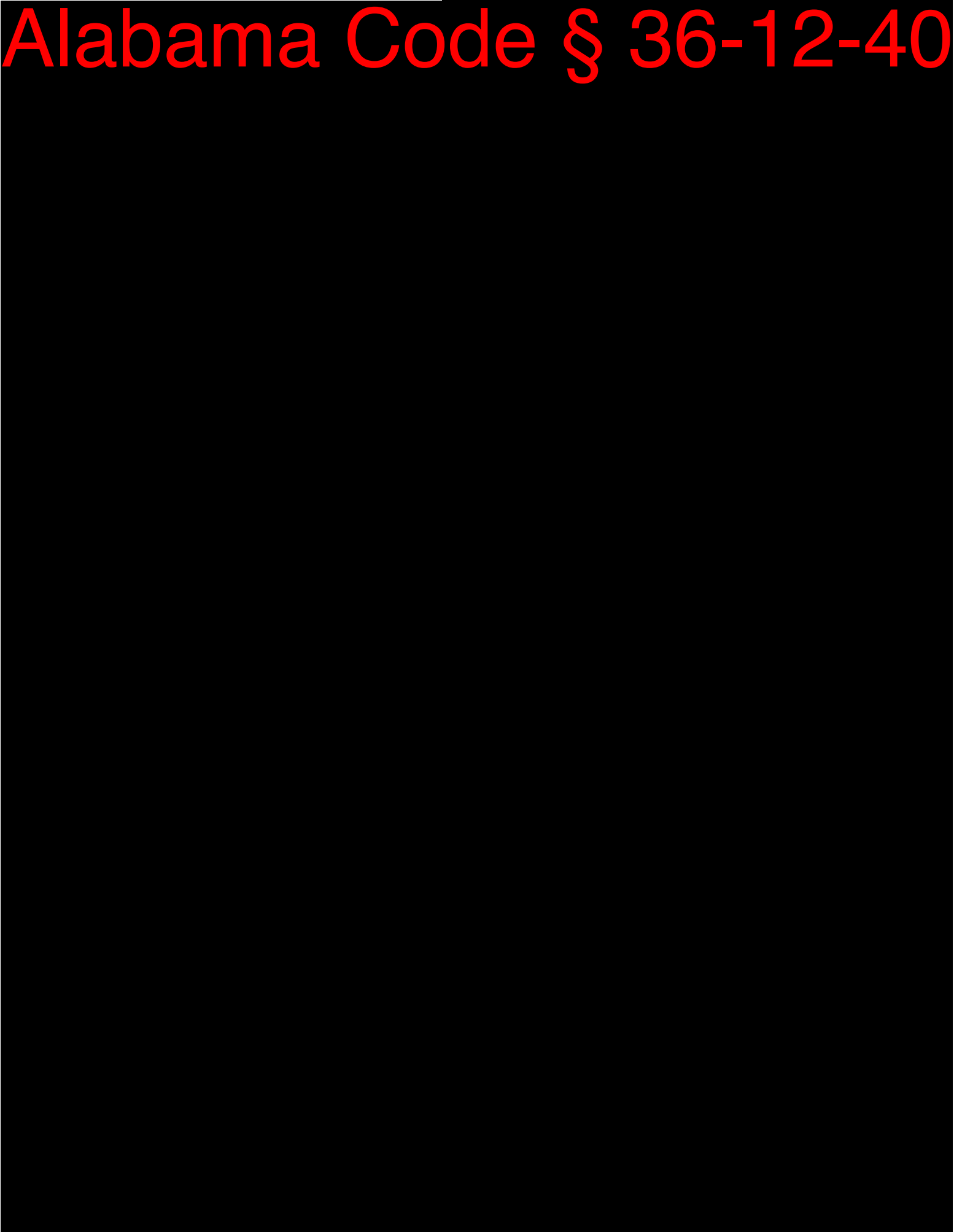
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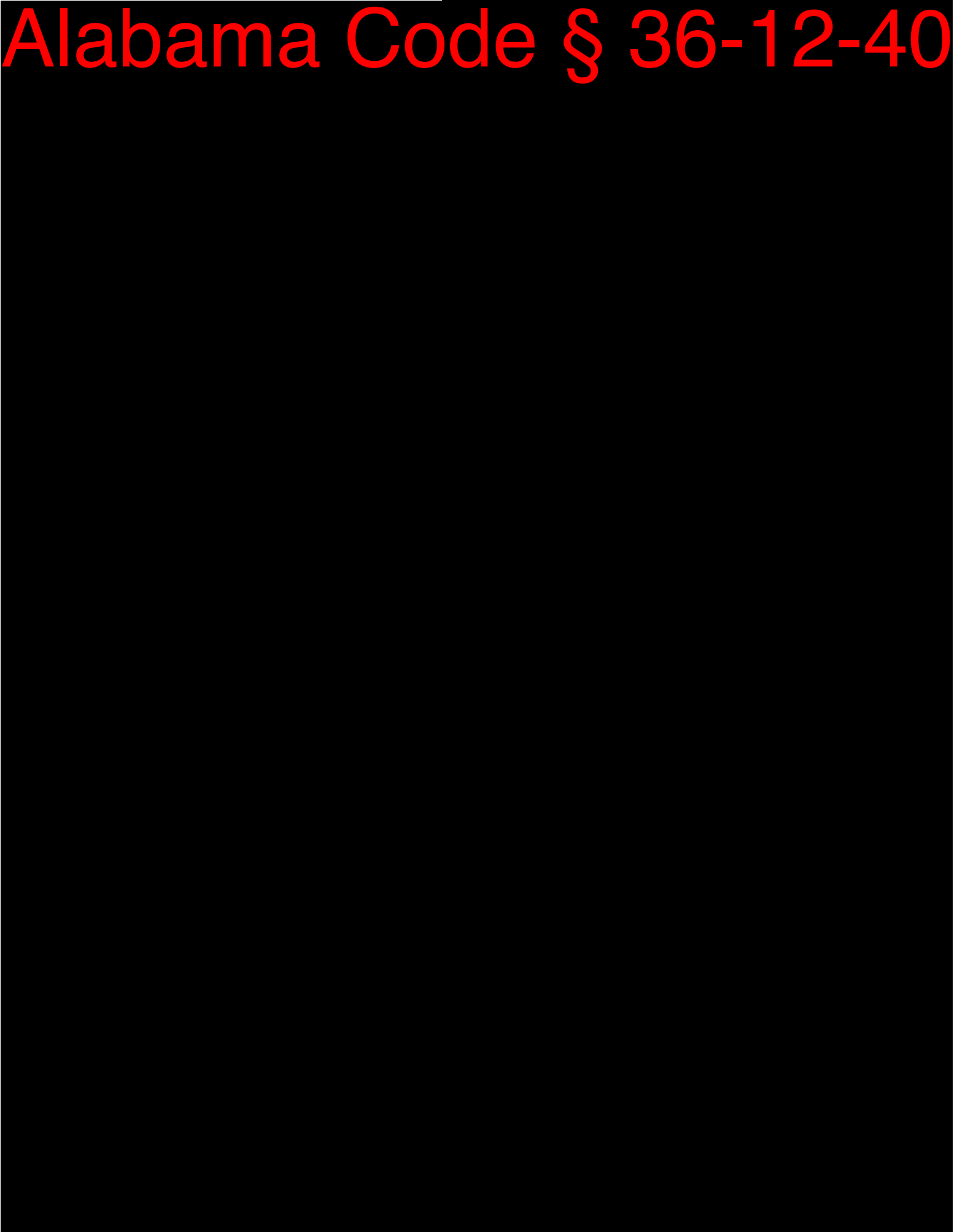
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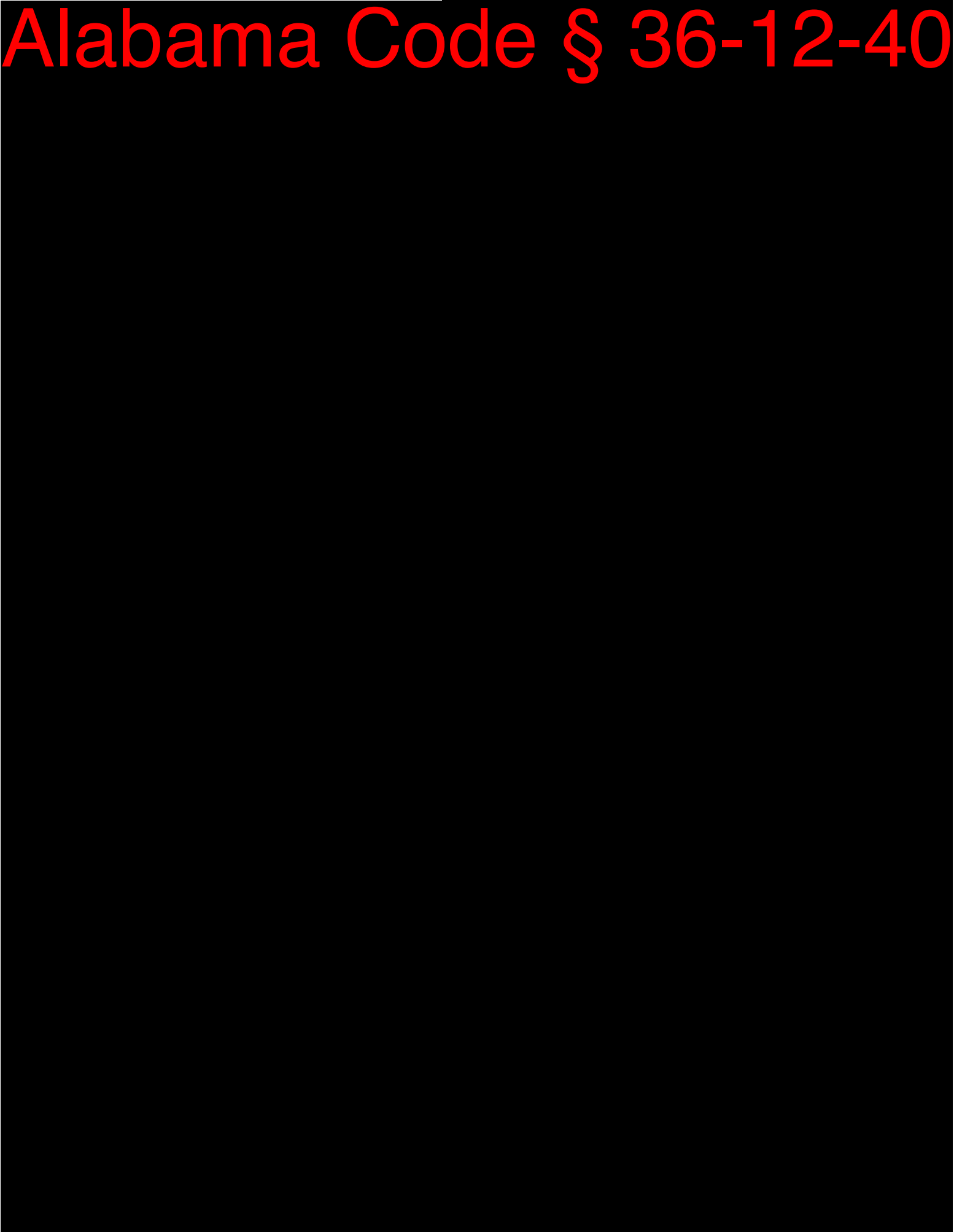
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# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a “Redacted Copy” of the following document associated with their application. Below are the grounds for redactions.

In accordance with Alabama Code § 36-12-40, the redaction of certain information from a public-facing document is necessary to protect the privacy, security, and intellectual property rights of individuals and businesses. This measure ensures the safeguarding of sensitive data from unauthorized access or misuse. The redactions applied in this document herein are based on the following grounds:

1. **Confidential Personal Information:** Redaction is required to protect the privacy of individuals whose personal information may be contained within the document. This may include, but is not limited to, Social Security numbers, driver's license numbers, addresses, phone numbers, dates of birth, and medical records. Alabama Code § 36-12-40 emphasizes the importance of maintaining the privacy of citizens by preventing the disclosure of such information.
2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 14 – Machinery and Equipment

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

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Signature of Verifying Individual

Verification Date

License Type: Cultivator

**14.1 – Sales contracts and receipts, lease agreements or other documentation demonstrating possessory interest in all machinery and equipment to be used in the cultivation of cannabis**

The Applicant has contracted the service of professional cannabis cultivation facility designers, equipment providers and integrators, **Alabama Code § 36-12-40**

██████████ The quote for their service to design and build the cultivation facility is attached hereto and identified as **Alabama Code § 36-12-40** Cultivation Facility Quote – Attachment#1 to Exhibit 14, Section 14.1”.

The Applicant has actively engaged ██████████ on the design and equipment sourcing for the facility. The facility is currently in construction at the time of application and the shell structure has been completed. The first invoice for their service to design and build the cultivation facility is attached hereto and identified as **Alabama Code § 36-12-40** Cultivation Facility Invoice – Attachment#2 to Exhibit 14, Section 14.1”.

The building shell has been constructed by **Alabama Code § 36-12-40** as evidenced by the invoice attached hereto and identified as **Alabama Code § 36-12-40** Invoice – Attachment#3 to Exhibit 14, Section 14.1”.

A proposal for the HVAC, ventilation, and environmental control system has been provided to the Applicant by ██████████ for the equipment, installation, and staff training. The proposal for the HVAC system is attached hereto and identified as ██████████ HVAC Proposal – Attachment#4 to Exhibit 14, Section 14.1”.

**14.1 – Specifications and operations manuals of all machinery and equipment to be used in the cultivation of cannabis.**

Specifications for the nutrient injector lines is attached hereto and identified as “Nutrient Injector Specifications – Attachment#1 to Exhibit 14, Section 14.2”.



License Type: Cultivator

Specifications for the water pumps of the irrigation system is attached hereto and identified as "Water Pump Specifications – Attachment#2 to Exhibit 14, Section 14.2".

Specifications for the grow racks is attached hereto and identified as "Grow Rack Specifications – Attachment#3 to Exhibit 14, Section 14.2".

Specifications for the grow rack fan system is attached hereto and identified as "Grow Rack Fan Specifications – Attachment#4 to Exhibit 14, Section 14.2".

Specifications for the facility environmental controller is attached hereto and identified as "Environmental Controller Specifications – Attachment#5 to Exhibit 14, Section 14.2".

Specifications for the dry room dehumidifier is attached hereto and identified as "Dry Room Dehum Specifications – Attachment#6 to Exhibit 14, Section 14.2".

Specifications for the mother and veg room dehumidifier is attached hereto and identified as "Mother and Veg Dehum Specifications – Attachment#7 to Exhibit 14, Section 14.2".

Specifications for the flower room dehumidifier is attached hereto and identified as "Flower Room Dehum Specifications – Attachment#8 to Exhibit 14, Section 14.2".

Specifications for the clone room LED lights is attached hereto and identified as "Clone Room LED Specifications – Attachment#9 to Exhibit 14, Section 14.2".

Specifications for the mother and veg LED lights is attached hereto and identified as "Mother and Veg LED Specifications – Attachment#10 to Exhibit 14, Section 14.2".

Specifications for the flower room LED lights is attached hereto and identified as "Flower Room LED Specifications – Attachment#11 to Exhibit 14, Section 14.2".

License Type: Cultivator

Specifications for the HVAC, ventilation, CO2 and fan system is attached hereto and identified as "Complete HVAC and Ventilation Specifications – Attachment#12 to Exhibit 14, Section 14.2".

Letters of intent from the service providers, **Alabama Code § 36-12-40** is attached hereto and identified as "Service Provider's Letters of Intent – Attachment#13 to Exhibit 14, Section 14.2"

**PLEASE SEE FOLLOWING PAGES.**

License Type: Cultivator

Alabama Code § 36-12-40

Cultivation Facility Quote – Attachment#1 to Exhibit 14, Section 14.1

**SEE NEXT PAGE**

**Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**

# Alabama Code § 36-12-40

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License Type: Cultivator

Alabama Code § 36-12-40 Cultivation Facility Invoice – Attachment#2 to Exhibit 14, Section 14.1

**SEE NEXT PAGE**

**Alabama Code § 36-12-40**



License Type: Cultivator

**Alabama Code § 36-12-40** Invoice – Attachment#3 to Exhibit 14, Section 14.1

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License Type: Cultivator

Alabama Code § 36-12-10 HVAC Proposal – Attachment#4 to Exhibit 14, Section 14.1

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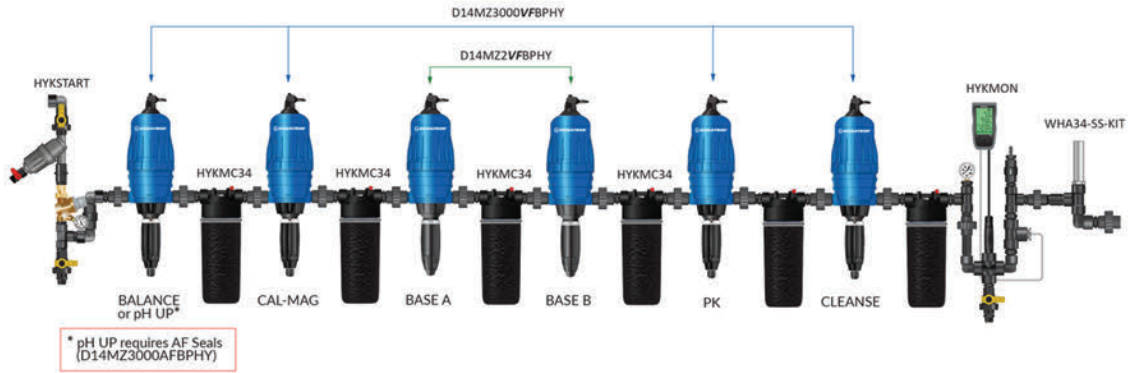
**Alabama Code § 36-12-40**

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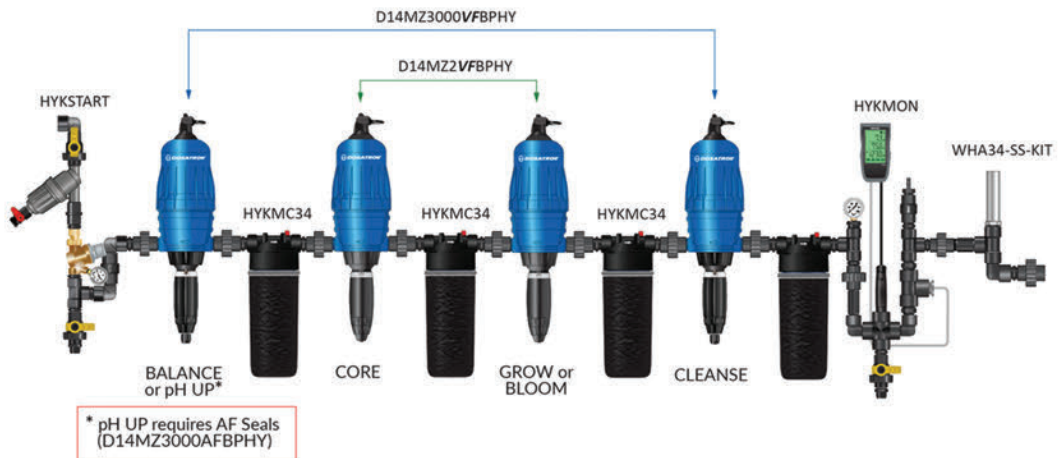
License Type: Cultivator

Nutrient Injector Specifications – Attachment#1 to Exhibit 14, Section 14.2

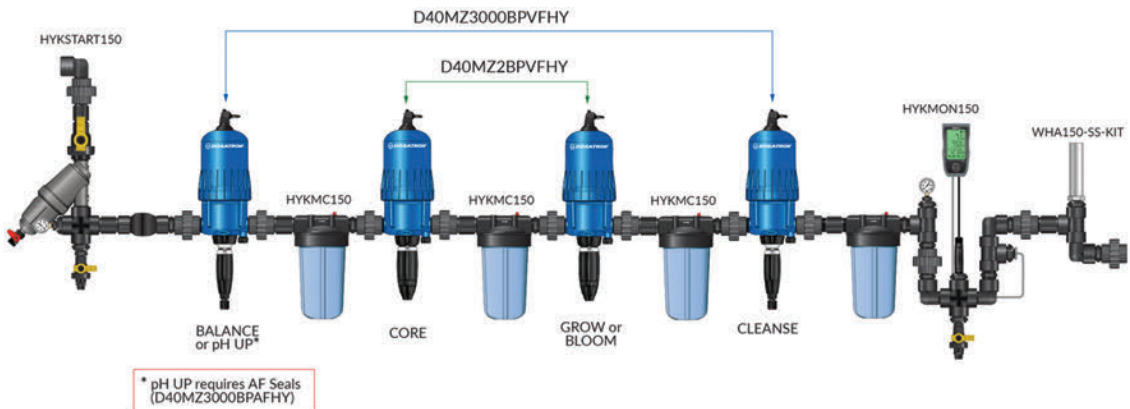
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LO-FLO ATHENA BLENDED CONFIGURATION



LO-FLO ATHENA PRO CONFIGURATION



HIGH-FLO ATHENA PRO CONFIGURATION

License Type: Cultivator

Water Pump Specifications – Attachment#2 to Exhibit 14, Section 14.2

**SEE NEXT PAGE**



# esybox

ELECTRONIC PRESSURISATION SYSTEM



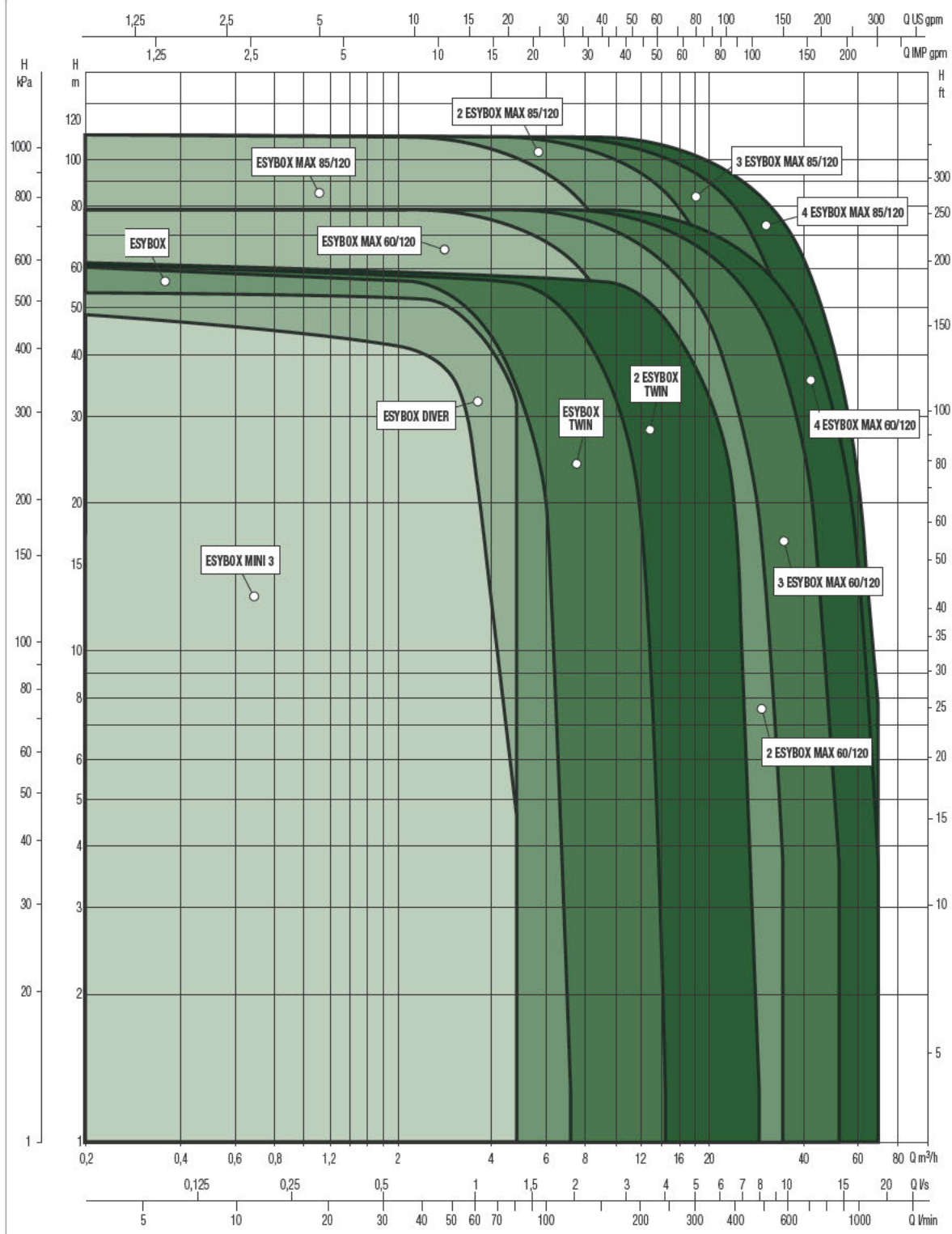
# ESYBOX LINE

## ELECTRONIC BOOSTER SETS

### PERFORMANCE RANGE

The performance curves are based on kinematic viscosity values = 1 mm<sup>2</sup>/s and density equivalent to 1000 kg/m<sup>3</sup>. Curve tolerance according to ISO 9906.

#### GRAPHIC SELECTION TABLE



# ESYBOX LINE

## ELECTRONIC BOOSTER SETS

### ESYBOX MINI <sup>3</sup>

MODEL	Q=m <sup>3</sup> /h	0	0,6	1,2	1,8	2,4	3	3,6	4,2	4,8	5,4	6	6,6	7,2
	Q=l/min	0	10	20	30	40	50	60	70	80	90	100	110	120
ESYBOX MINI <sup>3</sup>	H (m)	55	55	55	49	39	31	23	14	4				

### ESYBOX

MODEL	Q=m <sup>3</sup> /h	0	0,6	1,2	1,8	2,4	3	3,6	4,2	4,8	5,4	6	6,6	7,2
	Q=l/min	0	10	20	30	40	50	60	70	80	90	100	110	120
ESYBOX	H (m)	65	63,5	61,5	59,5	57	53	48	41,5	35	27,5	19	10	2

### ESYBOX DIVER

MODEL	Q=m <sup>3</sup> /h	0	0,6	1,2	1,8	2,4	3	3,6	4,2	4,8	5,4	6	6,6	7,2
	Q=l/min	0	10	20	30	40	50	60	70	80	90	100	110	120
ESYBOX DIVER	H (m)	55	55	55	55	55	55	53	44	34	26	17	7,5	

### ESYBOX TWIN

MODEL	Q=m <sup>3</sup> /h	0	1,2	2,4	3,6	4,8	6,0	7,2	8,4	9,6	10,8	12	13,2	14,4
	Q=l/min	0	20	40	60	80	100	120	140	160	180	200	220	240
2 ESYBOX WITH ESYTWIN	H (m)	65	63,5	61,5	59,5	57	53	48	41,5	35	27,5	19	10	2

MODEL	Q=m <sup>3</sup> /h	0	2,4	4,8	7,2	9,6	12	14,4	16,8	19,2	21,6	24	26,4	28,8
	Q=l/min	0	40	80	120	160	200	240	280	320	360	400	440	480
2 X 2 ESYBOX WITH ESYTWIN	H (m)	65	63,5	61,5	59,5	57	53	48	41,5	35	27,5	19	10	2

### ESYBOX MAX

MODEL	Q=m <sup>3</sup> /h	0,0	2,4	3,6	4,8	6,0	7,2	8,4	9,6	10,8	12,6	14,4	17,4
	Q=l/min	0	40	60	80	100	120	140	160	180	210	240	290
ESYBOX MAX 60/120 M	H (m)	80	79,5	77,1	73,4	68,5	62	55,5	48,2	41	30,5	21	4
ESYBOX MAX 60/120 T		80	79,5	77,1	73,4	68,5	62	55,5	48,2	41	30,5	21	4
ESYBOX MAX 85/120 T		113	110	106,5	101	93	84	75	65,5	56,7	43,5	31	8,5

MODEL	Q=m <sup>3</sup> /h	0	4,8	7,2	9,6	12	14,4	16,8	19,2	21,6	25,2	28,8	34,8
	Q=l/min	0	80	120	160	200	240	280	320	360	420	480	580
2 ESYBOX MAX 60/120 M	H (m)	80	79,5	77,1	73,4	68,5	62	55,5	48,2	41	30,5	21	4
2 ESYBOX MAX 60/120 T		80	79,5	77,1	73,4	68,5	62	55,5	48,2	41	30,5	21	4
2 ESYBOX MAX 85/120 T		113	110	106,5	101	93	84	75	65,5	56,7	43,5	31	8,5

MODEL	Q=m <sup>3</sup> /h	0	7,2	10,8	14,4	18	21,6	25,2	28,8	32,4	37,8	43,2	52,2
	Q=l/min	0	120	180	240	300	360	420	480	540	630	720	870
3 ESYBOX MAX 60/120 M	H (m)	80	79,5	77,1	73,4	68,5	62	55,5	48,2	41	30,5	21	4
3 ESYBOX MAX 60/120 T		80	79,5	77,1	73,4	68,5	62	55,5	48,2	41	30,5	21	4
3 ESYBOX MAX 85/120 T		113	110	106,5	101	93	84	75	65,5	56,7	43,5	31	8,5

MODEL	Q=m <sup>3</sup> /h	0	9,6	14,4	19,2	24	28,8	33,6	38,4	43,2	50,4	57,6	69,6
	Q=l/min	0	160	240	320	400	480	560	640	720	840	960	1160
4 ESYBOX MAX 60/120 M	H (m)	80	79,5	77,1	73,4	68,5	62	55,5	48,2	41	30,5	21	4
4 ESYBOX MAX 60/120 T		80	79,5	77,1	73,4	68,5	62	55,5	48,2	41	30,5	21	4
4 ESYBOX MAX 85/120 T		113	110	106,5	101	93	84	75	65,5	56,7	43,5	31	8,5



**ESYBOX**

ELECTRONIC PRESSURISATION SYSTEM

**D CONNECT****TECHNICAL DATA****Flow rate:** Up to a 7,2 m<sup>3</sup>/h**Head:** 65 m**Type of pumped liquid :** Clean, free from solid or abrasive substances, non-viscous, non-aggressive, non-crystallized and chemically neutral**Liquid temperature :** +40°C**Maximum ambient temperature:** +50°C**Maximum suction depth:** 8 m**Maximum operation pressure:** 8 bar / 800 kPa**Motor protection class:** IP X4**Motor insulation class:** F**Impeller material:** Technopolymer**Single phase power input:** 230 V 50 Hz**Power cord (m) and plug:** 1,5 meters with power plug**Type of installation:** Fixed, vertically, horizontally or on the wall with special accessories (supplied separately)**Certification:** WRAS, ACS

Multi-impeller self-priming electronic system for pressurization, rainwater reuse, drawing ground water, gardening and irrigation and agriculture and irrigation in residential building service and commercial building service. Possibility to connect up to four Esybox together to create pressure units. Adjustable display. Possibility of remote control thanks to the DConnect service (with DConnect Box supplied separately). The careful choice of materials and the water-cooled motor make the pump particularly quiet, just 43 dB, suitable for installation even in living areas. Can be positioned vertically, horizontally or on the wall with special accessories (supplied separately).

**CONSTRUCTION FEATURES OF THE PUMP**

Self-priming multi-impeller pump. 2-liter expansion vessel incorporated. Protective hull in sound-absorbing ABS. Technopolymer impellers. Integrated flow and pressure sensors.

**CONSTRUCTION FEATURES OF THE MOTOR**

Motor cooled by the pumped liquid, stainless steel motor jacket. Motor shaft in AISI 303 stainless steel.

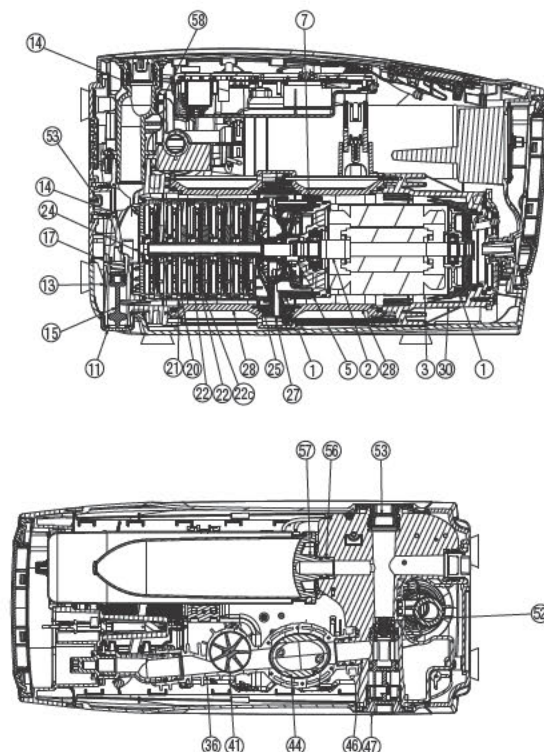
**CONSTRUCTION FEATURES OF THE ELECTRONIC**

Adjustable display. The variable frequency drive function saves energy and protects against water hammer. Integrated protections: protection from dry running, amperometric and anomalous voltages, overtemperature, frost, anti-blocking and anti-cycling. Construction according to CEI 2-3 / CEI 61-69 (EN 60335-2-41). Guided procedure for the first start-up, easy configuration, possibility to display the alarm history. Wireless connection.

