

**Southeast Cannabis Company, LLC's Written Grounds for Redaction**

Southeast Cannabis Company, LLC (“SCC” or “Company”) is an applicant for a medical marijuana Integrated Facility license. SCC understands that AMCC is an entity of the State of Alabama and any documents or data submitted to the State of Alabama may be disclosed by the State pursuant to an Alabama Public Information Request.

While Section 36-12-40 of the Alabama Code (the “Open Records Act”) permits certain exclusions from disclosure, SCC understands that AMCC or the State make no guarantee or promises that such data will not be disclosed. We have reviewed the Open Records Act, as well as relevant case law.

SCC understands that the Exhibits and data provided to the Commission may not be confidential or trade secrets (as defined in Section 8-27-2(1) of the Alabama Code “Trade Secrets Act”), or if confidential or a trade secret, may or may not be disclosed pursuant to the Alabama Open Records Act and the Trade Secrets Act.

SCC further understands that exhibits consisting of trade secrets or confidential information must be clearly marked and have included a legal justification for each exhibit so marked.

**Part I – Ownership**

**Exhibit 1 – Resume or Curriculum Vitae of Individuals with Ownership Interest in Applicant**

The Resume or Curriculum Vitae of Individuals with Ownership Interest in SCC is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). First, this Exhibit contains personally identifiable information as to the owners and investors of SCC. Second, if this information were disclosed, SCC’s competitors would be able to infer details regarding the financial status of the company and its principals, which would allow those competitors to design business and operational plans attempting

to take advantage of this fact. Third, the information contained in each Resume or Curriculum Vitae includes personally identifiable information that, if disclosed, have “an impact upon, the security and safety of [our Owners].”<sup>1</sup> The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Allowing this information to be released could provide nefarious persons with the necessary information to endanger the safety of our Owners.

### **Exhibit 2 – Residency of Owners**

The records indicating the residency of SCC’s Owners are both trade secrets, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). The identities of the Company’s employees, contractors and principals are highly guarded trade secrets protected by nondisclosure agreements. Especially if the Company wins a license, the Company’s agents and principals could be identified by its competitors and poached, allowing the Company’s competitors to secure superior personnel at little or no cost. This risk is magnified to SCC versus other companies, as the Company’s owners are often also its service providers. Additionally, the release of this personal information should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of [our Owners].”<sup>2</sup> Allowing this information to be released could also provide bad actors with the means to endanger the safety of our Owners.

### **Exhibit 3 - Commercial Horticulture or Agronomic Production Experience**

The records indicating that the majority ownership in SCC has significant business experience in the field of commercial horticulture and agronomic production are both trade secrets, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). The identities of the Company’s employees, contractors and principals, and their experiences are highly guarded trade secrets protected by nondisclosure agreements. Especially if the Company wins a license, the Company’s agents and principals could be identified by its competitors and poached, allowing the Company’s competitors to secure superior personnel at little or no cost. Additionally, the release of this personal information should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of [our Owners].”<sup>3</sup> Allowing

this information to be released could also provide criminals with the means to endanger the safety of our Owners.

#### **Exhibit 4 – Criminal Background Check**

The information contained in the records requested, including: (1) Form B: Background Check Applicant Verification; (2) Form C: State Background Check (ALEA); (3) Form D: National Background Check (FBI); and (4) Form: Background Check Individual Verification is both confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended) and a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended). The identities of the Company's employees, contractors and principals are highly guarded trade secrets protected by nondisclosure agreements. Especially if the Company wins a license, the Company's agents and principals could be identified by its competitors and poached, allowing the Company's competitors to secure superior personnel at little or no cost. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. The release of this personal information should also be excluded from disclosure under the Open Records Act since disclosure would have "an impact upon, the security and safety of [our Owners]." <sup>4</sup> Allowing this information to be released could provide those with malicious intentions the means to endanger the safety of our Owners.

#### **Part II – Financial Ability**

##### **Exhibit 5 – Minimum Performance Bond Requirements**

SCC's letter of commitment showing our ability to secure a performance bond issued by a surety insurance company is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2)-(4)(a), Code of Alabama 1975 (as amended). The commercial relationships we have with our insurance company are a protectable interest. <sup>5</sup> Insurance coverage is hard to come by in this industry. Disclosure would harm the economic advantage of the Company, by allowing less prepared applicants to piggyback off the work we did locating and securing an insurance provider. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the release of personal information related to SCC's ability to meet the bond requirement should be excluded from disclosure under the Open Records

Act since disclosure would have “an impact upon, the security and safety of persons” (i.e., the sources of the bond).<sup>6</sup>

**Exhibit 6 – Minimum Liquid Assets Requirements**

Proof that SCC has at least \$250,000 in liquid assets available at the time the license will be issued is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2) & (3), Code of Alabama 1975 (as amended). The capitalization of the Company is obviously financial information, and the Company keeps such information highly confidential, both by limiting knowledge to senior management and through nondisclosure agreements, to ensure both the privacy of its investors and that its competitors are unaware of the depth of its resources. Even though all applicants must show the same amount of money, the source of the funds involves financial and investment information, and commercial relationships.<sup>7</sup> The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the release of personal information related to SCC’s ability to raise the liquid asset requirement should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of persons” (i.e., the sources of our capital).<sup>8</sup> Disclosure would harm the economic advantage of the Company and risk the safety and security of those people and entities that are the source of such funds.

**Exhibit 7 – Demonstration of Sufficient Capital**

SCC’s demonstration of sufficient capital available to use, as well as the source of that capital, and verification of the same are both trade secrets, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2) & (3), Code of Alabama 1975 (as amended). The capitalization of the Company is obviously financial information<sup>9</sup>, and the Company keeps such information highly confidential, both by limiting knowledge to senior management and through nondisclosure agreements, to ensure both the privacy of its investors and that its competitors are unaware of the depth of its resources. Disclosure would harm the economic advantage of the Company, in that competitors might be incentivized to pursue alternative or additional financing strategies upon realization that they lack comparable resources. Alternatively, a competitor with superior capitalization might be able to

undersell versus the Company if it believes that the Company has weak enough capitalization not to survive such extended competition. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the release of personal information related to SCC's ability to raise capital should be excluded from disclosure under the Open Records Act since disclosure would have "an impact upon, the security and safety of persons" (i.e., the sources of our capital).<sup>10</sup> Allowing this information to be released could provide corrupt individuals with the means to endanger the safety of our sources of capital.

### **Exhibit 8 – Minimum Operating Capital Requirement**

Proof that SCC has the financial ability to maintain operations for not less than two years following the date the application is accepted is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2) & (3), Code of Alabama 1975 (as amended). The operating capitalization of the Company is obviously financial information, and the Company keeps such information highly confidential, both by limiting knowledge to senior management and through nondisclosure agreements, to ensure both the privacy of its investors and that its competitors are unaware of the depth of our resources.<sup>11</sup> Disclosure would harm the economic advantage of the Company, in that competitors might be incentivized to pursue alternative or additional financing strategies upon realization that they lack comparable resources. Alternatively, a competitor with superior capitalization might be able to undersell versus the Company if it believes that the Company has weak enough capitalization not to survive such extended competition. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the release of personal information related to SCC's ability to maintain operating capital for not less than the first two years should be excluded from disclosure under the Open Records Act since disclosure would have "an impact upon, the security and safety of persons" (i.e., the sources of our capital).<sup>12</sup> Disclosure of this information could provide degenerate schemers with the means to endanger the safety of our sources of capital.

### **Exhibit 9 – Financial Statements**

SCC's current financial statements (including, but not limited to, our balance sheet report, profit and loss report, and statement of cash flow, and pro forma) are both trade secrets, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2) & (3), Code of Alabama 1975 (as amended). This information has significant economic value, is not publicly known, and cannot be readily ascertained or derived from publicly available information.<sup>13</sup> The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. If this information were disclosed, SCC's competitors would be able to infer details regarding the financial status of the company, including pricing information and methodology,<sup>14</sup> which would allow those competitors to design business and operational plans attempting to take advantage of this fact.

### **Exhibit 10 – Tax Plan**

SCC's tax plan is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2) & (3), Code of Alabama 1975 (as amended). This section contains a detailed tax plan which allows the company to operate in a streamlined and efficient manner. This information has significant economic value, is not publicly known, and cannot be readily ascertained or derived from publicly available information.<sup>15</sup> The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements.

### **Part III – Business/Management Approach**

#### **Exhibit 11 – Business Formation Documents**

SCC's Formation Documents (excluding our Certificate of Formation, which can be found on the Alabama Secretary of State's website) are both trade secrets, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). Our formation documents are "company provided . . . documents, . . . and forms" that contain financial and investment information, management plans, business strategy, techniques, internal methodologies; processes and procedures, and other confidential information, that if released could harm SCC.<sup>16</sup> If this information were disclosed, SCC's competitors would be able to infer details

regarding the operations of the company, which would allow those competitors to design business and operational plans attempting to take advantage of this fact. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements.

#### **Exhibit 12 – Business License and Authorization of Local Authorities**

SCC's Business License and Authorization from Local Authorities are both trade secrets, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2) & (3), Code of Alabama 1975 (as amended). The Company derives economic benefit from the secrecy of this information. If this information were disclosed, SCC's competitors would be able to infer details regarding SCC's proposed facility location, which would allow those competitors to design business and operational plans attempting to take advantage of this fact. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the release of this personal information should be excluded from disclosure under the Open Records Act since disclosure would have "an impact upon, the security and safety of persons, structures, [and] facilities" of SCC.<sup>17</sup> The disclosure of this information could not only compromise the location of the Company's proposed facilities, but also the safety of individuals representing local licensing authorities.

#### **Exhibit 13 – Business Plan**

SCC's business plan is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2)-(4), Code of Alabama 1975 (as amended). This information contains significant operational information that is not publicly known and cannot be readily ascertained or derived from publicly available information.<sup>18</sup> In addition to internal operational information, this Exhibit contains personally identifiable information as to the owners and principals of SCC. If this information were disclosed, SCC's competitors would have a clear understanding of SCC's business structure, goals, organizational chart, job descriptions, executive summary, our projected services, advertising/marketing strategies, community engagement plan, environmental impact statement, and insurance plan, which would severely disadvantage SCC.<sup>19</sup> Access to this information would allow those competitors to design business and

operational plans based on our work and best-in-class operational standards. The Company's business plan and the secrecy of the same are both of great importance to the Company's competitive edge and economic advantage. A business plan does nothing but outline a company's approach to marketplace success. The Company's economic benefit from the secrecy of this plan is twofold: first, the Company's business plan is of sufficiently high quality that it benefits from competitors not being able simply to copy it; second, knowledge of the Company's business plan could enable competitors to adopt their own plans deliberately targeting and undermining the Company. As a result, the Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the release of SCC's Business Plan, and the personal and professional information contained therein, should be excluded from disclosure under the Open Records Act since disclosure would have "an impact upon, the security and safety of [our Owners and principals]."<sup>20</sup> Allowing this information to be released could provide nefarious persons with the means to endanger the safety of our Owners and principals.