**MATERIALS**

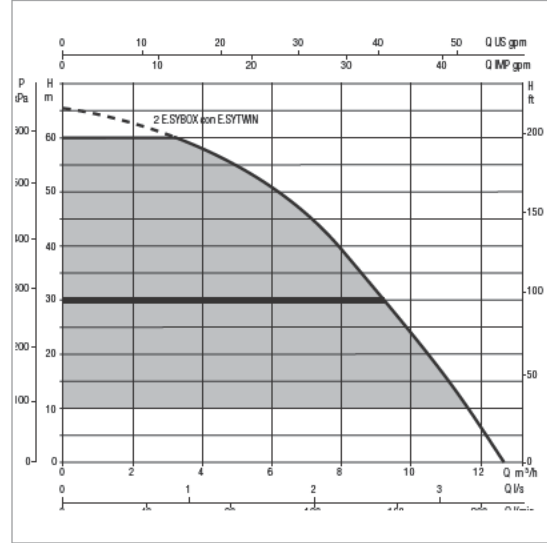
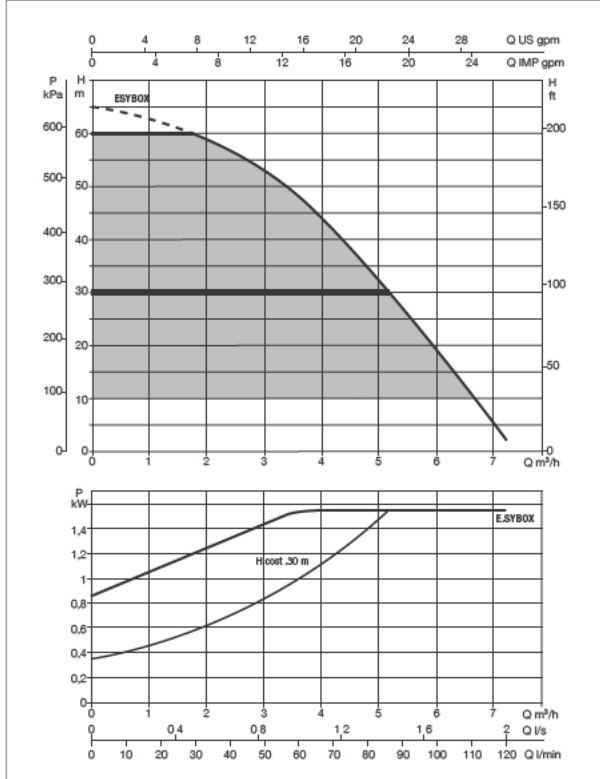
N°	PARTS *	MATERIALS
1	MOTOR FLANGE	TECHNOPOLYMER
2	ROTOR SHAFT	AISI 303 STAINLESS STEEL
3	MOTOR JACKET	AISI 304 STAINLESS STEEL
5	OR GASKET	NBR
7	SINTERED PLATE	AISI 304 STAINLESS STEEL
11	1" PLUG	TECHNOPOLYMER
13	SUCTION BODY	TECHNOPOLYMER
14	1" INSERT	NICKLED BRASS
15	SHUTTER	TECHNOPOLYMER
17	SPRING	AISI 303 STAINLESS STEEL
20	DIFFUSER	TECHNOPOLYMER
21	DIFFUSER BODY	TECHNOPOLYMER
22	IMPELLER	TECHNOPOLYMER
22c	SHIM RING	AISI 316 STAINLESS STEEL
24	NUT	AISI 316 STAINLESS STEEL
25	DIFFUSER END PLUG	TECHNOPOLYMER
27	MECHANICAL SEAL	CARBON IMPREGNATED RESIN / SILICON CARBIDE / EPDM
28	PUMP BODY	TECHNOPOLYMER
30	DISCHARGE BODY	TECHNOPOLYMER
36	FLOW SWITCH BODY	TECHNOPOLYMER
41	PRESS. STABLE. IMPELLER	TECHNOPOLYMER
46	DISCHARGE MANIFOLD	TECHNOPOLYMER
47	1" 1/4 PLUG	TECHNOPOLYMER
52	NON-RETURN VALVE	TECHNOPOLYMER / RUBBER / STEEL
57	TANK	TECHNOPOLYMER / RUBBER
58/1	PRESSURE SENSOR BODY	TECHNOPOLYMER

\* In contact with liquid



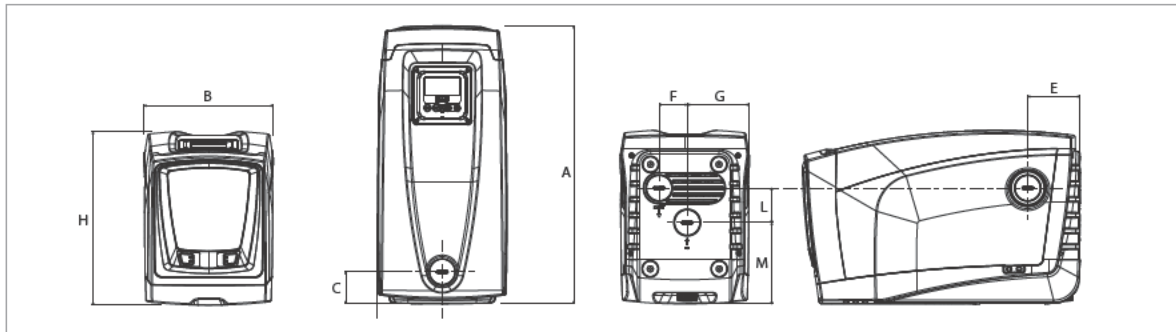
## ESYBOX - ELECTRONIC PRESSURISATION SYSTEM

Liquid temperature range: from 0°C to +35°C for domestic use - from 0°C to +40°C for other uses. - Maximum ambient temperature: +50°C



Performance refers to 2 assembled ESYBOX in ESYWIN.  
Pressure losses included

The performance curves are based on the kinematic viscosity values = 1 mm²/s and density equivalent to 1000 kg/m³. Curve tolerance according to ISO 9906.











MODEL	ELECTRICAL DATA				
	N° IMPELLER	POWER SUPPLY 50/60 Hz	P1 MAX		In A
			kW	HP	
ESYBOX	5	1 x 220 - 240 V ~	1,55	2,11	10
ESYBOX - KIWA	5	1x220-240 V ~	1,55	2,1	10



MODEL	A	B	C	D	E	F	G	I Ø	H	L	M	DNA	DNM	PACKING DIMENSIONS			GROSS Kg
														L/A	L/B	H	
ESYBOX	564	263	65	131,5	106	57	126,2	9	362	70	165,2	1"	1"	685	360	490	27
ESYBOX - KIWA	564	263	65	131,5	106	57	126,2	9	362	70	165,2	1"	1"	685	360	490	27

# ACCESSORIES

## ESYBOX

D CONNECT	DESCRIPTION
	<p><b>DCONNECT BOX</b></p> <p>The DConnect Box is suitable for remote control and management of large installations, and can connect up to eight DAB products. It can also be used on products that are already installed and only requires permanent Internet access at the system location. Monitoring is carried out via the DConnect app.</p> <div style="text-align: right;">    </div>






D CONNECT	DESCRIPTION
	<p><b>DCONNECT BOX 2</b></p> <p>Thanks to DConnect Box 2 and the new App you can check the pump, set the starting and stopping parameters, view the details of alarms and monitor the status of the system directly on your smartphone.</p> <p>With DConnect Box 2, you'll be able to access Dab's cloud service.</p> <div style="text-align: right;">    </div>

	DESCRIPTION
	<p><b>KIT PIPE UNION 3PCS MF 1" WITH O-RING</b></p> <p>Kit consisting of 2 x 3-piece unions, to facilitate the connection of Eskybox and Eskybox mini<sup>3</sup> to the system</p>
 <p>18,4 x 14,3 cm</p>	<p><b>ESYWALL</b></p> <p>Kit complete with brackets, screws, dowels and two accessories for absorption of vibrations.</p>

KIT OUTDOOR	DESCRIPTION
	<p><b>ESYCOVER + ESYGRID</b></p> <p><b>KIT OUTDOOR ESYBOX</b>                      Consisting of Eskycover + Eskygrid, which allows the installation of ESYBOX outside, protecting it from rain and the entry of foreign bodies.  <b>Vertical installation only.</b></p>

# ACCESSORIES

## ESYBOX

	DESCRIPTION
  <p>18 x 29 x 32 cm</p>	<h3>ESYDOCK</h3> <p>Thanks to the 4 plumbing configuration possibilities offers an installation even more rapid, easy and flexible. It is complete with all the interfaces required for connecting to the system. It incorporates anti vibration feet to ensure the same quietness as Eskybox.</p>
  <p>23 x 75 x 35 cm</p>	<h3>ESYTWIN</h3> <p>Esytwinn is the evolution of Eskydock, of which maintains all the benefits, for the creation of two groups of pumps. Eskytwinn offers exceptional performance thanks to possibility of combined operation with a reduced size of 50% compared to any other equivalent traditional system.</p>
	<h3>ESYTWIN DOUBLE CONNECTION KIT</h3> <p>2" T suction and delivery manifold connection kit for the connection of 2 Eskytwinn and the creation of boosters with up to 4 Eskybox. Suction and delivery manifold, each one consisting of: no. 2 x 1" 1/4 Nipples no. 2 x 1" 1/4 female - and 2" male reductions no. 3 x 2" 3-piece connectors no. 1 x 2" female T connector</p>



**DELIVERY AND SUCTION  
FITTING 1" 1/4**



**68 x 29 x 35 cm**




**INGOMBRO KIT  
73 x 75 x 35 cm**

# ACCESSORIES

## ESYBOX

	DESCRIPTION
 <p>*Esybox not included</p> <p>166 x 87 x 60 cm</p>	<h3>ESYTANK</h3> <p>Tank specially studied to better integrate with Esybox and equipped with:</p> <ul style="list-style-type: none"> <li>• Esydock (specially versioned) for quick connection.</li> <li>• suction hose with foot valve</li> <li>• filling valve from the water supply with float</li> <li>• Overflow</li> <li>• flow connection</li> <li>• preparation for ground mounting</li> <li>• inspection plug</li> </ul> <p>Capacity 500 L with the possibility of expansion on 3 sides.</p>
	<h3>ESYTANK AUXILIARY CITERN</h3> <p>The ESYTANK AUXILIARY CITERN is supplied without any fittings or the ESYDOCK. The tank has a modular design to couple easily with other ESYTANK units, making the system expandable to the necessary capacity. It can be connected on three sides (at side and rear) using the ESYTANK TANK COUPLING KIT</p>
	<h3>ESYTANK COUPLING KIT</h3> <p>The ESYTANK COUPLING KIT is composed of a PVC sleeve with gasket (D.160 mm L=150), two PVC aligning pipes (D.50mm x L=60) and a connecting ring nut for a 2-pump option. It allows the connection of several ESYTANK units or between ESYTANK and ESYTANK AUXILIARY CITERN.</p>
	<h3>ESYTANK OPTIONAL DELIVERY KIT</h3> <p>Composed of a 1" PP pipe. It allows an auxiliary delivery for single tank systems or with the COUPLING KIT it allows several ESYTANK and ESYBOX systems to be linked together and to create pressure boosting units with several pumps and tanks.</p>

	DESCRIPTION
 <p>15 x 14,8 x 9,8 cm</p>	<h3>KIT ESYLINK *</h3> <p>Esylink with power supplier and electric box.</p>

\* Provided to be wired





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[www.dabpumps.com](http://www.dabpumps.com)

# DNA<sup>®</sup>

PUMPS SELECTOR

## On-line selection tool



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License Type: Cultivator

Grow Rack Specifications – Attachment#3 to Exhibit 14, Section 14.2

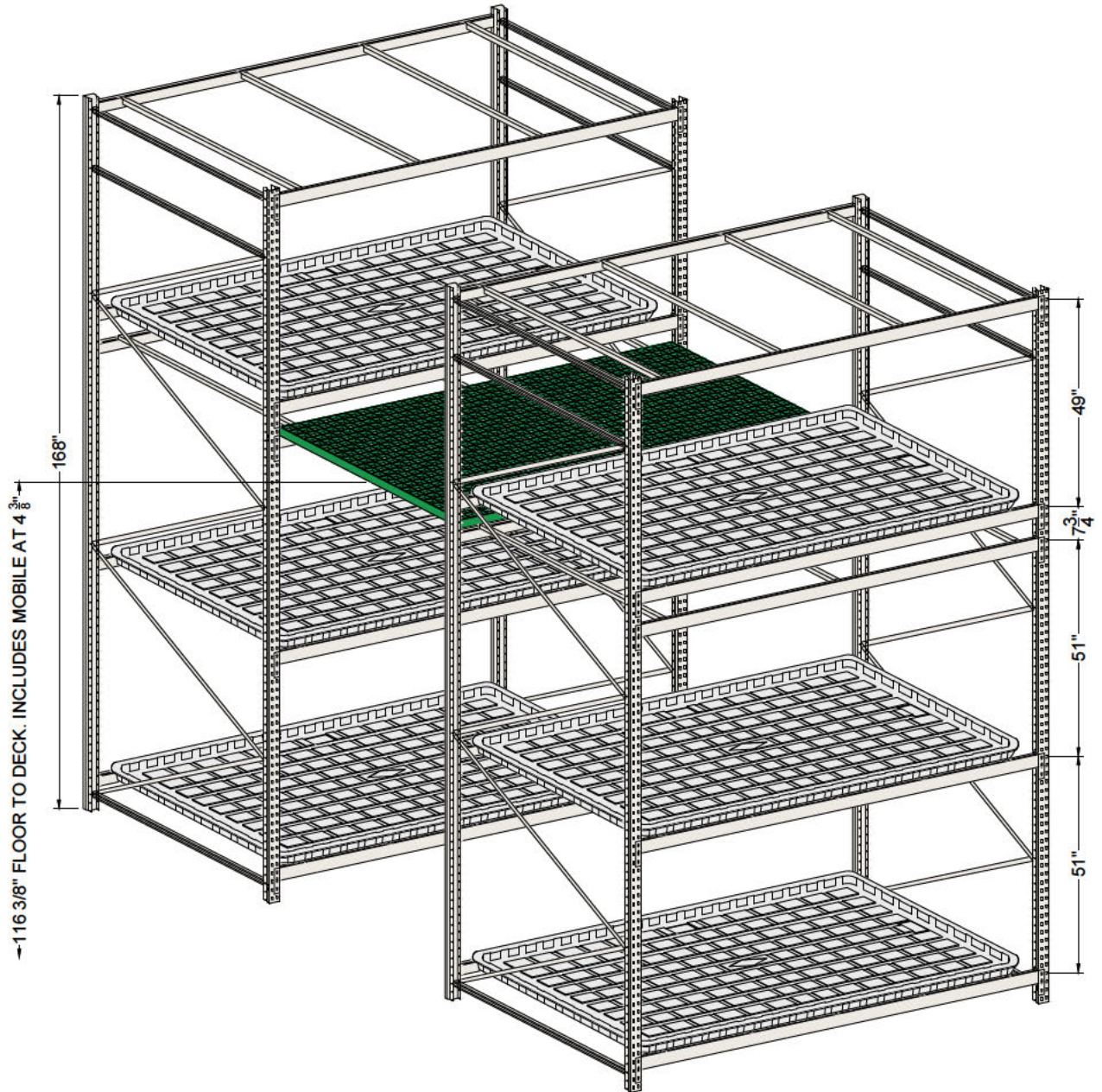
**SEE NEXT PAGE**



Alabama Code § 36-12-40

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# 4 TIER 3 GROW



License Type: Cultivator

Grow Rack Fan Specifications – Attachment#4 to Exhibit 14, Section 14.2

**SEE NEXT PAGE**



## SYSTEM OVERVIEW

Dual Draft Integrated Airflow is a patented solution that solves the two most pressing issues in vertical farms: microclimates and poor drainage. By integrating an airflow system directly into fully draining plant trays, Dual Draft offers under-canopy and over-canopy airflow in one elegant, turnkey solution.

The only integrated product of its kind on the market, Dual Draft is the next evolution in vertical farming and is positioned to do for airflow and drainage what the LED has done for light.

*“Dual Draft improves indoor air quality and plant performance for vertical farmers. The Dual Draft system forces air up from beneath the canopy, where it actually counts.”*

**- Brent VanZile**

*Director of Cultivation at  
Southern Sky Brands*

- Provides under-canopy and over-canopy airflow to plants
- Fully draining plant trays assure consistent irrigation
- Improves yield, quality, consistency, and plant health through the elimination of microclimates and drainage issues
- The complete solution consists of airboxes (Hurricane or Zero-Clearance), duct work, and fully draining ABS trays
- 0-10V EC Controllable Motors
- 8'x4' and 4'x4' trays are UV stabilized with anti-microbial properties
- (2) - 3/4" drain fittings per tray
- Airflow up to 2530 CFM
- Fits all standard mobile type and stationary racking providers
- 5-Year Warranty on Fans



Dual Draft Integrated Airflow Systems provide airflow, plant trays, drainage, and structural support, for vertical racking and single tier benching, in a fully integrated system. Delivering an average in excess of 12 meters per second at the outlets above and below the canopy.

Questions? Call us at 707.391.8370

[www.dualdraft.ag](http://www.dualdraft.ag)



## HURRICANE AIRBOX

- Integrates to Dual Draft tray system
- Mounts on the uprights, external to the rack structure
- Requires 16" clear, obstruction & 21" clear, airflow
- Utilizes two 240V / 1.5A centrifugal fans. Total of 3A per airbox
- 0-10 Volt EC controllable motors



### DIMENSIONS

- 39" x 15" x 15"
- 45 lbs. per airbox



### AIRFLOW

- 2530 CFM @ 0" H2O
- 2178 CFM @ 0.8" H2O (operating point)

Fits all major rack manufacturers.



The Hurricane Airbox mounts to the exterior uprights of the rack with the duct mounted on the cross members of the rack, nesting under the fully draining ABS tray.

Questions? Call us at 707.391.8370

[www.dualdraft.ag](http://www.dualdraft.ag)



## ZERO-CLEARANCE AIR BOX

- Integrates to Dual Draft tray system
- Compact design does not require space external to the rack structure for mounting
- Utilizes two, 240V / 1.45A centrifugal fans. Total of 2.9A per airbox.
- 0-10 Volt EC controllable motors
- Alternative Fan, 115V / 1.9A centrifugal fan, total of 3.8A per airbox. Non-EC control motor



### DIMENSIONS

- 38" x 15" x 3.5"  
(Fits in the tray)
- 3.5" Air intake shroud  
(optional)
- 24 lbs. per airbox



### AIRFLOW

- 1470 CFM @ 0" H<sub>2</sub>O
- 1260 CFM @ 0.8" H<sub>2</sub>O  
(operating point)



**Fits all major rack manufacturers.**

The Zero-Clearance airboxes and duct work mounts on the cross members of the rack, nesting under the fully draining ABS tray.

# Alabama Code § 36-12-40

**Alabama Code § 36-12-40**



**Alabama Code § 36-12-40**

License Type: Cultivator

Environmental Controller Specifications – Attachment#5 to Exhibit 14, Section 14.2

**SEE NEXT PAGE**

# Hydro-X Plus System / HCS-3

Upgraded Version of Hydro-X System

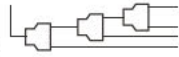
The Hydro-X Plus (HCS-3) controller is an upgraded version of the Hydro-X controller. It's a professional-grade single-zone environmental control system. It has an 800x480 7" LED touch-screen monitor, multiple advanced light control functions and expanded device control capacity.



**HCS-3** for Hydro-X Control System

## Connection

Daisy Chain Connection



The sensors and control modules (device stations) are connected to the HCS-3 with a standard RJ12 cable and RJ12 Y-splitters, making installation as simple as plug-and-play. The modules are "daisy-chained" together using the RJ12 cables allowing for flexible installation, and future expansion. To make installation even easier, you can also use the SPH-1 8-port hub instead of using the Y-splitters.

## Remote Control

App-based IOS / Android



Besides the onboard user interface / touch-screen, the Trolmaster app will provide real-time data and user notifications from the HCS-3. The HCS-3 also offers a free App TM+ that allows remote control and monitoring through the internet with any smartphone or tablet.

## Specifications

HYDRO-X Plus (HCS-3)

### Product Capacity

**4-in-1 (Temp & Humidity & CO2 & DayNight) Sensor** Max. 1pc per HCS-3

**Par Sensor** Max. 1pc each per HCS-3

**Smoke & Water Detectors** Max. 10pcs each per HCS-3

**Temp Device Stations** Max. 8pcs per HCS-3

**Humidity Device Stations** Max. 8pcs each per HCS-3

**CO2 Device Stations** Max. 4pcs each per HCS-3

**Program Device Stations** Max. 12pcs per HCS-3

**Light Channel(s)** 2 per HCS-3

**Number of Lights** \*Unlimited with the correct adapters

### Display & Control

**Size** 800\*480mm (7" LCD Screen)

**Control** Touch Screen Control

**Language** English (International)

**micro-SD** Save/Copy/Import/Export data

### Environment Setting

**Temperature** 40-100 °F

**Humidity** 20-99%

**CO2** 300-2500PPM

**Light Intensity** 0-2000 PPFD

\*current setting range



### Operating Requirements

**Temperature** 32 °F to 120 °F (0 °C to 49 °C)

**Humidity** 5% to 90% RH, non-condensing

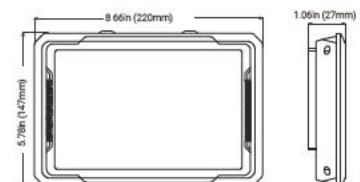
### Power Supply

**Supply Voltage** 100-240VAC, 50/60Hz, 3A

### Dimensions & G.W.

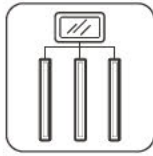
**Dimensions** 220\*147\*27mm

**Approx. G.W.** 0.5 kg  
Display & Control



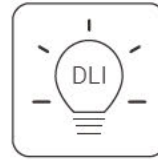
## Hydro-X Plus

Advanced Lighting Features



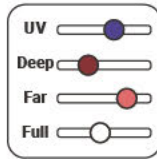
### Group Control

(Up to 10 Groups) of lighting control for ThinkGrow brand LEDs allows a customized combination of light settings for up to 10 separate groups of LEDs on a single HCS-3 controller.



### DLI (Daily Light Integral) Control and Monitoring

Can be used to calculate the exact amount of light needed based on the PPF/D to enhance growth rates and to save energy.



### 4 Adjustable Spectrum

Ultimate control on UV, White, Deep Red and Far Red spectrums for creating special light recipes.



### Cloud Effect

The HCS-3 can simulate natural cloud movements to mimic a natural outdoor environment which ensures less stress on the plants and will also save electricity.

---

## Lighting Control

With Hydro-X System

### Light Control

The Hydro-X Plus can control almost all horticultural lighting systems ( LED / HID ) in the market using one of Trolmaster's LMA series of lighting adapters. Two separate lighting control channels allow users to control two different lighting systems with separate setpoints.

When used with Hydro-X Plus, the LMA-T can provide user's ultimate control over ThinkGrow LED ( i.e. individual control on 4 different spectrums of the PLUS series ), and allows users to adjust and control the light spectrum according to their individual needs.



### MBS-PAR Sensor

The PAR sensor can be incorporated into the Hydro-X Plus system to provide a measurement of "PAR" ( Photosynthetically Active Radiation ). After entering the value of the desired amount of PPF/D to HCS-3, the PPF/D sensor will automatically increase or decrease the output level of the supplemental lighting systems to continuously maintain the exact lighting level ( in terms of PPF/D) selected.



## 4-in-1 Sensor MBS-PRO

Temp/Humidity/CO2/Photocell

This sensor will measure air temperature, humidity, CO2 ( PPM ) and has a photocell to detect Day or Night operation, making it a perfect all-in-one environmental sensor. The sensor will detect the environmental parameters then send the collected data back to the Hydro-X Plus. By using different modules connected to the Hydro-X Plus system, the controller will then send signals to the modules and control those devices based on the environmental readings provided by the 4-in-1 sensor.

\* Photocell sensor detects only the presence of light, not light intensity.



### Temperature

Temperature can be regulated with the modules by controlling the temperature control devices.

For example, the ARS-1 can control mini-split AC, TS-2 can control HVAC system etc.



### Humidity

Humidity can be regulated with the modules by controlling the humidity control devices.

For example, the HS-1 can control dehumidifiers, TSH-1 can control EC fans etc.



### CO2

CO2 level can be regulated with the modules by controlling the CO2 control devices.

For example, the DSC-1 can control CO2 tank regulators and CO2 generators etc.

## Other Control & Sensor

For Different Circumstances

### Other Control

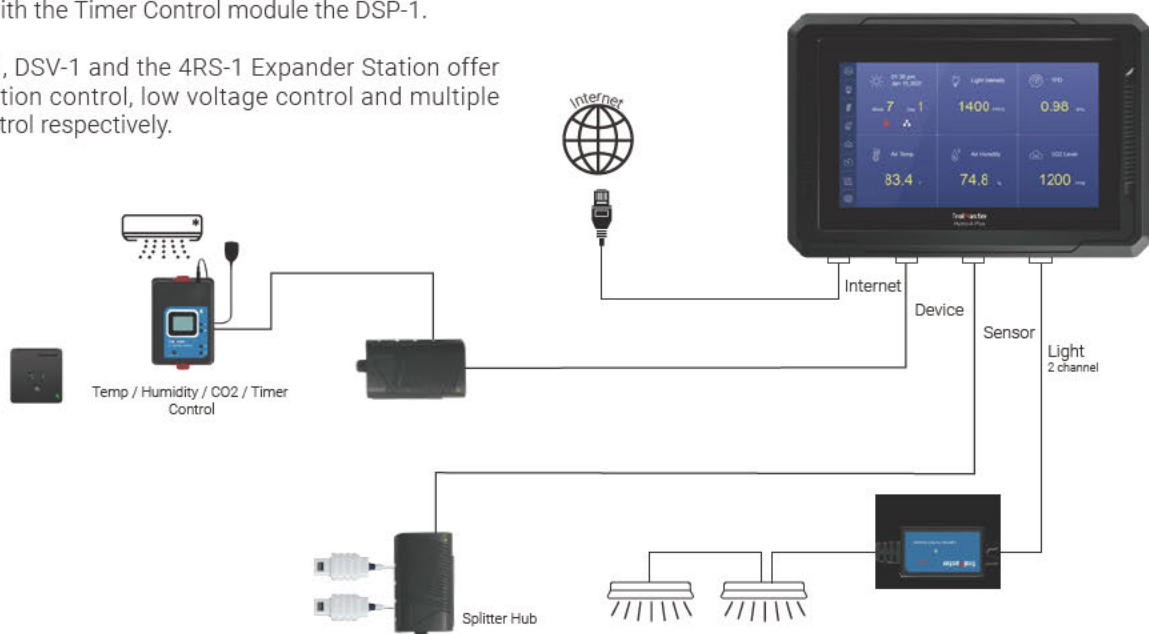
There are other modules that can be used to control devices in different circumstances in accordance with user' s need.

For instance, devices like fans or pumps can be controlled based on time rather than Temperature, Humidity, and CO2 with the Timer Control module the DSP-1.

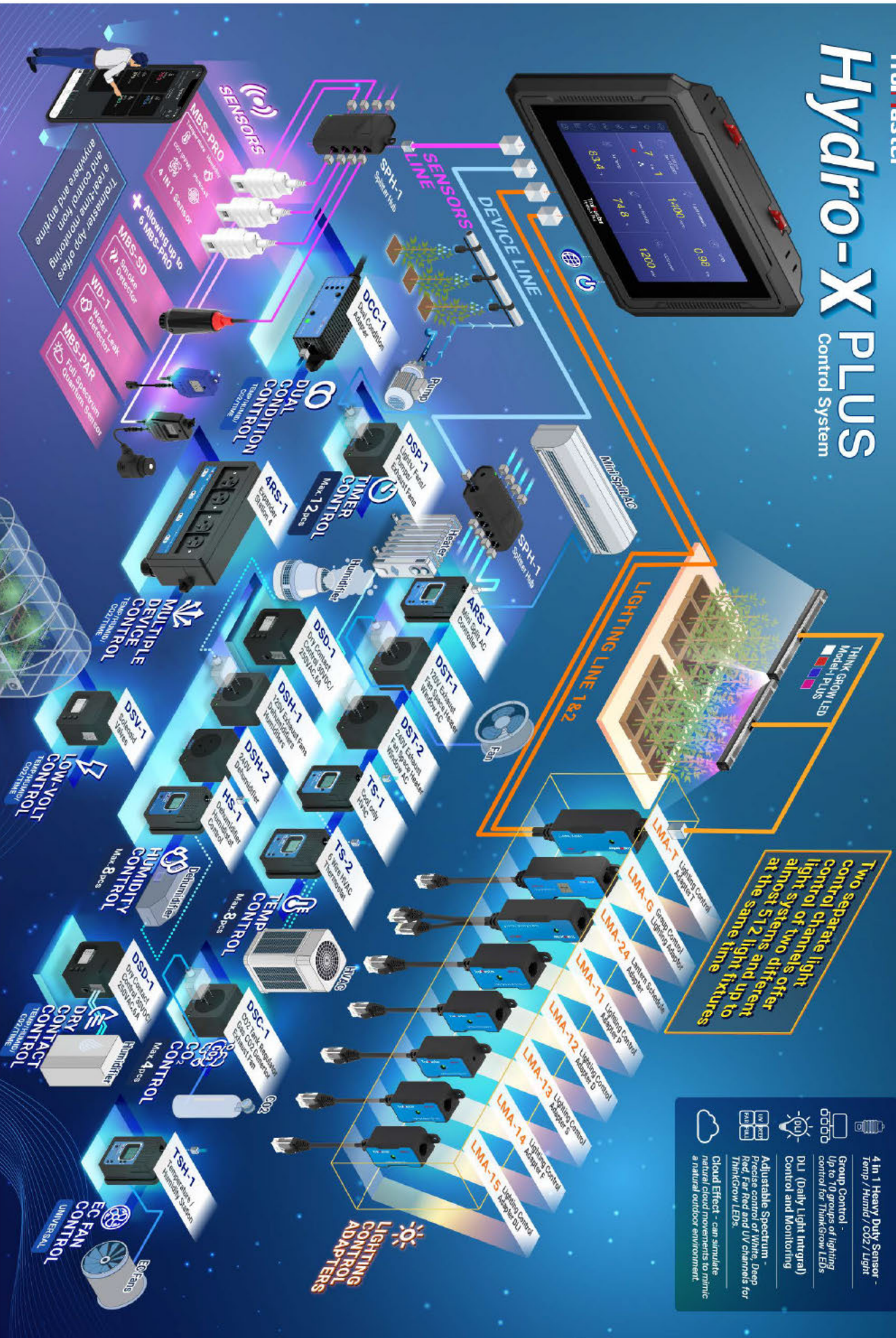
The DCC-1, DSV-1 and the 4RS-1 Expander Station offer dual condition control, low voltage control and multiple device control respectively.

### Other Sensor Alert

We also have 2 other sensors that can provide user alerts. The MBS-SD and WD-2 are used to detect smoke or water leaks. Alarm notification alerts will be sent to users on the TrolMaster App when abnormal conditions are detected... to alert them about potential problems before things get out of hand.



# TrolMaster Hydro-X PLUS Control System



Two separate light control channels offer almost 512 light fixtures at the same time

- 4 in 1 Heavy Duty Sensor - Temp / Humid / CO2 / Light
- Group Control - Up to 10 groups of lighting control for ThinkGrow LEDs
- DLI (Daily Light Integral) Control and Monitoring
- Adjustable Spectrum - Precise control of White, Deep Red, Far Red and UV channels for ThinkGrow LEDs.
- Cloud Effect - can simulate natural cloud movements to mimic a natural outdoor environment.

License Type: Cultivator

Dry Room Dehum Specifications – Attachment#6 to Exhibit 14, Section 14.2

**SEE NEXT PAGE**

COMMERCIAL GRADE HUMIDIFIER

# ULTRASONIC HUMIDIFIER

IDEAL FOR PORTABLE HUMIDIFICATION



#HGC700608  
#HGC700612

#HGC701606



# **Ideal-Air™ Pro Series Ultra Sonic Humidifier**

The Ideal-Air™ Pro Series Ultra Sonic Humidifier is designed with ultra-sonic transducers to supply ultra-fine water particles.

This is to help form a more balanced humidity field over your plant's entire canopy. Ideal-Air™ Pro Series Ultra Sonic Humidifier plates are made from cold-rolled steel and a stainless steel box with a standard water inlet with overflow safety switch, reservoir drain outlet, sediment filter, LED display and humidity control (30%–90%).

This unit is designed to produce up to 150 pints per day of ultra-fine mist. We recommend all Ultra Sonic Humidifiers be ducted, using 4 in PVC or 4 in DWV plastic pipe works best. Units should not be run without ducting or the top of the unit may be covered in water and shut off.

Please don't fill the unit through the discharge piping outlets. Doing this will overfill the tank and prevent the unit from creating humidity. The unit should only be filled through the provided hose and float valve to ensure proper water level and correct operation.

150 Pint unit operates at 115 V, 60 Hz and 2.7 Amps.  
300 Pint unit operates at 115 V, 60 Hz and 7.8 Amps.  
600 Pint unit operates at 115 V, 60 Hz and 10.3 Amps.

# SYSTEM FUNCTION

**HUMIDITY CONTROL:** When the humidity reaches the set point, the machine will stop automatically. It will automatically start the machine when the humidity in your space rises above the set point. The humidity range is 30-90%; The machine will stop +5% of set humidity and restart below -5% of set humidity.

**WATER INLET:** When the water level in the water tank decreases, the float switch will activate and the water tank will refill automatically and stop filling when the water level rises to the maximum height.

**LOW WATER LEVEL WARNING:** When the system detects the water level is lower than the warning level, the machine will stop automatically. The system will warn if water is not refilled in 10 minutes.

**FAN DELAY PROTECTION:** When the humidifier is turned off the fan will continue to run for another 30 seconds to drain all the residual water and cool the unit.

# TECHNICAL PARAMETERS

Model	Power Supply	Power (Watts)	Spray Capacity (pints per hour)	Control Manner	Spray Outlet Diameter (inches)	Size (inches)	N.W. (lbs)
701606	110V/60Hz	300W	6.25	Auto	4.33 Single Outlet	23.62x13.78x18.19	44.11
701608	110V/60Hz	600W	12.5	Auto	4.33 Double Outlet	24.80x18.11x22.13	66.14
701612	110V/60Hz	600W	25	Auto	4.33 Double Outlet	24.80x18.11x22.13	66.14

# ERROR CODES

Code	Meaning
E1	Water level is too low
E2	Humidity sensor failure

# TROUBLESHOOTING TIPS

Problem	Possible Causes	Troubleshooting
Indicator off	No power	Check the circuit and recover power supply
	Fuse is burnt	Replace fuse of same specification
	Power switch is off	Start power switch
The supply tank gets no water	No water supply from the pipe	Check water supply valve
	Water inlet solenoid valve is damaged	Replace solenoid valve
	Main control board is damaged	Replace main control board
	Inlet water pressure is not enough	Inlet water pressure shall be 5 kg
Automization indicator is on but there is no spray	Voltage is 5% less than required voltage	Check the voltage
Water flows from the case	Foreign matter in the solenoid valve	Dismantle the solenoid valve to clear foreign matter
Less spray or no spray	Scale in the water box	Clear the water box
	Voltage is too low	Keep normal voltage
	No water in the water tank	Add water to the water tank

# MAINTENANCE

## MAINTENANCE OF THE WATER TANK

Water should be changed regularly once every 7 days. The automatic computer flushing system helps to minimize dirt and residue remain in the water tank.

## CLEAN THE WATER TANK

The water tank should be cleaned regularly once every 30 days. Please remove the electrical plug from the wall socket before changing the water tank to prevent electric shock.

### METHOD TO CLEAN:

1. Remove all water from the water tank, using the water outlet (see Fig. 4 on page 4)
2. Open the top cover and remove the tank cover. Use a soft cloth or brush to clean out any impurities and/or scale on the piezo ceramic and in the water tank. Wash out with clean water.
3. Water should not be spilled on the external components and wires to prevent short circuit.
4. Do not use detergent to clean any components of atomizer.
5. Re-install the cover.

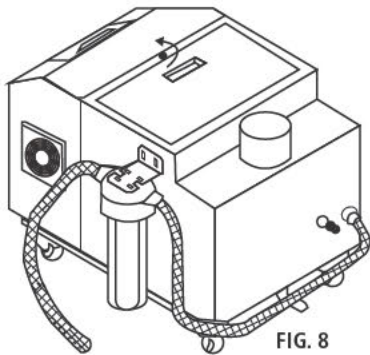


FIG. 8

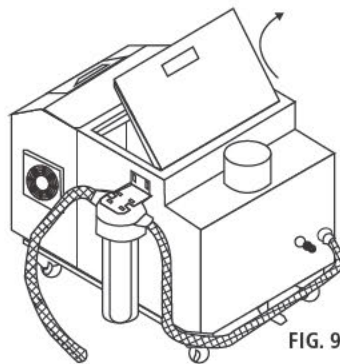


FIG. 9

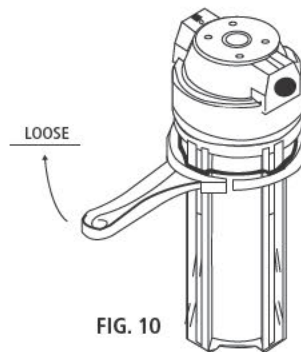


FIG. 10

## CLEAN THE FILTER

The filter should be cleaned regularly at least once a month:

1. Unscrew the cover of the filter.
2. Clear the dirt on filter element.
3. Re-install the cover.

# AFTER-SALE SERVICE

- The warranty period is one year for the general machine.
- Filter and filter element are consumable materials, not covered by warranty. If the filter needs to be replaced contact Ideal-Air™ and we'll either direct you to the appropriate store for purchase or sell you the proper filter.
- If the machine is not used for more than 48 hours, power supply must be cut off, drain off all the water in the water tank to avoid the water become contaminated and affect the normal use of the equipment.

License Type: Cultivator

Mother and Veg Dehum Specifications – Attachment#7 to Exhibit 14, Section 14.2

**SEE NEXT PAGE**




# 506 220-240V | PN 4036600

## PERFORMANCE

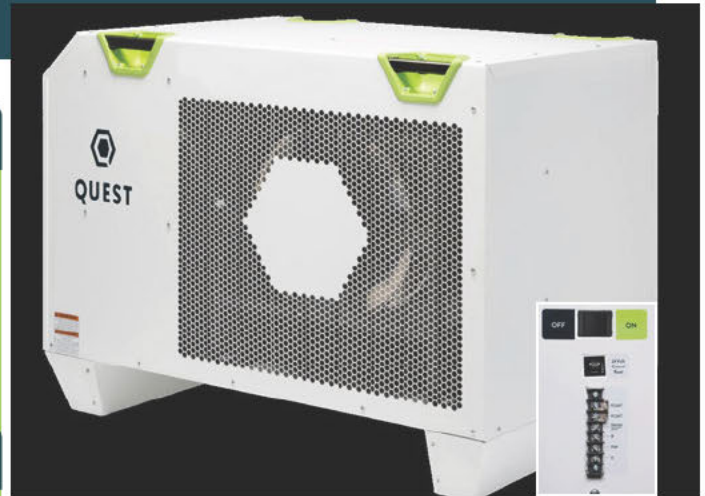
°F   %RH	80   60	75   50
Water Removal (P/Day)	506	349
Efficiency (P/kWh)	8.1	6.2
Energy Factor (L/kWh)	3.8	2.9

## ELECTRICAL

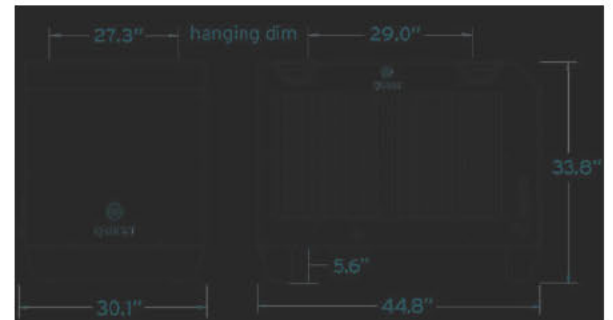
°F   %RH	80   60
Supply Voltage	220-240V
Current Draw	11.0A
Recommended Breaker Size	30A
Power	2,700W
Power Cord	12ga 10' NEMA 6-20P 
CFM	1,350
BTU (Total)	31,000
BTU (Heat of Condensation)	22,500
BTU (Motor Load)	8,500

## SPECS

Control Type	External control required (not provided)
Refrigerant Type	R410a
Refrigerant Amount	4 lb 8 oz
Weight	280 lb
Air Filter MERV Rating	MERV-13
Dimensions	18" x 20" x 2"
Drain Port Connection	3/4" Threaded NPT
Operating Temperature	56 F Min - 95 F Max



## DIMENSIONS



## FEATURES

- + Patented Coil Technology: Achieves highest efficiency available in its class
- + Superior MERV-13 filtration: Removes more harmful contaminants from the air, such as mold, bacteria, and virus carriers
- + Integrated handles and hanging points for easier movement and installation
- + Low amp draw, freeing up electricity for other equipment
- + Auto-restart after loss of power

Specifications are subject to change without notice. Drawings are not to scale.

QUESTCLIMATE.COM / (877) 420-1330



License Type: Cultivator

Flower Room Dehum Specifications – Attachment#8 to Exhibit 14, Section 14.2

**SEE NEXT PAGE**

# Quest 876

## Installation, Operation and Maintenance Instructions

### – Read and Save These Instructions –

*This manual is provided to acquaint you with the dehumidifier so that installation, operation and maintenance can proceed successfully. Ultimate satisfaction depends on the quality of installation and a thorough understanding of this equipment. The dehumidifier is built around tested engineering principles and has passed a thorough inspection for quality of workmanship and function.*

#### Features:

- Industry-leading efficiency
- Patented, refrigeration system
- High-efficiency, long-life impeller fan
- Quiet operation
- Superior air filtration (MERV-13 standard)
- Auto-restart after power outages
- Environmentally friendly R410A refrigerant
- Low voltage control



Patent: [thermastor.com/patents](http://thermastor.com/patents)

#### Water Removal Rates (Pints/Day) @ 80°F 60% (AHAM)

Dehumidifier	Pints Removed	Gallons/Liters
Quest 876	876	109.5/415.2



**Therma-Stor<sup>®</sup> LLC**

Driven by performance. Powered by design.™

4201 Lien Rd  
Madison, WI 53704  
[www.QuestClimate.com](http://www.QuestClimate.com)

Toll-Free 1-877-420-1330  
[info@QuestClimate.com](mailto:info@QuestClimate.com)

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## Safety Precautions

Read the installation, operation and maintenance instructions carefully before installing and operating this device. Proper adherence to these instructions is essential to obtain maximum benefit from your Quest 876 Dehumidifier.

## READ AND SAVE THESE INSTRUCTIONS

- The device is designed to be installed **INDOORS IN A SPACE THAT IS PROTECTED FROM RAIN AND FLOODING.**
- Install the unit with space to access side panels for maintenance and service. **DO NOT INSTALL UNIT WITH THE SERVICE PANELS INACCESSIBLE.**
- Avoid directing the discharge air at people, or over the water in pool areas.
- If used near a pool, spa or water; be certain there is **NO** chance the unit could fall into the water, be splashed and that it is plugged into an outlet that is a **GROUND FAULT INTERRUPT** protected circuit.
- **DO NOT** use the device as a bench or table.
- **DO NOT** place the device directly on structural members. Provide vibration isolation in order to minimize operational vibration and/or noise.
- A drain pan **MUST** be placed under the unit if installed above a living area or above an area where water leakage could cause damage
- Make all electrical connections in accordance with the current edition of the **NEC ANSI/NFPA 70** and any national and local codes or ordinances that may apply.
- Do not obstruct the air intake and exhaust. Maintain a 4ft clearance around the air intake and exhaust.
- This appliance is not intended for use by persons (including children) with reduced physical, sensory or mental capabilities, or lack of experience and knowledge, unless they have been given supervision or instruction concerning use of the appliance by a person responsible for their safety. Children should be supervised to ensure that they do not play with the appliance.
- Not intended for use at altitudes over 2000m.

## 1. Intended Application for Quest 876 Dehumidifier

The Quest 876 Dehumidifier is designed to operate in temperatures between 56° and 110°F.

In order to efficiently control humidity levels, the area in which the dehumidifier is to be operated must be free of water intrusion or excessive fresh (outside) air infiltration. Before installing the Quest 876 Dehumidifier, water intrusion and air infiltration problems should be addressed or noted in calculations.

## 2. Registrations

The Quest 876 Dehumidifier units conform to unified standards: UL 60335-2-40, CSA 22.2 # 60335-2-40:2012 Ed. 1+4.

Patent: [thermastor.com/patents](http://thermastor.com/patents)

## 3. Specifications @ 80°F/60% RH

<b>Unit:</b>	<b>Quest 876 4037600</b>
<b>CFM</b>	1850@0.0"WG
<b>Power (Watts):</b>	5500
<b>Supply voltage:</b>	220-240 VAC - 1 Phase - 60 Hz.
<b>Current Draw (Amps):</b>	24.7
<b>Rated Current Draw (Amps): (104°F 36%)</b>	31.5
<b>Minimum Circuit Ampacity (MCA):</b>	42.5A
<b>Maximum Overcurrent Protection (MOP):</b>	70A
<b>Energy Factor (L/kWh):</b>	3.1
<b>Operating Temp:</b>	56°F Min - 110°F Max
<b>Water Removal (Pints/Day):</b>	876
<b>Efficiency (Pints/kWh):</b>	6.6
<b>Air Filter (MERV-13): (2)</b>	Size: 18" x 20" x 2"
<b>Power Cord:</b>	Cord not provided - Direct wired only
<b>Electrical Knockout Size</b>	3/4" (1.094" opening)
<b>Drain Connection:</b>	3/4 Threaded NPT
<b>Refrigerant Type:</b>	R410A
<b>Refrigerant Amount:</b>	6lbs 4oz
<b>Dimensions:</b>	Unit      Shipping
<b>Width:</b>	28.9"    36"
<b>Height:</b>	33.8"    40"
<b>Length:</b>	44.7"    48"
<b>Weight:</b>	340 lbs    420 lbs

\* requires external control not provided

\* requires buck/boost transformer  
to run on 208V or 277V



## 4. Installation

### 4.1 Location

The Quest 876 Dehumidifier can be installed in a variety of locations to meet the owner's needs as listed below. In all cases keep the following cautions in mind:

- It is designed to be installed INDOORS IN A SPACE THAT IS PROTECTED FROM RAIN AND FLOODING.
- Install the unit with space to access side panel for maintenance and service. DO NOT INSTALL UNIT WITH ACCESS PANEL INACCESSIBLE.
- Avoid discharging the air directly at people, or over the water in pool areas.
- Be certain there is NO chance the unit could fall into water.
- DO NOT use the Quest 876 Dehumidifier as a bench or table.
- DO NOT place the Quest 876 Dehumidifier directly on structural members. Provide vibration isolation in order to minimize operational vibration and/or noise.
- A drain pan MUST be placed under the unit if installed above an area where water leakage could cause damage.
- Do not obstruct the air intake and exhaust. Maintain a 4ft clearance around the air intake and exhaust.

### 4.2 Electrical Requirements



#### **WARNING!**

**Electrical shock Hazard: Electrical power must be present for some tests. These tests should be performed by a qualified service person.**

#### High Voltage Connections

The Quest 876 Dehumidifier must be wired directly to the electrical service. The current draw under normal operating conditions is listed in section 3.

Information required for proper electrical service installation and overcurrent protection may be found on the Dehumidifier nameplate. The unit power switch is a disconnect switch. Route power and ground wires through the electrical knockout hole. Electrical input power wiring must comply with all national, state, and local electrical codes. Make electrical connections according to the wiring diagram provided in this manual and inside the access panel of the Dehumidifier. A ground fault interrupter protected circuit is recommended.

#### Low Voltage Connections

Install the external control in a central area of the structure where it will sense the relative humidity accurately. Avoid installing the sensor near HVAC supply registers, near exterior doors, or near pool or spa. The installer must supply the wiring between the Dehumidifier and the control panel. Be sure to safely route the control wiring to prevent damage during installation. Be careful not to cross the wires when connecting the Dehumidifier and the Control.

The controls of the Dehumidifier are powered by a low voltage circuit (24VAC) and must NEVER contact or be connected to a high voltage circuit. The control terminals are labeled to prevent confusion.

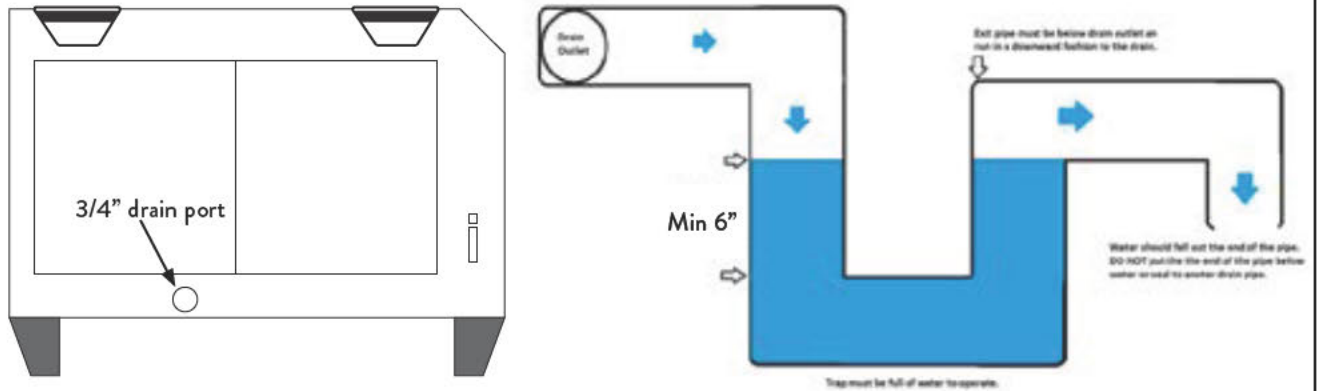
For more information on controls, see section 5.

### 4.3 Condensate Water Removal

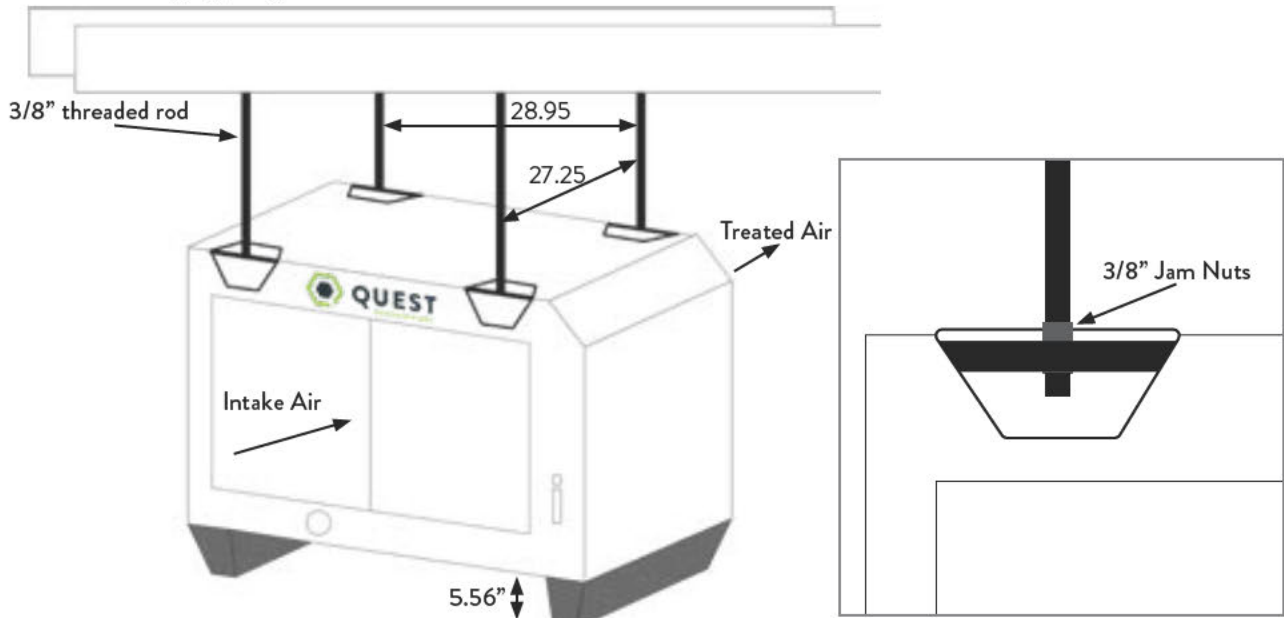
Condensate drains by gravity via the drain port. Use 3/4" male NPT PVC pipe. An optional condensate pump kit may be installed if a lift is required to dispose of the condensate. Optional parts list for information on the kit.

Follow Diagram

Level unit within 2°




### 4.4 Hanging Diagram



Parts Needed: 4 pieces 3/8" threaded rod (not included)  
4 - 3/8" threaded jam nuts (not included)

**WARNING!** Hanging installation must meet all state and local codes.

**WARNING!** Installation should be done by a qualified agency in accordance with these instructions. The qualified service agency installing this high efficiency refrigeration system is responsible for the installation.

 **WARNING!** Check the supporting structure to be used to verify that it has sufficient load carrying capacity to support the weight of the unit. Suspend the unit only from the threaded nut retainers. Do NOT suspend from the cabinet.

 **CAUTION!** Recommended maximum hanger rod is 6 feet (1.8m)

## 5. Control Options

The Quest 876 Dehumidifier is controlled by an external remote humidistat through a 24V terminal block. External control NOT provided.

### 5.1 Humidity Control

A 24VAC signal is sent by the remote humidistat signals the fan or fan and compressor to actuate.

Quest Control Options:

- Cloud Control
- DEH 3000R
- Honeywell Humidistat
- Any 24V signal to Dehumidify

 **CAUTION!** **Low Voltage Hazard**  
Can cause equipment damage  
Disconnect power before beginning installation.

### 5.2 24VAC Wiring

The terminal block, low voltage circuit breaker, and power switch are located on the intake side of the unit. Turn the power switch to the off position while wiring the unit.

FLOAT: {External normally closed dry contact float switch.} No voltage may be applied to the float switch.

FLOAT: Applying voltage may damage the control and void the warranty. {If no float is used in the system, leave the jumper connected to the terminal block.}

DEHU: Dehumidification (compressor and fan operation).

R: 24VAC output

FAN: Fan operation only.

C: 24VAC neutral (common)

Note:

External devices can be powered between 24V/COM (10VA max).

24V circuit is protected by circuit breaker

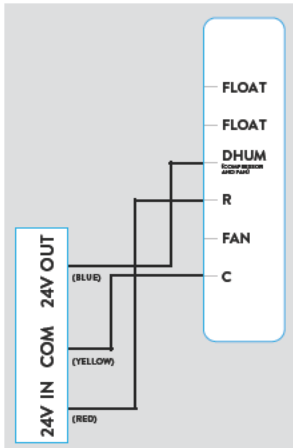
FLOAT
FLOAT
DHUM (COMPRESSOR AND FAN)
R
FAN
C

Quest 876

Installation, Operation and Maintenance Instructions

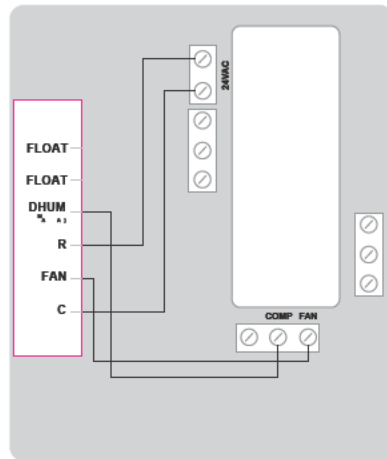
5.2A Cloud Control

QUEST CLOUD CONTROL WIRING DIAGRAM



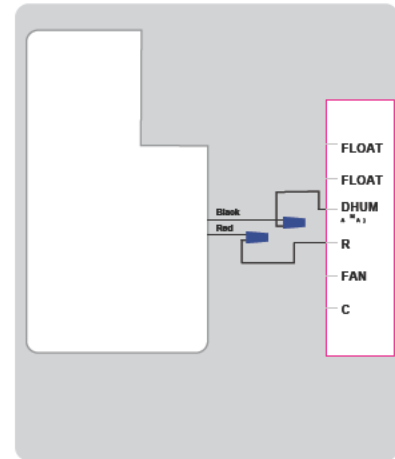
5.2B DEH 3000R Control

QUEST DEH 3000R CONTROL WIRING DIAGRAM



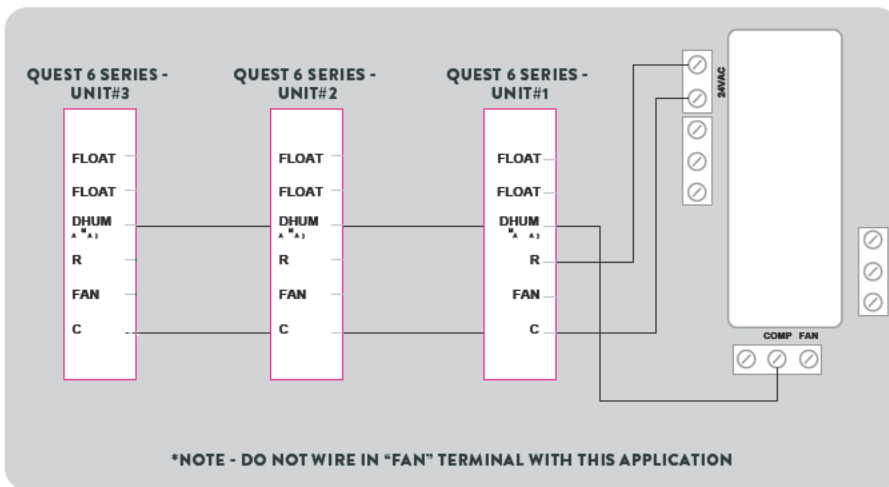
5.2C HONEYWELL Remote Humidistat

HONEYWELL REMOTE HUMIDISTAT WIRING DIAGRAM



5.2C Daisy Chain

QUEST DEH 3000R CONTROLLER



## 6. Maintenance



### **WARNING!**

**Do not operate the unit without the filter or with a less effective filter. Filter non-compliance invalidates the product warranty.**

### 6.1 Standard Air Filter

The Quest 876 Dehumidifier ships with two standard MERV 13 efficient pleated fabric filters. This filter should be checked every six months. Operating the unit with a dirty filter will reduce dehumidifier capacity and efficiency.

To access the air filter, the filter should be readily visible and can be removed by pulling it straight out of the Quest 876 Dehumidifier.

For agriculture, we recommend changing the filter with every grow cycle.

## 7. Service



### **WARNING!**

**Servicing the Quest 876 with its high pressure refrigerant system and high voltage circuitry presents a health hazard which could result in death, serious bodily injury, and/or property damage. Only qualified service personnel should service this unit.**

### 7.1 Warranty

A warranty certificate has been enclosed with this unit; read it before any repair is initiated. If a warranty repair is required, call the factory first at 1-877-420-1330 for warranty claim authorization and technical assistance.

### 7.2 Technical Description (Non standard patented)

The Quest 876 Dehumidifier uses a refrigeration system to remove moisture from incoming air, and add heat to the air that is discharged.

Hot, high-pressure refrigerant gas is routed from the compressor to the condenser coil. The refrigerant is cooled and condensed by giving up its heat to the air that is about to be discharged from the unit. The refrigerant liquid then passes through a filter/drier and expansion drier which causes the refrigerant pressure and temperature to drop. It next enters the evaporator coil where the cool refrigerant absorbs heat from the incoming air and evaporates.

The compressor evacuates the cool refrigerant vapor from the evaporator and compresses it to a high pressure and temperature to repeat the process.

## 8. Ducting Guidelines

### **CAUTION!** Quest 876 is intended for use in statics under .5"WG.

- Ducting either the intake or exhaust uses custom Therma-Stor Intake and Exhaust Duct Kits.
- Grills or diffusers on the duct ends must not excessively restrict airflow.
- Effective dehumidification may require ducting to be branched to isolated or stagnant flow areas. Be sure to use appropriate size duct branches to maintain proper feed throughout the ducting system.
- System control should be placed remotely from the dehumidifier in a central location.
- Intake and exhaust ducting should have a minimum of 4 feet from the unit before any turns and maximum of 25' for total run.

### **CAUTION!** Do not connect with a static pressure greater than +.5"wg. Contact technical support for additional details.

## 9. Display

### 9.1 User Interface

The main status screen is shown:



Left side buttons (top to bottom):

- Alarm – press at any time to check active alarms.
- Prg/Bullseye – press at any time to access settings and menus.
- Escape – press at any time to move to the previous menu.

Right side buttons (top to bottom)

- Up
  - \* On a menu selection screen - moves cursor up.
  - \* On an editable value – increases the value. Press and hold to change the value faster.
  - \* If cursor is in upper left corner of a screen – moves to previous screen.
- Enter
  - \* On a menu selection screen – enters the highlighted menu.
  - \* On an editable value – saves the current value and moves to the next editable item on the screen.



- Down
  - \* On a menu selection screen – moves cursor down.
  - \* On an editable value – decreases the value. Press and hold to change the value faster.
  - \* If cursor is in upper left corner of screen – moves to next screen.

## 9.2 Settings Change Example: Changing Date Display Format

1. From any screen, press the Prg/Bullseye key. This will prompt for a password.



2. Press Enter four times to access the menu. Then, press Up/Down keys until Settings is highlighted.



3. Press Enter to enter Settings menu. Then, press Up/Down until Date/Time is highlighted.



4. Press Enter to select Date/Time. Then, press Enter again to move the cursor to the Format field.



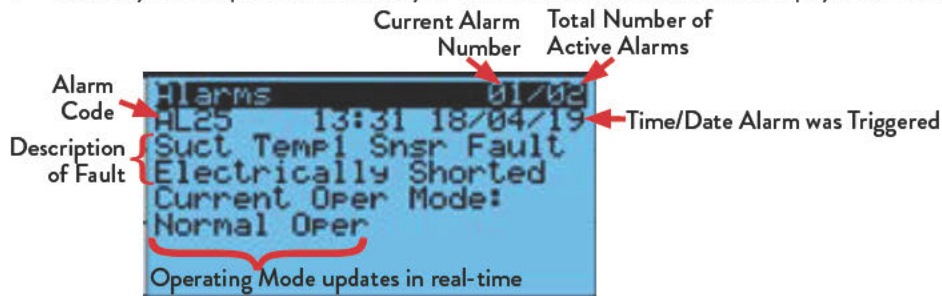
- Press the Up/Down keys to cycle through the options. Once MM/DD/YY is displayed, press Enter. This will save the new value, and will move the cursor down to the date field.



- Press Escape three times to get back to the main status screen.

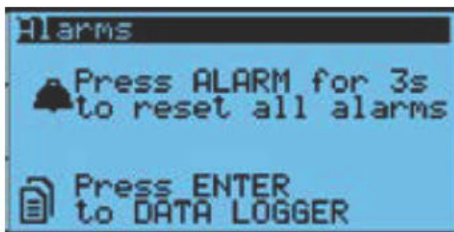
### 9.3 Viewing Active Alarms/Alarm Logs

- From any screen, press the Alarm key. If there are active alarms, this will display a screen like this.



- Press Up/Down to cycle through all active alarms. The alarms are arranged in order of their alarm code (lowest to highest). One screen beyond last active alarm, the following screen will be displayed.

- Note that if a fault condition is still present, resetting an alarm will have no effect. Active alarms will automatically be removed from the list when the fault condition is resolved.



## Quest 876

## Installation, Operation and Maintenance Instructions

- Press Enter to access the Alarm Log. This allows you to cycle through the 50 most recent alarms, whether they are active or if they've been resolved. These are arranged from newest to oldest. The Alarm Log screen is similar to the Active Alarm screen, except for a few differences:

- \* The Alarm Log screen does not display the current operating mode
- \* The Alarm Log screen shows both when the alarm was first triggered (Event: Start) and when the fault condition was resolved (Event: Stop).



#### 9.4 Viewing Unit Run Hours

- From any screen, press Escape several times to return to the main status screen. Press Up/Down until the clock icon is displayed in the lower-right of the screen.