**Exhibit 14 – Evidence of Business Relationship with Other Licensees and Prospective Licensees.**

SCC's contracts, contingent contracts, memoranda of understanding between ourselves and other licensees and prospective licensees are both trade secrets, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2)-(4), Code of Alabama 1975 (as amended). SCC prides itself on its ability to cultivate relationships with other licensees. Access to this information would provide our competitors with a view of our "business strategy" and "commercial relationships"<sup>21</sup>, without the work that goes into seeking out and engaging other licensees and prospective licensees. As such, the Company derives economic benefit from the secrecy of these relationships. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements, as well as customary confidentiality clauses in all agreements with other licensees. Additionally, the personal and professional information contained in this Exhibit 14 should be excluded from disclosure under the Open Records Act since disclosure would have "an impact upon, the security and safety of persons, structures, [and] facilities."<sup>22</sup> The disclosure of these



relationships could provide criminals with the means not only to compromise the safety and security of our Company, but also that of those with whom we seek to develop relationships.

**Exhibit 15 – Coordination of Information from Registered Certifying Physicians**

SCC’s plan for receiving and coordinating information and certification from registered certifying physicians is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2)-(4), Code of Alabama 1975 (as amended). The manner in which SCC develops relationships with Registered Certifying Physicians is an internal process that we have developed, and it is not publicly known or readily ascertainable from public information.<sup>23</sup> SCC has invested considerable time and effort to produce a business strategy plan<sup>24</sup> for receiving and coordinating information and certification with Registered Certifying Physicians. The Company derives significant economic value from the secrecy of this plan - from the fact that our competitors, lacking plans of comparable quality, will be less able to handle this process in a timely and efficient manner allowing SCC to capture a greater share of the market. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the personal and professional information contained in this Exhibit 15 should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of persons, structures, [and] facilities.”<sup>25</sup> The disclosure of this information could comprise the safety and security of Certifying Physicians and the Company.

**Exhibit 16 – Point-of-Sale Responsibilities**

SCC’s plan for its point-of-sale education, consultation, provisions for information, response to patient and caregiver questions, and instructions for use regarding all medical cannabis products are both trade secrets, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2)-(4), Code of Alabama 1975 (as amended). This information is proprietary in nature and includes information related to the Company’s internal processes and procedures, software, and commercial relationships with point-of-sale operators.<sup>26</sup> The Company derives substantial economic value from the secrecy of this plan, from the fact that our competitors, lacking

plans of comparable quality, will be less able to handle this process in a timely and efficient manner allowing SCC to capture a greater share of the market. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the information contained in this Exhibit 16 should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of [our] facilities.”<sup>27</sup> Disclosure of this information could provide malicious persons with information helpful in breaching our point-of-sale software.

#### **Exhibit 17 – Confidentiality of Patient Information**

SCC’s plan for maintaining confidential information and providing cybersecurity for sensitive information with respect to patients and caregivers, including protocols for maintaining the confidentiality of patient information in accordance with HIPAA is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2)-(4)(a), Code of Alabama 1975 (as amended). This information is proprietary in nature and includes information related to SCC’s internal processes and procedures, company software, and commercial relationships with IT professionals.<sup>28</sup> The Company derives economic value from the secrecy of this plan, from the fact that our competitors, lacking plans of comparable quality, will be less able to handle this process in a timely and efficient manner allowing SCC to capture a greater share of the market. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the information contained in this Exhibit 17 should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of persons (including patients of our proposed facility), structures, [and] facilities.”<sup>29</sup> Disclosure of this plan could not only harm the Company but could compromise the safety and security of those with whom we seek to develop relationships.

#### **Exhibit 18 – Money Handling and Taxes**

SCC’s detailed plan for handling money and allocating and remitting taxes is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2)-(4)(a), Code of Alabama 1975 (as

amended). This information is proprietary in nature and includes information related to SCC's internal processes and procedures, company software, and commercial relationships with banks and other financial institutions and accounting professionals.<sup>30</sup> The Company derives economic value from the secrecy of this plan, from the fact that our competitors, lacking plans of comparable quality, will be less able to handle this process in a timely and efficient manner allowing SCC to capture a greater share of the market. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the information contained in this Exhibit 18 should be excluded from disclosure under the Open Records Act since disclosure would have "an impact upon, the security and safety of persons, structures, facilities, [and] other infrastructures."<sup>31</sup> Disclosure of this plan could not only harm the Company but could also compromise the safety and security of those financial professionals with whom we seek to develop relationships.

#### **Part IV. - Operations Plans & Procedures**

##### **Exhibit 19 – Standard Operating Plan and Procedures**

SCC's verified Standard Operating Plan and Procedures, including its comprehensive IT plan; maintenance storage of medical cannabis plan; Quality Control/Quality Assurance Plan; Contamination and Recall Plan; Criminal Activity Plan; Emergency Procedures/Disaster Plan; Alcohol, Smoke, and Drug Free Workplace Plan; Employee Safety Plan; Confidential Information and Cybersecurity Plan; Tracking and Proper Disposal of Cannabis Waste Plan; and Security Plan are all trade secrets, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2)-(4)(a), Code of Alabama 1975 (as amended). This information is proprietary in nature and includes information related to SCC's internal processes and procedures, company software, security policies, and commercial relationships with third-party professionals.<sup>32</sup> The Company derives economic value from the secrecy of this plan, from the fact that our competitors, lacking plans of comparable quality, will be less able to handle this process in a timely and efficient manner allowing SCC to capture a greater share of the market. Our standard operating procedures are peerless within the industry and will enable the Company to regulate its operations far better than its competitors. The Company protects the secrecy of this information by limiting knowledge on a need-to-know

basis and protecting the same with confidentiality agreements. Additionally, disclosure of this plan could not only compromise the safety and security of the Company but also that of those with whom we seek to develop relationships. As such, the information contained in this Exhibit 19 should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of persons, structures, facilities, [and] other infrastructures.”<sup>33</sup> Allowing this information to be released could provide bad actors with a roadmap for how to circumvent our various physical and cybersecurity systems protecting our business and facilities.

#### **Exhibit 20 – Policies and Procedures Manual**

The verified copy of SCC’s proposed Policies and Procedures Manual is a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2)-(4)(a), Code of Alabama 1975 (as amended). This information is proprietary in nature and includes information related to SCC’s internal processes and procedures, company software, security policies, and commercial relationships with third-party professionals.<sup>34</sup> The Company derives substantial economic value from the secrecy of this plan, from the fact that our competitors, lacking plans of comparable quality, will be less able to handle this process in a timely and efficient manner allowing SCC to capture a greater share of the market. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the information contained in this Exhibit 20 should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of persons, structures, facilities, [and] other infrastructures.”<sup>35</sup> Allowing this information to be released could provide evildoers with a roadmap for how to circumvent our various physical and cybersecurity systems protecting our business and facilities.

#### **Exhibit 21 – Production and Manufacturing Process**

The type of medical cannabis that SCC will produce, the manufacturing process and methods to be utilized to produce each product, blueprints showing particular phases or departments of integrated production, the facility and personnel safety plans, and the detailed list of formulae and ingredients for each medical cannabis product are trade secrets, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information

with a protectible interest, per § 8-1-191(a)(2)-(4)(a), Code of Alabama 1975 (as amended). This information is proprietary in nature and includes information related to SCC's internal processes and procedures, company software, security policies, and commercial relationships with third-party professionals.<sup>36</sup> This information is central to marketplace competition, and the Company benefits by its competitors not knowing what strains it will offer and the process and procedures related to offering such products – otherwise, competitors of the Company could ensure that they offer, at a minimum, the same selection of medical cannabis strains and varieties to limit the Company's ability to offer unique products in Alabama. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Finally, the information contained in this Exhibit 21 should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of persons, structures, facilities, [and] other infrastructures.”<sup>37</sup> Disclosure of this information would facilitate circumventing our various physical and cybersecurity systems protecting our business and facilities.

#### **Exhibit 22 – Machinery and Equipment**

SCC's sales contracts, receipts, lease agreements, operation manuals, and other documentation related to our possession of machinery and equipment to be used in the cultivation and processing facilities are trade secrets, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). The machinery and equipment to be utilized by the Company was chosen after careful deliberation, market research, and discussion with experienced individuals. The decision of what equipment to use is very impactful on the efficiency and effectiveness of the Company's operations, which gives the Company a marketplace advantage: the Company derives substantial economic value from the fact that its competitors are unable to replicate its approach without, at a minimum, investing comparable time, money, and effort on equipment selection. This information is protected by nondisclosure agreements both within the Company and externally. Additionally, this information includes financial and investment information, business strategies and techniques, manuals treated as confidential by SCC, and commercial relationships with equipment vendors.<sup>38</sup> Finally, the information contained in this Exhibit

22 should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of structures, facilities, [and] other infrastructures.”<sup>39</sup> Allowing this information to be released could provide the criminally minded with a detailed list of valuable machinery and equipment inside our facilities.

### **Exhibit 23 – Receiving and Shipping Plan**

SCC’s receiving and shipping plan, which includes, at a minimum, plans for receiving, storing, and dispensing prepared, packaged, and labeled batches of medical cannabis; ensuring that incoming medical cannabis is accompanied by the Secure Transporter’s manifest and other appropriate documentation; information that will be included in the QR code; Secure Transport receipt of cannabis; plans for receiving and shipping cannabis to or from another licensee’s facility; and outgoing medical cannabis testing are all trade secrets, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2)-(4)(a), Code of Alabama 1975 (as amended). This information is proprietary in nature and includes information related to SCC’s internal processes and procedures, company software, security policies, and commercial relationships with third-party professionals.<sup>40</sup> The Company derives substantial economic value from the secrecy of this plan, from the fact that our competitors, lacking plans of comparable quality, will be less able to handle this process in a timely and efficient manner allowing SCC to capture a greater share of the market. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the information contained in this Exhibit 23 should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of persons, structures, facilities, [and] other infrastructures.”<sup>41</sup> Allowing this information to be released could provide those with malicious intentions with a road map for when and where we plan to receive and ship our inventory.

### **Exhibit 24 – Secure Transport Vehicles**

Title(s), lease(s), and other documents, demonstrating a possessory interest in all vehicles to be used by SCC, along with declaration pages of vehicle insurance policies, license plate and DOT numbers are all trade secrets, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2)-

(4)(a), Code of Alabama 1975 (as amended). These documents contain information on SCC's commercial relationships with insurance brokers and vehicle sales/leasing companies.<sup>42</sup> The vehicles to be utilized by SCC, and the insurance coverage for those vehicles, were chosen after careful deliberation, market research, and discussion with experienced individuals. The decision of what vehicles and insurance coverage to use is very impactful on the efficiency and effectiveness of the Company's operations, which gives the Company a marketplace advantage: the Company derives substantial economic value from the fact that our competitors are unable to replicate our approach without, at a minimum, investing comparable time, money and effort on equipment selection. Finally, disclosure of the title, registration, license plate and DOT numbers, and the insurance company information should be excluded from disclosure under the Open Records Act since disclosure would have "an impact upon, the security and safety of persons, structures, facilities, [and] other infrastructures."<sup>43</sup> Allowing this information to be released could provide the criminal element with a way to track our vehicles and risks the safety of personnel.