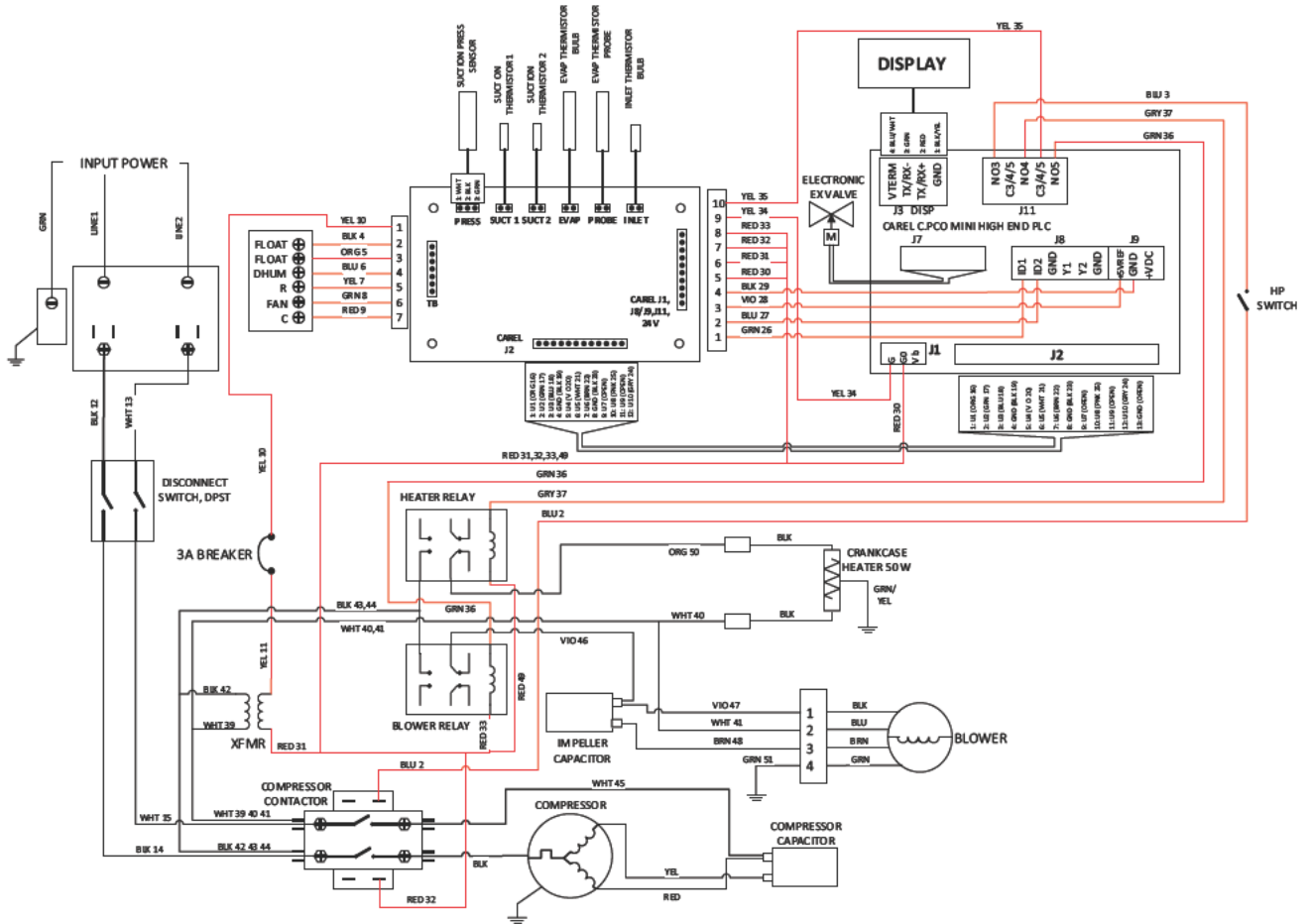
- Press Enter to display the operating hours for the entire unit (compressor or fan), for the blower, and for the compressor.



Quest 876

Installation, Operation and Maintenance Instructions

Wiring Diagram of the Quest 876 Dehumidifier



4039867 Rev. B

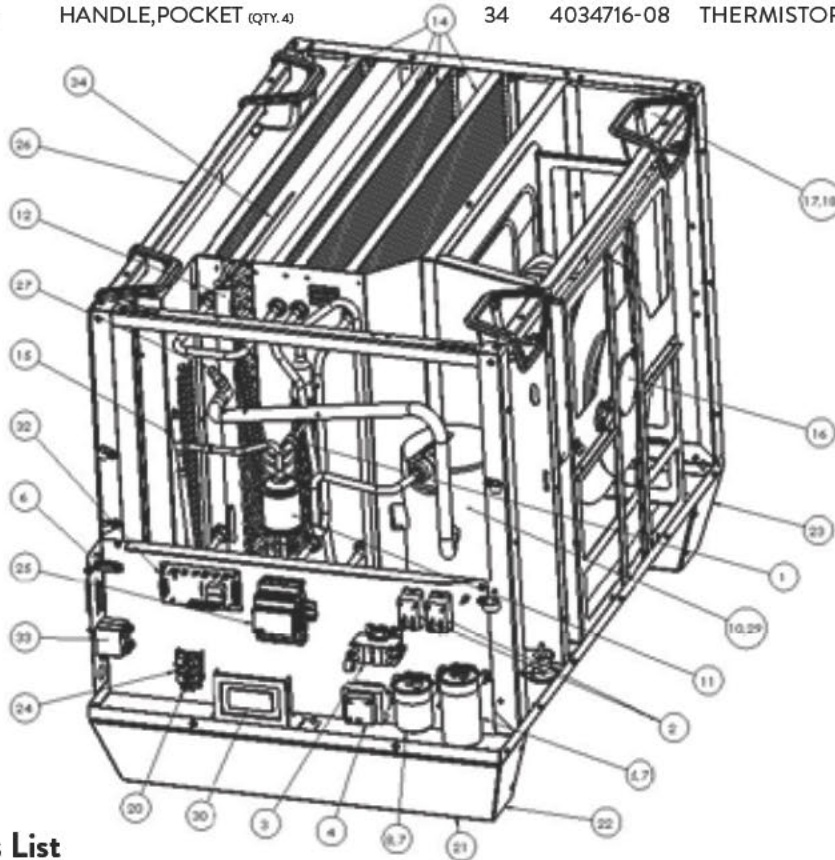


## Quest 876

## Installation, Operation and Maintenance Instructions

## Service Parts List

Item	Part No	Description	Item	Part No	Description
1	4029507	CONTROL,HIGH PRESSURE	18	4038136	BEZEL,HANDLE (QTY.4)
2	4029575	RELAY (QTY.2)	19	4039864	WIRE HARNESS (NOT SHOWN)
3	4039724	CONTACTOR	20	4038079	LUG,GROUND
4	4031406	TRANSFORMER	21	4038219	FOOT,RUBBER,1.25" DIA (QTY.4)
5	4035949-07	CAPACITOR, COMP.	22	4038225-01	BRACKET,FOOT,RIGHT
6	4036559	CIRCUIT BREAKER	23	4038226-01	BRACKET,FOOT LEFT
7	4039729	CLAMP,CAPACITOR (QTY.2)	24	4038215	BLOCK, WIRING
8	4041557-01	CAPACITOR, IMPELLER	25	4039948	PLC
9	4039667	THERMISTOR W/CLAMP (QTY.2) (NOT SHOWN)	26	4038233	FILTER 18x20x2 (QTY.2)
10	4039603	CPRSR	27	4039862	PRESSURE TRANSDUCER
11	4037702	FILTER,DRIER	28	4039932	CPRSR WIRE HARNESS (NOT SHOWN)
12	4039604-04	COIL, EVAP	29	4039863	CRANKCASE HEATER
13	4037699	THERMISTOR BULB (QTY.2) (NOT SHOWN)	30	4041558	PLC DISPLAY
14	4039605-02	COIL, MICRO (QTY.4)	31	4041559	DISPLAY CABLE ASSEMBLY (NOT SHOWN)
15	4039661	EEV	32	4039875	PCB
16	4039606	FAN,MOTORIZED IMPELLER	33	4041614	SWITCH
17	4038135	HANDLE,POCKET (QTY.4)	34	4034716-08	THERMISTOR PROBE



## Optional Parts List

PART NO.	QTY.	DESCRIPTION	PART NO.	QTY.	DESCRIPTION
4020175	1	Controller, Humidity	4022220	1	Kit, Pump
4028531	1	DEH 3000R Control, Remote	4038642	1	Kit, Intake Duct
4041550	1	Cloud Control	4039868	1	Kit, Exhaust Duct
4041560	1	Cloud Control Plus	4038644	1	Drain Trap Kit



## Quest 876 Dehumidifier Limited Warranty

### WARRANTOR:

Therma-Stor LLC  
4201 Lien Rd  
Madison, WI 53704  
Telephone: 1-800-533-7533

**WHO IS COVERED:** This warranty extends only to the original end-user of the Quest 876 Dehumidifier dehumidifier, and may not be assigned or transferred.

**FIRST YEAR WARRANTY:** Therma-Stor LLC warrants that, for one (1) year the Quest 876 Dehumidifier dehumidifier will operate free from any defects in materials and workmanship, or Therma-Stor LLC will, at its option, repair or replace the defective part(s), free of any charge.

**SECOND THROUGH FIFTH YEAR WARRANTY:** Therma-Stor LLC further warrants that for a period of five (5) years, the condenser, evaporator, and compressor of the Quest 876 Dehumidifier dehumidifier will operate free of any defects in material or workmanship, or Therma-Stor LLC, at its option, will repair or replace the defective part(s), provided that all labor and transportation charges for the part(s) shall be borne by the end-user.

**END-USER RESPONSIBILITIES:** Warranty service must be performed by a Servicer authorized by Therma-Stor LLC. If the end-user is unable to locate or obtain warranty service from an authorized Servicer, he should call Therma-Stor LLC at the above number and ask for the Therma-Stor LLC Service Department, which will then arrange for covered warranty service. Warranty service will be performed during normal working hours.

The End-user must present proof of purchase (lease) upon request, by use of the warranty card or other reasonable and reliable means. The end-user is responsible for normal care. This warranty does not cover any defect, malfunction, etc. resulting from misuse, abuse, lack of normal care, corrosion, freezing, tampering, modification, unauthorized or improper repair or installation, accident, acts of nature or any other cause beyond Therma-Stor LLC's reasonable control.

**LIMITATIONS AND EXCLUSIONS:** If any Quest 876 Dehumidifier Dehumidifier part is repaired or replaced, the new part shall be warranted for only the remainder of the original warranty period applicable thereto (but all warranty periods will be extended by the period of time, if any, that the Quest 876 Dehumidifier Dehumidifier is out of service while awaiting covered warranty service).

UPON THE EXPIRATION OF THE WRITTEN WARRANTY APPLICABLE TO THE Quest 876 Dehumidifier DEHUMIDIFIER OR ANY PART THEREOF, ALL OTHER WARRANTIES IMPLIED BY LAW, INCLUDING MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, SHALL ALSO EXPIRE. ALL WARRANTIES MADE BY THERMA-STOR LLC ARE SET FORTH HEREIN, AND NO CLAIM MAY BE MADE AGAINST THERMA-STOR LLC BASED ON ANY ORAL WARRANTY. IN NO EVENT SHALL THERMA-STOR LLC, IN CONNECTION WITH THE SALE, INSTALLATION, USE, REPAIR OR REPLACEMENT OF ANY Quest 876 Dehumidifier DEHUMIDIFIER OR PART THEREOF BE LIABLE UNDER ANY LEGAL THEORY FOR ANY SPECIAL, INDIRECT OR CONSEQUENTIAL DAMAGES INCLUDING WITHOUT LIMITATION WATER DAMAGE (THE END-USER SHOULD TAKE PRECAUTIONS AGAINST SAME), LOST PROFITS, DELAY, OR LOSS OF USE OR DAMAGE TO ANY REAL OR PERSONAL PROPERTY.

Some states do not allow limitations on how long an implied warranty lasts, and some do not allow the exclusion or limitation of incidental or consequential damages, so one or both of these limitation may not apply to you.

**LEGAL RIGHTS:** This warranty gives you specific legal rights, and you may also have other rights which vary from state to state.



License Type: Cultivator

Clone Room LED Specifications – Attachment#9 to Exhibit 14, Section 14.2

**SEE NEXT PAGE**



# 36W CLONE LED

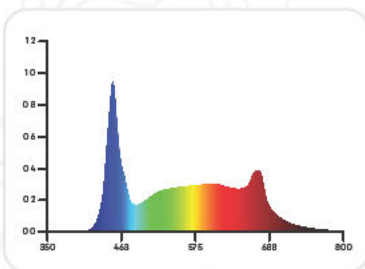


## Features:

- Pack of two 18W LED Strips
- PPF: 41.4  $\mu\text{mol/s}$
- Efficacy: 2.3  $\mu\text{mol/J}$
- Water-Resistant: IP65 Rated



## Spectrum (9000K)



## Technical Specifications (Single Strip)

Input Power	18W
Input Voltage	120V-277V
· 120V	0.152A
· 208V	0.092A
· 240V	0.085A
· 277V	0.075A
Input Frequency	50/60 Hz
Light Output: PPF	41.4 $\mu\text{mol/s}$
Efficacy	2.3 $\mu\text{mol/J}$
Lifetime L90	> 54,000 hrs
Water-Resistance	IP65
Warranty	5 Year Standard Warranty
Dimensions	1120x31x55 mm / 44.09x1.22x1.5 inch
Weight	0.4 kgs / 0.88 lbs
Operating Temperature	0°C-35°C / 32°F-95°F
Operating Humidity	0%-95%
Thermal Management	Passive
Power Factor	$\geq 0.90$

Exclusively Distributed by:  
GGen Distribution Corp.  
[ionlight.com](http://ionlight.com)



DLC: IONLED 23636 K14 23





License Type: Cultivator

Mother and Veg LED Specifications – Attachment#10 to Exhibit 14, Section 14.2

**SEE NEXT PAGE**

# ion<sup>®</sup> LED 630W



## LIGHTING TO GROW LIKE A PRO



IONLED COMM630

Exclusively Distributed by GGen Distribution Corp.

# ION<sup>®</sup> LED 630W



The ION LED system utilizes GrowGeneration's balanced spectrum and 3K Horticultural Full Phase Spectrum. Ultimate growing power is achieved with the best possible LED diodes available.

- 110V-277V wide voltage design, available with different NEMA power plugs for a wider range of voltage used.
- Folding design facilitates transportation and saves on shipping and storage costs.
- Digital control buttons are used for smart digital dimming with dimming ranges of 105W, 210W, 315W, 420W, 525W, and 630W.
- Smart Digital Bar Protection System: Upon one or more bar failures the system will digitally protect working bars and the power supply allowing for continuous ongoing operation.
- IP66 design protects against environmental conditions such as moisture and dust.
- Included features: Random start, soft start, soft dimming, over-temperature, over-voltage and open/short circuit protection.
- Compatible with various 0-10V control systems.

## ION LED CONTROLLER

SOLD SEPARATELY

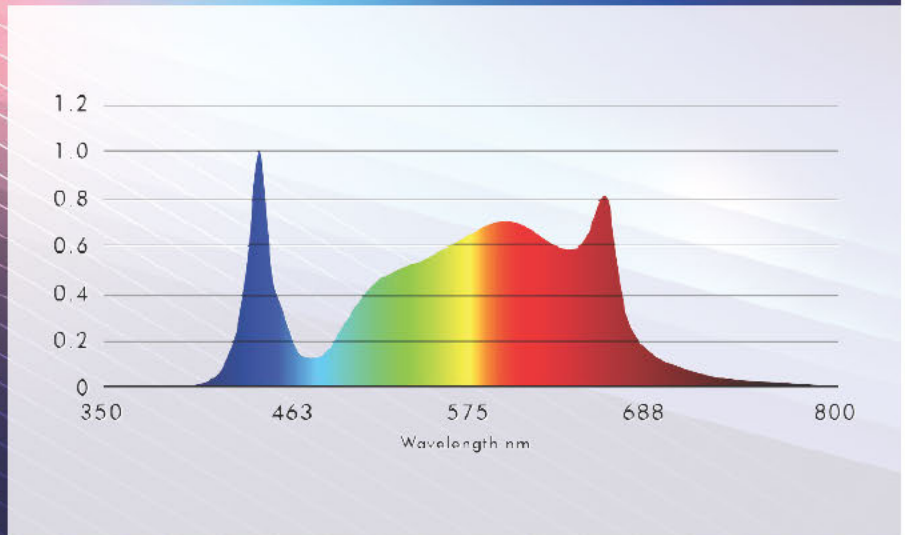


- 0-10V lighting control protocol
- High temp auto dimming function
- High temp auto shutdown
- Will Run two rooms with separate temperature sensors
- 50% -115% dimming range
- Terminals for shutdown/sensor failure alarm
- Uses RJ-12 cables

**SUPERIOR PERFORMANCE**

≈ 1700  $\mu\text{mol/s}$   
Total Output

2.7  $\mu\text{mol/J}$   
System Efficacy

**ENERGY SAVINGS & SIMPLE HVAC**

**Up to 37% Energy Savings**  
**50% Less HVAC Required**

**COMPLETE CONTROL**

With our built-in dimming function, growers can set the optimal intensity at any growth stage to stimulate peak photosynthesis. Compatible with most lighting controllers.

**UNIFORM LIGHT DISTRIBUTION**

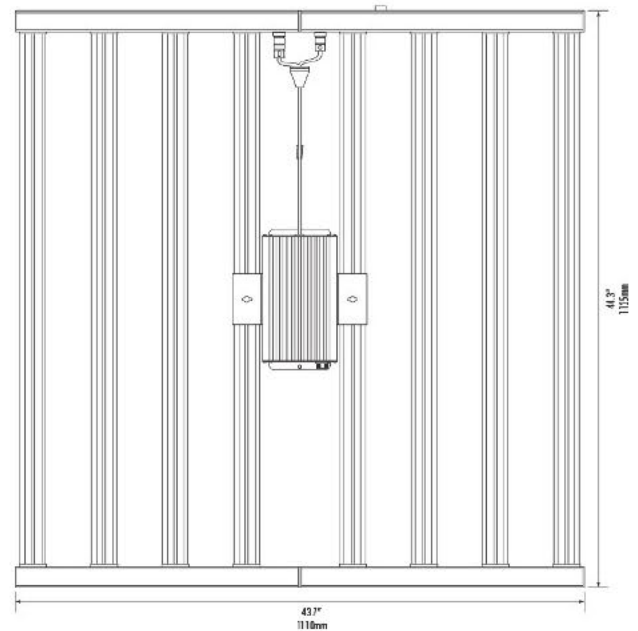
Engineered to deliver consistent levels of photosynthetic flux density (PPFD).

**QUALITY ELEMENTS**

Manufactured with high-tech Osram Top Bin Diodes and ultra efficient driver.

**SPECIFICATIONS**

Light Source	LED
Spectrum	FSG
PPF	≈1700 $\mu\text{mol/s}$
Input Power	630W
Efficacy	2.7 $\mu\text{mol/J}$
Fixture Dimension   Weight	44.3" L x 43.7" W x 2.8" H   23.37 lbs (1125mm L x 1110mm W x 70mm H   10.6 kg)
Mounting Height	≥8-20" (20.32-50.8cm) Above Canopy
Thermal Management	Passive
Dimming	0-10V
Light Distribution	120°
Lifetime	>50,000 hrs
Power Factor	>0.97
Certifications	ETL / FCC / CE / EAC
Warranty	5 Year Standard Warranty

**MAX AMPERAGE BY VOLTAGE SERVICE**

VOLTAGE	120V	208V	240V	277V
AMPERAGE	5.43A	3.05A	2.62A	2.28A

Exclusively Distributed GGen Distribution Corp.

**ionlight.com**  
**info@ionlight.com**

License Type: Cultivator

Flower Room LED Specifications – Attachment#11 to Exhibit 14, Section 14.2

**SEE NEXT PAGE**



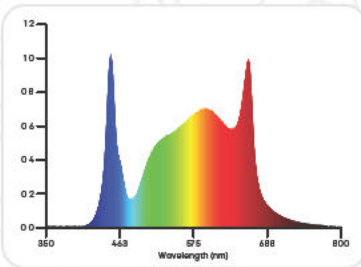
# 830W LED



## Features:

- PPF: 2,410  $\mu\text{mol/s}$
- Water-Resistant: IP66 Rated
- Dimmable: 170W, 300W, 430W, 560W, 700W, & 830W
- Random Start, Soft Start, Soft-Dimming
- Foldable design for ease of transportation & installation.

## Spectrum

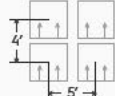


## PPFD Chart

12" from Canopy, 4'x4'

584.82	706.8	680.58	753.54	741	734.16	698.82	592.8
828.78	1015.74	1056.78	1049.94	1040.82	1023.72	1000.92	859.56
946.2	862.98	1203.84	1201.56	1191.3	1171.92	1117.2	974.7
965.58	1162.8	1238.04	1235.76	1228.92	1214.1	1151.4	997.5
973.56	1171.92	1244.88	1234.62	1218.66	1210.68	1166.22	1015.74
958.74	1129.74	1199.28	1167.36	1165.08	1162.8	1127.46	987.24
812.82	991.8	1039.68	1021.44	1026	1026	996.36	872.1
588.24	685.14	750.12	733.02	737.58	722.76	681.72	585.96

## Technical Specifications

Input Power	830W
Input Voltage	120V-277V
· 120V	7.14A
· 208V	4.07A
· 240V	3.55A
· 277V	3.06A
Input Frequency	50/60 Hz
Light Output: PPF	2410 $\mu\text{mol/s}$
Efficacy	2.90 $\mu\text{mol/J}$
Light Distribution	120°
Dimming	170W, 300W, 430W, 560W, 700W, 830W, OFF, EXT/0-10V
Lifetime L90	> 50,000 hrs
Water-Resistance	IP66
Warranty	5 Year Standard Warranty
Dimensions	1145x1110x60 mm / 45.1x43.7x2.4 inch
Weight	10.3 kgs / 22.7 lbs
Operating Temperature	0°C-35°C / 32°F-95°F
Operating Humidity	0%-95%
Thermal Management	Passive
Power Factor	$\geq 0.97$
Harmonic Distortion	< 10%
Mounting	 Maintain 30" - 36" above canopy.

Exclusively Distributed by:  
GGen Distribution Corp.  
ionlight.com



DLC: IONLED COMM830



License Type: Cultivator

Complete HVAC and Ventilation Specifications – Attachment#12 to Exhibit 14, Section 14.2

**SEE NEXT PAGE**



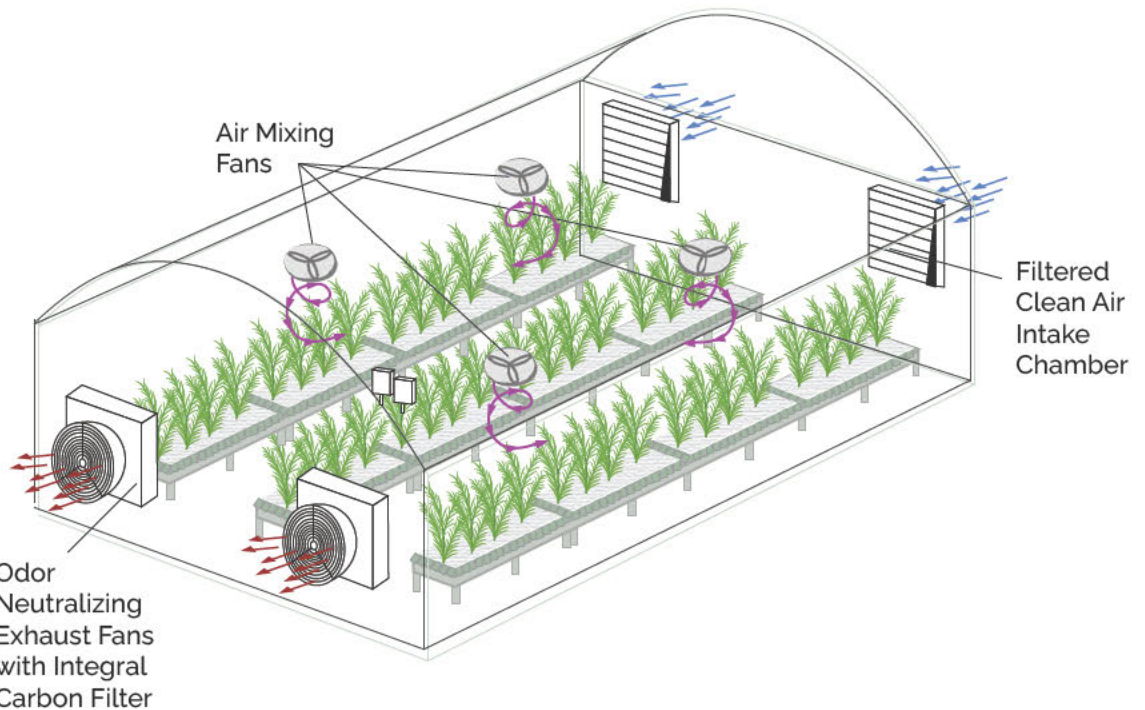
EASYROOTS



# Ventilation System

## Multipurpose Outside Air System

**Mechanical building code often requires cultivation facilities** to have a means of exhaust for CO2 control and life safety purposes. Further, cultivators can use the system to dump heat, humidity or CO2 in the event of a primary system failure.



- ✓ Fan and air intake sizing vary based on requirements
- ✓ Control variables include temperature, humidity and CO2
- ✓ More functional for a grow than traditional economizers



EASYROOTS  
*Smarter Systems for less.*

4047 Tejon Street | Denver CO, 80211 | 833-EASYBUD (833-327-9283) | Sales@EasyRoots.com

### Up To Code

Ventilation Systems meet mechanical building codes for CO2 and life safety.

### Better Than Traditional Economizers

More functional for a grow than traditional economizers.

### Superior Control

Control variables including temperature, humidity, and CO2





EASYROOTS



# Variable Refrigerant Flow (VRF) HVAC

## PRODUCT NAME

Variable Refrigerant Flow (VRF)  
HVAC



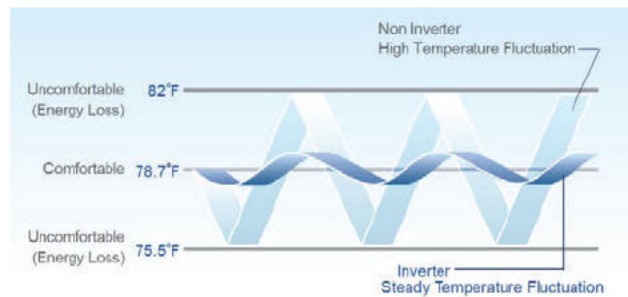
## BENEFITS

- Lower power requirement, resulting in leading energy efficiency rating (IEER).
- "Dry Mode" operation to reduce humidity during lights off cycle.
- Ductless air handlers to run a sealed grow environment with easy to clean coils.
- All indoor units weigh less than 99lbs and shorter than 15" to save space and facility costs.
- Direct access to manufacturers to eliminate distributor and contractor markups.
- Maximize tax credits and rebates with solar integration or heat recovery systems.
- Touch screen controller allows for real time control and scheduling for each zone.

## COMMON MISCONCEPTIONS

*"VRF is not suited for industrial applications, comfort cooling only."*

VRF manufacturers stand behind their product with a 10 year warranty, even for industrial applications. The system is designed for continuous duty by ramping up or down to meet varying heat loads. VRF systems precisely maintain desired temperature set points and eliminate wide temperature fluctuations. **[Figure 1]**



**Figure 1:** Non-Inverter vs Inverter (VRF) Compressors

*"VRF does not work in indoor cultivation."*

If not sized, engineered, or installed properly, any HVAC system can fail in indoor cultivation. Our proven VRF Systems have been operational in cultivation environments since 2016. Further, the State of Illinois requires all craft Cannabis cultivation facilities, with over 6,000 sq ft. of canopy, plan to install VRF style systems.

*"So VRF is like a Mini-Split?"*

No. Mini-Splits are for residential applications for seasonal use only. VRF are designed for commercial and industrial facilities, and specifically built for year-round operation.

Systems include real time web-based visibility, Alarms and controls to keep tabs on your grow from anywhere.



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# CO2 Control and Monitoring

**Cultivation facilities that use CO2 enrichment** will be regulated by the local mechanical and fire code for health and safety. Each state, municipality, or city may have unique regulations that must be considered when designing and installing a CO2 system.

Easy Roots offers a complete monitoring and control system with emergency exhaust and alarms. This includes:

- ✓ Digital Tablet for CO2 Monitoring
- ✓ Individual Room Controllers
- ✓ Emergency Sirens and Lights
- ✓ Control Valves for CO2 Enrichment
- ✓ Filtered Motorized Air Intake
- ✓ Carbon Filtered Exhaust Fans



**In Room Sensors and Controller**  
Monitor multiple rooms CO2 Levels and Alarms



**Carbon Filter**  
Mitigates odor to meet local regulations



**Variable Speed Exhaust Fan**  
Control the airflow during emergency exhaust



**Motorized Air Intake**  
Custom manufactured filter to hold 1, MERV 15 filter to prevent condensation and dust, mold, mites and from entering

PE stamped drawings for permitting and inspection

• Included SOP's for employee training

• CO2 monitoring and control for desired levels throughout the life of the plant

• Central display for monitoring and alarm status



**EASYROOTS**  
*Smarter Systems for less.*

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EASYROOTS



# CrossFlow Fan

Eliminate Micro Climates in Tiered Grow Facilities

## CrossFlow Fan

Voltage: 120 or 230V

Width: 16" to 40"

## Benefits

- Eliminate Micro Climates in Tiered Grow Facilities

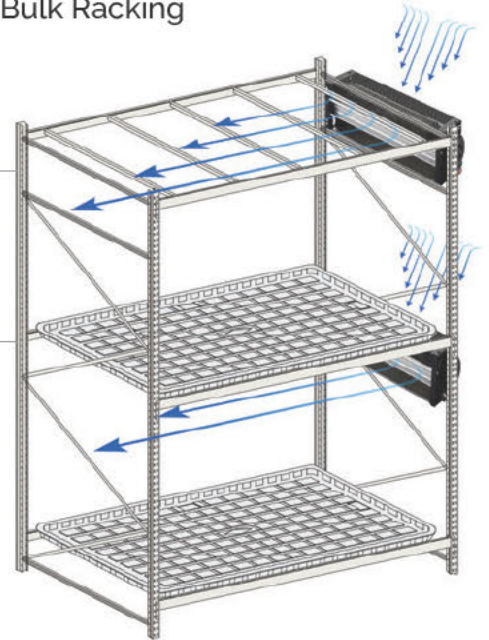
- Lower Cost Compared to Expensive Fan and Duct by Racking Suppliers

- Improved Air Flow at Plant Canopy

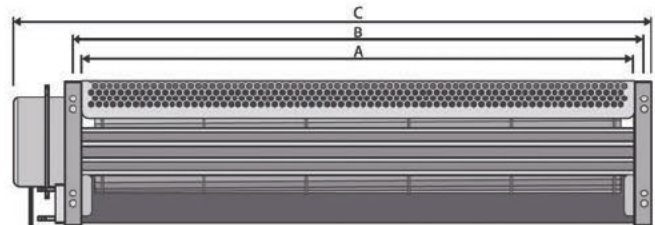
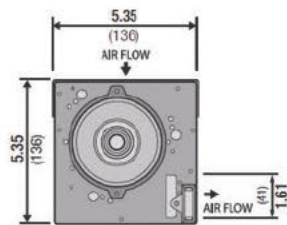
- Compact and Lightweight



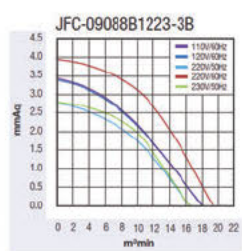
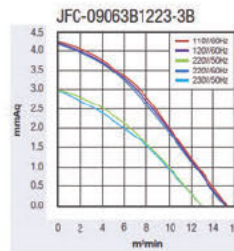
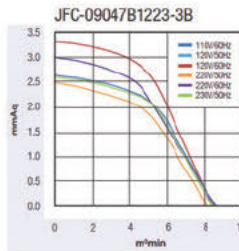
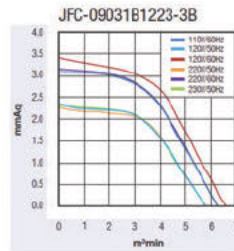
Bulk Racking



VOLTAGE	110V~230V
AMPERAGE	0.8A or .43A
BEARINGS	Ball Bearings
MOTOR	AC Capacitor Type 10 4p
IMPELLER	Aluminum Alloy
LENGTH (C)	Min 16.3in (415mm), Max 39.6in (1005mm)
AIRFLOW	205-685 CFM (5.8-19.4 m <sup>3</sup> /min)
OPERATING TEMP	0oC~45oC
OPERATING LIFE	30,000 hours @ 40oC



A - 36.6"  
B - 37.2"  
C - 39.6"



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Service Provider's Letters of Intent – Attachment#13 to Exhibit 14, Section 14.2

**SEE NEXT PAGE**

Alabama Code § 36-12-40

Alabama Code § 36-12-40

**RE: Letter of Intent – Alabama Code § 36-12-40**

Thank you for entrusting Alabama Code § 36-12-40 to provide you with the services more particularly described herein. We are pleased to work with you on the terms set out below.

If you agree with these terms, please sign and return a copy of the confirmation to us as soon as possible.

**Client**

1. Our client in this matter is Alabama Code § 36-12-40. We are not representing or taking on any obligations to any related individuals or entities.

**Scope of Engagement**

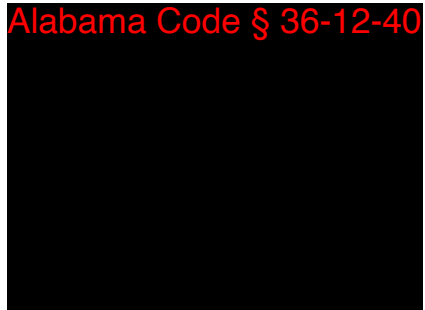
2. We are engaged to provide Alabama Code § 36-12-40 with the Alabama Code § 36-12-40 (the “project”).
3. Our subject matter experts and partner vendors (collectively, the “Partners”), may act as a point of contact in connection with this engagement. That being said, all discussions regarding our services, products and future business opportunities should be communicated directly to Alabama Code § 36-12-40 via email or telephone, as outlined below.
4. Under the scope of this letter we will conduct a due diligence process and coordinate with our partners to finalize pricing for related hardware, deployment, and training. This due diligence phase ends upon execution of a software-as-a-service agreement, wherein we define agreed-upon terms of service.