#### **Exhibit 25 – Compliance with Alabama Public Service Commission Requirements**

Verification of SCC's compliance with Alabama Public Service Commission requirements for motor carriers is not itself a protectable interest. However, the information contained therein includes confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). The personal information contained in the form, along with specifics about the vehicles, and their registration, should be protected from disclosure under the Open Records Act since disclosure would have "an impact upon, the security and safety of persons, structures, facilities, [and] other infrastructures."<sup>44</sup> Allowing this information to be released could provide villainous entities with a way to track our vehicles and locate the persons and entities disclosed in the forms.

#### **Exhibit 26 – Commercial Driver's License**

Documentation demonstrating that SCC's proposed drivers are properly trained and licensed to operate commercial vehicles is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). The use of commercial drivers is a business strategy, technique, methodology, process, and procedure that qualifies as a

protectable interest.<sup>45</sup> Keeping this information confidential provides SCC with a competitive advantage over other potential operators. Should this information be disclosed, other operators may look to copy our strategy, without spending the time and resources necessary to make such an informed strategy. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the personal information contained in this Exhibit 26, should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of persons.”<sup>46</sup> Allowing this information to be released could provide delinquents with the identities and personal information of our commercial drivers.

### **Exhibit 27 – Fleet Summary**

The Fleet Summary, showing the make, model, VIN Number, license plate number, and specifications of all SCC vehicles proposed for the secure transport of cannabis or medical cannabis is a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). The Fleet Summary contains confidential information of SCC’s fleet of vehicles, including registration documents used in the business that are treated as trade secrets.<sup>47</sup> The vehicles to be utilized by SCC were chosen after careful deliberation, market research, and discussion with experienced individuals. The decision of what vehicles to use is very impactful on the efficiency and effectiveness of the Company’s operations, which gives the Company a marketplace advantage: the Company derives substantial economic value from the fact that its competitors are unable to replicate its approach without, at a minimum, investing comparable time, money, and effort on vehicle selection. Finally, disclosure of the make, model, VIN Number, license plate, and vehicle specifications should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of persons, structures, facilities, [and] other infrastructures.”<sup>48</sup> Disclosure of this information would provide lawbreakers with a way to easily locate and track our vehicles.

### **Exhibit 28 – Care and Maintenance of Vehicles**

SCC’s protocols for the care and maintenance of all vehicles proposed for secure transport of cannabis or medical cannabis are all trade secrets, per § 8-27-2(1), Code of Alabama



1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). Disclosure of the care and maintenance of our vehicles includes confidential information of SCC's processes and procedures for maintaining its fleet of vehicles.<sup>49</sup> The vehicles to be utilized by SCC were chosen after careful deliberation, market research, and discussion with experienced individuals. The decision of what vehicles to use is very impactful on the efficiency and effectiveness of the Company's operations, which gives the Company a marketplace advantage: the Company derives substantial economic value from the fact that its competitors are unable to replicate its approach without, at a minimum, investing comparable time, money, and effort on vehicle selection. Finally, the release of this information should be excluded from disclosure under the Open Records Act since disclosure would have "an impact upon, the security and safety of persons, structures, facilities, [and] other infrastructures."<sup>50</sup> Allowing this information to be released could provide wrongdoers with a way to easily locate and track our vehicles.

#### **Exhibit 29 – Route Plans**

Proposed or actual route plans for all of SCC's proposed secure transport vehicles are both trade secrets, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2)-(4), Code of Alabama 1975 (as amended). Our confidential route plans include customer data and information, management plans, business strategies, techniques, methodologies, processes and procedures, information on potential commercial relationships, and good will associated with a specific marketing and trade area.<sup>51</sup> The Company derives substantial economic value from the secrecy of these plans, from the fact that our competitors, lacking plans of comparable quality, will be less able to handle this process in a timely and efficient manner allowing SCC to capture a greater share of the market. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. As such, the route plans contained in this Exhibit 29 should be excluded from disclosure under the Open Records Act since disclosure would have "an impact upon, the security and safety of persons, structures, facilities, [and] other infrastructures."<sup>52</sup> This information, if released, would raise substantial security concerns for our drivers, vehicles, and inventory.

### **Exhibit 30 – Plan for Segregation of Process Within and Transportation Between Facilities**

SCC's plan for keeping strictly separated all aspects of production is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). The segregation plans described in this exhibit are detailed processes which are only known to particular individuals within the Company, as well as being protected by nondisclosure agreements.<sup>53</sup> These processes required significant time and effort for the Company to establish, in addition to expertise that not all competitors of the Company will have. Secrecy ensures that the Company's competitors would need, at a minimum, to invest the same time and effort to establish comparable processes if they were even able to do so at all. Finally, the information contained in our Plan for Segregation and Transport between Facilities contained in this Exhibit 30 should be excluded from disclosure under the Open Records Act since disclosure would have "an impact upon, the security and safety of persons, structures, facilities, [and] other infrastructures."<sup>54</sup> Allowing this information to be released would provide individuals with criminal intentions with the means to intercept our commercial drivers when traveling between facilities.

### **Part V. – Facility Suitability & Infrastructure**

#### **Exhibit 31 – Facilities**

Information related to SCC's proposed facility, including the name and type of facility; its address; photographs; authorization to occupy; zoning approval; blueprints and floorplans; timetable for completion and commencement of operations; public availability of facility; and hours of operations are all trade secrets, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2)-(4)(a), Code of Alabama 1975 (as amended). This information is proprietary in nature and includes information related to SCC's internal processes and procedures, company software, security policies, and commercial relationships with third-party professionals.<sup>55</sup> The Company derives substantial economic value from the secrecy of this plan, from the fact that our competitors, lacking plans of comparable quality, will be less able to handle this process in a timely and efficient manner allowing SCC to capture a greater share of the market. The Company protects the secrecy of this information by limiting knowledge on a

need-to-know basis and protecting the same with confidentiality agreements. Additionally, the facility and infrastructure information contained in this Exhibit 31 should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of persons, structures, facilities, [and] other infrastructures.”<sup>56</sup> Allowing this information to be released could provide burglars with a roadmap for where and how to enter our facilities.

### **Exhibit 32 – Engineering Plans and Specifications (Cultivation Facilities)**

SCC’s Engineering Plans and Specifications for each cultivation facility are both trade secrets, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2)-(4)(a), Code of Alabama 1975 (as amended). This information is proprietary in nature and includes information related to SCC’s internal processes and procedures, security policies, and commercial relationships with third-party professionals.<sup>57</sup> The Company derives substantial economic value from the secrecy of these plans and specifications, from the fact that our competitors, lacking plans and specifications of comparable quality, will be less able to handle this process in a timely and efficient manner allowing SCC to capture a greater share of the market. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the information contained in this Exhibit 32, including security specifications and facility layouts, should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of persons, structures, facilities, [and] other infrastructures.” The disclosure of our engineering plans and specifications includes security information that if released, would severely undermine the security of our operations.<sup>58</sup>

### **Part VI. – Security Plan**

#### **Exhibit 33 – Security Plan**

SCC’s Security Plan is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2)-(4)(a), Code of Alabama 1975 (as amended). This information is proprietary in nature and includes information related to SCC’s internal processes and procedures, company software, security policies, and commercial relationships with third-party professionals.<sup>59</sup>

The Company derives substantial economic value from the secrecy of this plan, from the fact that our competitors, lacking plans of comparable quality, will be less able to handle this process in a timely and efficient manner allowing SCC to capture a greater share of the market. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the security information contained in this Exhibit 33 should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of persons, structures, facilities, [and] other infrastructures.”<sup>60</sup> The disclosure of our security information would severely undermine the secure operations that we pride ourselves on maintaining.<sup>61</sup>

## **Part VII. – Personnel**

### **Exhibit 34 – Personnel**

The roster of all personnel (all leaders and employees affiliated with SCC) is confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). The names, street addresses, contact telephone numbers, email addresses and social security numbers are all confidential information that if made public, could endanger the security or safety of our employees.<sup>62</sup> The identities of the Company’s employees and contractors are highly guarded trade secrets protected by nondisclosure agreements. Especially if the Company wins a license, the Company’s employees and contractors could be identified by its competitors and poached, allowing the Company’s competitors to secure superior personnel at little or no cost. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. As such, the Company derives actual independent economic value from the fact that this information is not known to or readily ascertainable by its competitors. Additionally, the personal information contained in this Exhibit 34 should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of persons (i.e., our personnel).”<sup>63</sup> Allowing this information to be released could provide degenerate schemers with the means to endanger the safety of the leaders and employees affiliated with SCC.

### **Exhibit 35 – Business Leadership Credentials**

The business credentials of SCC's leadership team are both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). The background information on its leadership team, including their education, experience, and other credentials that make them highly qualified to lead our proposed operations, is intended for use in our business, includes imbedded methodologies into our hiring focus and process, is not publicly known and cannot be readily ascertained easily, is a subject of protection within SCC, and has inherent significant value.<sup>64</sup> We have vetted our company leaders and spent resources gathering this information into a single curriculum vitae for the business. Making this information public would provide our competitors with an unfair advantage and potentially expose our leadership team to security and safety threats. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the personal information contained in this Exhibit 35 should be excluded from disclosure under the Open Records Act since disclosure would have "an impact upon, the security and safety of persons (i.e., our leadership team)."<sup>65</sup> Allowing this information to be released could provide the criminally minded with the means to endanger the safety of our leaders.

### **Exhibit 36 – Employee Handbook**

The employee handbook for SCC is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). The confidential information included within our handbook includes pricing methodologies, compensations, financial information, management and marketing plans, business strategies, techniques, manuals, forms, and other processes, procedures, and unique training that we have spent considerable time and money developing.<sup>66</sup> Making this information public would provide our competitors with an unfair advantage, allowing less prepared operators to steal our handbook, eliminating our competitive advantage within the marketplace. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements.

### **Exhibit 37 – Secure Transport Drivers**

The driver’s license number, social security number, age verification, and driving history of SCC’s drivers are confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). Additionally, Commercial relationships with our Transport Drivers is a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and a protectible interest.<sup>67</sup> We have spent time and money vetting our Transport Drivers and gathering this information into a single exhibit. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the personal information contained in this Exhibit 37 should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of persons (i.e., our Transport Drivers).”<sup>68</sup> Making this information public could expose our Transport Drivers to security and safety threats.