**Instructions**

5. We will accept instructions for this engagement from Alabama Code § 36-12-40 or such other person that Alabama Code § 36-12-40 advises us in writing is authorized to instruct us.

**Alabama Code § 36-12-40**

Alabama Code § 36-12-40



March [redacted], 2023

Dear Alabama Code § 36-12-40

Alabama Code § 36-12-40 is willing to support Alabama Code § 36-12-40 with the security device installation for their site once they are ready to move forward.

Alabama Code § 36-12-40

Alabama Code § 36-12-40



Alabama Code § 36-12-40



# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a “Redacted Copy” of the following document associated with their application. Below are the grounds for redactions.

In accordance with Alabama Code § 36-12-40, the redaction of certain information from a public-facing document is necessary to protect the privacy, security, and intellectual property rights of individuals and businesses. This measure ensures the safeguarding of sensitive data from unauthorized access or misuse. The redactions applied in this document herein are based on the following grounds:

1. **Confidential Personal Information:** Redaction is required to protect the privacy of individuals whose personal information may be contained within the document. This may include, but is not limited to, Social Security numbers, driver's license numbers, addresses, phone numbers, dates of birth, and medical records. Alabama Code § 36-12-40 emphasizes the importance of maintaining the privacy of citizens by preventing the disclosure of such information.
2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 15 – Receiving and Shipping Plan

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Verification Date



This plan will outline the necessary requirements for safe and secure shipment and receipt of cannabis from the originating cannabis business premises to the receiving cannabis business premises.

15.1 - **Alabama Code § 36-12-40**  
[Redacted]

**Alabama Code § 36-12-40**

[Redacted text block]

**15.2 - Alabama Code § 36-12-40**

[Redacted text block]

Alabama Code § 36-12-40  
[Redacted]

Alabama Code § 36-12-40

Alabama Code § 36-12-40  
[Redacted]

15.3 - Alabama Code § 36-12-40  
[Redacted]

**Alabama Code § 36-12-40**

[Redacted]

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Alabama Code § 36-12-40

[Redacted text block]

Alabama Code § 36-12-40

[Redacted content]

15.4 - **Alabama Code § 36-12-40** [Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

15.5 - **Alabama Code § 36-12-40** [Redacted]

[Redacted]

[Redacted]

[Redacted]

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[Redacted]

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In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant



# Exhibit 16 – Facilities

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Verification Date

**16.1 Facility Name and Type**

Alabama Code § 36-12-40

[REDACTED]

**16.2 Physical Address & GPS Coordinates of Facility**

Alabama Code § 36-12-40

[REDACTED]

**THIS AREA IS INTENTIONALLY LEFT BLANK.**

**16.3 Aerial Photograph of Facility**

**Alabama Code § 36-12-40**



**16.4 Proof of Authorization to Occupy Property**

The Applicant leases the property identified in 18.1 above. See attached lease agreement (identified as "Figures 2a-2d - Lease Agreement Page No.).

**Alabama Code § 36-12-40**

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

**16.5 – Local Jurisdiction Approvals**

The Greene County Commission adopted an ordinance approving the operation of cannabis integrated facility operations in said municipality. See attached copy of ordinance (identified as “Figure 3 - Resolution Authorizing the Operation of Medical Cannabis Dispensing Sites Within Unincorporated Areas of Greene County”) The applicant has included email confirmation from Greene County to demonstrate that the applicant is permitted to operate the proposed facility at the proposed location (Figure4. Email from Greene County Public Works Department confirming no zoning restrictions for proposed site address [redacted])

**Resolution Authorizing the Operation of Medical Cannabis Dispensing Sites within Unincorporated Areas of Greene County**

WHEREAS during the 2021 Regular Session of the Alabama Legislature, Act 2021-450 was enacted and codified in Title 20, Chapter 2A, Code of Alabama 1975, to create within Alabama a wholly intrastate system for the cultivation, processing, and distribution of medical cannabis; and

WHEREAS, Act 2021-450 defines a "dispensary" as an entity licensed by the Alabama Medical Cannabis Commission to dispense and sell medical cannabis at dispensing sites to registered, qualified patients and registered caregivers; and

WHEREAS, Act 2021-450 defines an "integrated facility" as an entity licensed to perform the functions of a cultivator, processor, secure transporter, and dispensary; and

WHEREAS, Act 2021-450 defines a "dispensing site" as a site operated by a dispensary licensee or an integrated facility licensee; and

WHEREAS, Act 2021-450 states that a dispensary licensee or integrated facility licensee may not operate a dispensing site in an unincorporated area of a county unless the county commission has authorized, by resolution, the operation of dispensing sites within its boundaries; and

WHEREAS, Act 2021-450 states that a program providing for the administration of cannabis derivatives for medical use in this state will not only benefit patients by providing relief from pain and other debilitating symptoms but will also provide opportunities for patients with debilitating conditions to function and have a better quality of life and provide employment and business opportunities for farmers; and

WHEREAS, the Greene County Commission believes it is in the public's interest to authorize the operation of dispensing sites within the unincorporated areas of the county;

**THEREFORE BE IT RESOLVED BY THE GREENE COUNTY COMMISSION** that it does hereby authorize the operation of medical cannabis dispensing sites by dispensary licensees and integrated facility licensees within the unincorporated areas of the county.

**BE IT FURTHER RESOLVED** that copies of this resolution be forwarded to the Alabama Medical Cannabis Commission within seven calendar days of this resolution being adopted.

**IN WITNESS WHEREOF**, Greene County Commission has caused this Resolution to be executed in its name and on its behalf by ~~its~~ Chairman this the 12 day of December 2022.

  
\_\_\_\_\_  
Chairman, Greene County  
Commission

**Figure 3.** Resolution Authorizing the Operation of Medical Cannabis Dispensing Sites Within Unincorporated Areas of Greene County



# Alabama Code § 36-12-40

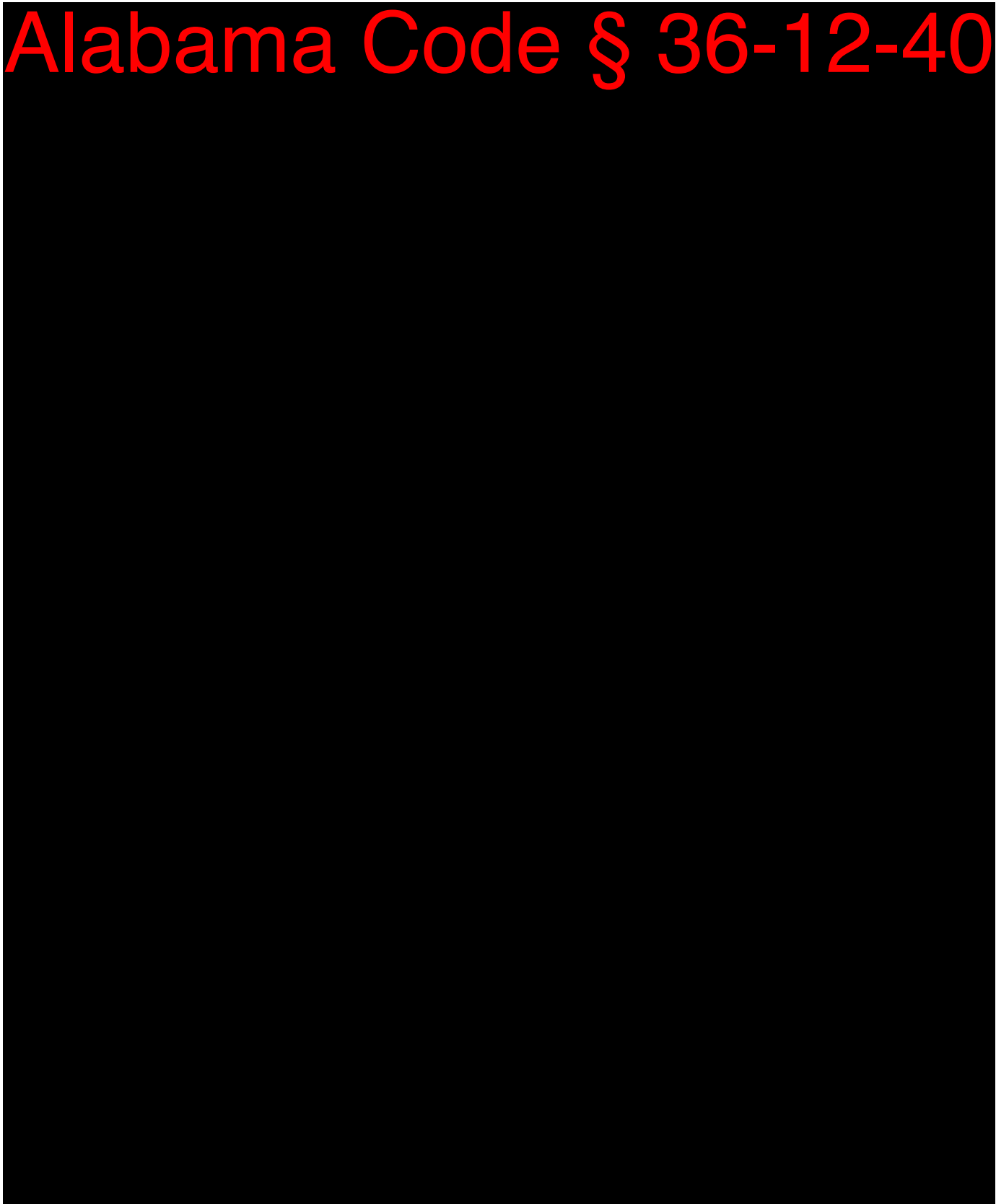
**16.6 - Blueprint of Facility**

The blueprint for the facility identified in 16.1 above is attached hereto:

**Alabama Code § 36-12-40**

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40



# Alabama Code § 36-12-40

**16.7 – Facility Timetable**

The applicant expects that construction of the **Alabama Code § 36-12-40** cultivation facility including compliance with all facility requirements under the Act and the AMCC Rules, will be complete on or before June 30, 2023.

The applicant expects that it will be able to commence operations at the **Alabama Code § 36-12-40** cultivation facility immediately upon licensure by the Commission. Please refer to Figure 5 for a full project construction timeline including AMCC licensing timelines.

**Alabama Code § 36-12-40**

**16.8 – Public Access to Facility**

In compliance with the privacy laws of the state of Alabama and other relevant regulations, the facility shall remain closed to the public at all times.

**16.9 – Facility Hours of Operation / After Hours Contact**

**Alabama Code § 36-12-40**

This schedule has been verified by the Applicant and will be always adhered to as the “operating hours” of the proposed facility aside from federal holidays.

The Applicant anticipates that the facility will be occupied by the applicant’s employees during all operating hours.

After Hours Management Contact:

Alabama Code § 36-12-40

A large black rectangular redaction box covers the contact information for the After Hours Management Contact.

Additional Notes on Exhibit 16:

The information contained in this exhibit is based on the best available knowledge to the applicant at the time of submission. The applicant will update or amend any information in this exhibit that may change.

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In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant



# Exhibit 17 – Engineering Plans and Specifications (Cultivation Facilities).

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Verification Date

**17.1 Facility Blueprints** PROGRESS: Completed

Please refer to the following images identified as “17.1 Facility Blueprints – Attachment to Exhibit 17, Section 17.1”.

**17.2 Facility Cross Section Blueprints & Facility Security Measures**

Please refer to the following images identified as “17.2(a) Facility Cross Section Blueprints – Attachment to Exhibit 17, Section 17.2 and 17.2(b) Facility Security Measures – Attachment to Exhibit 17, Section 17.2”.

**17.3 Employee Accessible Non-Production Areas**

Please refer to the following images identified as “17.3 Employee Accessible Non-Production Areas – Attachment to Exhibit 17, Section 17.3”.

**17.4 Cannabis Storage Areas, Ventilation, and Equipment**

Please refer to the following images identified as “17.4 Cannabis Storage Areas, Ventilation, and Equipment – Attachment to Exhibit 17, Section 17.4”.

**17.5 – Wall and Door Specifications**

Please refer to the following images identified as “17.5 Wall and Door Specification – Attachment to Exhibit 17, Section 17.5”.

**17.6 – Windows, Skylights and Roof Hatches**

Please note there are no windows, skylights or roof hatches at the proposed cultivation facility.

**17.7 – Facility Timetable**

Please refer to the following images identified as “17.7 Audio/Visual Surveillance – Attachment to Exhibit 17, Section 17.7”.

**17.8 – Security Alarm System**

Please refer to the following images identified as “17.8 Security Alarm System – Attachment to Exhibit 17, Section 17.8”.

License Type: Cultivator

**17.9 – Alarm Panel and Digital Recorder**

Please refer to the following images identified as “17.9 Alarm Panel and Digital Recorder – Attachment to Exhibit 17, Section 17.9”.

**17.10 – Area Control**

Please refer to the following images identified as “17.10 Area Control – Attachment to Exhibit 17, Section 17.10”.

**17.11 – Storage of Plant Inputs and Application Equipment** **PROGRESS: In Progress with completion expected 5 days before award of license**

Please refer to the following images identified as “17.11 Storage of Plant Inputs and Application Equipment – Attachment to Exhibit 17, Section 17.11”.

**17.12 – Cannabis Loading Area**

Please refer to the following images identified as “17.12 Cannabis Loading Area – Attachment to Exhibit 17, Section 17.12”.

**17.13 – Cannabis Quarantine Area**

Please refer to the following images identified as “17.13 Cannabis Quarantine Area – Attachment to Exhibit 17, Section 17.13”.

**Alabama Code § 36-12-40**

- Attachment to Exhibit 17, Section 17.1

**Alabama Code § 36-12-40**

# Alabama Code § 36-12-40

- Attachment to Exhibit 17, Section 17.1

# Alabama Code § 36-12-40

**Alabama Code § 36-12-40**

- Attachment to Exhibit 17, Section 17.2

**Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**

- Attachment to Exhibit 17, Section 17.2

**Alabama Code § 36-12-40**

# Alabama Code § 36-12-40

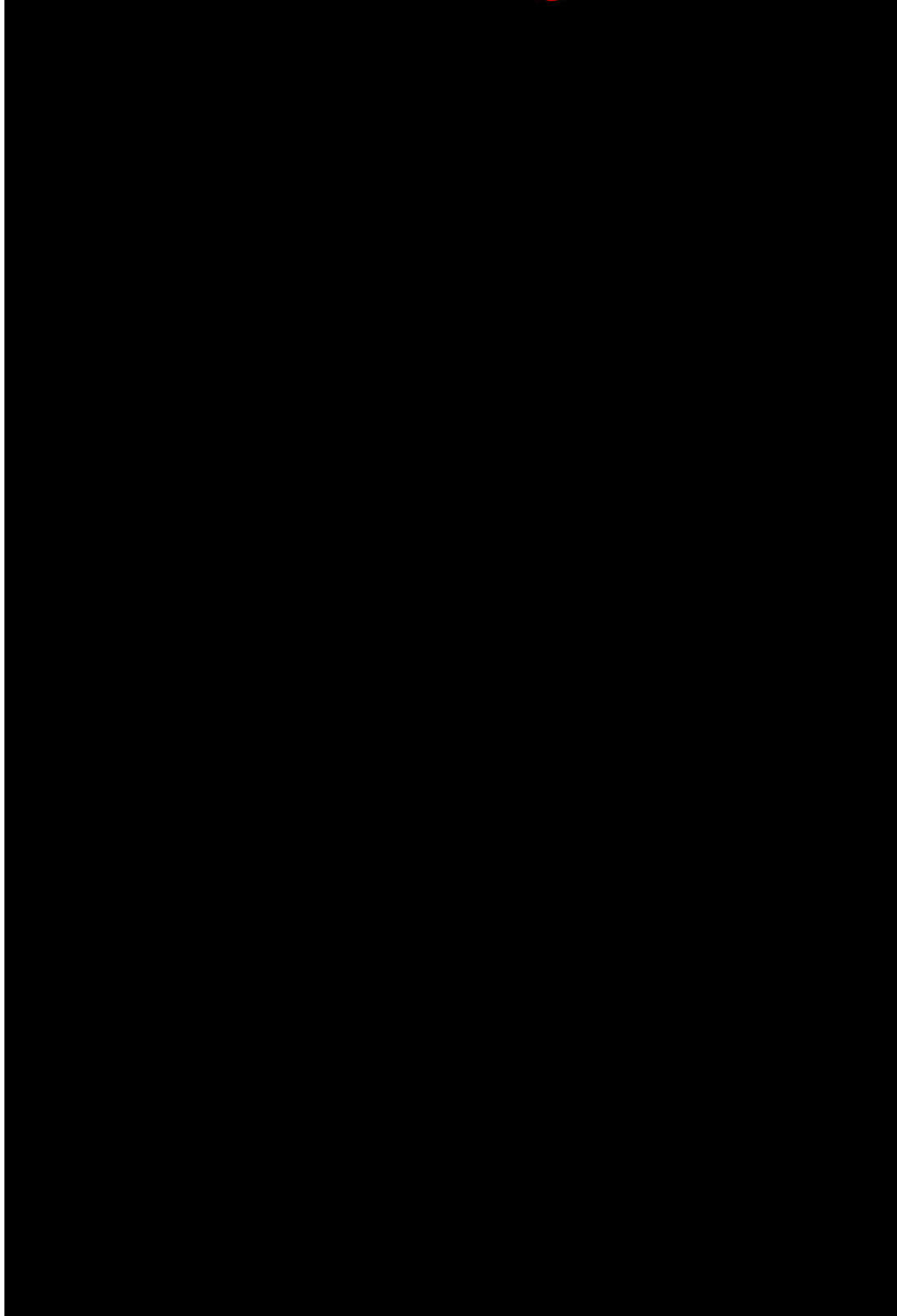
- Attachment to Exhibit 17, Section 17.2

# Alabama Code § 36-12-40



Alabama Code § 36-12-40

- Attachment to Exhibit 17, Section 17.2



Alabama Code § 36-12-40

# Alabama Code § 36-12-40



# Alabama Code § 36-12-40



# Alabama Code § 36-12-40



# Alabama Code § 36-12-40



# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

- Attachment to Exhibit 17, Section 17.7

Ala

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Alabama Code § 36-12-40





Alabama Code § 36-12-40

# Alabama Code § 36-12-40



Alabama Code § 36-12-40



# Alabama Code § 36-12-40



# Alabama Code § 36-12-40



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Sincerely,  
Applicant

# Exhibit 18 – Security Plan

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Alabama Code § 36-12-40

Signature of Verifying Individual

Verification Date

**PROGRESS: In Progress with completion expected 5 days before award of license**

**18.1 Alarm System**

The site will be equipped with twenty-four-hour alarm systems in all areas where cannabis or medical cannabis products are present. The alarm systems will be connected to all security devices at the site and installed by experts in industry-standard commercial-grade alarm systems. Conversations with such security installers have already been initiated, as seen in exhibit 11 where a memorandum of understanding has been drafted with a professional industry grade security installer. **Alabama Code § 36-12-40**

[REDACTED]

[REDACTED] All of the rooms and entry points will be equipped with **Alabama Code § 36-12-40** to ensure that the areas will be monitored for any attempted or actual unauthorized entry. **Alabama Code § 36-12-40**

[REDACTED]

[REDACTED]. Instead, the **Alabama Code § 36-12-40** facility perimeter and **Alabama Code § 36-12-40** to ensure that any attempted or actual unauthorized access is immediately detected and responded to. The entrances to each of the cannabis activity areas will be access controlled

**Alabama Code § 36-12-40**. If an armed door is forced open, held open too long, or improperly operated, then an alarm would trigger **Alabama Code § 36-12-40**, along with a notification being sent **Alabama Code § 36-12-40**

[REDACTED] All elements that comprise the facility's security systems, including the Audio Video Surveillance System (AVSS), intrusion detection, and access control are **Alabama Code § 36-12-40**. **Alabama Code § 36-12-40** is used for each individual sensor device connected to the AVSS, intrusion, and access control systems. This triggers an alarm when **Alabama Code § 36-12-40**

[REDACTED]. The AVSS system and door control network are also **Alabama Code § 36-12-40**

[REDACTED] accordingly if there is a loss of **Alabama Code § 36-12-40**



**Alabama Code § 36-12-40**. The proposed layout of the security alarm system and devices is attached hereto and identified as “ Attachment #1 to Exhibit 18, Section 18.1”.

### **18.2 Panic Buttons**

**Alabama Code § 36-12-40** All staff will enter and exit the site through this **Alabama Code § 36-12-40** door **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**. The entrance door (D1) leads into the corridor. There will be a **Alabama Code § 36-12-40**, and a **Alabama Code § 36-12-40**. There will also be a **Alabama Code § 36-12-40**.

These panic buttons will engage the facility alarm system which will **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** Staff will be trained on the procedures and scenarios which would require making use of the panic buttons. During operational hours all staff will be responsible for **Alabama Code § 36-12-40**. In case of any actual or attempted intrusion events, **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** based on the situation, the location, and the available resources. Refer to the figure Attachment#1 to Exhibit 18, Section 18.1 for the placement of panic buttons at the facility.

### **18.3 Communication Devices**

All employees will be given **Alabama Code § 36-12-40** to enable communication between staff at all hours of operation. Staff will be trained on appropriate use, codes, and procedures. There will also be an **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** which will connect any visitor, partner, or contractor to onsite security staff for verification, escort, and sign-in (collectively known as triage). Each employee will have **Alabama Code § 36-12-40** on hand at all times, and will be required to **Alabama Code § 36-12-40** be available on the communication system at all times.

The **Alabama Code § 36-12-40** will enable staff to speak with any other staff member and will have their volumes set to a level appropriate enough such that all personnel and visitors within

earshot would be able to hear the communication. Furthermore, there will also be a

**Alabama Code § 36-12-40**

#### **18.4 Audio/Video Surveillance System**

The site will be equipped with an Audio/Video Surveillance System (AVSS) that is active at all times and weather conditions on the interior and exterior of the facility. For the

interior, **Alabama Code § 36-12-40**, will be equipped with **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** to ensure that there are no blind spots in visual coverage **Alabama Code § 36-12-40**. The **Alabama Code § 36-12-40**

allowing a clear video to be recorded in all lighting conditions at all times of day and operation. The **Alabama Code § 36-12-40** enable clear identification of individuals facial features, etc. Furthermore, **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**. The

**Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** The exterior of the site will be armed with **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** These features ensure that the perimeter will be continuously captured on the AVSS at all expected weather conditions and times of day.

**Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** The **Alabama Code § 36-12-40**

will be of sufficient quantity and clarity to ensure that **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** will be adequately recorded. All audio/video recordings will clearly and accurately display the time and date, and will be kept for at least 60 days, or longer at the request of the Commission, its inspectors, or any law enforcement personnel. Audio/Video recordings potentially reflecting an incident of actual or attempted diversion will be kept for the longer of a period of two years, or until resolution of the incident and apprehension and discipline or prosecution of the individuals involved in the actual or attempted diversion. The proposed layout of the AVSS devices is attached hereto and identified as “ Attachment #2 to Exhibit 18, Section 18.4”.

**18.5 – Perimeter**

The facility is comprised of a single structure with **Alabama Code § 36-12-40** There are no outdoor premises of the facility where any cannabis activities would take place. The complete facility is self contained. **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** The interior of the structure is reinforced **Alabama Code § 36-12-40**. The walls and ceiling/roof are solid barriers that prevent access by unauthorized persons. There are **Alabama Code § 36-12-40** along the perimeter of the facility, limiting any visibility of the operations happening inside. The floor of the site will be **Alabama Code § 36-12-40**.

The perimeter of the site will be equipped with **Alabama Code § 36-12-40**. There will also be a **Alabama Code § 36-12-40** near the vehicle entrance driveway to ensure that **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**. This will ensure that the **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**. Even in the case of a **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** There will be sufficient lighting inside the facility to support the AVSS system such that a clear record is made of staff and activities. **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**. Interior running lights will be **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**. **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** Regardless, **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**. The proposed layout of the lighting system and description of construction materials is attached hereto and identified as “ Attachment #3 to Exhibit 18, Section 18.5”.

**18.6 – Doors**

There will be only **Alabama Code § 36-12-40** It will be an industrial grade **Alabama Code § 36-12-40** The **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**. The construction of the door will ensure that it is capable of withstanding unlawful forceable entry. **Alabama Code § 36-12-40**

[REDACTED]

[REDACTED]. On the interior side of **Alabama Code § 36-12-40**

[REDACTED] **Alabama Code § 36-12-40**

[REDACTED]

[REDACTED] This ensures that there is a record of entry and exit from the facility for all staff, **Alabama Code § 36-12-40**. The door will also be equipped **Alabama Code § 36-12-40**

[REDACTED]. This ensures that if the door is forced open, operated improperly, or held open too long, that **Alabama Code § 36-12-40**

[REDACTED]. The remaining doors (which are all interior) will be access controlled **Alabama Code § 36-12-40** ensuring that only authorized staff will be permitted entry into any of the interior rooms. **Alabama Code § 36-12-40**

[REDACTED] will be equipped with a **Alabama Code § 36-12-40**

[REDACTED]

This configuration will allow for **Alabama Code § 36-12-40**. The interior doors will be equipped with **Alabama Code § 36-12-40**

[REDACTED]

[REDACTED] The proposed door configuration, materials, and access control layout is attached hereto and identified as “Attachment #4 to Exhibit 18, Section 18.6”.

**18.7 – Facility Construction**

The complete facility is made of an **Alabama Code § 36-12-40**

[REDACTED] There will be **Alabama Code § 36-12-40** on the exterior of the facility, **Alabama Code § 36-12-40**

[REDACTED] any exterior doors. The **Alabama Code § 36-12-40** the site will be a

**Alabama Code § 36-12-40**

The construction of the facility is **Alabama Code § 36-12-40**

[REDACTED] on the interior to ensure that the exterior walls are able to withstand

unlawful forcible entry. The layout of proposed building construction materials and door materials is referenced in " Attachment #4 to Exhibit 18, Section 18.6".

### **18.8 – Security Staff**

The applicant will hire **Alabama Code § 36-12-40** security guards who will operate on a rotating schedule. There will be **Alabama Code § 36-12-40** security guard at all times **Alabama Code § 36-12-40**. Security guards will be vetted to ensure they are properly experienced, trained, and backgrounds checked before hiring. They will have access to **Alabama Code § 36-12-40**. Responsibilities include verifying guests, visitors, or contractors, They will also be trained on security procedures specific to the facility.

### **18.9 – Access Control**

All areas where cannabis will be handled or stored will be equipped with **Alabama Code § 36-12-40** to ensure that only authorized personnel would have access. Entrance into the site through **Alabama Code § 36-12-40** on entry and on exit. The cannabis storage room (Secure Storage) will also be equipped with **Alabama Code § 36-12-40**. The **Alabama Code § 36-12-40** access control system will allow the Applicant to track and record every individual who enters and exits the facility and cannabis storage area. The individual's full name will be registered along with the date and time when they entered or exited the door, generating automated access logs. On ingress, the doors will only open **Alabama Code § 36-12-40**. Any visitors or contractors that require access to any access controlled area will be accompanied by a member of staff who has permitted access. On arrival, all visitors or contractors will be required to sign in and state the reason of their visit. They will be given a **Alabama Code § 36-12-40** (only permitting entry to rooms needed for their visit) by the security staff. The visitors will be required to **Alabama Code § 36-12-40** as they move throughout the site to record their movement. The **Alabama Code § 36-12-40** in case of emergencies but will sound an **Alabama Code § 36-12-40**. The remaining

areas where cannabis activities will take place **Alabama Code § 36-12-40**

[REDACTED]

[REDACTED] will be access controlled **Alabama Code § 36-12-40**

[REDACTED] On exit, the **Alabama Code § 36-12-40**

[REDACTED]. This configuration will allow for **Alabama Code § 36-12-40**

[REDACTED]. The interior and exterior doors will be equipped with **Alabama Code § 36-12-40**

[REDACTED]

[REDACTED]

[REDACTED]. The layout of access control devices is attached hereto and identified as “ Attachment #5 to Exhibit 18, Section 18.9”.

### **18.10 – Records**

All staff will have **Alabama Code § 36-12-40** which identify the staff member. A record of all staff at the premises will be automatically maintained via **Alabama Code § 36-12-40**

[REDACTED]. Staff will have to **Alabama Code § 36-12-40**

therefore there will always be a record of all staff onsite. Every other individual that may need access to the site, such as vendors, transporters, other licensees, etc. will be required to buzz **Alabama Code § 36-12-40** the front door which will automatically be picked up

**Alabama Code § 36-12-40** to security staff. The guard will then go greet them and confirm that they are who they say they are and the reason for their visit

(documented). The visitors will then be required to sign in on a paper log and be given a

**Alabama Code § 36-12-40** will track their movement and limit them only

to rooms that they would need access to. Visitors will always be escorted by a security guard or authorized staff member while onsite. These records will be kept for a minimum of two (2) years or longer at the request of the Commission or law enforcement.

### **18.11 – ID Badges**

All staff will be required to wear their ID badges at all times while at the facility. ID badges will have **Alabama Code § 36-12-40**. The ID badges will be worn either on a lanyard or clipped to their person so they are always visible. The ID badges will also have a

[REDACTED]

[REDACTED] on them so that if an employee loses an ID badge they could get a new one with a new

**Alabama Code § 36-12-40**. That way, if an imposter were to try to use someone's lost badge, it would be identified **Alabama Code § 36-12-40**. Security staff and upper management will maintain an up-to-date record of all employees along with their associated **Alabama Code § 36-12-40** for reference. The record will be stored within a secure cabinet or digitally on a secure computer.

### **18.12 – Visitors**

Visitors, including vendors, other licensees, Commission members, inspection personnel, or other representatives will be required to sign in and state the reason for their visit before being given **Alabama Code § 36-12-40** and visitor pass. Any visitors, representatives, or contractors that require access to any access controlled area will be accompanied by a member of staff who has permitted access. Guests will be identified by the visitor passes that they will be required to wear on their person. The passes will say either "Visitor Pass" for vendors, guests, other licensees, etc., or "AMCC Official" for any representative or agent associated with the Alabama Medical Cannabis Commission. The passes will be **Alabama Code § 36-12-40** security guard to ensure that they can't be forged. The visitors will be required to use **Alabama Code § 36-12-40** as they move throughout the site in order to record their movement via the automated access logs.

### **18.13 – Theft, Diversion, or Loss Reporting**

Employees will be trained to identify and report any loss, theft, or suspicious activity related to cannabis or medical cannabis. The Applicant will provide staff with the necessary tools and resources to respond appropriately in case of any loss, theft, or suspicious activity. All staff members will be required to be vigilant while onsite. The discovery of any loss, theft, or diversion of cannabis within the possession and control of the Applicant will trigger an internal investigation and immediate notification to the appropriate law enforcement authorities, including the Alabama State Bureau of Investigation, the local police department, and the Alabama Medical Cannabis Commission. Any such event will be reported as early as practicable and not more than 24 hours from the event or its discovery. The Applicant will conduct its own internal investigation procedures and

cooperate fully with the authorities and provide all necessary information and access to the premises as required. Reporting any loss, theft, or diversion of cannabis is an important part of the responsibility as a licensee of the Alabama Medical Cannabis program. This will include providing detailed information about the incident, including the date and time it occurred, the type and quantity of cannabis involved, and any other relevant details. As per requirements outlined in legislation, criminal activity related to theft, diversion, or other loss of cannabis products will be reported to law enforcement and the AMCC as early as possible and not more than 24 hours from the event or its discovery. Audio/video surveillance records potentially reflecting an incident of actual or attempted diversion will be kept for the longer of a period of two years, or until resolution of the incident and apprehension and discipline or prosecution of the individuals involved in the actual or attempted diversion. To ensure that the Applicant is meeting all reporting requirements, the Applicant will review and update the reporting procedures on a regular basis. The Applicant will ensure that all employees and contractors are aware of their reporting obligations and are trained on how to report any loss, theft, or diversion of cannabis. The Applicant will work closely with law enforcement authorities and the AMCC to ensure that the Applicant is meeting all reporting requirements and are taking appropriate measures to mitigate any risks related to loss, theft, or diversion of cannabis.

#### **18.14 – Security Plan Verification**

The Applicant confirms and verifies that, upon request, it will make available to the Commission or its inspectors all information relating to the Applicant's security plan, including, but not limited to, security alarm systems, monitoring, alarm activity, maps of camera locations and camera coverage, audio/video footage, surveillance equipment maintenance logs, authorized use lists, operation instructions, and any other security-related information deemed relevant by the Commission or its inspectors.



Attachment #1 to Exhibit 18, Section 18.1

**SEE NEXT PAGE**

# Alabama Code § 36-12-40



Attachment #2 to Exhibit 18, Section 18.4

**SEE NEXT PAGE**

# Alabama Code § 36-12-40



Attachment #3 to Exhibit 18, Section 18.5

**SEE NEXT PAGE**

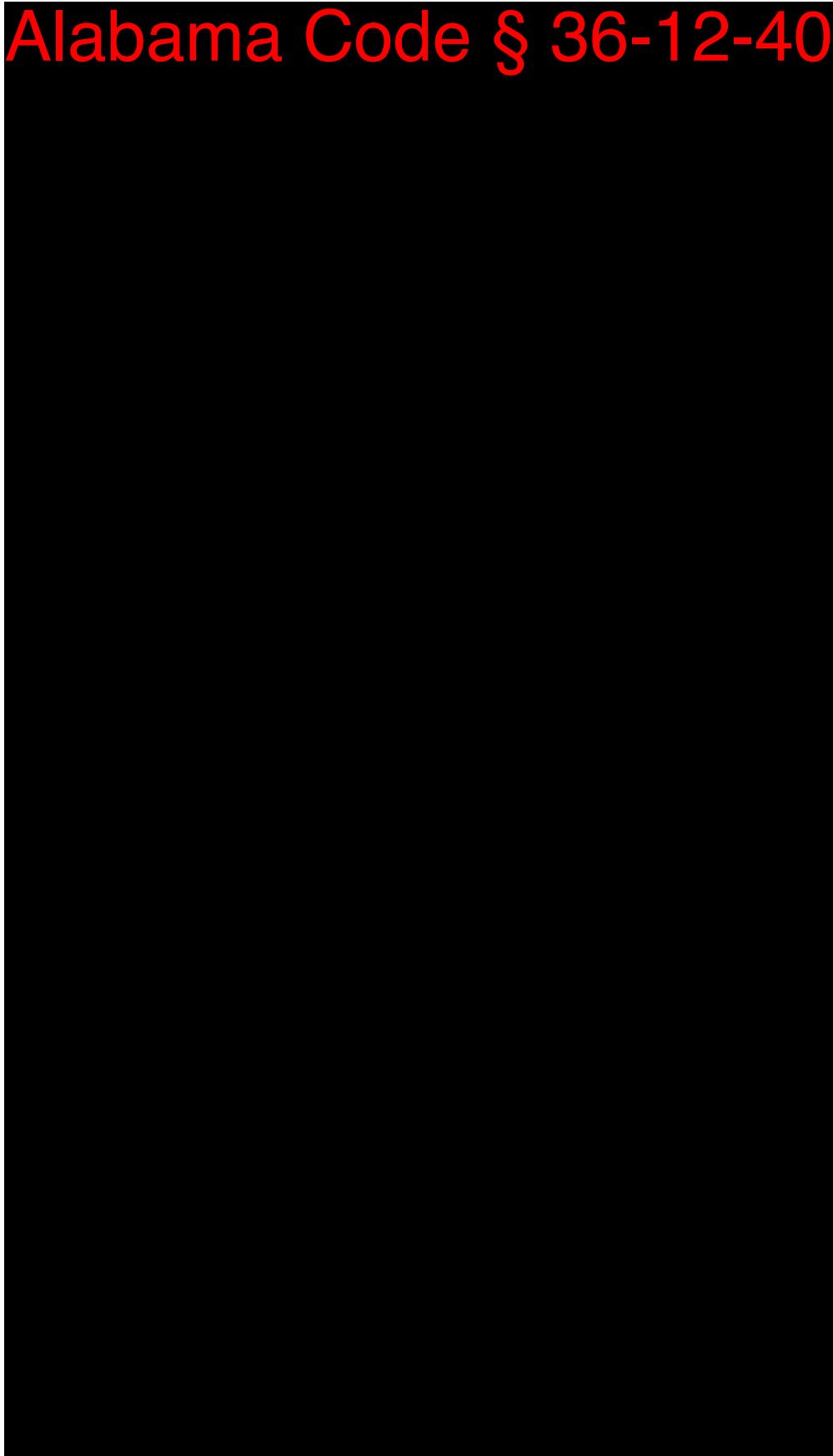
# Alabama Code § 36-12-40



Attachment #4 to Exhibit 18, Section 18.6

**SEE NEXT PAGE**

# Alabama Code § 36-12-40





Attachment #5 to Exhibit 18, Section 18.9

**SEE NEXT PAGE**

# Alabama Code § 36-12-40



# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a “Redacted Copy” of the following document associated with their application. Below are the grounds for redactions.

In accordance with Alabama Code § 36-12-40, the redaction of certain information from a public-facing document is necessary to protect the privacy, security, and intellectual property rights of individuals and businesses. This measure ensures the safeguarding of sensitive data from unauthorized access or misuse. The redactions applied in this document herein are based on the following grounds:

1. **Confidential Personal Information:** Redaction is required to protect the privacy of individuals whose personal information may be contained within the document. This may include, but is not limited to, Social Security numbers, driver's license numbers, addresses, phone numbers, dates of birth, and medical records. Alabama Code § 36-12-40 emphasizes the importance of maintaining the privacy of citizens by preventing the disclosure of such information.
2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 19 – Personnel

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Verification Date

License Type: Cultivator

Please find attached to this exhibit the signed Form G: Personnel Roster & Verification showing a roster of all personnel (all leaders and employees) affiliated with the Applicant, including names, street addresses, contact telephone numbers, email addresses and social security numbers, current to within thirty (30) days prior to the date of application, and the Applicant's verification that, if the Applicant is issued a business license, all employees will be registered to the AMCC website and will undergo appropriate pre-employment background checks.

**PLEASE SEE FOLLOWING PAGES.**

FORM G: PERSONNEL ROSTER & VERIFICATION

Alabama Code § 36-12-40

CULTIVATOR

Business License Applicant Name

License Type

Complete the following information, current to within thirty (30) days prior to the date of application, for all personnel (each leader and employee) affiliated with the Applicant. Attach additional forms if necessary.

Alabama Code § 36-12-40

Leader/Employee Name

Title/Position

Alabama Code § 36-12-40

SSN

Telephone

Email

Alabama Code § 36-12-40

Street Address

Alabama Code § 36-12-40

City

State

Zip

Alabama Code § 36-12-40

Leader/Employee Name

Title/Position

Alabama Code § 36-12-40

SSN

Telephone

Email

Alabama Code § 36-12-40

Street Address

Alabama Code § 36-12-40

City

State

Zip

Alabama Code § 36-12-40

Leader/Employee Name

Title/Position

Alabama Code § 36-12-40

SSN

Telephone

Email

Alabama Code § 36-12-40

Street Address

Alabama Code § 36-12-40

City

State

Zip

**Alabama Code § 36-12-40**

Leader/Employee Name Title/Position  
**Alabama Code § 36-12-40**

SSN Telephone Email  
**Alabama Code § 36-12-40**

Street Address  
**Alabama Code § 36-12-40**

City State Zip

**Alabama Code § 36-12-40**

Leader/Employee Name Title/Position  
**Alabama Code § 36-12-40**

SSN Telephone Email  
**Alabama Code § 36-12-40**

Street Address  
**Alabama Code § 36-12-40**

City State Zip

**Alabama Code § 36-12-40**

Leader/Employee Name Title/Position  
**Alabama Code § 36-12-40**

SSN Telephone Email  
**Alabama Code § 36-12-40**

Street Address  
**Alabama Code § 36-12-40**

City State Zip

**Alabama Code § 36-12-40**

Leader/Employee Name Title/Position  
**Alabama Code § 36-12-40**

SSN Telephone Email  
**Alabama Code § 36-12-40**

Street Address  
**Alabama Code § 36-12-40**

City State Zip

Alabama Code § 36-12-40

Leader/Employee Name Title/Position

Alabama Code § 36-12-40

SSN Telephone Email

Alabama Code § 36-12-40

Street Address

Alabama Code § 36-12-40

City State Zip

Alabama Code § 36-12-40

Leader/Employee Name Title/Position

Alabama Code § 36-12-40

SSN Telephone Email

Alabama Code § 36-12-40

Street Address

Alabama Code § 36-12-40

City State Zip

Alabama Code § 36-12-40

Leader/Employee Name Title/Position

Alabama Code § 36-12-40

SSN Telephone Email

Alabama Code § 36-12-40

Street Address

Alabama Code § 36-12-40

City State Zip

**Applicant Verification:** The undersigned hereby verifies that the information provided hereinabove (and attached, as necessary) constitutes a complete and accurate roster of personnel of the Applicant. The undersigned further verifies that, if the Applicant is issued a business license, each individual listed hereinabove (and attached, as necessary) will be registered to the AMCC website and will undergo appropriate pre-employment background checks.

Alabama Code § 36-12-40

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Verification Date



# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a "Redacted Copy" of the following document associated with their application. Below are the grounds for redactions.

In accordance with Alabama Code § 36-12-40, the redaction of certain information from a public-facing document is necessary to protect the privacy, security, and intellectual property rights of individuals and businesses. This measure ensures the safeguarding of sensitive data from unauthorized access or misuse. The redactions applied in this document herein are based on the following grounds:

1. **Confidential Personal Information:** Redaction is required to protect the privacy of individuals whose personal information may be contained within the document. This may include, but is not limited to, Social Security numbers, driver's license numbers, addresses, phone numbers, dates of birth, and medical records. Alabama Code § 36-12-40 emphasizes the importance of maintaining the privacy of citizens by preventing the disclosure of such information.
2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 20 – Business Leadership Credentials

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Verification Date

## **20.1 Curriculum Vitae**

The Company's mission is to provide the community with fresh, sustainable, and organic medical cannabis grown locally, while ensuring responsible stewardship, clean water, and healthy inputs. The Company's leadership team is dedicated to upholding these values and is committed to creating a safe, inclusive workplace that fosters collaboration, teamwork, and open communication. The Company's cultivation facility will be located at [Alabama Code § 36-12-40], where it will cultivate cannabis for further processing into medicinal cannabis by a regulated processor, as permitted by the Alabama Medical Cannabis Commission.

The leadership team of the business seeking a cannabis cultivation license comprises highly experienced professionals with diverse backgrounds, education, and skills. The team is committed to upholding the company's values of sustainability, responsible stewardship, and providing fresh, organic cannabis to the community.

[Alabama Code § 36-12-40] serves as the Master Cultivation, Nursery Technician (Quality Assurance Manager). He holds a Bachelor of Business from the [Alabama Code § 36-12-40] and has developed strong analytical and problem-solving skills. [Alabama Code § 36-12-40] has worked for [Alabama Code § 36-12-40], where he honed his management and leadership skills. Additionally, [Alabama Code § 36-12-40] runs a [Alabama Code § 36-12-40] business, showcasing his passion for sustainability and responsible stewardship.

[Alabama Code § 36-12-40] is the Lead Trainer and Development Specialist. He has formal training in HVAC technology from [Alabama Code § 36-12-40], as well as experience in organic farming and training and development. [Alabama Code § 36-12-40] has owned and operated [Alabama Code § 36-12-40] and has gained valuable experience as [Alabama Code § 36-12-40], managing his business, client relations, and project management.

[Alabama Code § 36-12-40] serves as the Cultivation Manager. They studied business management at the [Alabama Code § 36-12-40] and hold a certificate in barbering. [Alabama Code § 36-12-40] has experience as a [Alabama Code § 36-12-40], developing their leadership, management skills, and customer relations expertise. They are committed to overseeing the cultivation of high-quality, locally grown cannabis.

[Alabama Code § 36-12-40], another Cultivation Manager, is a dedicated professional with experience in various industries. He is well-equipped to manage the cultivation process, ensuring the

company's values are upheld. William is committed to fostering a positive work environment, encouraging collaboration, open communication, and transparency. This diverse and experienced leadership team brings their unique skills and knowledge to the business, ensuring the highest quality standards and the successful operation of a cannabis cultivation facility.

## **20.2 Leadership and Roles**

### **Master Cultivation, Nursery Technician (Quality Assurance Manager):**

**Alabama Code § 36-12-40**, the founder of the Company, and also the Master Cultivation, Nursery Technician, is a highly experienced professional with a diverse background in logistics, healthcare administration, tax services, and agriculture. With a Bachelor of Business from the **Alabama Code § 36-12-40**, George has developed strong analytical and problem-solving skills that are transferable across a range of industries.

**Alabama Code § 36-12-40** has worked for **Alabama Code § 36-12-40**, where he honed his management and leadership skills, and gained a deep understanding of customer service, compliance, and financial management. He has consistently demonstrated the ability to innovate and drive growth, while maintaining a strong focus on operational excellence.

In addition to his corporate experience, **Alabama Code § 36-12-40** runs an **Alabama Code § 36-12-40** business, demonstrating his passion for sustainability, responsible stewardship, and providing high-quality, **Alabama Code § 36-12-40**. His entrepreneurial spirit and commitment to sustainability make him a valuable asset to any team. Overall, **Alabama Code § 36-12-40** brings a wealth of diverse experience, leadership skills, and a passion for sustainable agriculture to any role he takes on.

As the Master Cultivation, Nursery Technician (Quality Assurance Manager), **Alabama Code § 36-12-40** will provide overall leadership and strategic direction for the cannabis cultivation operations. He will be responsible for overseeing the entire cultivation process and ensuring that the plants are grown in compliance with regulatory requirements.

**Alabama Code § 36-12-40** will work closely with the Cultivation Managers to ensure the highest quality cannabis is produced, implementing quality assurance measures and monitoring the health and growth of the plants.

**Lead Trainer and Development Specialist:** Alabama Code § 36-12-40

Alabama Code § 36-12-40 is an experienced professional with a background in HVAC technology, organic farming, and training and development. He holds formal training from Alabama Code § 36-12-40 in HVAC Technology, which has equipped him with technical knowledge and problem-solving skills. Alabama Code § 36-12-40 has owned and operated Alabama Code § 36-12-40, an organic farming company, demonstrating his passion for sustainability and responsible stewardship. As an independent contractor, he has also gained valuable experience in managing his own business, client relations, and project management.

Alabama Code § 36-12-40 will serve as the Lead Trainer and Development Specialist for the Company. In this role, he will leverage his experience and knowledge to design and implement training programs that align with the company's mission and values. He is committed to fostering a culture of collaboration, teamwork, and open communication, and is dedicated to providing employees with the support and resources they need to succeed.

Overall, Alabama Code § 36-12-40 brings a diverse skillset, passion for sustainability, and a commitment to training and development to his role as Lead Trainer and Development Specialist for the Company. His experience in HVAC technology, organic farming, and independent contracting make him a valuable asset to any team.

Alabama Code § 36-12-40, as the Lead Trainer and Development Specialist, will be responsible for designing and implementing training programs that align with the company's mission and values. He will ensure that employees are well-trained and knowledgeable about the cultivation of cannabis and other related processes. Alabama Code § 36-12-40 will also provide support and resources to employees, fostering a culture of collaboration, teamwork, and open communication to ensure the success of the cultivation operations.

**Cultivation Manager:** Alabama Code § 36-12-40

Alabama Code § 36-12-40 is an accomplished professional with a diverse background in business management, barbering, and hairstyling. Although they did not graduate, they studied business management at the Alabama Code § 36-12-40, which has provided them with strong foundational knowledge in business operations.

Alabama Code § 36-12-40 also holds a certificate in barbering, demonstrating their commitment to continuous learning and professional development. Their experience as a stylist manager, manager, stylist, and business owner has honed their leadership and management skills and given

them a deep understanding of customer relations, consulting, coaching, mentoring, and relationship building.

██████████ will serve as the Cultivation Manager for the Company. In this role, they will leverage their skills in strategic planning and project management to oversee the cultivation of high-quality, locally grown cannabis. They are committed to upholding the company's values of sustainability, responsible stewardship, and providing the community with fresh, organic cannabis.

As a people-focused leader, ██████████ prioritizes the development and growth of their team. They encourage collaboration, open communication, and transparency, and are dedicated to providing their team with the support and resources they need to succeed. Their skills in coaching and mentoring enable them to develop strong relationships with their team members and promote a positive and inclusive work environment.

Overall, ██████████ brings a wealth of experience, skills, and leadership to their role as Cultivation Manager. Their diverse background and passion for continuous learning make them a valuable asset to the Company.

██████████, the Cultivation Manager, will oversee the daily cultivation operations and manage all plants, including mothers, clones, vegetative stage plants, flowering plants, and drying plants. ██████████ will allocate tasks, directly supervise ██████████, and maintain quality control. They will also handle hiring, training, scheduling, and reviewing of employees. ██████████ will work closely with the Master Cultivation, Nursery Technician (Quality Assurance Manager) to ensure the highest standards are maintained during the cultivation process.

**Cultivation Manager:** ██████████

██████████ is a dedicated professional who has recently been appointed as the Cultivation Manager for the Company. With experience in various industries, ██████████ is well-equipped to oversee the cultivation of high-quality, locally grown cannabis.

As the Cultivation Manager, William is responsible for ensuring that the company's values of sustainability, responsible stewardship, and providing fresh, organic cannabis are upheld. He possesses strong strategic planning and project management skills that will enable him to effectively manage the cultivation process.

Alabama Code § 36-12-40 is committed to fostering a positive and inclusive work environment that encourages collaboration, open communication, and transparency. He understands the importance of team development and is dedicated to providing his team with the necessary support and resources to succeed.

Overall, Alabama Code § 36-12-40 skills and leadership abilities make him a valuable addition to the Company's team. With his dedication and passion for sustainability, he is well-positioned to lead the cultivation of high-quality, locally grown cannabis.

Alabama Code § 36-12-40, another Cultivation Manager, will also be responsible for overseeing the daily cultivation operations and managing all plants in the cultivation process. He will direct Cultivation and Nursery Technicians to complete tasks efficiently while maintaining high-quality standards. Alabama Code § 36-12-40 will control the environment in several rooms to maintain optimal conditions for plant growth and inspect plants for quality control. He will collaborate with the Master Cultivation, Nursery Technician (Quality Assurance Manager) to develop, analyze, and implement staffing, training, scheduling, and operations.

**20.3 Hiring Plan**

**PROGRESS: In Progress with completion expected 1,825 days after award of license**

As the Company embarks on a journey to provide the community with fresh, sustainable, and organic medical cannabis, it is essential to recognize the importance of a solid team to support growth and maintain a commitment to responsible stewardship and environmental responsibility. To achieve this, a comprehensive 5-year hiring plan has been devised, outlining the key leadership and personnel additions required to scale operations effectively. This plan focuses on hiring skilled professionals in various positions, ensuring that employees have the necessary education, experience, and expertise to contribute to the Company's success in cultivating high-quality cannabis. By following this hiring roadmap, a robust and efficient organization will be created, capable of meeting the growing demand for products while upholding core values and contributing to a healthier and more sustainable future for the community and the environment.

**Year 1:**

Alabama Code § 36-12-40  
.  
.

**Year 2:**

Alabama Code § 36-12-40  
[Redacted]

**Year 3:**

Alabama Code § 36-12-40  
[Redacted]

**Year 4:**

Alabama Code § 36-12-40  
[Redacted]

**Year 5:**

Alabama Code § 36-12-40  
[Redacted]



This 5-year hiring plan aims to support the company's growth and expansion while maintaining its commitment to providing high-quality, locally grown cannabis to the industry.

In conclusion, the 5-year hiring plan is a strategic approach to ensure the Company's growth and success in the competitive medical cannabis industry. By identifying and recruiting talented professionals for key positions, the Company will be well-equipped to meet the increasing demand for high-quality, locally grown cannabis while maintaining its commitment to sustainability and responsible stewardship. This forward-looking plan will foster a thriving work environment, supporting collaboration, teamwork, and open communication amongst employees. As the Company continues to expand its operations and adapt to market needs, the strong foundation built through this hiring plan will contribute to the realization of the Company's mission and vision, ultimately benefiting the community and the environment for years to come.

Please see the next page for an organizational chart, which has incorporated these new positions that are planned to be filled in this 5-year hiring plan.

# Alabama Code § 36-12-40

*Figure 1 Organizational plan with new positions planned to be filled as per the 5-year hiring plan provided hereinbefore within section 20.3 of this Exhibit 20.*

# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a "Redacted Copy" of the following document associated with their application. Below are the grounds for redactions.

In accordance with Alabama Code § 36-12-40, the redaction of certain information from a public-facing document is necessary to protect the privacy, security, and intellectual property rights of individuals and businesses. This measure ensures the safeguarding of sensitive data from unauthorized access or misuse. The redactions applied in this document herein are based on the following grounds:

1. **Confidential Personal Information:** Redaction is required to protect the privacy of individuals whose personal information may be contained within the document. This may include, but is not limited to, Social Security numbers, driver's license numbers, addresses, phone numbers, dates of birth, and medical records. Alabama Code § 36-12-40 emphasizes the importance of maintaining the privacy of citizens by preventing the disclosure of such information.
2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 21 – Employee Handbook

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Alabama Code § 36-12-40

Signature of Verifying Individual

**21.1 – A verified copy of the Applicant’s proposed Employee Handbook, if available, including, but not limited to, safety policies, including personnel safety and crime prevention techniques. If the Applicant’s proposed Employee Handbook is unavailable, then the Applicant must provide an explanation as to why it is unavailable and when the Applicant expects it to be available.**

The Applicant has included a full copy of their Employee Handbook. The document is appended to the end of this exhibit document.

THIS SPACE IS INTENTIONALLY LEFT BLANK. SEE FOLLOWING PAGES.

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40



# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

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# Alabama Code § 36-12-40

# Alabama Code § 36-12-40

# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a "Redacted Copy" of the following document associated with their application. Below are the grounds for redactions.

In accordance with Alabama Code § 36-12-40, the redaction of certain information from a public-facing document is necessary to protect the privacy, security, and intellectual property rights of individuals and businesses. This measure ensures the safeguarding of sensitive data from unauthorized access or misuse. The redactions applied in this document herein are based on the following grounds:

1. **Confidential Personal Information:** Redaction is required to protect the privacy of individuals whose personal information may be contained within the document. This may include, but is not limited to, Social Security numbers, driver's license numbers, addresses, phone numbers, dates of birth, and medical records. Alabama Code § 36-12-40 emphasizes the importance of maintaining the privacy of citizens by preventing the disclosure of such information.
2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 22 – Quality Control and Quality Assurance Plan

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Verification Date

**PROGRESS: In Progress with completion expected 5 days before award of license**

The purpose of this plan is to demonstrate how the Applicant has implemented standard operating procedures (SOPs) to ensure that all cannabis produced, tested, released, distributed, and sold by the Applicant is done under strict quality assurance and quality control protocols.

**22.1 - Overview of Manufacturing QA**

The Applicant will develop comprehensive Standard Operating Procedures (SOPs) to identify cannabis products using a unique name and number, during all phases of cultivation and distribution. A complete record of all sales of cannabis will be available on Alabama Code § 36-12-46 and Metrc softwares in electronic form for at least two (2) years, including the quantity, variety, form, cost, and the cannabis business to which the cannabis item was sold. The Quality Assurance ("QA") department will ensure that the written reports from a testing laboratory for any cultivated bulk cannabis is retained as part of batch production records. All cannabis waste will be destroyed as described in procedure for cannabis waste destruction and all written records will be maintained for two (2) years and contain information on the quantity disposed and the manner of disposal, the individuals present during the disposal and their signatures. In addition, employees will be trained in various topics depending on their work requirements as per SOP for training and personnel training records will be maintained for a period of at least 12 months following employment termination, including a signed statement of the person indicating the date, time, and place the training was received, topics trained on and the name and title of the presenters/trainers. Personnel performance evaluations, all personnel records and any disciplinary action taken will also be recorded.

All training curriculum will be developed, implemented, and maintained on the premises, and will be executed in-house or by outside resources capable of meeting the personnel training needs. Relevant ongoing training will be executed in addition to regular workplace training by qualified trainers to ensure all employees, including owners, principals, contactors and volunteers receive at least eight (8) hours of ongoing training each calendar year before they begin working at the Applicant organization. Forms will be maintained to keep record of all training provided to the employees as per their roles and responsibilities. Any re-trainings will be conducted as required.

The Applicant is committed to ensuring the safety of its employees while in the workplace and therefore will have several hiring and workplace Health and Safety policies and procedures including a smoke-free workplace policy, a policy prohibiting cannabis business personnel from possessing, consuming or being under the influence of an illicit controlled substance or alcohol, and to protect the rights of qualified patients. These policies will be deemed essential to upholding the quality of cannabis produced at the site and will be updated as required and made available to AMCC ('Commission') upon request.

The Applicant will utilize Alabama Code § 36-12-40 seed-to-sale inventory tracking system that is capable of interfacing with the statewide seed-to-sale tracking system, Metrc, to allow the applicant to enter or access information in the Metrc system as required by article 20-2A-60. Alabama Code § 36-12-40 inventory control system will be used to track all cannabis plants, waste transfers, conversions, sales and returns linked to a unique identification number (batch number). All products, conversions and derivatives will be tracked throughout the entire chain of custody. Detailed inventory procedure will be implemented to ensure traceability and control of cannabis inventory from seed to sale. This will include updating inventories on at least a daily basis. Comprehensive inventory audits of produced, stored cannabis will be conducted as scheduled every month and every year in accordance with the standard operating procedure and audit reports will be created. Records will be transcribed promptly and will include the date of the inventory, findings, and the name, signature, and title of the persons conducting the inventory. The initial comprehensive inventory will be reported to the Commission by means of the inventory tracking system.

The Applicant will implement waste handling procedure to ensure that all cannabis components requiring disposal are properly destroyed or rendered unusable. Records of destruction will be maintained by tracking the information in Alabama Code § 36-12-40 and Metrc seed-to-sale tracking system including the weight of cannabis to be destroyed and to reconcile inventory records prior to disposal or destruction.

The Applicant will implement procedure for complaints handling and investigation for submitting and reviewing complaints, and for notifying other cannabis businesses in the supply chain of the product identified in the complaint. The procedure will also include investigating and resolving customer complaints including approval of findings, follow-up action of any investigation performed, and a response to the complainant. Forms will be

utilized to capture all relevant information. Adverse Event handling procedure will be followed in the event the complaint has evidence of an Adverse Event, information related to the batch will be utilized to capture all necessary information, including, but not limited to: the identifiable medical patient who is reported to have experienced the adverse event, the initial reporter of the adverse event (or identifying that the initial reporter requests to remain anonymous), the identity of the specific cannabis used, its batch number, the name of the cannabis business that sold the product to the identifiable patient, a description of the adverse event based on information received from the identifiable patient and the initial reporter, and the date the complaint was received. The Applicant will notify the Commission on becoming aware of the adverse event. Once it is determined that the complaint may involve an adverse event, the Commission will be notified by the Applicant within 48 hours of the Applicant becoming aware of it. Detailed information on the adverse event/reaction will be reported to the Commission within seven (7) days. Affected batches will be marked as quarantined until the investigation has been completed. Following the SOP for complaint handling and investigation, all cannabis businesses within the supply chain of the product identified in the complaint from Alabama Code § 36-12-30 and Metrc seed-to sale inventory tracking system will be notified to put the product on hold until further notice. The adverse event investigation will include review of batch records to determine whether there was a deviation from standard operating procedures and if the batch meets specifications, which will be done by submitting parts of the retention samples of the batch to a licensed state testing laboratory for testing. The Applicant will have a procedure for communicating the Adverse Event to: cannabis business staff members; and other cannabis businesses that purchase cannabis from or provide cannabis to the Applicant. Upon completion of the investigation, the assigned personnel will determine product disposition. If it is an Adverse Event, it will be reported to the Commission within specified time. The review and investigation of a complaint or Adverse Event, and the findings and follow-up action of any investigation performed, will extend to all related batches, including the equipment and packaging components, and relevant records and to all cannabis businesses involved in the supply chain of the product identified in the complaint. If the adverse event necessitates a recall, recall procedures will be followed to inform the supply chain and to initiate recall procedures to return product to the facility. All records pertaining to the complaint or



adverse event investigation, and, if applicable, the recall, will be maintained for a minimum of two (2) years. The procedure for Recall will be to have a well-established and maintained system of control for conducting a cannabis product recall.

The Applicant will label all cannabis with batch number and other information. The QA department will be responsible for releasing the product for sale or distribution and transfer to another cannabis business after it has been properly processed, tested and packaged, sealed, and labeled based on requirements from AMCC for packaging and labelling bulk cannabis as described in the packaging and labeling procedure. Alabama Code § 36-12-41 and Metrc seed-to-sale inventory control tracking system will be used to maintain records of the amount (ounces) of cannabis flower and leaves as well as the number of immature cannabis plants produced.

Cannabis will be stored in secure storage as per procedure for storage of cannabis. Newly received cultivation materials or components and newly received cannabis seeds, cuttings, clones, immature cannabis plants, or cannabis will be stored in one designated, marked, segregated secure storage area. Batches of cannabis awaiting release for distribution for personal use pending written reports will be stored in another designated area in the storage room. Any cannabis suspected to be contaminated, will be stored in another designated area in secure storage. Any cannabis, components, or materials that have been confirmed to be contaminated, including, but not limited to, cannabis that fails testing or is returned as part of a recall, shall be stored with cannabis waste in a designated, marked, segregated waste disposal/quarantine area until destroyed and rendered unusable. Any product that under a complaint investigation will be quarantined and will be clearly labeled as "QUARANTINED". Procedures will be available with details on all these requirements.

The Applicant will ensure that the cultivars selected are approved by the department prior to acquisition of plant material. Alabama Code § 36-12-41 and Metrc seed-to-sale inventory tracking system will be implemented to ensure traceability and control of cannabis from seed to sale. Detailed procedures will be available for employees to follow in compliance with the requirements of the Commission. Pesticide if used will adhere to United States Environment Protection Agency under section 40 CFR 152.25 (f) and are exempted from the Federal Insecticide, Fungicide and Rodenticide Act.

The Applicant will use a licensed State Testing Laboratory to conduct all testing. The Applicant will implement procedures to ensure that cannabis is free from physical contaminants such as dirt, debris, or other foreign matter, and free of bacteria, mold, or other fungal or bacterial contaminants, as well as and chemical contaminants, and comply with the standards the commission establishes for microbial and mycotoxin contents before the cannabis is released for sale.

The Applicant will develop and implement a Sanitation Program to ensure cannabis and is packaged, produced, labelled, stored, distributed, sampled, and tested in compliance with Commission's requirements. The QA department will review and approve all packaging components against United States Pharmacopoeia standards. Compliant labels will be affixed to all packages before sale, distribution or transfer.

The QA department will pre-approve all cannabis labels and packaging components. The QA department will ensure that all cannabis labels include the necessary information about the cannabis being sold and other required information. The cannabis will not be sold in a package or has a label that contains any information that is false, misleading, deceptive, attractive to children, promotes overconsumption, and set out any association with the state or federal or any other agency.

## **22.2 – Testing Plan**

The Applicant is applying for a cultivator license and hence will not be handling medical cannabis in the first phase of the operation. However, based on Chapter 10 Regulation of state Testing Laboratories, a cultivator will have to test cannabis that will be used for extraction. The information below is a summary of the procedures for sampling and testing of cannabis.

The Applicant will ensure that each batch of cannabis destined for extraction is tested by a state licensed testing laboratory. Before any cannabis is packaged and prepared for distribution, it will be sampled and tested to ensure that all cannabis is free of physical, chemical, and biological contaminants. Cannabis will be held in secure, segregated storage until a written report from the state licensed testing laboratory is received confirming the representative sample meets specifications. Once the test results are confirmed and the cannabis has met specifications, the Quality Assurance Manager (QAM) will release the

cannabis for packaging and distribution. This SOP will also cover the receiving of written report detailing the test results for cannabis.

The Applicant will follow the vendor qualification procedure to ensure the laboratory being used for cannabis testing is licenced by the State of Alabama to conduct testing on cannabis. As part of the vendor qualification program, the Applicant will ensure that any contracted State Testing Laboratories must implement Good Laboratory Practices (GLP), be certified to ISO 17025 standards, use validated testing methods, and properly maintain the equipment and the testing facility.

Through vendor qualification and re-assessment process, the Applicant will verify that proper record-keeping is being performed at the testing laboratory in a seed-to-sale software that can effectively interface with the statewide seed-to-sale tracking system (Metrc) for effective inventory control throughout the supply chain. The Applicant will ensure that the testing laboratory is maintaining the documentation of the chain of custody of samples taken, all receipts for the collected samples, record of all collected samples from the license holders including date of the order and date of the testing, form of product and strain, weight of sample, all written reports, all correspondence with the license holders, all records of destruction of the sample and the fee charged to the license holders.

**Sampling:**

A sample of each batch of cannabis that is produced within the facility will be analyzed by a state licensed testing laboratory prior to use in extraction process being conducted by a processor. The Applicant will send samples for all applicable tests for cannabis that is destined for extraction to ensure that the cannabis batch is free of contaminants and is in compliance with the regulatory requirements for testing standards for cannabis.

Sampling will be performed in a clean, segregated area, with aseptic procedure to reduce the risk of contamination as per the sampling procedure. The State Testing Laboratory ("Lab") which performs the test will collect samples large enough to undergo two tests for cannabis testing and will enter information in the seed-to-sale software being used at the Lab. The information will include identity of the licensee, where and how the sample was obtained, size, count and weight of the sample, date and time of sampling, identity of the transporter,

personnel and vehicle, date and time of shipment arrival at the Lab and condition of storage upon arrival. Information on the date and time of testing commencement, tests conducted, and amount of sample used for each test, date and time of testing conclusion and results of testing will be entered in the seed-to-sale inventory tracking system. Information on disposition and return of the sample, if applicable will also be entered in the inventory software along with date and time of sample return. The Lab will collect representative initial sample large enough to undergo two tests from each batch of cannabis. The Applicant will ensure that three representative samples of each batch are collected at the same time by the Lab using tamper-resistant containers. One sample will be taken by the Lab and the other two samples will be stored under appropriate temperature and storage conditions in designated area of the storage and will be used during complaints/adverse events investigation, retesting purposes. Retention samples will be collected for each batch using the same container in which the cannabis is distributed. The sample collector will not touch the cannabis or the sampling equipment while collecting the samples.