### **Exhibit 38 – Driver’s Manual**

SCC’s Driver’s Manual detailing qualifications, standards, and procedures to be met and followed by our drivers and prospective drivers is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). Creating our Driver’s Manual required considerable time, effort, money, and expertise to produce, including consultation with legal counsel and safety/security experts. The Company derives actual independent economic value from the fact that our competitors are unaware of the details of this manual and unable to obtain it: it enables the Company to more effectively train our drivers on safety and security policies and procedures than our competitors, granting the Company a level of efficiency, as well as a level of vehicle safety and security, which will make it better able to compete in the marketplace. While this training plan will be available to all drivers of the Company, it is protected by nondisclosure agreements internally and externally. Additionally, the information contained in this Exhibit 38 should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of persons (i.e., our Transport Drivers).”<sup>69</sup> Allowing this information to be disclosed could provide bad actors with the means to circumvent our safety and security policies and procedures.

## **Part VIII. – Quality Control & Testing**

### **Exhibit 39 – Quality Control and Quality Assurance Plan**

SCC's quality control and quality assurance plan is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). The steps we will take in the dispensing process, our plan for performing quality control and testing of qualified sampling of medical cannabis, and our plan for return and remediation or destruction of any failed test samples, involves our business strategy, techniques, and methodology for conducting those operations.<sup>70</sup> The Company derives economic value from the secrecy of these plans and procedures, from the fact that our competitors, lacking plans and procedures of comparable quality, will be less able to handle this process in a timely and efficient manner allowing SCC to capture a greater share of the market. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the information contained in this Exhibit 39 should be excluded from disclosure under the Open Records Act since disclosure would have "an impact upon, the security and safety of persons, structures, [and] facilities."<sup>71</sup> Disclosure of this plan could not only harm the Company but could also compromise the safety and security of our employees by providing those with malicious intent with detailed knowledge of our business operations.

### **Exhibit 40 – Contamination and Recall Plan**

SCC's contamination and recall plan is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). The steps we will take in the event one or more of the products offered for sale at our dispensing site, including any lots or batches thereof, is determined to require recall, involves our business strategy, techniques, and methodology for conducting a recall.<sup>72</sup> The Company derives substantial economic value from the secrecy of these plans and procedures, from the fact that our competitors, lacking plans and procedures of comparable quality, will be less able to handle this process in a timely and efficient manner allowing SCC to capture a greater share of the market. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the

information contained in this Exhibit 40 should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of structures, [and] facilities.”<sup>73</sup> Disclosure of this plan could not only harm the Company but could also compromise the safety and security of our employees by providing corrupt individuals with detailed knowledge of our business operations.

### **Part IX – Marketing & Advertising**

#### **Exhibit 41 – Marketing and Advertising Plan**

SCC’s marketing and advertising plan is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2)-(4)(a), Code of Alabama 1975 (as amended). The information contained in this plan includes pricing information and methodologies, potential customer data and information, marketing plans, business strategies and techniques, and commercial relationships.<sup>74</sup> The Company derives substantial economic value from the secrecy of these plans and procedures, from the fact that our competitors, lacking plans and procedures of comparable quality, will be less able to handle this process in a timely and efficient manner allowing SCC to capture a greater share of the market. The Company protects the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements. Additionally, the information contained in this Exhibit 41 should be excluded from disclosure under the Open Records Act since disclosure would have “an impact upon, the security and safety of persons (i.e., customers and third parties).”<sup>75</sup> Disclosure of this plan could not only compromise our operational edge but also the security and safety of customers and third parties with whom we plan to develop relationships.

#### **Exhibit 42 – Website and Social Media**

Providing a complete site map of each website, social media page, and other online sites owned and operated by SCC is both a trade secret, per § 8-27-2(1), Code of Alabama 1975 (as amended) and confidential information with a protectible interest, per § 8-1-191(a)(2), Code of Alabama 1975 (as amended). Such confidentiality and trade secret protection will last until we are issued a license and these webpages and social media platforms go live. Until that time, our internal media strategy is confidential information involving our business and marketing strategies.<sup>76</sup> The Company derives economic value from the



secrecy of these strategies, from the fact that our competitors, lacking strategies of comparable quality, will be less able to handle this process in a timely and efficient manner allowing SCC to capture a greater share of the market. Until these strategies become public, the Company does, and will, protect the secrecy of this information by limiting knowledge on a need-to-know basis and protecting the same with confidentiality agreements.

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- <sup>1</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>2</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>3</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>4</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>5</sup> § 8-1-191(a)(3) Code of Alabama 1975 (as amended).
  - <sup>6</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>7</sup> § 8-1-191(a)(2)-(3), Code of Alabama 1975 (as amended).
  - <sup>8</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>9</sup> § 8-1-191(a)(2)-(3), Code of Alabama 1975 (as amended).
  - <sup>10</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>11</sup> § 8-1-191(a)(2)-(3), Code of Alabama 1975 (as amended).
  - <sup>12</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>13</sup> § 8-27-2(1)(c), (d), (f), Code of Alabama 1975 (as amended).
  - <sup>14</sup> § 8-1-191(a)(2), Code of Alabama 1975 (as amended).
  - <sup>15</sup> § 8-27-2(1)(c), (d), (f), Code of Alabama 1975 (as amended).
  - <sup>16</sup> § 8-1-191(a)(2), Code of Alabama 1975 (as amended).
  - <sup>17</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>18</sup> § 8-27-2(1)(c), (d), (f), Code of Alabama 1975 (as amended).
  - <sup>19</sup> § 8-1-191(a)(2), Code of Alabama 1975 (as amended).
  - <sup>20</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>21</sup> § 8-1-191(a)(2)-(3), Code of Alabama 1975 (as amended).
  - <sup>22</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>23</sup> § 8-27-2(1)(a), (b), (c), (d), Code of Alabama 1975 (as amended).
  - <sup>24</sup> § 8-1-191(a)(2)-(3), Code of Alabama 1975 (as amended).
  - <sup>25</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>26</sup> § 8-1-191(a)(2)-(3), Code of Alabama 1975 (as amended).
  - <sup>27</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>28</sup> § 8-1-191(a)(2)-(3), Code of Alabama 1975 (as amended).
  - <sup>29</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>30</sup> § 8-1-191(a)(2)-(3), Code of Alabama 1975 (as amended).
  - <sup>31</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>32</sup> § 8-1-191(a)(2)-(3), Code of Alabama 1975 (as amended).
  - <sup>33</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>34</sup> § 8-1-191(a)(2)-(3), Code of Alabama 1975 (as amended).
  - <sup>35</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>36</sup> § 8-1-191(a)(2)-(3), Code of Alabama 1975 (as amended).
  - <sup>37</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>38</sup> § 8-1-191(a)(2)-(3), Code of Alabama 1975 (as amended).
  - <sup>39</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>40</sup> § 8-1-191(a)(2)-(3), Code of Alabama 1975 (as amended).
  - <sup>41</sup> § 36-12-40, Code of Alabama 1975 (as amended).
  - <sup>42</sup> § 8-1-191(a)(3), Code of Alabama 1975 (as amended).
  - <sup>43</sup> § 36-12-40, Code of Alabama 1975 (as amended).

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- 44 § 36-12-40, Code of Alabama 1975 (as amended).  
45 § 8-1-191(a)(2), Code of Alabama 1975 (as amended).  
46 § 36-12-40, Code of Alabama 1975 (as amended).  
47 § 8-1-191(a)(1), Code of Alabama 1975 (as amended).  
48 § 36-12-40, Code of Alabama 1975 (as amended).  
49 § 8-1-191(a)(2), Code of Alabama 1975 (as amended).  
50 § 36-12-40, Code of Alabama 1975 (as amended).  
51 § 8-1-191(a)(2)-(4), Code of Alabama 1975 (as amended).  
52 § 36-12-40, Code of Alabama 1975 (as amended).  
53 § 8-1-191(a)(2), Code of Alabama 1975 (as amended).  
54 § 36-12-40, Code of Alabama 1975 (as amended).  
55 § 8-1-191(a)(2)-(3), Code of Alabama 1975 (as amended).  
56 § 36-12-40, Code of Alabama 1975 (as amended).  
57 § 8-1-191(a)(2)-(3), Code of Alabama 1975 (as amended).  
58 § 36-12-40, Code of Alabama 1975 (as amended).  
59 § 8-1-191(a)(2)-(3), Code of Alabama 1975 (as amended).  
60 § 36-12-40, Code of Alabama 1975 (as amended).  
61 § 36-12-40, Code of Alabama 1975 (as amended).  
62 § 36-12-40, Code of Alabama 1975 (as amended).  
63 § 36-12-40, Code of Alabama 1975 (as amended).  
64 § 8-27-2(a), (b), (c), (d), Code of Alabama 1975 (as amended).  
65 § 36-12-40, Code of Alabama 1975 (as amended).  
66 § 8-1-191(a)(2), (4), Code of Alabama 1975 (as amended).  
67 § 8-1-191(a)(1) Code of Alabama 1975 (as amended).  
68 § 36-12-40, Code of Alabama 1975 (as amended).  
69 § 36-12-40, Code of Alabama 1975 (as amended).  
70 § 8-1-191(a)(2) Code of Alabama 1975 (as amended).  
71 § 36-12-40, Code of Alabama 1975 (as amended).  
72 § 8-1-191(a)(2) Code of Alabama 1975 (as amended).  
73 § 36-12-40, Code of Alabama 1975 (as amended).  
74 § 8-1-191(a)(2)(3) Code of Alabama 1975 (as amended).  
75 § 36-12-40, Code of Alabama 1975 (as amended).  
76 § 8-1-191(a)(2) Code of Alabama 1975 (as amended).



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DISMISS

Review

**Selected Account:South East Cannabis Company**

Your application has been filed with the Alabama Medical Cannabis Commission.  
Your reference code is **1612**.

File Date : **03/03/2023 11:20 AM**

Your transaction ID is : **88966180**

Transaction Token: **7e897eeb-79a3-4056-b0cd-728f507a1387**

**i** If you do not receive email notifications, please check your spam folder.

You must print or save this page as a PDF as part of your redacted filing.