After completing sample collection, each sample container will be sealed in a locked tamper-evident container and labelled with cannabis licensee license number and a description and the quantity of the content, batch number and initial of collector on each sample container. The testing laboratory employee will provide a receipt for the collected samples to the Applicant's employee. The Applicant's employee will record the samples removed from a batch in Alabama Code § 38-12-48 and Metrc seed-to-sale software inventory record for the batch and transfer representative retention samples in storage. Samples will be stored in a cool, dry area.

### **Testing:**

As part of the vendor qualification process in the Applicant will request a copy of a validation report, to ensure that the laboratory will test the samples of cannabis according to the laboratory standard operating procedures. The applicant will verify that the testing laboratory will use testing methods that have undergone validation by the official Methods of Analysis of AOAC International, the Bacteriological Analytical Manual of the Food and Drug Administration, the International Organization for Standardization, the United States Pharmacopeia, the Microbiology Laboratory Guidebook of the Food Safety and Inspection

Service of the United States Department of Agriculture, the Department, or an equivalent third-party validation study approved by the Commission. If no such testing method is available, a State Testing Laboratory may use an alternative testing method, or a testing method developed by the State Testing Laboratory, upon demonstrating the validity of the testing method to and receiving the approval of the Commission. The Lab will perform all tests as specified in Appendix A to Chapter 10 of the Regulation of State Testing Laboratories.

If the initial sample does not meet the specifications, the Applicant will be permitted to challenge the results and request for subsequent test(s) of the representative sample upon notice to the Commission. The Applicant will record any out of specification results and perform investigation to determine the root cause of the deviation as per the handling out of specifications SOP.

**Written Reports:**

The Lab will send written reports with results of the analysis within two (2) business days after obtaining the samples. The Applicant will verify that written report will include the name, address, license number, and contact information of the testing laboratory, the name, address, and license number of the cannabis business, batch number of the representative sample, the unique sample identifier number, the form of the product, the history of the representative sample, including the date sample was collected, the date sample was received by the testing laboratory, the analytical methods used for the testing, and the date(s) of sample analyses and testing results, the weight of the representative sample, the analytical test methods and instrumentation used for each test, the concentrations of the cannabinoids and terpenes listed in section 20-2A-66 of AMCC. If the result meets the specifications, it will be marked as "Pass" and if the result does not meet the specifications, it will be marked as "Fail". The QAM will release the passed batch.

Cannabis awaiting test results will be stored in the secure cannabis storage room, segregated from any other cannabis, affixed with a sign that says "HOLD" to indicate to staff that it is not ready to be packaged and labeled. Once the written report for the corresponding cannabis batch is received and reviewed by the QAM and deemed satisfactory the cannabis will be released for bulk packaging and further distribution. At the same time, the QAM will also be

responsible to revise the status of the batch in Alabama Code § 36-12-41 and Metrc inventory control system and replace the “HOLD” sign with a “RELEASED” sign to indicate to the production team that the cannabis is ready for packaging and distribution.

### **22.3 – Return, Remediation and Destruction**

In the case that the Applicant receives a written report confirming that the test results of the representative sample of cannabis do not meet specifications, the QAM will not release the cannabis for packaging and distribution. The QAM will then investigate the OOS results and determine the root cause by following the procedure for complaints handling. Depending on the result of the investigation the QAM may choose to accept the results, seek retesting and remediation or challenge the results. The QAM may send a written request and a request through Metrc (Statewide Seed-to-Sale Tracking System) to the Lab to retest the sample for the test that failed. If second test of the same sample passes, then retain sample from the same batch will be sent to another Lab chosen by the Commission to provide a tiebreak test.

When the Applicant chooses to accept the result and seek remediation the QAM will request this in writing with an electronic copy through the Statewide Seed-To-Sale Tracking system. The QAM will use a batch that has failed microbiological testing to send to a processor to make CO2 or solvent based extracts.

The Applicant may also choose to challenge the test results following a failed retest or test by the Lab. This will be done by the QAM in writing and through the Statewide Seed-to-Sale Tracking System that two additional Labs be chosen by the Commission. These Labs will provide full testing of the parallel samples. The batches will be considered as “Pass” if results from both the labs meet specifications and the QAM will release the batch. both Labs determine the disposition of the cannabis. If the investigation determines there is a need to retest or remediate the cannabis in question, they may proceed with retesting or remediation.

Procedure for handling cannabis with Out Of Specification (OOS) test results will include all the above steps that a QAM could follow. In all other cases, OOS the cannabis will not be sold to another cannabis business and the Applicant will destroy the batch and render it unusable. The cannabis will be immediately quarantined, and a “Hold for Destruction” label will be

affixed to the cannabis batch. The cannabis batch will be moved from the on-hold storage location to the quarantine storage location to await destruction. Alabama Code § 36-12-40 and Metrc the seed-to-sale inventory systems will be updated with information on this batch with change in status from “Hold” to “Hold for Destruction”. The sign will have date the cannabis was assigned for destruction, Brand name, Lot number, Reason for destruction, net weight, type and initials of person weighing the material.

QA personnel will deface the “Hold for Destruction” sign by crossing it out with a marker. Designated staff will move the waste to be destroyed in the Destruction Area and transfer it to designated plastic containers. A 1:2 ratio of water:cannabis will be added to the plastic container followed by stirring. The mixture is shredded thoroughly. Sufficient amount of kitty litter is added to the mixture to fully submerge the cannabis waste such that the final mixture contains less than 50% cannabis material. The final waste will be disposed of in the designated receptable.

The QAM will update Alabama Code § 36-12-40 and Metrc softwares to reflect the details for the destroyed cannabis batch.

# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a “Redacted Copy” of the following document associated with their application. Below are the grounds for redactions.

In accordance with Alabama Code § 36-12-40, the redaction of certain information from a public-facing document is necessary to protect the privacy, security, and intellectual property rights of individuals and businesses. This measure ensures the safeguarding of sensitive data from unauthorized access or misuse. The redactions applied in this document herein are based on the following grounds:

1. **Confidential Personal Information:** Redaction is required to protect the privacy of individuals whose personal information may be contained within the document. This may include, but is not limited to, Social Security numbers, driver's license numbers, addresses, phone numbers, dates of birth, and medical records. Alabama Code § 36-12-40 emphasizes the importance of maintaining the privacy of citizens by preventing the disclosure of such information.
2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant



# Exhibit 23 – Contamination and Recall Plan

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Verification Date

**PROGRESS: In Progress with completion expected 5 days before award of license**

The Applicant must provide a detailed contamination and recall plan that will be followed in the event one or more of its products, including any lots or batches thereof, is determined to require recall. The plan must include, but is not limited to, the following:

**23.1 - Provisions for notifying the Applicant of an adverse event**

The Applicant has implemented SOPs and processes to appropriately identify adverse events and effectively report any adverse events to the Alabama Medical Cannabis Commission (AMCC) (the "Commission"), cannabis businesses in the supply chain, and consumers.

The Applicant will follow SOP for handling complaints for medical cannabis that it has cultivated, sold, or distributed, which allows for submission of a complaint to a cannabis business. The Complaint Form includes, at minimum, the date complaint received, name, address and contact number of the complainant, name, address and contact number of the cannabis business who reported the complaint, name of the cannabis business that sold the product, brand/strain name, quantity, batch/lot number, date purchased, date shipped (if applicable), PO number (if applicable), invoice number (if applicable), complaint details, including information on harm or potential harm, name of the person documenting complaint, and availability of the associated retention sample. Anonymous complaints will also be recorded.

The completed Complaint Form and sample (if available) will be forwarded to the Quality Assurance Manager (QAM) for review and investigation within the timeline as prescribed in SOP for handling complaints. A complaint number and target completion date will be assigned. The investigation will include records review, personnel interviews, and site/equipment inspections. Once root cause is determined, the appropriate corrective actions will be implemented based on SOP for corrective and preventative action. The QAM and team will determine if a recall is warranted and if so, SOP for Recall will be followed.

If the complaint involves an adverse event, SOP for adverse events will be followed. The Applicant will use the complaint form and complaint log to collect the following data:

- Noting the identifiable consumer who is reported to have experienced the adverse event;
- Noting the initial reporter of the adverse event or identifying that the initial report requests to remain anonymous;

- Noting the identity of the medical cannabis product used, if known, including the batch/lot number, and the name of the cannabis business that sold the product to the identifiable consumer; and
- A description of the adverse event based on information received from the identifiable consumer and initial reporter.

Once it is determined that the complaint may involve an adverse event, the Commission will be notified by the Applicant within 48 hours of the Applicant becoming aware of it. Detailed information on the adverse event/reaction will be reported to the Commission within seven (7) days. Adverse Event Notification to Commission form will be used to provide information to the Commission. All businesses will be notified who participated in the supply chain of the cannabis product involved in the adverse event or the product's batch/lot.

SOP for adverse events will be used to inform all relevant parties about the adverse event/reaction, including communicating to the cannabis business staff members, consumers of the business, and other businesses in the supply chain. The QAM at the site will be responsible for sending the notification by completing Adverse Reaction Notification to Other Businesses and Staff form. Contents of the notification will include as a minimum: Name/Brand of the Cannabis Product, Lot Number, Size, and Details of the Adverse Reaction as applicable.

**23.2 – Factors about an adverse event that would likely necessitate a recall, and any potential for retesting or remediation.**

Factors that would likely necessitate a recall may include but are not limited to, the Applicant becoming aware that the cannabis products present a health and safety risk or that it may not meet the requirements of the AMCC and any other applicable legislation.

If deemed necessary, the QAM will send retention samples for testing to determine the root cause of the adverse event/reaction. Once the reason for the event is known, a determination will be made on the effect of the cause to related batches/lots, including batches or lots of the same product, other batches/lots produced using the same raw material, same equipment, same packaging components, or during the same time period as the affected original batch/lot. A risk assessment will be immediately performed to determine the risk associated with the affected cannabis batches and how this information will be provided

throughout the impacted supply chain. The risk evaluation will take into account the nature and degree of the problem or potential problem, the nature and size of the population at risk, the extent of the supply chain customer awareness of the problem, and whether adverse health consequences have occurred from using the affected cannabis. As an immediate measure, any affected cannabis that is still under the Applicant's internal control will be quarantined as the investigation continues. Depending on the results of the risk assessment, a formal decision will be made by the Recall Team to either initiate a recall or no action will be taken if a recall is not warranted.

**23.3 – Responsible individuals or positions within the Applicant's organization who will oversee the recall process.**

As per the SOP for Recall Procedures, the Applicant's QAM will assemble an internal team (the "Recall Team") consisting of staff members with the applicable knowledge relevant to the situation to assist with carrying out the investigation, determining the root cause, and corrective and preventive actions, which includes carrying out the notification steps. Each member of the Recall Team will be assigned appropriate responsibilities to facilitate the recall activities. The QAM will be responsible for initiating and implementing the recall, with support from the Recall Team. As a proactive measure, initial contact with the supply chain customers will be made as soon as possible.

The QAM is responsible for sending out recall notifications to the affected cannabis businesses in its supply chain. To encourage a quick response, recall notifications will also include a toll-free number for telephone replies, a form to complete and return by fax or email, or a link in an email that the recipient can click to acknowledge receipt of the recall notification. After circulating the recall notification, QAM will be responsible for keeping record of all required information and communication attempts.

QAM will be responsible for tracking the inventory including all recalled cannabis items that are returned to ensure any returned cannabis must be controlled to prevent it from being sold, distributed, or used in error. The QAM will also be responsible for performing a quality control review, tracking it in inventory control, segregate it in a quarantine area, and subsequently decide on product disposition including disposal if authorized by the Commission such that the recalled cannabis is deemed unusable. The QAM will track each

action that is completed, taking into consideration that some recalls involve multiple actions. A checklist will accompany SOP for Recall Procedures to ensure all actions are completed. The QAM will be responsible for evaluating the recall's effectiveness, including checking that the recall actions including supply chain notifications have been completed in a reasonable timeframe, corrective and preventive actions have been implemented, and cannabis is destroyed or returned if applicable. The QAM will be providing progress and final reports to the Commission as required.

The QAM with support of the Recall Team will do a final review to ensure the recall file contains the necessary documentation related to all recall actions that were taken. It is the responsibility of the QAM to ensure the recall is sufficiently closed.

#### **23.4 – Accounting for the safety of employees and others on the premises**

QAM will be responsible for tracking the inventory including all recalled cannabis items that are returned to ensure any returned cannabis or cannabis product must be controlled to prevent it from being sold, distributed, or used in error. The QAM will also be responsible for performing a quality control review, tracking it in inventory control, segregate it in a quarantine area, and subsequently decide on product disposition including disposal if authorized by the Commission such that the recalled cannabis is deemed unusable. The affected batches/lots are to remain in the Applicant's inventory, and it will be segregated into the appropriate storage area and kept on hold by the QAM by filling out the On Hold sign and affixing them to the containers holding the affected batches/lots in question.

The Applicant is committed to ensuring the safety of its employees while in the workplace and therefore will have several hiring and workplace policies including a smoke-free workplace policy, a policy prohibiting cannabis business personnel from possessing, consuming or being under the influence of an illicit controlled substance or alcohol, and to protect the rights of qualified patients. Only individuals with specialized training and authorizations will be permitted to handle any contaminated cannabis. All contaminated cannabis will be kept segregated from other materials and have special handling, PPE and disposal procedures associated with it such that all employees are kept safe.

**23.5 – Notification protocols to other licensees and the Commission through the Statewide Seed-to-Sale Tracking System, and to any other proper authorities.**

The Applicant will utilize the designated inventory tracking system, Alabama Code § 36-12-40 that is capable of interfacing with the statewide seed-to-sale tracking system, Metrc, to allow the applicant to enter or access information in Metrc as required by article 20-2A-60. Alabama Code § 36-12-40 will be used to track all cannabis plants, medical cannabis, purchase totals, waste transfers, conversions, sales and returns linked to a unique identification number (batch number). All products, conversions and derivatives will be tracked throughout the entire chain of custody. Detailed procedure will be implemented to ensure traceability and control of cannabis inventory from seed to sale. This will include updating inventories on at least a daily basis. Comprehensive inventory audits of produced, stored cannabis will be conducted as scheduled every month and every year in accordance with the standard operating procedure and audit reports will be created. Records will be transcribed promptly and will include the date of the inventory, findings, and the name, signature, and title of the persons conducting the inventory. SOP for Recall Procedures will be followed for steps for notification to other licensees, the Commission, and any other authorities through Metrc in a recall situation.

**23.6 – Processes to ensure that the recalled product is returned, remediated (and approved as safe), or destroyed.**

SOP Recall Procedures will be followed for receipt, handling, and disposition of returned cannabis products in a recall situation. As part of the Applicant's established mechanism to publicly communicate a recall of medical cannabis products, all affected cannabis businesses will be immediately contacted, instructions will be provided for the return or destruction (as applicable) of the recalled cannabis or medical cannabis product including an offer to pay reimbursement for the recalled product and procedures for the issuance of refunds in conjunction with the recall, as applicable. To encourage a quick response, recall notifications will also include a toll-free number for telephone replies, a form to complete and return by fax or email, or a link in an email that the recipient can click to acknowledge receipt of the recall notification.

If the distributors have further sold or distributed the affected medical cannabis product, then direction will be provided on the method to retrieve the product. Accepted offers to have the affected medical cannabis products returned will be documented, including the

quantity to be returned, the details of the method and timelines for receiving the returned items (e.g., Applicant or cannabis business delivery vehicle), and the reimbursement to be issued. Attempted notifications of affected distributors will also be recorded.

QAM will be responsible for tracking the inventory including all recalled cannabis items that are returned to ensure any returned cannabis product must be controlled to prevent it from being sold, distributed, or used in error. The QAM will also be responsible for performing a quality control review, tracking it in inventory control, segregate it in a quarantine area, and subsequently decide on product disposition including disposal if authorized by the Commission such that the recalled cannabis is deemed unusable. The QAM will track each action that is completed, taking into consideration that some recalls involve multiple actions. Recalled cannabis may be attempted to be remediated, if possible, and may be re-released for sale once it has been re-tested for contaminants and the Commission approves the re-release. A checklist will accompany SOP for Recall Procedures to ensure all actions are completed.

**23.7 – Processes to report to the Commission and any other appropriate regulatory body regarding crisis response and steps taken to mitigate or avoid danger to the public.**

The SOP for Recall Procedures will be followed for reporting the event to the Commission and publicly communicating a recall to consumers and other cannabis businesses in the supply chain of the recalled item, including potential health consequences if any.

The QAM will be responsible for providing progress and final reports to the Commission and any other appropriate regulatory body regarding crisis response and steps taken to mitigate or avoid danger to the public. The progress reports will include, but not limited to, the number of supply chain customers notified of the recall and date and method of notification, the quantity of affected cannabis product in possession of each supply chain customer, the number of respondents supply chain customers, the number of non-respondents supply chain customers, the number of subsequent attempts to contact non-respondent supply chain customers, the quantity of affected cannabis product returned and/or destroyed, corrective and preventive actions ongoing and completed, the estimated time frame for completion of the recall if revised from the original date.

As part of the Applicant's established mechanism to publicly communicate a recall of medical cannabis products, affected cannabis businesses will be immediately contacted, instructions will be provided for the return or destruction (as applicable) of the recalled cannabis or cannabis product including an offer to pay reimbursement for the recalled product and procedures for the issuance of refunds in conjunction with the recall, as applicable.

To avoid danger to the public, further sale and distribution of the affected cannabis product, supply chain customers will be instructed to immediately stop the sale and distribution of the medical cannabis product and quarantine any affected stock. The recall notification will include detailed instructions to notify other supply chain customers who may be selling or distributing the affected medical cannabis product. If the distributors have further sold or distributed the affected medical cannabis product, then direction will be provided on the method to retrieve the product. Accepted offers to have the affected cannabis products returned will be documented, including the quantity to be returned, the details of the method and timelines for receiving the returned items (e.g., Applicant or cannabis business delivery vehicle), and the reimbursement to be issued. Attempted notifications of affected distributors will also be recorded.

SOP for Recall Procedures will be followed for the final review to determine if the recall is ready to be closed. A recall may only be closed once it has been completed, meaning that all notifications and follow-up actions have been completed and the problem or potential problem has been addressed. The QAM with support of the Recall Team will do a final review to ensure the recall file contains the necessary documentation related to all recall actions that were taken. It is the responsibility of the QAM to ensure the recall is sufficiently closed. Steps required to review and close recalls include completing a final review of all recall actions, submitting a final report to the Commission, closing the recall, and completing and maintaining final documentation.

**23.8 – Steps to be taken to avoid further contamination, to preserve and protect uncontaminated cannabis or medical cannabis products, and to ensure access to said products by those who depend on it.**

QAM will be responsible for tracking the inventory including all recalled cannabis items that are returned to ensure any returned cannabis product must be controlled to prevent it from being sold, distributed, or used in error. The QAM will also be responsible for performing a



quality control review, tracking it in inventory control software **Alabama Code § 36-12-40** and Metrc, segregate it in a quarantine area, and subsequently decide on product disposition including disposal if authorized by the Commission such that the recalled cannabis is deemed unusable. The QAM will track each action that is completed, taking into consideration that some recalls involve multiple actions. A checklist will accompany SOP for Recall Procedures to ensure all actions are completed.

The Applicant has a Security Plan in place to ensure access to cannabis is permitted to authorized personnel only. Cannabis will be stored in cannabis storage area equipped with access control, **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** climate monitoring to ensure quality of cannabis is maintained and odors are contained. **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** A record will be kept by the Security Personnel **Alabama Code § 36-12-40** as per SOP for record keeping of physical security. These records will be stored and secured on a computer within the security room located at the site. **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** These security events will be logged automatically for auditing and investigative purposes.

All cannabis storage areas and cannabis activity areas **Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**  
**Alabama Code § 36-12-40**  
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**Alabama Code § 36-12-40**

**Alabama Code § 36-12-40** These records shall be made available to the Commission at their request.

**23.9 – Investigation and analysis of the factors that led to the unsafe condition requiring the recall, and any adjustments to internal protocols and processes to avoid recurrence.**

Factors that would likely necessitate a recall may include but are not limited to, the Applicant becoming aware that the medical cannabis presents a health and safety risk or that it may not meet the requirements of the AMCC and any other applicable legislation. When a problem or potential problem arises that may require a recall, the designated QAM will utilize Complaint Form to collect the information available pertaining to the situation of concern from cannabis businesses or mandatory recalls ordered by the Commission. As per SOP for Recall Procedures, the Applicant's QAM will assemble an internal team (the "Recall Team") consisting of staff members with the applicable knowledge relevant to the situation to assist with carrying out the investigation, determining the root cause, and corrective and preventive actions, which includes carrying out the notification steps as per the requirements of AMCC. Each member of the Recall Team will be assigned appropriate responsibilities to facilitate the recall activities. Staff members that worked with the affected batch or batches will be interviewed, security footage and records will be reviewed, all affected batch records will be compiled and analyzed, including testing results. The QAM will be responsible for initiating and implementing the recall, with support from the Recall Team. As a proactive measure, initial contact with the supply chain customers will be made as soon as possible.

A risk assessment will be immediately performed to determine the risk associated with the affected medical cannabis and how this information will be provided throughout the impacted supply chain. The risk evaluation will take into account the nature and degree of the problem or potential problem, the nature and size of the population at risk, the extent of the supply chain customer awareness of the problem, and whether adverse health consequences have occurred from using the affected cannabis product. As an immediate measure, any affected medical cannabis that is still under the Applicant's internal control will be quarantined as the investigation continues. Depending on the results of the risk

assessment, a formal decision will be made by the Recall Team to either initiate a recall or no action will be taken if a recall is not warranted.

Three-tiered risk classification for recalls depending on the outcome of the risk assessment:

- Risk Type I - There is reasonable probability that the use of or exposure to the affected cannabis product will cause serious adverse health consequences or death.
- Risk Type II - The use of or exposure to the affected cannabis product may cause temporary adverse health consequences, or the probability of serious adverse health consequences is remote.
- Risk Type III - The use of or exposure to the affected cannabis product is not likely to cause any adverse health consequences.

SOP for Recall Procedures requires a final review to determine if the recall is ready to be closed. A recall may only be closed once it has been completed, meaning that all notifications and follow-up actions have been completed and the problem or potential problem has been addressed. The QAM with support of the Recall Team will do a final review to ensure the recall file contains the necessary documentation related to all recall actions that were taken. It is the responsibility of the QAM to ensure the recall is sufficiently closed. Steps required to review and close recalls include completing a final review of all recall actions, submitting a final report to the Commission, closing the recall, and completing and maintaining final documentation.

The QAM will review, as applicable, the quantity of medical cannabis affected, the quantity of medical cannabis returned, the quantity of medical cannabis destroyed, the quantity of medical cannabis corrected, the quantity of medical cannabis that could not be located, reimbursement details, the final completion date for the recall, assurance that all supply chain customers received the recall information and a detailed plan to prevent the problem from recurring, including any steps that will be taken to improve quality control, before determining that a recall is complete and ready to be closed.

Based on the QAM's review, the existing recall strategy and procedures may be refined for future recalls.

# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a “Redacted Copy” of the following document associated with their application. Below are the grounds for redactions.

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3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 24 – Marketing & Advertising Plan

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

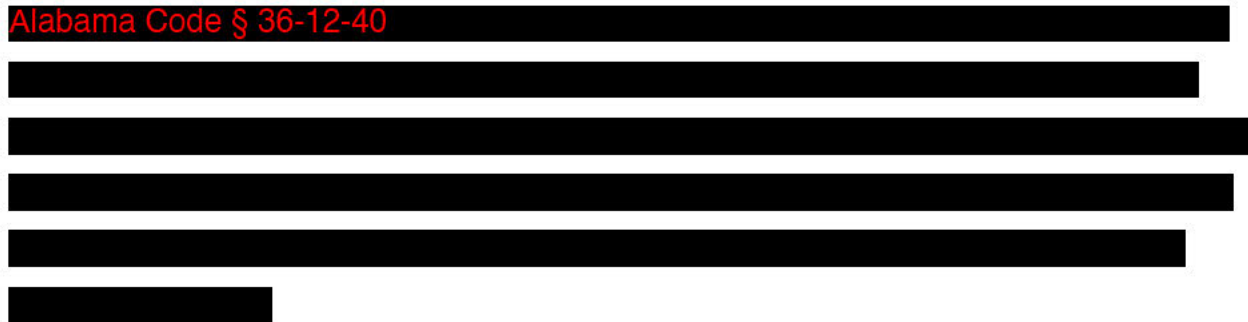
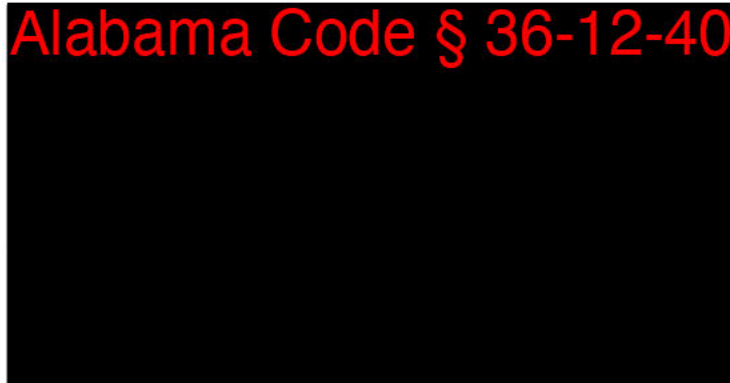
Alabama Code § 36-12-40

Verification Date

**PROGRESS: In Progress with completion expected 5 days before award of license**

**24.1 Logos, Branding, Messaging, Marketing & Advertising**

**Logo:**



The logo also reflects their heritage, legacy, and tradition, as the Applicant grew up on the land they are farming on. The serif font used adds a touch of elegance and class to their brand identity.

**Branding:**

The Applicant's brand tone is one of integrity, tradition, quality, and a passion for nature. The Applicant wants their customers to feel confident in their products and trust that they are grown with care and attention to detail. Whether it's through their website, social media, or customer service interactions, their brand voice will always reflect their values and mission.

Overall, their brand identity is a representation of who the Applicant is as an organic farm, their commitment to quality and sustainability, and their love for the land that the Applicant calls home.

**Target Market:**

The target market for the Applicant's cannabis includes cannabis processors, medical dispensaries, and physicians. Cannabis processors are responsible for producing and packaging cannabis products that meet the requirements set out by regulatory bodies. They are interested in high-quality cannabis strains and extracts that can be used to produce a variety of products such as oils, tinctures, edibles, and topicals.

Medical dispensaries are retail outlets that provide patients with access to cannabis products. They are interested in carrying a wide range of products that meet the needs of their patients, including strains that are specifically formulated for different medical conditions. Medical dispensaries are also interested in products that are easy to use and have clear labeling to ensure that patients understand the dosage and usage instructions. Medical dispensaries are interested in cannabis products whose cannabis raw materials are sourced from quality, organic, sustainable cultivators.

Physicians are an important target market for the Applicant's cannabis products. They play a crucial role in recommending cannabis to patients who could benefit from its therapeutic properties. Physicians are interested in high-quality cannabis products that have been tested for safety and efficacy, as well as products that are easy to use and have clear labeling to ensure that patients understand the dosage and usage instructions. Physicians are more likely to recommend cannabis products whose cannabis raw materials are sourced from quality, organic, sustainable cultivators.

Overall, the target market for the Applicant's cannabis is comprised of manufacturers and professionals who are dedicated to providing patients with safe, effective, and high-quality cannabis products. The Applicant aims to build strong relationships with these key players

in the cannabis community to establish their brand and promote the use of cannabis as a safe and effective treatment option for patients in need.

**Marketing & Advertising Launch:**

To ensure a successful launch, the Applicant will focus on building relationships with processors, medical dispensaries, and physicians, recognizing that they play a crucial role in the cannabis industry. Physicians and medical dispensaries are often the first point of contact for patients who are seeking cannabis for its therapeutic properties. They can recommend the use of cannabis to patients who would benefit from it and refer them to dispensaries that carry the appropriate products. On the other hand, cannabis processors are responsible for producing the cannabis products that patients consume.

Building strong relationships with these key players in the cannabis community is essential for the success of the Applicant's business. The Applicant plans to reach out to physicians, medical dispensaries, and cannabis processors in Alabama to form partnerships and collaborations. This will involve attending industry events and conferences, providing educational resources on the benefits of using quality, organic, sustainable cannabis input materials in the production of high-quality cannabis products that meet the needs of patients.

Through these relationships, the Applicant aims to create a network of trusted providers who can recommend and purchase their cannabis products with confidence. This approach will not only help to establish their brand in the market but also promote the use of cannabis as a safe and effective treatment option for patients in need. By prioritizing relationship-building with processors and physicians, the Applicant is taking a strategic approach to ensure the long-term success of their business in the cannabis industry.

**24.2 Utilized Media Outlets and Platforms****Creating a Strong Online Presence:**



In today's digital age, having a strong online presence is crucial for any business. Therefore, the Applicant plans to create a website and social media accounts to showcase the Applicants products and services. Their website will be designed to provide easy access to information about their products and will be user-friendly and mobile-responsive.

In addition to the website, the Applicant will also establish social media accounts on platforms such as Facebook, Instagram, and Twitter. The Applicant will use these platforms to engage with their customers, share information about their products, and provide educational resources. The Applicant will also use search engine optimization (SEO) strategies to improve their website's ranking on search engine results pages, making it easier for potential customers to find us online.

#### **Partnering with Dispensaries:**

To reach a wider audience and increase sales, the Applicant plans to partner with dispensaries in Alabama to sell cannabis products which uses cannabis cultivated by the Applicant. The Applicant will work to establish strong relationships with dispensary owners and staff and provide them with any necessary support, such as product training or marketing materials.

By partnering with dispensaries, the Applicant hopes to make it easier for patients to access their products and establish themselves as a trusted and reliable supplier of high-quality cannabis products.

#### **Email Marketing:**

The Applicant will create an email marketing campaign to reach out to cannabis processors in their target market. These emails will provide information about their bulk cannabis products and highlight their commitment to quality and sustainability. The Applicant will use targeted email lists and personalized messaging to ensure that their emails are well-received and generate interest in their products. The Applicant will also use email

marketing to provide their subscribers with educational resources and industry news, positioning themselves as a trusted and reliable source of information in the industry.

The Applicant will create an email marketing campaign to reach out to cannabis processors in their target market. These emails will provide information about their bulk cannabis products and highlight their commitment to quality and sustainability. The Applicant will use targeted email lists and personalized messaging to ensure that their emails are well-received and generate interest in their products. The Applicant will also use email marketing to provide their subscribers with educational resources and industry news, positioning themselves as a trusted and reliable source of information in the industry.

**Events:**

To promote awareness about cannabis and establish themselves as thought leaders in the industry, the Applicants plan to host and attend events such as webinars, conferences, seminars, and workshops. These events will provide an opportunity for us to educate the public about cannabis cultivation, showcase their products, and connect with potential customers.

The Applicant will work to create informative and engaging events that provide value to attendees and highlight their expertise in the industry. By hosting events, Applicants hope to establish themselves as a trusted and reliable source of information about cannabis and build strong relationships with their customers.

To measure the success of their launch, the Applicant has identified several key performance metrics that the Applicant will track:

**Social Media Engagement:**

Applicant will track social media engagement, including likes, comments, shares, and followers. Applicant aims to achieve a minimum of 2,000 followers on each social media platform within the first year. To achieve this goal, the Applicant will consistently post

engaging content that is relevant to their target audience, including educational information about cannabis, behind-the-scenes content about their grow operation, and promotions or discounts. The Applicant will also interact with their followers, responding to comments and messages promptly, and collaborating with influencers or other businesses in the cannabis industry to increase their reach and engagement.

In addition to these performance metrics, the Applicant will also track and analyze other relevant data points, such as customer demographics and feedback, sales by product or category, and website conversion rates. This will help us understand their customers better, identify areas for improvement, and make data-driven decisions that will help us grow and succeed in the competitive cannabis industry.

**Providing Excellent Customer Service:**

The Applicant believes that providing excellent customer service is essential to building strong relationships with their customers. Therefore, the Applicant will prioritize providing exceptional customer service to ensure customer satisfaction and encourage positive reviews and word-of-mouth referrals.

The Applicant will make sure that their team is knowledgeable about their products and equipped to answer any questions or concerns their customers may have. The Applicant will also provide timely and responsive customer support through various channels such as phone, email, or live chat. By providing excellent customer service, the Applicant hopes to establish themselves as a trusted and reliable source of organically grown cannabis in Alabama.

**Conclusion:**

The Applicant is committed to providing safe and quality cannabis to processors who will produce effective cannabis products to support patients in need throughout Alabama. Through their comprehensive marketing plan, the Applicants aim to build relationships with physicians, establish a strong online presence, partner with dispensaries, provide

exceptional customer service, and host and attend events to promote their organic cannabis.