## Request for Business Application Information

✓ Request Number: [REDACTED]

## General Applicant Information

✓ Applicant Name : [REDACTED]

✓ Applying as: [REDACTED]

Trade Name : (DBAs)

✓ Identification Number Type : [REDACTED]

✓ Federal Tax Identification Number : [REDACTED]

✓ Business Entity Name : [REDACTED]

✓ Business Entity Type : [REDACTED]

✓ Secretary of State Entity ID Number : [REDACTED]

✓ Federal Business Code No : [REDACTED]

✓ Date of Qualification, Organization or Incorporation : [REDACTED]

## Applicant Street Address

✓ Street: [REDACTED]

Unit No / Apt No

✓ City: [REDACTED]

✓ County: [REDACTED]

✓ State: [REDACTED]

✓ Zip Code: [REDACTED]

✓ Address Verified?: Yes

## Applicant Mailing Address

---

✓ Street: [REDACTED]

Unit No / Apt :  
No

✓ City: [REDACTED]

✓ State: [REDACTED]

✓ Zip Code: [REDACTED]

✓ Address Verified?: Yes

Applicant :  
Website

✓ Applicant : [REDACTED]  
Email  
Address

✓ Applicant : [REDACTED]  
Phone  
Number

✓ Do you have a management service agreement in place?: [REDACTED]

---

✓ Is the applicant: (1) at least 51% owned by (or, in the case of a corporation, 51% of the shares belong to) members of any minority group (as defined by 20-2A-51(b)), and (2) managed and controlled in its daily operations by members of any minority group? [REDACTED]

---

✓ Does the applicant verify that it is: (1) at least 51% owned by (or, in the case of a corporation, 51% of the shares belong to) members of any minority group (as defined by 20-2A-51(b)), and (2) managed and controlled in its daily operations by members of any minority group? [REDACTED]

---

## Primary Contact Person

---

✓ First Name: [REDACTED]

✓ Last Name: [REDACTED]

✓ Title: [REDACTED]

✓ Phone : [REDACTED]  
Number

✓ Email: [REDACTED]

✓ Street: [REDACTED]

Unit No / Apt :  
No

✓ City: [REDACTED]

✓ State: [REDACTED]

✓ Zip Code: [REDACTED]

✓ Address Verified?: Yes

## License Information

---

✓ License Type: [REDACTED]

# Facility Information

---

## Facility Information

---

✓ Facility Type : [REDACTED]

## Physical Address

---

✓ Street: [REDACTED]

Unit No / Apt No :

✓ City: [REDACTED]

✓ County: [REDACTED]

✓ State: [REDACTED]

✓ Zip Code: [REDACTED]

✓ Address Verified? : Yes

## Facility Information Questions

---

✓ Applicant's interest in property where proposed facility is located : [REDACTED]

✓ Is this facility under construction? [REDACTED]

✓ Estimated date of construction completion : [REDACTED]

✓ The number of days, if awarded a license, within which the Applicant reasonably projects it will commence operations at this facility : [REDACTED]

✓ The number of days, if awarded a license, within which the Applicant reasonably projects it will reach full capacity at this facility : [REDACTED]

✓ Does the applicant verify that this proposed facility will be in a permissible location, if applicable, and will maintain compliance with all State and local laws, resolutions and ordinances? : [REDACTED]

---

✓ Facility Type : [REDACTED]

## Physical Address

---

✓ Street: [REDACTED] R

Unit No / Apt No :

✓ City: [REDACTED]

✓ County: [REDACTED]

✓ State: [REDACTED]

✓ Zip Code: [REDACTED]

✓ Address : Yes  
Verified?

## Facility Information Questions

---

✓ Applicant's interest in property where proposed facility is located : [REDACTED]

✓ Is this facility under construction? : [REDACTED]

✓ Estimated date of construction completion : [REDACTED]

✓ The number of days, if awarded a license, within which the Applicant reasonably projects it will commence operations at this facility : [REDACTED]

✓ The number of days, if awarded a license, within which the Applicant reasonably projects it will reach full capacity at this facility : [REDACTED]

✓ Does the applicant verify that this proposed facility will be in a permissible location, if applicable, and will maintain compliance with all State and local laws, resolutions and ordinances? : [REDACTED]

---

✓ Facility Type : [REDACTED]

✓ Dispensing : Site Premises : [REDACTED]

## Physical Address

---

✓ Street: [REDACTED]

✓ Unit No / Apt No : [REDACTED]

✓ City: [REDACTED]

✓ County: [REDACTED]

✓ State: [REDACTED]

✓ Zip Code: [REDACTED]

✓ Address : Yes  
Verified?

## Facility Information Questions

---

✓ Applicant's interest in property where proposed facility is located : [REDACTED]

✓ Is this facility under construction? : [REDACTED]

- ✓ Estimated date of construction completion : [REDACTED]
- ✓ The number of days, if awarded a license, within which the Applicant reasonably projects it will commence operations at this facility : [REDACTED]
- ✓ The number of days, if awarded a license, within which the Applicant reasonably projects it will reach full capacity at this facility : [REDACTED]
- ✓ Does the applicant verify that this proposed facility will be in a permissible location, if applicable, and will maintain compliance with all State and local laws, resolutions and ordinances? : [REDACTED]

- ✓ Facility Type : [REDACTED]
- ✓ Dispensing Site Premises : [REDACTED]

## Physical Address

- ✓ Street: [REDACTED]
- ✓ Unit No / Apt No : [REDACTED]
- ✓ City: [REDACTED]
- ✓ County: [REDACTED]
- ✓ State: [REDACTED]
- ✓ Zip Code: [REDACTED]
- ✓ Address Verified? : Yes

## Facility Information Questions

- ✓ Applicant's interest in property where proposed facility is located : [REDACTED]
- ✓ Is this facility under construction? : [REDACTED]
- ✓ Estimated date of construction completion : [REDACTED]
- ✓ The number of days, if awarded a license, within which the Applicant reasonably projects it will commence operations at this facility : [REDACTED]
- ✓ The number of days, if awarded a license, within which the Applicant reasonably projects it will reach full capacity at this facility : [REDACTED]
- ✓ Does the applicant verify that this proposed facility will be in a permissible location, if applicable, and will maintain compliance with all State and local laws, resolutions and ordinances? : [REDACTED]

✓ Facility Type : [REDACTED]

✓ Dispensing Site Premises [REDACTED]

## Physical Address

---

✓ Street: [REDACTED]

Unit No / Apt No :

✓ City: [REDACTED]

✓ County: [REDACTED]

✓ State: [REDACTED]

✓ Zip Code: [REDACTED]

✓ Address Verified? : Yes

## Facility Information Questions

---

✓ Applicant's interest in property where proposed facility is located [REDACTED]

✓ Is this facility under construction? [REDACTED]

✓ Estimated date of construction completion : [REDACTED]

✓ The number of days, if awarded a license, within which the Applicant reasonably projects it will commence operations at this facility : [REDACTED]

✓ The number of days, if awarded a license, within which the Applicant reasonably projects it will reach full capacity at this facility : [REDACTED]

✓ Does the applicant verify that this proposed facility will be in a permissible location, if applicable, and will maintain compliance with all State and local laws, resolutions and ordinances? : [REDACTED]

---

✓ Facility Type : [REDACTED]

✓ Dispensing Site Premises [REDACTED]

## Physical Address

---

✓ Street: [REDACTED]

Unit No / Apt No :

✓ City: [REDACTED]

✓ County: [REDACTED]

✓ State: [REDACTED]

✓ Zip Code: [REDACTED]

✓ Address Verified? : Yes



## Facility Information Questions

---

- ✓ Applicant's interest in property where proposed facility is located : [REDACTED]
  - ✓ Is this facility under construction? [REDACTED]
  - ✓ Estimated date of construction completion : [REDACTED]
  - ✓ The number of days, if awarded a license, within which the Applicant reasonably projects it will commence operations at this facility : [REDACTED]
  - ✓ The number of days, if awarded a license, within which the Applicant reasonably projects it will reach full capacity at this facility : [REDACTED]
  - ✓ Does the applicant verify that this proposed facility will be in a permissible location, if applicable, and will maintain compliance with all State and local laws, resolutions and ordinances? : [REDACTED]
- 

## Ownership of Applicant

---

- ✓ Select type of record [REDACTED]
- ✓ Does the individual have an ownership interest in the applicant? : [REDACTED]

## Individual

---

- ✓ Legal First Name : [REDACTED]
- ✓ Legal Middle Name : [REDACTED]
- ✓ Legal Last Name : [REDACTED]
- ✓ Suffix: [REDACTED]
- ✓ Phone Number : [REDACTED]
- ✓ Email Address : [REDACTED]
- ✓ Date of Birth : [REDACTED]
- ✓ Social Security Number : [REDACTED]
- ✓ Race/Ethnicity: [REDACTED]
- ✓ Ownership Percentage of the Applicant : [REDACTED]
- ✓ Role: [REDACTED]

## Residence Address

---

- ✓ Street: [REDACTED] Unit No / Apt No : [REDACTED] ✓ City: [REDACTED]
  - ✓ State: [REDACTED] ✓ Zip Code: [REDACTED]
  - ✓ Address Verified? : Yes
- 

- ✓ Select type of record: [REDACTED]
- ✓ Does this entity have ownership interest in the applicant? : [REDACTED]

## Entity

---

- ✓ Entity Name : [REDACTED] ✓ Entity Type: [REDACTED] ✓ Are there individuals with direct or indirect ownership interest in this entity? : [REDACTED]
- ✓ FEIN: [REDACTED] ✓ Ownership Percentage of the Applicant : [REDACTED]

## Physical Address

---

- ✓ Street: [REDACTED] Unit No / Apt No : [REDACTED] ✓ City: [REDACTED]
- ✓ State: [REDACTED] ✓ Zip Code: [REDACTED]
- ✓ Address Verified? : Yes

## Primary Contact/ Responsible Person

---

- ✓ First Name: [REDACTED] ✓ Last Name: [REDACTED] ✓ Title: [REDACTED]
  - ✓ Phone Number : [REDACTED] ✓ Email Address : [REDACTED] ✓ Street Address : [REDACTED]
  - Unit No / Apt No : [REDACTED] ✓ City: [REDACTED] ✓ State: [REDACTED]
  - ✓ Zip Code : [REDACTED] ✓ Address Verified? : Yes
-

# Cannabis Industry Entities

---

- ✓ Is any individual or entity below connected to any entity that is directly or indirectly involved in the cannabis industry, including, but not limited to, the cultivation, processing, packaging, labeling, testing, transporting, or sale of cannabis or medical cannabis, either in Alabama or any other jurisdiction?  
(1) an individual with an ownership interest in the applicant;  
(2) the spouse, parent, or child of an individual with an ownership interest in the applicant; or  
(3) an entity with an ownership interest in the applicant.
- 

## Questions and Attestations

---

- ✓ Has the applicant, any ownership entity, or any cannabis entity connected to any individual or entity with an ownership interest in the applicant ever applied for or been granted any commercial license or certificate (not related to cannabis industry) issued by a licensing board or commission, either in Alabama or any other jurisdiction?
- 

- ✓ Was any commercial license or certificate disclosed above denied, restricted, suspended, revoked, or non-renewed?
- 

- ✓ Has the applicant, any ownership entity, or any cannabis entity connected to any individual or entity with an ownership interest in the applicant, ever been authorized to participate in the cannabis or medical cannabis industry, licensed (i.e., a "licensee" as defined in Chapter 1 of the AMCC Rules), or provided similar status in any other jurisdiction?
- 

- |                                  |                        |                            |
|----------------------------------|------------------------|----------------------------|
| ✓ Select One:                    | ✓ Name:                | ✓ License Type:            |
| ✓ Licensing Board or Commission: | ✓ License Issued Date: | ✓ License Expiration Date: |
- 

- |                                  |                        |                            |
|----------------------------------|------------------------|----------------------------|
| ✓ Select One:                    | ✓ Name:                | ✓ License Type:            |
| ✓ Licensing Board or Commission: | ✓ License Issued Date: | ✓ License Expiration Date: |
- 