The Applicant understands the importance of tracking key performance metrics to measure their success and make necessary adjustments to ensure continuous growth and improvement. With a dedicated team of experts and a strong focus on customer satisfaction, Applicants are confident in their ability to make a positive impact on the cannabis industry in Alabama and beyond.

### **24.3 Identified Media Outlets**

#### **Social Media**

Using social media outlets like Facebook, LinkedIn, Instagram, and Twitter can be incredibly beneficial for cannabis cultivators like the Applicant. These platforms offer an opportunity to reach a wide audience, engage with potential customers and partners, and establish the brand as a reputable player in the industry. The platforms can also be leveraged to educate processors, patients, and physicians alike on why organically grown, sustainable cannabis is better than the alternative. Here are some benefits of using each platform:

#### **Facebook**

Facebook is a great platform for sharing updates on the latest strains and products, as well as engaging with potential customers through comments and direct messages. By building a strong presence on Facebook, Alabama Code § 36-12-40 can establish themselves as a trusted source of cannabis products in the local community. Here is a post example for Facebook:

[Picture of sunset over farm]

As a cannabis cultivator, we take pride in using sustainable and environmentally-friendly growing practices. From our use of renewable energy sources to our organic soil mixes,

we're committed to minimizing our environmental impact. #medicalcannabis  
#sustainability #environmentallyfriendly #cultivation

### **LinkedIn**

LinkedIn is a professional networking platform that can be used to connect with other professionals in the cannabis industry, as well as share updates on the company and career opportunities. By building relationships with other professionals, Alabama Code § 36-12-40 can stay up-to-date on industry news and trends, and position themselves as thought leaders in the field. Here is a post example for LinkedIn:

[Picture of Applicant team]

"We're excited to be a part of the growing cannabis industry in Alabama. Our team is committed to producing high-quality, organic cannabis that meet the needs of patients in our community. We're always looking for talented individuals to join our team - check out our career page for current openings! Alabama Code § 36-12-40 #OrganicMedicalCannabis #CannabisCareers"

### **Instagram**

Instagram is a visually focused platform that can be used to showcase Alabama Code § 36-12-40 cannabis products and cultivation process. By posting high-quality photos and videos, they can create an engaging feed that attracts followers and potential customers. Here is a post example for Instagram:

[Picture of cannabis flower]

"Check out our organic cannabis plants - they're looking great! We take pride in our cultivation process, using sustainable practices and the latest technology to produce the highest quality cannabis products. Follow us for updates on our latest strains and products! Alabama Code § 36-12-40 #OrganicMedicalCannabis #SustainableCultivation"

### **Twitter**

Twitter is a great platform for sharing quick updates and participating in trending conversations related to the cannabis industry. By using relevant hashtags and engaging with other users Alabama Code § 36-12-40 can increase their visibility and attract new followers. Here is a post example for Twitter:

We believe that education is key when it comes to cannabis. That's why our team is committed to educating patients on cannabis, as well as the specific properties of each strain we grow. #medicalcannabis #patienteducation #benefitsandrisk #cultivation

### **Events**

1. Cannabis World Congress and Business Exposition (CWCBE) - a series of events held in several U.S. cities that focus on the cannabis industry, including business opportunities and legal issues.
2. Marijuana Business Conference and Expo (MJBizCon) - a major industry event held in Las Vegas, Nevada that attracts thousands of cannabis industry professionals.
3. Cannabis Science Conference - a conference focused on the science of cannabis, including research and testing.
4. NCIA Cannabis Business Summit and Expo - an annual event held in various U.S. cities that focuses on business and policy issues related to the cannabis industry.
5. Hemp Industries Association Conference - a conference focused on the hemp industry, including policy, research, and business opportunities.
6. Cannabis Cultivation Conference - a conference focused on cannabis cultivation techniques and best practices.

### **Media Outlets**

#### **cannabis:**

1. Cannabis and Cannabinoid Research - a peer-reviewed journal that focuses on the biology, clinical use, and therapeutic effects of cannabis and cannabinoids.

2. Journal of Cannabis Research - a peer-reviewed journal that covers a wide range of topics related to cannabis research, including its effects on the brain and body, its medical applications, and its social and legal implications.
3. Journal of Medical Cannabis and Cannabinoids - a peer-reviewed journal that focuses on the use of medical cannabis and cannabinoids for the treatment of various medical conditions.
4. Cannabis Science and Technology - a publication that covers the latest scientific and technological developments in the cannabis industry, including research, analysis, and testing.
5. Cannabis Medicine - a journal that publishes articles on the therapeutic uses of cannabis and its constituents, as well as case reports and clinical studies.
6. Medical Cannabis and Cannabinoids - a peer-reviewed journal that covers research on the use of cannabis and cannabinoids for medical purposes, including pain management, epilepsy, and other conditions.
7. The American Journal of Drug and Alcohol Abuse - a peer-reviewed journal that covers research on the use and abuse of drugs and alcohol, including cannabis.
8. The Journal of Pain - a peer-reviewed journal that publishes research on the causes and treatments of pain, including the use of medical cannabis.
9. Clinical Psychology Review - a peer-reviewed journal that covers research on a wide range of psychological topics, including the use of medical cannabis for mental health conditions.
10. Pharmacotherapy - a peer-reviewed journal that covers research on the use of medications, including cannabis-based medicines, for the treatment of various medical conditions.

**Agriculture:**

1. Southeast Farm Press - a website that covers agriculture news and events in the Southeastern United States, including Alabama.
2. Alabama Farmers Federation - a website that provides news and resources for Alabama farmers and agricultural businesses.

3. Agri-Pulse - a website that covers agriculture news and policy on a national level, with a focus on the Southern United States.
4. Alabama Cooperative Extension System - a website that provides research-based information and resources for Alabama farmers and homeowners.
5. Alabama Agribusiness Council - a website that represents the interests of Alabama agribusinesses and provides news and resources for members.
6. Progressive Farmer - a print and digital magazine that covers agriculture news and information in the Southeastern United States.
7. The Alabama Grower - a print and digital magazine that covers news and information related to Alabama's agricultural industry.

#### **24.4 Virtual Package Renders**

##### **Bulk Cannabis 1lbs Vaccum Bag**

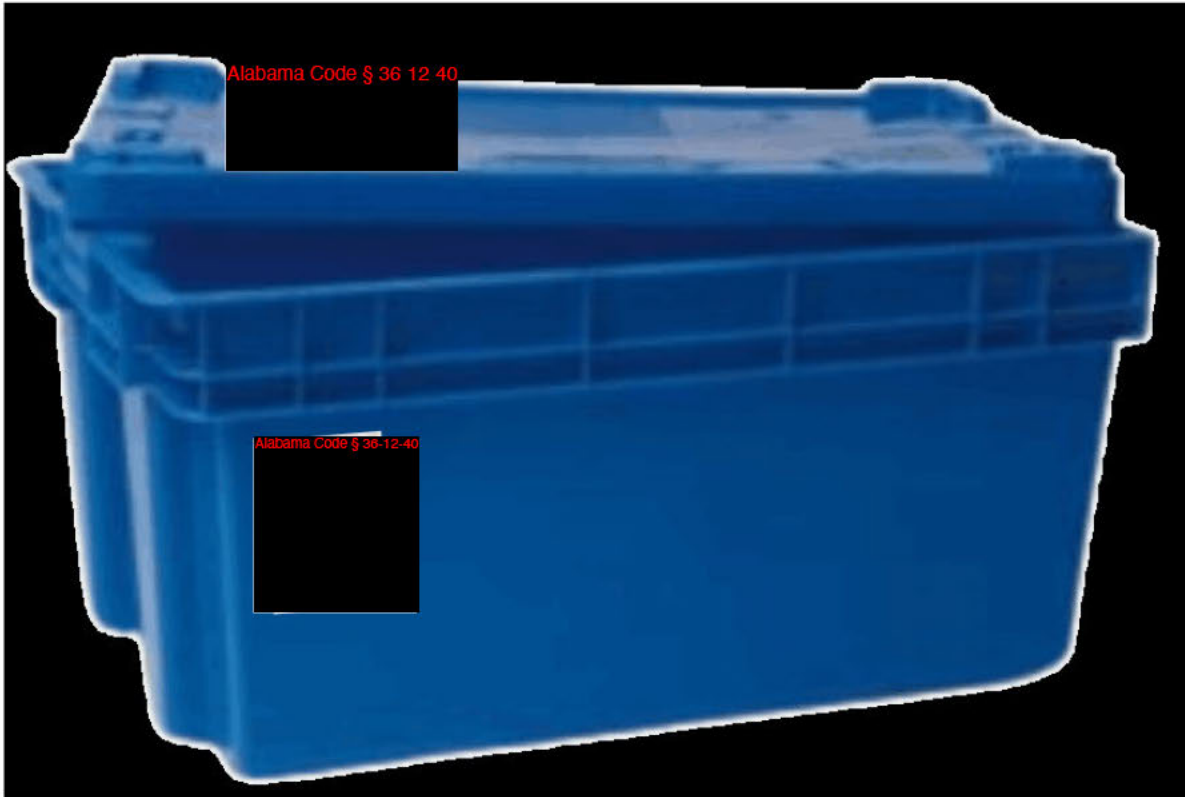


##### **Bulk 100-200 gram Bucket**





**Bulk Cannabis Tote Container Box 30-60 gal**



**24.5 Virtual Label Exemplar**

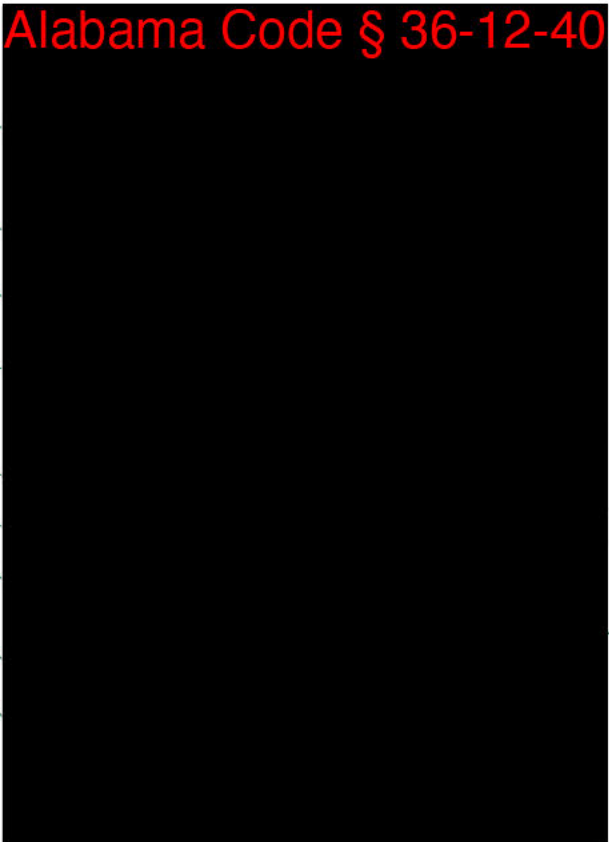
**QR Code**

Individual batches and containers of cannabis being shipped for storage and/or processing have been QR coded or otherwise digitally coded to identify, at a minimum, the Cultivator, facility, plant tag or harvest batch number, date of harvest, expiration date (or, if no expiration date, a notation that the expiration date does not apply), and the date of the cultivator's State Laboratory testing approval.

**Label Exemplar**

**Alabama Code § 36-12-40**

Logo  
Company Name  
License Number  
Address  
Product Name  
THC Range  
Net Weight  
Batch Number  
Date Packaged



QR Code  
AMCC Logo  
Warning Label

# REDACTED COPY

## STATEMENT FOR REDACTED FILES

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2. **Confidential Business Information:** In order to safeguard the interests of businesses operating within the state, certain confidential business information must be redacted from public documents. This may include financial data, tax records, trade secrets, and proprietary information that, if disclosed, could place the business at a competitive disadvantage or lead to potential legal issues.
3. **Security:** Protecting the security of both individuals and businesses is of paramount importance. Redacting sensitive data in public documents helps prevent identity theft, fraud, and potential security breaches. By adhering to the provisions of Alabama Code § 36-12-40, the state ensures that critical infrastructure, government facilities, and other sensitive locations remain secure from potential threats.
4. **Intellectual Property:** The redaction process also safeguards the intellectual property rights of individuals and businesses. This may include copyrighted materials, patents, trademarks, or other proprietary information that, if disclosed, could be misappropriated or used without authorization, leading to potential legal disputes or financial loss.

In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Exhibit 25 – Website & Social Media

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Verification Date

## **25.1 Site Map**

### **Home Page**

On the homepage, you'll find an introduction to the Applicant, along with an overview of our commitment to providing high-quality, sustainably-grown cannabis to patients in need. The About Us section is on the home page and allows the viewer to learn more about their team of experienced growers and their dedication to sustainable and environmentally-friendly growing practices. This page also outlines our mission to provide patients with safe, effective cannabis. The Home Page gives also gives the visitor the option to order which was from when the applicant solely operated as a organic farm.. This will be changed when the cannabis products are added to the page as well as an age-gated landing page.

**Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**

### **Products**

On the product page, visitors can browse the Applicant's selection of organic cannabis cultivated and learn more about the cannabis cultivars, cannabinoid concentrations, aroma profiles, and cannabis history.

Alabama Code § 36-12-40

**Contact us**

If the visitor has any questions or wants to learn more about our products and services, this page provides contact information so you can get in touch with the Applicant directly.

Alabama Code § 36-12-40

Alabama Code § 36-12-40

**Terms and Conditions**

The Applicant's set of rules and guidelines that govern the use of their cannabis products. They outline the terms of an agreement between a provider and a user, detailing the rights

and responsibilities of both parties. For example, on a website, terms and conditions may specify acceptable use of the site, any limitations or restrictions on usage, and any disclaimers or limitations of liability. By using the product, the user agrees to abide by these terms and conditions.

**Alabama Code § 36-12-40**

**Shop**

Browse the Applicant's inventory of organic products that are not related to cannabis.

**Alabama Code § 36-12-40**

**Cart**

Here, you can view a summary of the items the visitor has added to their cart, including their prices, quantities, and any applicable discounts. The visitors can also make changes to your order, such as adding or removing items, adjusting quantities, or applying coupon codes. Once satisfied with, simply proceed to checkout to complete the purchase.

# Alabama Code § 36-12-40

## **Create Account**

This is the perfect way to stay up-to-date with the latest trends and developments in the cannabis industry, as well as gain early access to new products and special deals.

Additionally, accounts can also serve as an internal database for employees, helping them stay organized and informed about our business operations.

# Alabama Code § 36-12-40

## **Account Sign In**

Our account sign-in page is where consumers, members, or employees can securely log into our website. This page provides easy access to personalized information, including order



history, saved carts, and account settings.

# Alabama Code § 36-12-40

## 25.2 Websites & Social Media Owned

### Website

#### Alabama Code § 36-12-40

In order to comply with legal requirements and ensure that only individuals of legal age can access their content, the website [REDACTED] will be converted to have an age-gated landing page. This landing page will require visitors to confirm that they are of legal age before being granted access to the site.

Once confirmed, visitors will be able to explore the site and learn more about the cannabis cultivars and products offered by the Applicant, as well as gain educational insights and tips about growing cannabis.

An age-gated landing page will also provide an opportunity to highlight the Applicants commitment to responsible consumption and the importance of adhering to legal requirements for cannabis use. By implementing an age-gated landing page, [REDACTED] Alabama Code § 36-12-40 will be able to ensure that their website is accessible only to those who are legally permitted to access it, while also providing an engaging and informative experience for their visitors.

## **Social Media**

### **Facebook**

The Applicant is currently utilizing Facebook to educate and provide any updates on their on production and team, as well as post photos and videos of their cultivation process. They can engage with their audience through comments and direct messages, to receive feedback or answer any questions or concerns. Additionally, they can share news and industry updates related to cannabis cultivation and consumption to keep their followers informed.

### **LinkedIn**

On LinkedIn, The Applicant can connect with other professionals in the cannabis industry and share updates on their company and career opportunities. By building a strong presence on LinkedIn, they can establish themselves as a reputable and forward-thinking company in the industry.

### **Instagram**

With an Instagram account the Applicant can share visually appealing photos and videos of cannabis flower and cultivation process while educating their audience. Collaborating with medical dispensaries to help them increase their visibility and grow their following.

In conclusion, the Applicant is taking the necessary steps to comply with legal requirements and ensure responsible cannabis consumption. By obtaining a proper domain and implementing an age-gated landing page, the website will only be accessible to individuals of legal age, while still providing an engaging and informative experience for visitors.

On social media, the Applicant is utilizing Facebook to educate and provide updates on their production and team, engage with their audience, and share news related to cannabis cultivation and consumption. On LinkedIn, they can connect with other professionals in the cannabis industry and establish themselves as a reputable company. Instagram provides an opportunity for the Applicant to share visually appealing photos and videos of their cannabis flower and cultivation process while educating their audience and collaborating with medical dispensaries.

Overall, the Applicant is committed to providing high-quality cannabis and education while adhering to legal requirements and promoting responsible consumption.

# REDACTED COPY

## STATEMENT FOR REDACTED FILES

The Applicant has provided the Commission with a "Redacted Copy" of the following document associated with their application. Below are the grounds for redactions.

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In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant

# Minority Ownership Documents

## Verification

The undersigned verifies that the information contained herein, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Alabama Code § 36-12-40

Printed Name of Verifying Individual

Alabama Code § 36-12-40

Signature of Verifying Individual

Alabama Code § 36-12-40

Title of Verifying Individual

Alabama Code § 36-12-40

Verification Date

License Type: Cultivator

The Applicant is wholly owned by individuals who identify as visible minorities. Please find attached to this document the signed Minority Business Enterprise Affidavit, which attests that the majority owner and operator of **Alabama Code § 36-12-40** which is proposed to be engaged in the business of cannabis cultivation, is a member of the **Alabama Code §** **an** officially designated minority group under §20-2A-51(b), Code of Alabama 1975 (as amended). Additionally, this affidavit certifies that the Applicant meets the eligibility criteria for minority-owned, controlled and operated on a daily basis, having been in operation for at least one year prior to applying for certification, having a legal presence to operate in Alabama, and having majority owner(s) who are legal residents of the United States by birth or naturalization. Finally, the affidavit certifies that the Applicant is socially and economically disadvantaged due to prejudice, bias, and diminished capital and credit opportunities faced by members of the **Alabama Code § 36-12-40**, as well as the other requirements for minority or women-owned certification as stated in the Code of Alabama 1975, as amended.

Please also find attached scanned images of government-issued photo-identification of the owners of **Alabama Code § 36-12-40**

**PLEASE SEE FOLLOWING PAGES.**

MINORITY BUSINESS ENTERPRISE AFFIDAVIT

STATE OF ALABAMA

**Alabama Code § 36-12-40** I, legal age, being duly sworn according to law, depose and say that:

1. I am the majority owner and operator of **Alabama Code § 36-12-40** which is proposed to be engaged in the business of cannabis cultivation.
2. I am a member of the **Alabama Code § 36-12-40** which is an officially designated minority group under § 20-2A-51(b), Code of Alabama 1975 (as amended).
3. I certify that my business meets the eligibility criteria for minority business enterprise certification, including being at least 51 percent minority-owned, controlled and operated on a daily basis, having been in operation for at least one year prior to applying for certification, having a legal presence to operate in Alabama, and having majority owner(s) who are legal residents of the United States by birth or naturalization.

Furthermore, I certify that my business is socially and economically disadvantaged due to the prejudice, bias, and diminished capital and credit opportunities faced by members of the **Alabama Code § 36-12-40** minority group. I also certify that I meet the other requirements for minority or woman-owned certification as stated in the Code of Alabama 1975, as amended.

I declare under penalty of perjury under the laws of the State of Alabama that the foregoing is true and correct.

**Alabama Code § 36-12-40**

Dated: \_\_\_\_\_

**Alabama Code § 36-12-40**

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

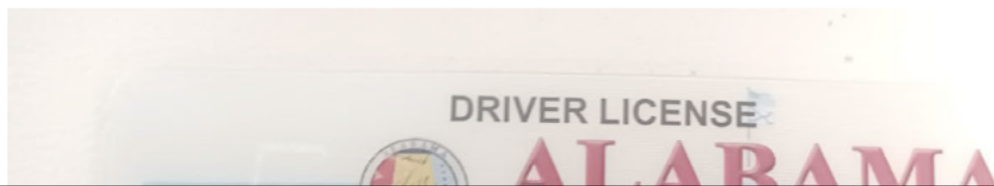
**Alabama Code § 36-12-40**

**Alabama Code § 36-12-40**

Notary Public, State of Alabama



**Alabama Code § 36-12-40**



**Alabama Code § 36-12-40**

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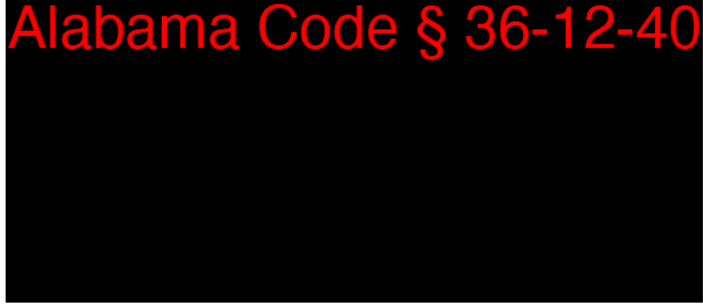
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In conclusion, the redaction of information from public-facing documents, as mandated by Alabama Code § 36-12-40, aims to protect the privacy, security, and intellectual property rights of individuals and businesses, while maintaining the integrity and transparency of government operations.

Sincerely,  
Applicant



# Alabama Code § 36-12-40



Alabama Code § 36-12-40

March 21, 2023

Alabama Code § 36-12-40



Alabama Code § 36-12-40

Please be advised that **Alabama Code § 36-12-40** is providing this Letter of Intent (LOI) to procure insurance coverage for your planned medicinal cannabis cultivation, **Alabama Code § 36-12-40**. This letter is being provided pursuant to your application for licensure with the Alabama Medical Cannabis Commission.

Coverage will be considered to include (but not limited to) the following:

- General Liability – minimum limit of 2 million
- Real Property – limits to meet insurable replacement cost
- Other Business Property – limits to meet insurance replacement cost
- Crime 1st party and 3rd party – minimum limit of 1 million
- Workers Compensation – minimum limit of 1 million
- Product Liability – minimum limit of 1 million

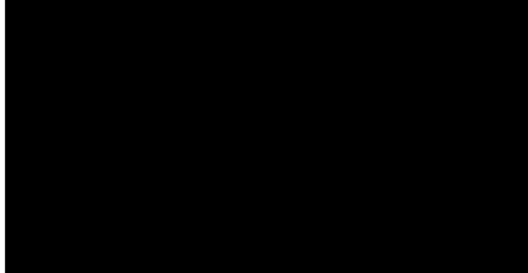
It is our policy to only place coverage with 'A' rated carriers whenever possible. We look forward to your receiving your license so we can work with you to procure the coverage needed to protect the resilience and sustainability of **Alabama Code § 36-12-40**

Best Regards,

Alabama Code § 36-12-40



Alabama Code § 36-12-40



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Sincerely,  
Applicant

FORM K: Affidavit of Entity Applicant for  
Alabama Medical Cannabis License

STATE OF Alabama )  
 )  
Alabama Code § 36-12-40 COUNTY )

Before me, the undersigned notary, did appear the Affiant, who after being by me first duly sworn, did state under oath as follows (*please type or print legibly*):

1. NAME OF ENTITY APPLYING FOR LICENSE: Alabama Code § 36-12-40

2. NAME OF AFFIANT: Alabama Code § 36-12-40

3. AFFIANT'S POSITION WITH APPLICANT: Alabama Code §

4. AFFIANT IS THE APPLICANT'S (*Check One*):  Responsible Party  Contact Person  
(*The affidavit of BOTH individuals is required*)

5. TYPE OF LICENSE BEING SOUGHT BY APPLICANT (*Check One*):

- Cultivator  Processor  Secure Transporter  
 Dispensary  Integrated Facility  State Testing Laboratory

6. On behalf of the Applicant, I do hereby affirm under oath as follows:

a. I, the undersigned Affiant named in paragraph 2 above, am an adult, over the age of 19 years and competent to provide this Affidavit.

Alabama Code § 36-12-40 INITIAL HERE

b. In my position stated in paragraph 3 above, I have been duly authorized by the Applicant identified in paragraph 1 above (hereinafter, "Applicant") to provide this Affidavit.

**(Attach a copy of the entity applicant's written authorization to this Affidavit.)**

Alabama Code § 36-12-40 INITIAL HERE

c. I understand and acknowledge that this Affidavit and the statements, information and documents or other exhibits accompanying it, are for the purpose of seeking one (1) license of the type specified in paragraph 5 above, on behalf of the Applicant. Neither I nor the Applicant are seeking a different Alabama Medical Cannabis license on behalf of any individual or any other entity.

Alabama Code § 36-12-40 INITIAL HERE

d. That all statements, information, documents and other exhibits provided in the Application are true and correct, based on my own personal knowledge and a diligent investigation by me. To the extent any information provided therein was heretofore outside my personal knowledge or ability to affirm, I have personally communicated with those within the Applicant's business who have such personal knowledge, whose duties

include knowledge of the facts stated and/or the integrity of the documents or other exhibits, and I am able, based on such communications, to attest to their currentness and accuracy. This I and the Applicant affirm under penalty of perjury and other applicable sanctions under the AMCC Rules and Alabama law.

\_\_\_\_\_  
INITIAL HERE

e. Applicant understands and acknowledges that the license being applied for is a revocable privilege granted by this state and is not a property right, and that this Application likewise does not convey to, or otherwise entitle unto, the Applicant any rights to a license.

\_\_\_\_\_  
INITIAL HERE

f. Applicant understands, acknowledges, and will continue to respect and comply with AMCC Rules regarding limited communication during the Application process.

\_\_\_\_\_  
INITIAL HERE

g. Applicant consents to all background checks, examinations, inspections, and search and seizure by AMCC and law enforcement personnel during this Application process and afterward, to the extent a license is awarded.

\_\_\_\_\_  
INITIAL HERE

h. Applicant has no economic interest, as defined in the AMCC Rules, in any other license or Application for license under the Darren Wesley "Ato" Hall Compassion Act, § 20-2A-1, et seq., Code of Alabama 1975.

\_\_\_\_\_  
INITIAL HERE

i. I and the Applicant will at all times, to the best of our ability, comply with the AMCC Rules, and cooperate and maintain transparency with the AMCC, its staff and other agents.

\_\_\_\_\_  
INITIAL HERE

j. Any verification provided in the Application is hereby affirmed under oath to be true and correct as of the date of the

\_\_\_\_\_  
INITIAL HERE

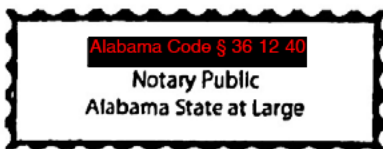
**Alabama Code § 36-12-40**  
[Redacted Signature]

Acting for and on behalf of:

**Alabama Code § 36-12-40**  
[Redacted Name]

Applicant

Sworn to and subscribed before me on this 24 day of March, 2023.



**Alabama Code § 36-12-40**  
[Redacted Signature]  
Notary Public

My Commission Expires: Alabama Code § 36-12-40

[SEAL]

# Alabama Code § 36-12-40

To Whom It May Concern:

The corporate entity, **Alabama Code § 36-12-40** ("Applicant Entity"), which is an applicant for a Cultivator's licence with the Alabama Medical Cannabis Commission, does hereby declare and affirm that **Alabama Code § 36-12-40** of the Applicant Entity, is formally authorized to act on behalf of the Applicant Entity and is granted full authority to represent the Applicant Entity in any and all matters related to the company's operations, including but not limited to financial transactions, legal matters, and contractual agreements.

**Alabama Code § 36-12-40** is authorized to negotiate, sign, and execute any agreements, contracts, or other documents that are deemed necessary for the company's business activities without any further approvals required. They have the full power to bind the Applicant Entity in any such documents as needed.

We kindly request that you recognize **Alabama Code § 36-12-40** as an authorized signatory of the Applicant Entity and provide them with the necessary access and assistance required to carry out their duties as authorized.

Please do not hesitate to contact us if you require any further information or clarification. Thank you for your cooperation.

Sincerely,

**Alabama Code § 36-12-40**

Name: **Alabama Code § 36-12-40**

Signature: \_\_\_\_\_ **Alabama Code § 36-12-40**

Date: \_\_\_\_\_ 3/24/2023

**FORM K: Affidavit of Entity Applicant for  
Alabama Medical Cannabis License**

STATE OF Alabama )  
 )  
Alabama Code § 36-12-40  
 )  
 \_\_\_\_\_ COUNTY )

Before me, the undersigned notary, did appear the Affiant, who after being by me first duly sworn, did state under oath as follows (*please type or print legibly*):

1. NAME OF ENTITY APPLYING FOR LICENSE: Alabama Code § 36-12-40 \_\_\_\_\_

2. NAME OF AFFIANT: Alabama Code § 36-12-40 \_\_\_\_\_

3. AFFIANT'S POSITION WITH APPLICANT: Alabama Code § \_\_\_\_\_

4. AFFIANT IS THE APPLICANT'S (*Check One*):      Responsible Party      Contact Person  
 (*The affidavit of BOTH individuals is required*)

5. TYPE OF LICENSE BEING SOUGHT BY APPLICANT (*Check One*):

- |   |   |  |
|---|---|--|
| <input checked="" type="radio"/> Cultivator | <input type="radio"/> Processor           | <input type="radio"/> Secure Transporter       |
| <input type="radio"/> Dispensary            | <input type="radio"/> Integrated Facility | <input type="radio"/> State Testing Laboratory |

6. On behalf of the Applicant, I do hereby affirm under oath as follows:

a. I, the undersigned Affiant named in paragraph 2 above, am an adult, over the age of 19 years and competent to provide this Affidavit.

Alabama Code § \_\_\_\_\_ INITIAL HERE

b. In my position stated in paragraph 3 above, I have been duly authorized by the Applicant identified in paragraph 1 above (hereinafter, "Applicant") to provide this Affidavit.

**(Attach a copy of the entity applicant's written authorization to this Affidavit.)**

Alabama Code § \_\_\_\_\_ INITIAL HERE

c. I understand and acknowledge that this Affidavit and the statements, information and documents or other exhibits accompanying it, are for the purpose of seeking one (1) license of the type specified in paragraph 5 above, on behalf of the Applicant. Neither I nor the Applicant are seeking a different Alabama Medical Cannabis license on behalf of any individual or any other entity.

Alabama Code § \_\_\_\_\_ INITIAL HERE

d. That all statements, information, documents and other exhibits provided in the Application are true and correct, based on my own personal knowledge and a diligent investigation by me. To the extent any information provided therein was heretofore outside my personal knowledge or ability to affirm, I have personally communicated with those within the Applicant's business who have such personal knowledge, whose duties

include knowledge of the facts stated and/or the integrity of the documents or other exhibits, and I am able, based on such communications, to attest to their currentness and accuracy. This I and the Applicant affirm under penalty of perjury and other applicable sanctions under the AMCC Rules and Alabama law.

Alabama Code § 36-12-40  
[Redacted] INITIAL HERE

e. Applicant understands and acknowledges that the license being applied for is a revocable privilege granted by this state and is not a property right, and that this Application likewise does not convey to, or otherwise entitle unto, the Applicant any rights to a license.

Alabama Code § 36-12-40  
[Redacted] INITIAL HERE

f. Applicant understands, acknowledges, and will continue to respect and comply with AMCC Rules regarding limited communication during the Application process.

Alabama Code § 36-12-40  
[Redacted] INITIAL HERE

g. Applicant consents to all background checks, examinations, inspections, and search and seizure by AMCC and law enforcement personnel during this Application process and afterward, to the extent a license is awarded.

Alabama Code § 36-12-40  
[Redacted] INITIAL HERE

h. Applicant has no economic interest, as defined in the AMCC Rules, in any other license or Application for license under the Darren Wesley "Ato" Hall Compassion Act, § 20-2A-1, et seq. Code of Alabama 1975.

Alabama Code § 36-12-40  
[Redacted] INITIAL HERE

i. I and the Applicant will at all times, to the best of our ability, comply with the AMCC Rules, and cooperate and maintain transparency with the AMCC, its staff and other agents.

Alabama Code § 36-12-40  
[Redacted] INITIAL HERE

j. Any verification provided in the Application is hereby affirmed under oath to be true and correct as of the date of the Application.

Alabama Code § 36-12-40  
[Redacted] INITIAL HERE

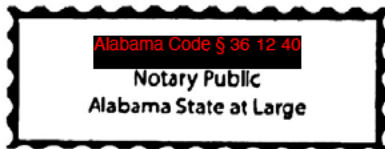
Alabama Code § 36-12-40

Acting for and on behalf of:

Alabama Code § 36-12-40

Applicant

Sworn to and subscribed before me on this 24 day of March, 2023.



Alabama Code § 36-12-40

Notary

My Commission Expires:

Alabama Code § 36-12-40

[SEAL]

# Alabama Code § 36-12-40

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Sincerely,

**Alabama Code § 36-12-40**

Name: **Alabama Code § 36-12-40**

Signature: \_\_\_\_\_ **Alabama Code § 36 12 40**

Date: 3/24/2023