- |                                  |                        |                            |
|----------------------------------|------------------------|----------------------------|
| ✓ Select One:                    | ✓ Name:                | ✓ License Type:            |
| ✓ Licensing Board or Commission: | ✓ License Issued Date: | ✓ License Expiration Date: |
- 

- |               |         |                 |
|---------------|---------|-----------------|
| ✓ Select One: | ✓ Name: | ✓ License Type: |
|---------------|---------|-----------------|

✓ Licensing Board or Commission: [REDACTED]

✓ License Issued Date: [REDACTED]

✓ License Expiration Date: [REDACTED]

---

✓ Select One: [REDACTED]

✓ Name: [REDACTED]

✓ License Type: [REDACTED]

✓ Licensing Board or Commission: [REDACTED]

✓ License Issued Date: [REDACTED]

✓ License Expiration Date: [REDACTED]

---

✓ Select One: [REDACTED]

✓ Name: [REDACTED]

✓ License Type: [REDACTED]

✓ Licensing Board or Commission: [REDACTED]

✓ License Issued Date: [REDACTED]

✓ License Expiration Date: [REDACTED]

---

✓ Select One: [REDACTED]

✓ Name: [REDACTED]

✓ License Type: [REDACTED]

✓ Licensing Board or Commission: [REDACTED]

✓ License Issued Date: [REDACTED]

✓ License Expiration Date: [REDACTED]

---

✓ During the last 5 years has there been any disciplinary measures taken regarding any cannabis or medical cannabis industry license of the applicant or any entity affiliated with the applicant? : [REDACTED]

---

✓ Has the applicant, any ownership entity, or any cannabis entity connected to any individual or entity with an ownership interest in the applicant, within the last ten (10) years, filed or been served with a complaint or other notice by any governmental body, regarding a delinquency in the payment of, or a dispute over the filings concerning the payment of, any tax required under federal, state, or local law? : [REDACTED]

---

✓ Has the applicant filed, or had filed against it, any proceeding for bankruptcy within the past 7 years?: [REDACTED]

---

✓ Is the applicant currently, or has it been in the past 10 years, a defendant in litigation involving any of its business practices? : [REDACTED]

---

✓ Is any public official of any unit of government: : [REDACTED]  
(1) an owner (directly or indirectly) of any financial or beneficial interest in the applicant;  
(2) a creditor of the applicant;  
(3) a holder of any debt instrument issued by the applicant; or (4) a holder of, or interested party in, any contractual or service relationship with the applicant?

---

✓ Is the spouse, parent or child of a public official of any unit of government: [REDACTED]  
(1) an owner (directly or indirectly) of any financial or beneficial interest in the applicant;  
(2) a creditor of the applicant;  
(3) a holder of any debt instrument issued by the applicant; or  
(4) a holder of, or interested party in, any contractual or service relationship with the applicant?

✓ Has any owner, director, board member, or individual with a controlling interest in the applicant ever been indicted for, charged with, arrested for, convicted of, pled guilty or nolo contendere to, or forfeited bail concerning any felony or controlled substance-related misdemeanor, not including traffic violations, regardless of whether the offense has been reversed on appeal or otherwise? [REDACTED]

✓ Has any leader, secure transport driver, or secure transport passenger of the applicant received a criminal conviction within the last eight years for any of the following: [REDACTED]  
(1) any indictable offense;  
(2) any offense involving stolen property or vehicles;  
(3) fraud relating to any business any driver has owned, in whole or part, or in which the driver has been employed;  
(4) stolen property, or other offense of similar nature;  
(5) operation of a motor vehicle while under the influence of a controlled substance, or offense of similar nature; or (6) any offense involving possession, distribution or trafficking in, any illegal substance?

**What is the applicant's anticipated or actual number of employees (including all facilities) at the prospective commencement of operations and during the first five calendar years thereafter?**

✓ Commencement: [REDACTED]  
of Operation

✓ Year One: [REDACTED]

✓ Year Two: [REDACTED]

✓ Year Three: [REDACTED]

✓ Year Four: [REDACTED]

✓ Year Five: [REDACTED]

✓ Does the applicant verify that it has the ability to maintain adequate minimum levels (\$2,000,000) of liability and casualty insurance, as required by § 20-2A-53(a)(2), Code of Alabama 1975 (as amended)? [REDACTED]

✓ Does the applicant verify that each of its proposed dispensing sites is at least 1000 feet from any school, daycare, or childcare facility? [REDACTED]

✓ Does the applicant consent as required by § 20-2A-55(d), Code of Alabama 1975 (as amended) to the inspections, examinations, searches, and seizures contemplated by § 20-2A-52(a)(3), Code of Alabama 1975 (as amended), which shall specifically extend to all secure transport vehicles of the applicant? [REDACTED]

✓ Does the applicant verify that neither it nor its leadership have any economic interest in any other license or applicant for license under the Act? (See § 20-2A-55(e), Code of Alabama 1975 (as amended)) [REDACTED]

✓ I attest that this application is truthful and complete based on the best available information as of the date of filing. [REDACTED]

✓ Signature: [REDACTED]

✓ Signature Date: [REDACTED]

# Documents

---

✓ Resume or Curriculum Vitae of Individuals with Ownership Interest:



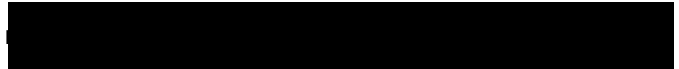
✓ Residency of Owners:



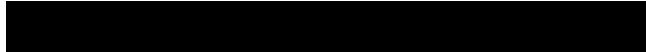
✓ Commercial Horticulture or Agronomic Production Experience of Owners:



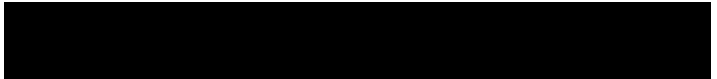
✓ Criminal Background Check:



✓ Minimum Performance Bond Requirement:



✓ Minimum Liquid Assets Requirement:



✓ Demonstration of Sufficient Capital:



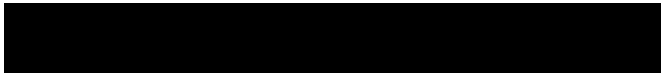
✓ Minimum Operating Capital Requirement:



✓ Financial Statements:



✓ Tax Plan:



✓ Business Formation Documents:



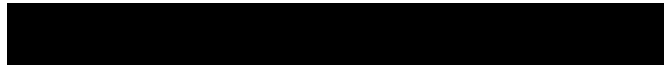
✓ Business License and Authorization of Local Jurisdictions:



✓ Business Plan:



✓ Evidence of Business Relationship with other Licensees and Prospective Licensees:



✓ Coordination of Information from Registered Certifying Physicians:



✓ Point-of-Sale Responsibilities:



✓ Confidentiality of Patient Information:



✓ Money Handling and Taxes:



✓ Standard Operating Plan and Procedures:



✓ Policies and Procedures Manual:



✓ Production and Manufacturing Process:



✓ Machinery and Equipment:



✓ Receiving and Shipping Plan:



✓ Secure Transport Vehicles:



✓ Compliance with Alabama Public Service Commission Requirements:



✓ Commercial Drivers' License:



✓ Fleet Summary:



✓ Care and Maintenance of Vehicles:



✓ Route Plans:



✓ Plan for Segregation of Processes Within and Transportation Between Facilities:



✓ Facilities:



✓ Engineering Plans and Specifications:



✓ Security Plan:



✓ Personnel:



✓ Business Leadership Credentials:



✓ Employee Handbook:



✓ Secure Transport Drivers:



✓ Drivers' Manual:



✓ Quality Control and Quality Assurance Plan:



✓ Contamination and Recall Plan:



✓ Marketing and Advertising Plan:



✓ Website and Social Media:



✓ Ownership Entity Individuals (if applicable):



✓ Minority Ownership Documents:



✓ Proof of Minimum Liability and Casualty Insurance:



✓ Affidavit - Entity Applicant:



---

## Payments

---

✓ Payment Options:





# Exhibit 1 – Resume or Curriculum Vitae of Individuals with Ownership Interest

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

12/21/2022  
\_\_\_\_\_  
Verification Date



















# Exhibit 2 – Residency of Owners

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*

12/21/2022

\_\_\_\_\_  
Signature of Verifying Individual

\_\_\_\_\_  
Verification Date











































# Exhibit 3 – Commercial Horticulture or Agronomic Production Experience of Owners

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Printed Name of Verifying Individual

*Oliver Washington IV*

Signature of Verifying Individual

Owner and CEO

Title of Verifying Individual

12/21/2022

Verification Date



































# Exhibit 4 - Criminal Background Check

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Printed Name of Verifying Individual

*Oliver Washington IV*

Signature of Verifying Individual

Owner and CEO

Title of Verifying Individual

12/21/22

Verification Date











# Exhibit 5 – Minimum Performance Bond Requirement

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date















# Exhibit 6 – Minimum Liquid Assets Requirement

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*

12/21/22

\_\_\_\_\_  
Signature of Verifying Individual

\_\_\_\_\_  
Verification Date







# Exhibit 7 – Demonstration of Sufficient Capital

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date













# Exhibit 8 – Minimum Operating Capital Requirement

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*

12/21/22

\_\_\_\_\_  
Signature of Verifying Individual

\_\_\_\_\_  
Verification Date























# Exhibit 9 – Financial Statements

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

12/21/22  
\_\_\_\_\_  
Verification Date



































# Exhibit 10 – Tax Plan

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*

\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date













# Exhibit 11 – Business Formation Documents

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*

\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date





































































# Exhibit 12 – Business License and Authorization of Local Jurisdictions

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date











































# Exhibit 13 – Business Plan

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*

\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date



















































































































# Exhibit 14 – Evidence of Business Relationship with Other Licensees and Prospective Licensees

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date



























































# Exhibit 15 – Coordination of Information from Registered Certifying Physicians

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

12/21/22  
\_\_\_\_\_  
Verification Date













# Exhibit 16 – Point-of-Sale Responsibilities

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

12/21/22  
\_\_\_\_\_  
Verification Date













# Exhibit 17 – Confidentiality of Patient Information

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date











# Exhibit 18 – Money Handling and Taxes

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date













# Exhibit 19 – Standard Operating Plan and Procedures

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date



































































# Exhibit 20 – Policies and Procedures Manual

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*

\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date



















































































































































































































































































































































































































































































































































































































































































































































































































































































































































































# Exhibit 21 – Production and Manufacturing Process

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date

















































# Exhibit 22 – Machinery and Equipment

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*

\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date



















































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































































# Exhibit 23 – Receiving and Shipping Plan

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

12/21/22  
\_\_\_\_\_  
Verification Date























# Exhibit 24 – Secure Transport Vehicles

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*

\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date













































# Exhibit 25 – Compliance with Alabama Public Service Commission Requirements

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date

























# Exhibit 26 – Commercial Driver’s License

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*

12/21/22

\_\_\_\_\_  
Signature of Verifying Individual

\_\_\_\_\_  
Verification Date





# Exhibit 27 – Fleet Summary

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

12/21/22  
\_\_\_\_\_  
Verification Date













# Exhibit 28 – Care and Maintenance of Vehicles

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

12/21/22  
\_\_\_\_\_  
Verification Date













# Exhibit 29 – Route Plans

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date













# Exhibit 30 – Plan for Segregation of Processes Within and Transportation Between Facilities

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*

\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date























# Exhibit 31 – Facilities

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

12/21/22  
\_\_\_\_\_  
Verification Date



















































































































































































# Exhibit 32 – Engineering Plans and Specifications (Cultivation Facilities)

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Printed Name of Verifying Individual

*Oliver Washington IV*

Signature of Verifying Individual

Owner and CEO

Title of Verifying Individual

12/21/22

Verification Date















































# Exhibit 33 – Security Plan

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*

12/21/22

\_\_\_\_\_  
Signature of Verifying Individual

\_\_\_\_\_  
Verification Date























































# Exhibit 34 – Personnel

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

12/21/22  
\_\_\_\_\_  
Verification Date













# Exhibit 35 – Business Leadership Credentials

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date























# Exhibit 36 – Employee Handbook

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

12/21/22  
\_\_\_\_\_  
Verification Date







































































































































































































































# Exhibit 37 – Secure Transport Drivers

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*  
\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date





# Exhibit 38 – Driver’s Manual

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*

12/21/22

\_\_\_\_\_  
Signature of Verifying Individual

\_\_\_\_\_  
Verification Date

























































# Exhibit 39 – Quality Control and Quality Assurance Plan

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*

12/21/22

\_\_\_\_\_  
Signature of Verifying Individual

\_\_\_\_\_  
Verification Date















































# Exhibit 40 – Contamination and Recall Plan

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*

12/21/22

\_\_\_\_\_  
Signature of Verifying Individual

\_\_\_\_\_  
Verification Date























# Exhibit 41 – Marketing and Advertising Plan

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

Owner and CEO

\_\_\_\_\_  
Printed Name of Verifying Individual

\_\_\_\_\_  
Title of Verifying Individual

*Oliver Washington IV*

12/21/22

\_\_\_\_\_  
Signature of Verifying Individual

\_\_\_\_\_  
Verification Date











































# Exhibit 42 – Website and Social Media

## Verification

The undersigned verifies that the information contained in this Exhibit, including any attachments thereto, is accurate and complete, based on the best available information at the date of verification.

Oliver Washington IV

\_\_\_\_\_  
Printed Name of Verifying Individual

*Oliver Washington IV*

\_\_\_\_\_  
Signature of Verifying Individual

Owner and CEO

\_\_\_\_\_  
Title of Verifying Individual

12/21/22

\_\_\_\_\_  
Verification Date



















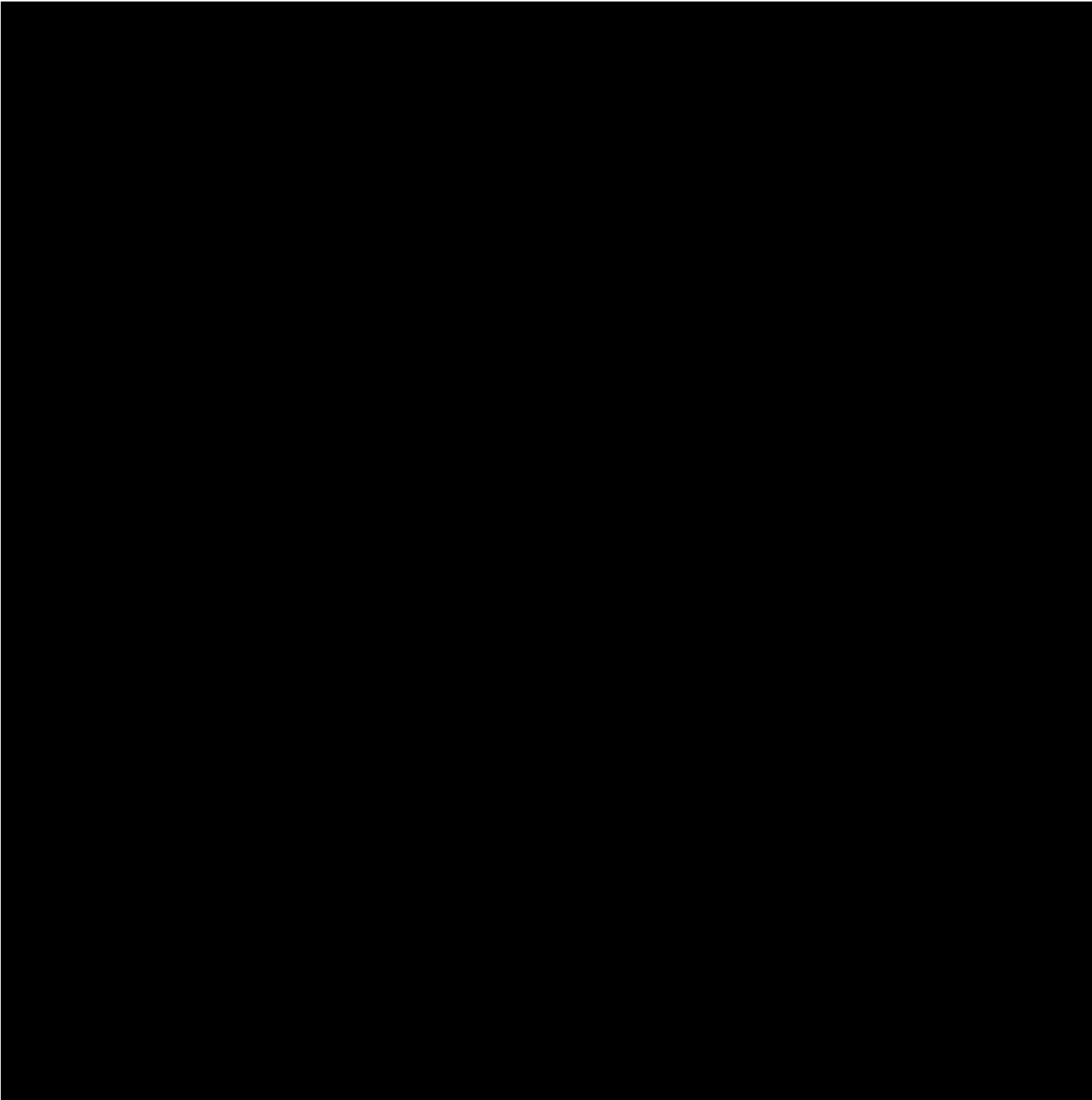


**FORM I: OWNERSHIP ENTITY INDIVIDUALS**

*“Ownership Entity” – An entity that has any ownership interest in the Applicant.*

*Complete a separate form for each ownership entity, providing information and verification as to each individual having an indirect or direct ownership interest in the ownership entity. Attach additional forms if necessary.*

*For purposes of this form, if the ownership entity is a trust, disclose the names and addresses of all trustees and beneficiaries; if a privately held corporation, the names and addresses of all shareholders, officers, and directors; if a publicly held corporation, the names and addresses of all shareholders holding a direct or indirect interest of greater than five percent, officers, and directors; if a partnership or limited liability partnership, the names and addresses of all partners; if a limited partnership or limited liability limited partnership, the names of all partners, both general and limited; or if a limited liability company, the names and addresses of all members and managers.*



|                |       |                       |
|----------------|-------|-----------------------|
| Owner Name     | Role  | % Ownership in Entity |
| Street Address |       |                       |
| City           | State | Zip                   |


|                |       |                       |
|----------------|-------|-----------------------|
| Owner Name     | Role  | % Ownership in Entity |
| Street Address |       |                       |
| City           | State | Zip                   |

|                |       |                       |
|----------------|-------|-----------------------|
| Owner Name     | Role  | % Ownership in Entity |
| Street Address |       |                       |
| City           | State | Zip                   |

|                |       |                       |
|----------------|-------|-----------------------|
| Owner Name     | Role  | % Ownership in Entity |
| Street Address |       |                       |
| City           | State | Zip                   |

**Applicant Verification:** The undersigned hereby verifies that the information provided hereinabove (and attached, as necessary) constitutes a complete and accurate list of all individuals with an applicable ownership interest in an ownership entity with an ownership interest in the Applicant.

  
 Printed Name of Verifying Individual

  
 Title of Verifying Individual

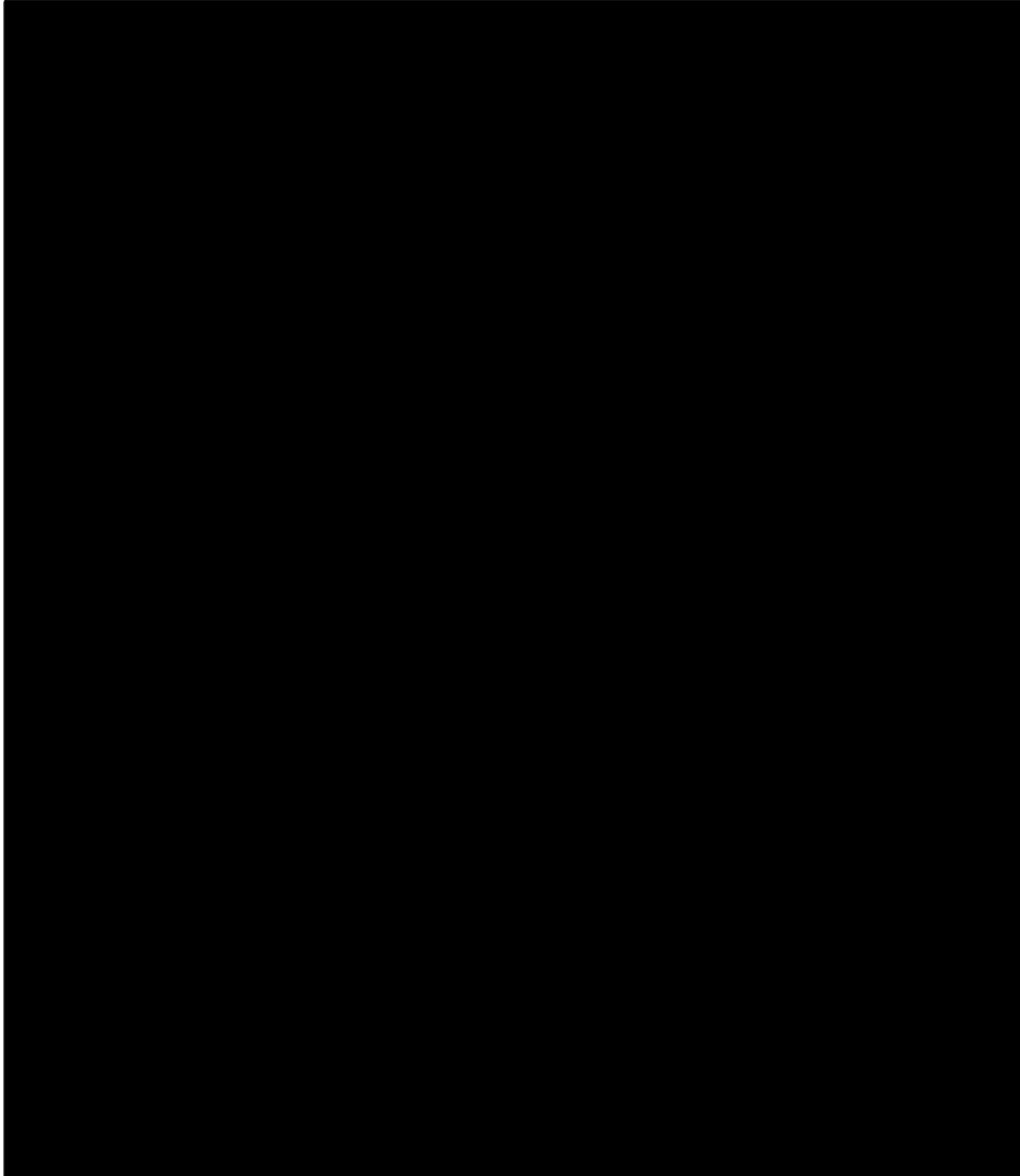
  
 Signature of verifying Individual

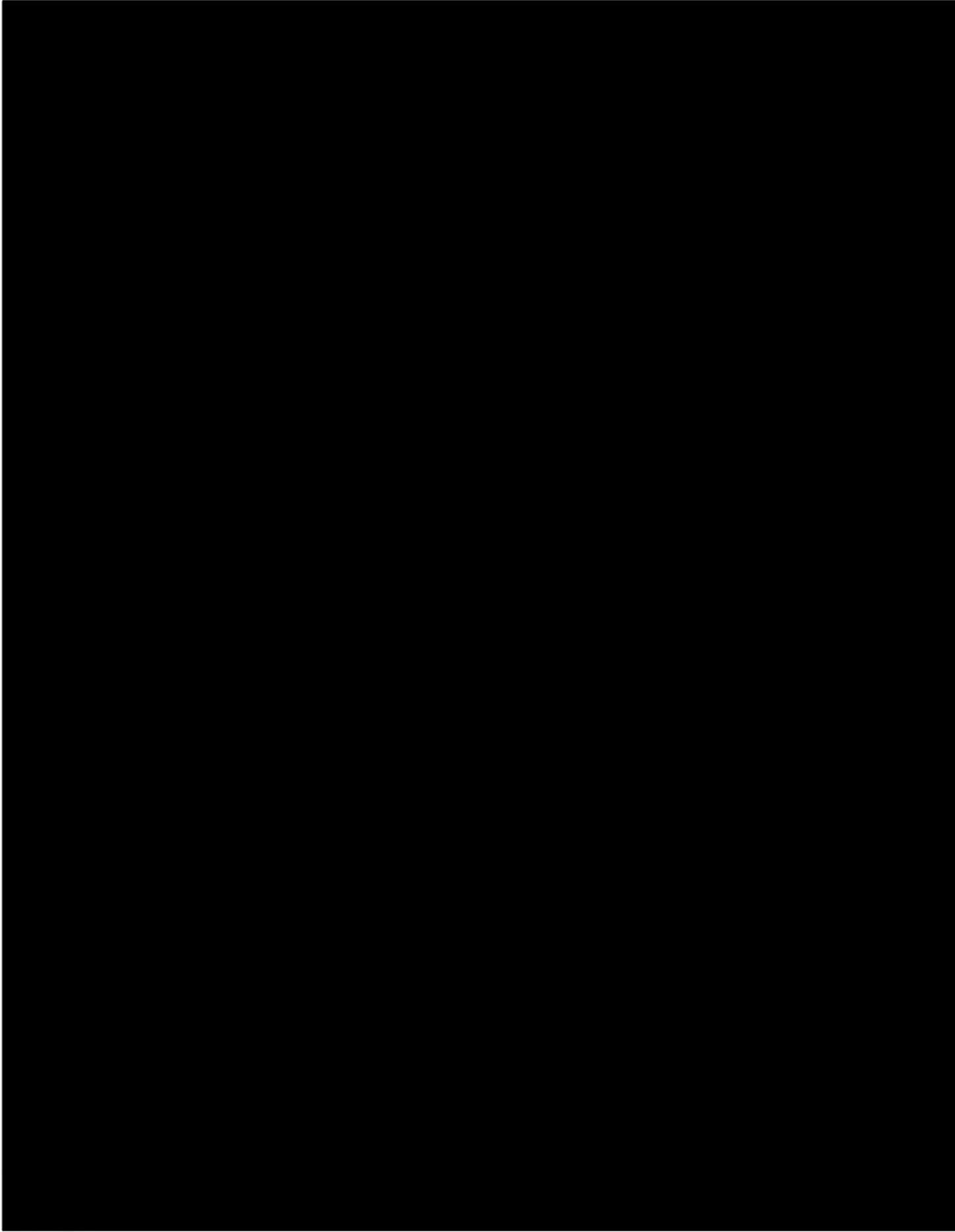
  
 Verification Date

**FORM K: Affidavit of Entity Applicant for  
Alabama Medical Cannabis License**

STATE OF Alabama )

)







1. The first part of the document discusses the importance of maintaining accurate records of all transactions. It emphasizes that proper record-keeping is essential for the integrity of the financial system and for the ability to detect and prevent fraud.

2. The second part of the document outlines the various methods used to collect and analyze data. It describes the use of statistical techniques to identify trends and anomalies in the data, and the importance of using reliable sources of information.

3. The third part of the document discusses the role of the auditor in the financial system. It explains that the auditor's primary responsibility is to provide an independent and objective assessment of the financial statements, and to ensure that they are prepared in accordance with the applicable accounting standards.

4. The fourth part of the document describes the various types of audits that are commonly performed. It includes a discussion of the differences between internal and external audits, and the specific procedures used in each type of audit.

5. The fifth part of the document discusses the importance of communication in the auditing process. It explains that effective communication is essential for the auditor to gather the necessary information and to convey the results of the audit to the appropriate parties.

6. The sixth part of the document describes the various factors that can affect the quality of an audit. It includes a discussion of the importance of the auditor's independence and objectivity, and the need for the auditor to maintain a high level of professional skepticism.

7. The seventh part of the document discusses the various challenges that auditors face in their work. It includes a discussion of the increasing complexity of financial transactions and the need for auditors to stay up-to-date on the latest developments in the field.

8. The eighth part of the document describes the various ways in which the auditing profession is regulated. It includes a discussion of the role of the Institute of Chartered Accountants and the various standards and codes of ethics that govern the profession.

9. The ninth part of the document discusses the future of the auditing profession. It includes a discussion of the various trends that are likely to shape the profession in the years ahead, and the need for auditors to adapt to these changes.

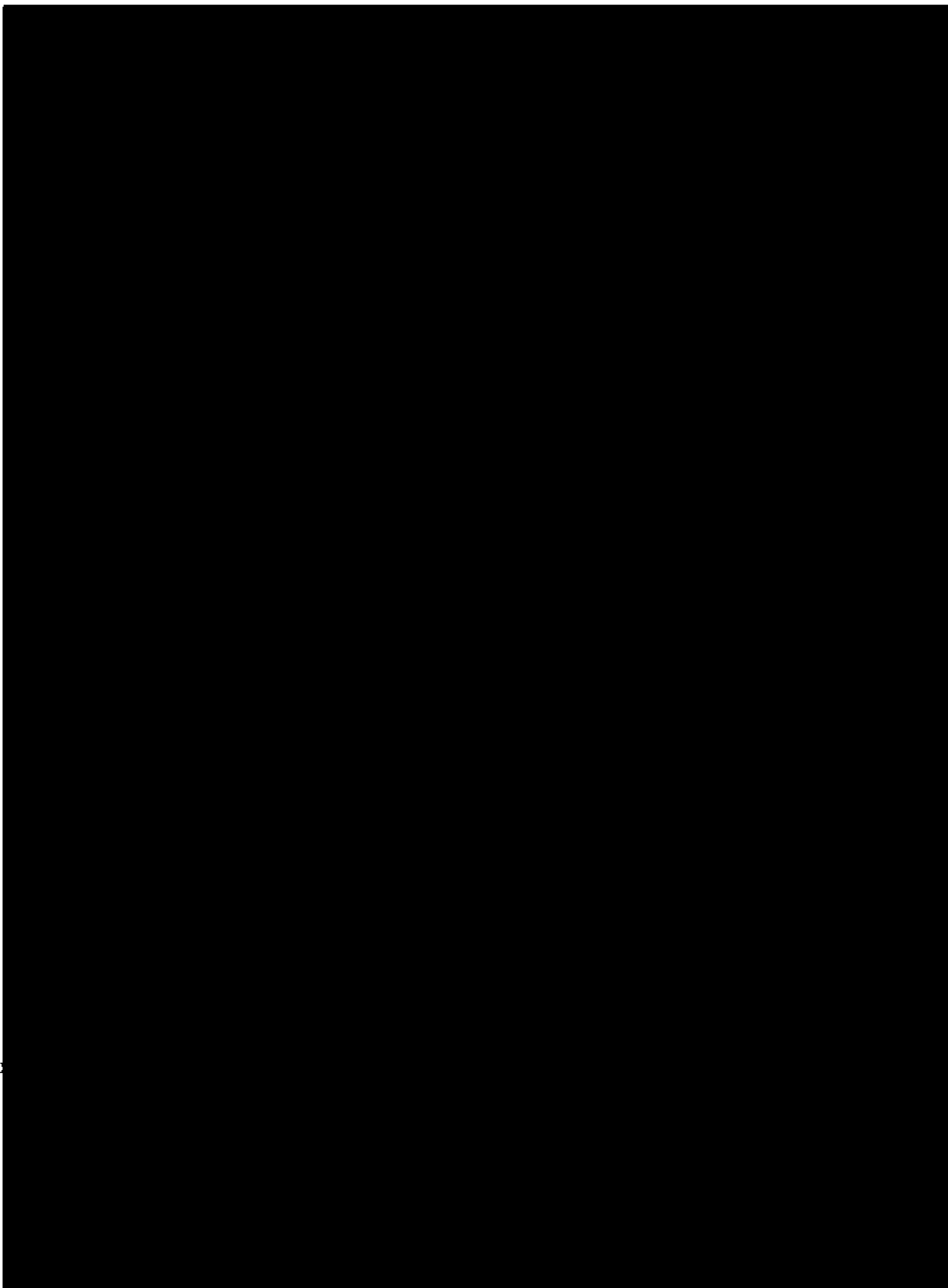


**WRITTEN AUTHORIZATION OF**

[REDACTED]

[REDACTED]





Swor

**WRITTEN AUTHORIZATION OF**

[REDACTED]

[REDACTED]



























































